

## A NOTE ON PARTIALLY HYPERBOLIC SYSTEMS WITH MOSTLY EXPANDING CENTERS

ZEYA MI, YONGLUO CAO, AND DAWEI YANG

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**ABSTRACT.** We show the existence and finiteness of SRB (Physical) measures for partially hyperbolic diffeomorphism  $f$  with dominated splitting  $TM = E^u \oplus E^{cu} \oplus E^{cs}$ , such that  $(f, E^{cu})$  has the  $\mathcal{G}^+$  property and  $(f, E^{cs})$  has the  $\mathcal{G}^-$  property.

### 1. INTRODUCTION

One topic in dynamical systems is to find invariant measures with the observable property. Sinai, Ruelle and Bowen succeeded in getting these kinds of invariant measures in the 1970s [6, 7, 9, 10] for uniformly hyperbolic systems, called *Sinai-Ruelle-Bowen (SRB)* or *physical measures*. A natural question arises: Are there some SRB/physical measures for systems beyond the uniform hyperbolicity?

Let  $M$  be a finite dimensional compact Riemannian manifold and  $f : M \rightarrow M$  a diffeomorphism of class  $C^{1+}$ , meaning that  $f$  is  $C^1$  and  $Df$  is Hölder continuous. In this paper, we say that an  $f$ -invariant measure  $\mu$  is an SRB measure if it has positive Lyapunov exponents  $\mu$ -a.e. and the conditional measures along *Pesin unstable manifolds* are absolutely continuous w.r.t. the Lebesgue measures induced in the corresponding manifolds. Generally, an invariant measure  $\mu$  is said to be physical if the *basin of  $\mu$*  (w.r.t.  $f$ ),

$$B(\mu, f) = \left\{ x \in M : \frac{1}{n} \sum_{i=0}^{n-1} \delta_{f^i(x)} \xrightarrow{\text{weak}^*} \mu \text{ as } n \rightarrow +\infty \right\}$$

has positive Lebesgue measure.

In this work, we say that  $f$  is a *partially hyperbolic* diffeomorphism if there exist  $\lambda \in (0, 1)$  and a  $Df$ -invariant continuous splitting  $TM = E^u \oplus E^c$  such that

- $E^u$  dominates  $E^c$ :  $\|Df|E^c(x)\| \cdot \|Df^{-1}|E^u(f(x))\| \leq \lambda$  for every  $x \in M$ .  
 We rewrite  $E^u \oplus_{\sim} E^c$  to denote this domination.
- $E^u$  is uniformly expanding:  $\|Df^{-1}|E^u(x)\| \leq \lambda$  for every  $x \in M$ .

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We shall mainly deal with the partially hyperbolic diffeomorphisms of the following type:  $TM = E^u \oplus_{\succ} E^{cu} \oplus_{\succ} E^{cs}$ , where  $E^{cu}$  dominates  $E^{cs}$  and  $E^u$  dominates  $E^{cu}$  and is uniformly expanding.

It is known that there exist strong unstable manifolds  $W^u(x)$ ,  $x \in M$  tangent to the distribution  $E^u$ . They are backward contracting with rate  $\lambda$  in the sense that: For every  $x \in M$  we have  $d(f^{-n}(x), f^{-n}(y)) \leq \lambda^n d(x, y)$ , for every  $n \geq 1, y \in W^u(x)$ .

As an important candidate of SRB/physical measures, *Gibbs u-state* was introduced and studied in [8] by Pesin and Sinai for partially hyperbolic systems. Let  $f$  be a partially hyperbolic diffeomorphism; we say an  $f$ -invariant measure  $\mu$  is a Gibbs  $u$ -state if the conditional measures of  $\mu$  along *strong* unstable manifolds are absolutely continuous w.r.t. the Lebesgue measures along these manifolds.

Denote by  $G^u(f)$  the set of all the Gibbs  $u$ -states of partially hyperbolic diffeomorphism  $f$ . Given a  $Df$ -invariant sub-bundle  $E$ , we say  $(f, E)$  satisfies

- the  $\mathcal{G}^+$  *property* if all the Lyapunov exponents of  $(f, \mu)$  along  $E$  are positive for every  $\mu \in G^u(f)$ ;
- the  $\mathcal{G}^-$  *property* if all the Lyapunov exponents of  $(f, \mu)$  along  $E$  are negative for every  $\mu \in G^u(f)$ .

The main result of this paper is the following:

**Theorem A.** *Let  $f$  be a  $C^{1+}$  partially hyperbolic diffeomorphism with splitting  $TM = E^u \oplus_{\succ} E^{cu} \oplus_{\succ} E^{cs}$ . Assume that  $(f, E^{cu})$  satisfies the  $\mathcal{G}^+$  property and  $(f, E^{cs})$  satisfies the  $\mathcal{G}^-$  property. Then there exist finitely many ergodic SRB measures, which are physical measures whose basins cover a full Lebesgue measure subset of  $M$ .*

We also prove a related result.

**Theorem B.** *Let  $f$  be a  $C^{1+}$  partially hyperbolic diffeomorphism with splitting  $TM = E^u \oplus_{\succ} E^{cu} \oplus_{\succ} E^{cs}$ . Assume that  $(f, E^{cs})$  satisfies the  $\mathcal{G}^-$  property, and*

$$\int \log m(Df|E^{cu}) d\mu > 0, \quad \forall \mu \in G^u(f).$$

*Then there exist finitely many ergodic SRB measures, which are physical measures whose basins cover a full Lebesgue measure subset of  $M$ .*

*Remark 1.1.* If  $(f, E^{cu})$  satisfies the  $\mathcal{G}^+$  property, then we say  $f$  is *mostly expanding* along  $E^{cu}$ . Similarly, we say  $f$  is *mostly contracting* along  $E^{cs}$  when  $(f, E^{cs})$  satisfies the  $\mathcal{G}^-$  property.

Since the conditions of the above theorems are  $C^1$ -robust (see [12, Theorem B] for  $\mathcal{G}^+$  and  $\mathcal{G}^-$  properties), we get the following: For  $f$  as in Theorem A or Theorem B, there is a  $C^1$  open neighborhood  $\mathcal{V}(f)$  of  $f$  such that every  $C^{1+}$  diffeomorphism  $g \in \mathcal{V}(f)$  has finitely many physical measures whose basins cover a full Lebesgue measure subset of  $M$ .

Let us recall some related results.

- In [5], the existence and finiteness of SRB (physical) measures were achieved by Bonatti-Viana for partially hyperbolic diffeomorphisms with splitting  $TM = E^u \oplus_{\succ} E^c$ , such that  $(f, E^c)$  has the  $\mathcal{G}^-$  property.
- Recently, Andersson and Vasquez [3, Theorem C] showed the existence of finitely many physical measures for  $C^{1+}$  partially hyperbolic systems with

splitting  $TM = E^u \oplus_{\succ} E^c \oplus_{\succ} E^s$ , such that  $(f, E^c)$  has the  $\mathcal{G}^+$  property. More precisely, they proved that such systems are *weakly non-uniformly expanding* along  $E^c$  (see definition in [2] or [3]), then they obtained the desired result by using [2, Theorem A].

The allowance of positive Lyapunov exponents along the central direction push us to deal with the systems here in a different way than [5]. We would like to mention that during the proof of Theorem A, we prove that the  $\mathcal{G}^+$  property implies the *non-uniformly expanding* (*NUE* for short, see [1] for this term) property for some iterate, not just the *weakly* non-uniformly expanding as in [3]. With this improvement, we do not need to construct the *Young-tower*, and can dispose of the weaker center direction  $E^{cs}$  more freely.

On the existence of SRB measures for two non-hyperbolic bundles, we recall the following conjecture proposed by Alves, Bonatti and Viana in [1, Section 6]:

*Suppose  $f$  is a  $C^{1+}$  diffeomorphism with the dominated splitting  $TM = E^{cu} \oplus_{\succ} E^{cs}$ , does there exist some SRB /physical measures when  $E^{cu}$  is NUE and  $E^{cs}$  is NUC (non-uniformly contracting<sup>1</sup>)?*

In [1], the authors proved this conjecture with the extra assumption that the system admitted the so-called *simultaneous hyperbolic times* (see [1, Proposition 6.5]). It remains to be a challenging open problem. The present work gives some partial results along this way.

## 2. GIBBS $u$ -STATES AND GIBBS $cu$ -STATES

Denote by  $m(A) = \inf_{\|v\|=1} \|Av\| = \|A^{-1}\|^{-1}$  the *mini-norm* of linear isomorphism  $A$ . Let  $\text{Leb}$  represent the Lebesgue measure of  $M$ . Given a sub-manifold  $\gamma \subset M$  we use  $\text{Leb}_\gamma$  to denote the normalized Lebesgue measure on  $\gamma$  induced by the restriction of the Riemannian structure to  $\gamma$ .

We say  $D$  is a *strong unstable disk* if it is a disk contained in some strong unstable manifold with the same dimension.

Given  $f \in C^{1+}$ , an  $f$ -invariant probability  $\mu$  and a  $Df$ -invariant sub-bundle  $E$ , define

$$\mathcal{L}_E(f, \mu) := \int \log m(Df|E) d\mu.$$

Throughout this section, let  $f$  be a  $C^{1+}$  diffeomorphism on  $M$ .

We will use the following properties of Gibbs  $u$ -states (see [4, Subsection 11.2]).

**Proposition 2.1.** *Let  $f$  be a partially hyperbolic diffeomorphism, then*

- (1)  *$G^u(f)$  is non-empty, convex and compact relative to the  $\text{weak}_*$  topology in the space of probabilities.*
- (2) *Every ergodic component of any  $\mu \in G^u(f)$  is a Gibbs  $u$ -state also.*
- (3) *There exists a set  $\mathcal{U}(f)$  intersecting every strong unstable disk on a full Lebesgue measure, such that for any  $x \in \mathcal{U}(f)$  every accumulation point of  $\frac{1}{n} \sum_{i=0}^{n-1} \delta_{f^i(x)}$  is a Gibbs  $u$ -state.*

Let  $f$  be a partially hyperbolic diffeomorphism with splitting  $TM = E^u \oplus_{\succ} E^{cu} \oplus_{\succ} E^{cs}$ ; we say an invariant measure  $\mu$  is a *Gibbs cu-state* of  $f$  if  $\mu$  has at least  $\dim(E^u \oplus E^{cu})$  positive Lyapunov exponents  $\mu$ -a.e., and the conditional measures along the Pesin unstable manifolds tangent to  $E^u \oplus_{\succ} E^{cu}$  are  $\mu$ -a.e. absolutely continuous w.r.t. Lebesgue measures of these manifolds.

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<sup>1</sup>See [1, Page 391] for this notion.

Denote by  $G^{cu}(f)$  the set of all the Gibbs  $cu$ -states of  $f$ . A folklore result says that  $G^{cu}(f) \subset G^u(f)$ .

Similar to the Gibbs  $u$ -states, the Gibbs  $cu$ -states have the next property related to the ergodic components. A proof can be found in [11, Lemma 2.4].

**Proposition 2.2.** *Let  $f$  be a partially hyperolic diffeomorphism with splitting  $TM = E^u \oplus_{\sim} E^{cu} \oplus_{\sim} E^{cs}$  and  $\mu$  an  $f$ -invariant measure. Then  $\mu$  is a Gibbs  $cu$ -state if and only if every ergodic component of  $\mu$  is a Gibbs  $cu$ -state for  $f$ .*

The next lemma follows directly by definitions, hence we omit the proof here.

**Lemma 2.3.** *Let  $f$  be a partially hyperolic diffeomorphism with splitting  $TM = E^u \oplus_{\sim} E^{cu} \oplus_{\sim} E^{cs}$ . Given  $m \in \mathbb{N}$ , if  $\mu \in G^u(f^m)$  (resp.  $G^{cu}(f^m)$ ), then the measure  $\mu_0 = \frac{1}{m} \sum_{i=0}^{m-1} f_i^* \mu$  is contained in  $G^u(f)$  (resp.  $G^{cu}(f)$ ). Moreover, if  $\mu$  is  $f^m$ -ergodic, then  $\mu_0$  is  $f$ -ergodic.*

**Lemma 2.4.** *Let  $f$  be a partially hyperolic diffeomorphism. Let  $E$  be a continuous  $Df$ -invariant sub-bundle. Then  $(f, E)$  satisfies the  $\mathcal{G}^+$  (resp.  $\mathcal{G}^-$ ) property if and only if  $(f^m, E)$  satisfies the  $\mathcal{G}^+$  (resp.  $\mathcal{G}^-$ ) property for any  $m \in \mathbb{N}$ .*

*Proof.* We prove the  $\mathcal{G}^+$  case here, the  $\mathcal{G}^-$  case can be deduced analogously. One need only to prove the “only if” part for any given  $m \in \mathbb{N}$ . By item (2) of Proposition 2.1, it suffices to show that for any  $f^m$ -ergodic measure  $\mu$ , the Lyapunov exponents are positive along  $E$  w.r.t.  $\mu$ . Consider the  $f$ -invariant measure  $\mu_0 = \frac{1}{m} \sum_{i=0}^{m-1} f_i^* \mu$ , then  $\mu$ -a.e.  $x$  is in a full  $\mu_0$  measure set, and by Lemma 2.3 we know  $\mu_0 \in G^u(f)$ . Thus, by definition, for  $\mu_0$ -a.e.  $x$ ,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log m(Df^n|E(x)) > 0.$$

Consequently, for  $\mu$ -a.e.  $x$  we have

$$\lim_{n \rightarrow \infty} \frac{1}{n} \log m(Df^{nm}|E(x)) = m \lim_{n \rightarrow \infty} \frac{1}{nm} \log m(Df^{nm}|E(x)) > 0.$$

□

### 3. $\mathcal{G}^+$ PROPERTY AND NON-UNIFORM EXPANSION

Let  $f$  be a diffeomorphism and  $E$  a continuous  $Df$ -invariant sub-bundle. Some results about the relations between  $\mathcal{G}^+$  property and NUE property are provided in this section, similar results hold for  $\mathcal{G}^-$  and NUC.

The following theorem asserts that  $\mathcal{G}^+$  implies NUE for some iterate.

**Theorem 3.1.** *If  $(f, E)$  satisfies the  $\mathcal{G}^+$  property, then there exist  $N \in \mathbb{N}$ ,  $\alpha > 0$  such that*

$$\liminf_{n \rightarrow +\infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df^N|E(f^{iN}x)) > \alpha, \text{ for Leb-a.e. } x \in M.$$

The proof of Theorem 3.1 can be deduced by Proposition 3.3 and Proposition 3.2 directly.

**Proposition 3.2.** *If  $\mathcal{L}_E(f, \mu) > 0$  for every  $\mu \in G^u(f)$ , then there is  $\sigma > 0$  such that Leb-a.e.  $x$  is non-uniformly expanding along  $E$  with rate  $\sigma$ , i.e.,*

$$\liminf_{n \rightarrow +\infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df|E(f^i x)) > \sigma.$$

**Proposition 3.3.** *If  $(f, E)$  satisfies the  $\mathcal{G}^+$  property, then there exist  $N \in \mathbb{N}$ ,  $\alpha > 0$  such that  $\mathcal{L}_E(f^N, \mu) > \alpha$  for every  $\mu \in G^u(f^N)$ .*

*Proof of Proposition 3.2.* By the compactness of  $G^u(f)$  (item (1) of Proposition 2.1), we know that there exists  $\sigma > 0$  such that

$$(3.1) \quad \mathcal{L}_E(f, \mu) > \sigma, \quad \forall \mu \in G^u(f).$$

Recall the full Lebesgue measure subset  $\mathcal{U}(f)$  of  $M$  as in item (3) of Proposition 2.1. We will show that every point  $x \in \mathcal{U}(f)$  is non-uniformly expanding along  $E$  with rate  $\sigma$ . If not, there exists  $x_0 \in \mathcal{U}(f)$  and a subsequence  $\{n_k\}$  satisfying

$$\lim_{k \rightarrow +\infty} \frac{1}{n_k} \sum_{i=0}^{n_k-1} \log m(Df|E(f^i(x_0))) \leq \sigma.$$

Up to replacing  $\{n_k\}$  by some subsequence, we may assume that

$$\frac{1}{n_k} \sum_{i=0}^{n_k-1} \delta_{f^i(x_0)} \xrightarrow{\text{weak}^*} \mu_0 \in G^u(f) \text{ as } k \rightarrow \infty.$$

Thus,

$$\mathcal{L}_E(f, \mu_0) = \lim_{k \rightarrow \infty} \frac{1}{n_k} \sum_{i=0}^{n_k-1} \log m(Df|E(f^i(x_0))) \leq \sigma.$$

This contradicts (3.1). The proof is complete.  $\square$

To prove Proposition 3.3, we need the lemmas below. The proof of the next lemma can be found in [3, Lemma 4.1] or [12, Proposition 5.5].

**Lemma 3.4.** *If  $(f, E)$  satisfies the  $\mathcal{G}^+$  property, then there exist  $\alpha > 0$  and  $L \in \mathbb{N}$  such that  $\mathcal{L}_E(f^L, \mu) > \alpha$  for every  $\mu \in G^u(f)$ .*

**Lemma 3.5.** *Given  $L \in \mathbb{N}$ ,  $\alpha_1 > 0$  and  $\alpha_2 \in (0, \alpha_1/L)$ , then there exists  $N$  as a multiple of  $L$  such that for any ergodic measure  $\mu$  of  $f$ , if  $\mathcal{L}_E(f^L, \mu) > \alpha_1$ , then for  $\mu$ -a.e.  $x$ ,*

$$\lim_{n \rightarrow \infty} \frac{1}{nN} \sum_{i=0}^{n-1} \log m(Df^N|E(f^{iN}(x))) > \alpha_2.$$

*Proof.* Put  $C = \max_{x \in M} \max\{|\log m(Df(x))|, |\log m(Df^{-1}(x))|\}$ ,  $k = [\frac{2CL}{\alpha_1 - \alpha_2 L}] + 1$ . Take  $N = kL$ . Since  $\mu$  is  $f$ -ergodic and  $f^L$ -invariant, we consider the finite ergodic components  $\nu_i$  (for  $f^L$ ),  $0 \leq i \leq L-1$ ,<sup>2</sup> with  $f_*\nu_i = \nu_{i+1}$ ,  $\nu_L = \nu_0$ , and  $\mu = \frac{1}{L} \sum_{i=0}^{L-1} \nu_i$ . Then, by assumption we have

$$\frac{1}{L} \sum_{i=0}^{L-1} \mathcal{L}_E(f^L, \nu_i) = \mathcal{L}_E(f^L, \mu) > \alpha_1.$$

Thus, there exists  $0 \leq j \leq L-1$  such that  $\mathcal{L}_E(f^L, \nu_j) > \alpha_1$ . Observe that the union of the basins  $B(\nu_i, f^L)$ ,  $0 \leq i \leq L-1$  has full  $\mu$  measure and note that  $f(B(\nu_i, f^L)) = B(\nu_{i+1}, f^L)$  for any  $i$  by identifying  $\nu_i = \nu_{i+mL}$ . Therefore, for

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<sup>2</sup>These measures may be counted more than once.

$\mu$ -a.e.  $x$  there exists  $0 \leq k_0 \leq L - 1$  such that  $f^{k_0}(x) \in B(\nu_j, f^L)$ , by Birkhoff's ergodic theorem, one has

$$(3.2) \quad \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{\ell=0}^{n-1} \log m(Df^L | E(f^{L\ell+k_0}(x))) = \mathcal{L}_E(f^L, \nu_j) > \alpha_1.$$

For every  $\ell \in \mathbb{N}$ , the chain rule yields that

$$m(Df^{kL} | E(f^{Lk\ell+k_0}(x))) \geq \prod_{i=0}^{k-1} m(Df^L | E(f^{L(k\ell+i)+k_0}(x))).$$

Combining (3.2) with the above observation, we have

$$(3.3) \quad \liminf_{n \rightarrow \infty} \frac{1}{n} \sum_{\ell=0}^{n-1} \log m(Df^{kL} | E(f^{kL\ell+k_0}(x))) > k\alpha_1.$$

By the choices of  $C$ ,  $N$  and using chain rule again, for every  $\ell \in \mathbb{N}$ , we have

$$\begin{aligned} \log m(Df^N | E(f^{\ell N}(x))) &\geq \log m(Df^{-k_0} | E(f^{(\ell+1)N+k_0}(x))) \\ &+ \log m(Df^N | E(f^{\ell N+k_0}(x))) \\ &+ \log m(Df^{k_0} | E(f^{\ell N}(x))) \\ &\geq \log m(Df^N | E(f^{\ell N+k_0}(x))) - 2LC. \end{aligned}$$

Consequently, by the choice of  $k$  and (3.3), we get

$$\liminf_{n \rightarrow \infty} \frac{1}{nN} \sum_{\ell=0}^{n-1} \log m(Df^N | E(f^{N\ell}(x))) > \frac{k\alpha_1 - 2CL}{kL} \geq \alpha_2.$$

Since  $\mu$  is an  $f^N$ -invariant measure, by Birkhoff's ergodic theorem the above “ $\liminf$ ” can be changed into “ $\lim$ ”, which completes the proof.  $\square$

Based on Lemma 3.4 and Lemma 3.5, we can give the proof of Proposition 3.3.

*Proof of Proposition 3.3.* Since  $(f, E)$  satisfies the  $\mathcal{G}^+$  property, by Lemma 3.4, there are  $L \in \mathbb{N}$  and  $\alpha_1 > 0$  such that  $\mathcal{L}_E(f^L, \mu) > \alpha_1$  for every  $\mu \in G^u(f)$ .

For  $L$ ,  $\alpha_1$  and some  $\alpha_2 \in (0, \alpha_1/L)$ , by Lemma 3.5, we can find  $N \in \mathbb{N}$  such that for any  $f$ -ergodic  $\mu \in G^u(f)$ , and for  $\mu$ -a.e.  $x$ , we have

$$\lim_{n \rightarrow \infty} \frac{1}{nN} \sum_{i=0}^{n-1} \log m(Df^N | E(f^{iN}(x))) > \alpha_2 > 0.$$

For any  $f^N$ -ergodic measure  $\tilde{\mu} \in G^u(f^N)$ , let  $\mu = \frac{1}{N} \sum_{i=0}^{N-1} f_*^i \tilde{\mu}$ . By Lemma 2.3, we know  $\mu$  is an  $f$ -ergodic measure contained in  $G^u(f)$ . Observe that from the construction of  $\mu$ , we have that a full  $\tilde{\mu}$  measure set is contained in a full  $\mu$  measure set. By the Birkhoff's ergodic theorem for  $f^N$  and  $\tilde{\mu}$ , we conclude that

$$\mathcal{L}_E(f^N, \tilde{\mu}) = \int \log m(Df^N | E) d\tilde{\mu} = \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df^N | E(f^{iN}(x))) > N\alpha_2 > 0$$

for  $\tilde{\mu}$ -a.e.  $x$ . Then using item (2) of Proposition 2.2, we know  $\mathcal{L}_E(f^N, \mu) > N\alpha_2$  for every  $\mu \in G^u(f^N)$ . Now we complete the proof by taking  $\alpha = N\alpha_2$ .  $\square$

#### 4. UNIFORM PROPERTIES UNDER NON-UNIFORM EXPANSION

Assume that  $f$  is a  $C^{1+}$  diffeomorphism with dominated splitting  $TM = F \oplus_{\prec} E^{cs}$ .

Let  $\delta_0$  be sufficiently small so that the inverse of the exponential map is well defined on the  $\delta_0$ -neighborhood of every point.

Consider the following Grassmannian manifold:

$$G^{\dim F}(M) = \{V : V \text{ is a sub-space of } T_x M \text{ with dimension } \dim F, x \in M\}.$$

The Riemannian metric on  $M$  induces a distance “dist” on  $G^{\dim F}(M)$ .

We introduce some notation.

**Cone field.** For  $a > 0$ , define the cone field  $\mathcal{C}_a^F(x)$ ,  $x \in M$  by

$$\mathcal{C}_a^F(x) = \{\nu = \nu_1 + \nu_2 \in T_x M : \nu_1 \in E^{cs}(x), \nu_2 \in F(x) \text{ and } \|\nu_1\| \leq a\|\nu_2\|\}.$$

A  $C^1$  sub-manifold  $D$  of  $M$  is *tangent to*  $\mathcal{C}_a^F$  if it has dimension  $\dim F$  and  $T_x D \subset \mathcal{C}_a^F(x)$  for any  $x \in D$ .

**NUE set.** For  $\sigma > 0$ ,

$$\mathcal{A}_\sigma = \left\{ x : \liminf_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df|F(f^i(x))) > \sigma > 0 \right\}.$$

**Iterated measures.** For a disk  $D$ , denote by  $\mu_{n,D} = (1/n) \sum_{i=0}^{n-1} f_*^i \text{Leb}_D$  for each  $n \in \mathbb{N}$ .

**Balls inside sub-manifolds.** For a sub-manifold  $D$  and small  $r > 0$ , denote by  $B_D(x, r) := \{y \in D : d_D(x, y) \leq r\}$  the closed ball of radius  $r$  around  $x$  inside  $D$ .

**Hölder curvature.** Given  $\xi \in (0, 1)$  and a  $C^1$  disk  $D$  tangent to  $\mathcal{C}_a^F$ , we define the Hölder curvature of  $D$  as  $H_\xi(D) = \inf\{C > 0 : TD \text{ is } (C, \xi)\text{-Hölder}\}$ . Here we say  $TD$  is  $(C, \xi)$ -Hölder if

$$\text{dist}(T_x D, T_y D) \leq C d_D(x, y)^\xi, \text{ for all } y \in B(x, \delta_0) \cap D \text{ and } x \in D.$$

**Theorem 4.1.** *For any  $\sigma > 0$ ,  $\xi \in (0, 1)$  and  $\eta > 0$ , there exist constants  $\lambda \in (0, 1)$ ,  $a > 0$ ,  $r > 0$  and  $\beta > 0$ , such that for any disk  $D$  tangent to  $\mathcal{C}_a^F$  satisfying  $H_\xi(f^n(D)) \leq \eta$  for all sufficiently large  $n$ , if  $\text{Leb}_D(D \cap \mathcal{A}_\sigma) \geq 1/2$ , then for any accumulation point  $\mu$  of  $\{\mu_{n,D}\}$ , there exist  $z \in M$ , a neighborhood  $U(\mu) := U_z$  of  $z$  and a  $C^1$  local chart  $\Psi_z : U_z \rightarrow \mathbb{R}^{\dim M}$ ,  $\Psi_z(z) = 0$  satisfying*

$$(4.1) \quad D\Psi_z(z)(F(z)) = \{0\} \times \mathbb{R}^{\dim F}, \quad D\Psi_z(z)(E^{cs}(z)) = \mathbb{R}^{\dim E^{cs}} \times \{0\}$$

and there exists a family  $\mathcal{L}_\infty(\mu)$  of disjoint disks tangent to  $\mathcal{C}_a^F$  contained in  $U(\mu)$ , such that

- (1)  $d_{f^{-i}\gamma}(f^{-i}(x), f^{-i}(y)) \leq \lambda^i d_\gamma(x, y)$  for every  $x, y \in \gamma$  and each  $\gamma \in \mathcal{L}_\infty(\mu)$ ;
- (2)  $\mu(\mathcal{L}_\infty(\mu)) \geq \beta$ , where  $\mathcal{L}_\infty(\mu)$  is the union of disks of  $\mathcal{L}_\infty(\mu)$ ;
- (3)  $U(\mu)$  contains a ball of radius  $r/4$  around  $z$ , and the diameter of  $\gamma$  is contained in  $(r/2, 2r)$  for every  $\gamma \in \mathcal{L}_\infty(\mu)$ .

For proving Theorem 4.1, we need to choose (auxiliary) constants by several subsections.

**4.1. Choose  $\lambda$  and the auxiliary constant  $\sigma_0$ .** Given  $\sigma > 0$ , let  $\sigma_0 = \exp(\sigma/4)$  and  $\lambda = \sigma_0^{-\frac{1}{2}}$ .

**4.2. Choose  $a$  and auxiliary constants  $r_0, C_1, \rho, \kappa$ .** By continuity, we may choose  $a = a(f, \sigma) > 0$  and  $0 < r_0 = r_0(f, \sigma) \leq \delta_0$  sufficiently small so that

$$m(Df|\tilde{F}(y)) \geq \sigma_0^{-1/2} m(Df|F(x)), \quad \forall d(x, y) \leq r_0, \forall \text{ sub-space } \tilde{F}(y) \subset \mathcal{C}_a^F(y).$$

Recall that we say  $n$  is a  $\sigma_0$ -hyperbolic time for  $x$  if  $\prod_{k=n-j}^{n-1} m(Df|F(f^k(x))) \geq \sigma_0^j$  for every  $1 \leq j \leq n$ . By Pliss's lemma (see [1, Lemma 3.1]), there is a constant  $\rho$  related to  $f, \sigma$  and  $\sigma_0$  such that if  $n$  is large enough, and  $\prod_{k=0}^{n-1} m(Df|F(f^k(x))) \geq e^{\sigma n}$ , then there are at least  $\rho n$   $\sigma_0$ -hyperbolic times in the time interval  $[0, n]$ .

**Property.** There exists a constant  $C_1 > 0$  such that for any  $D$  tangent to  $\mathcal{C}_a^F$  with  $H_\xi(f^n(D)) \leq \eta$  for all sufficiently large  $n$ , if  $x \in D \cap \mathcal{A}_\sigma$  and  $n$  is a sufficiently large  $\sigma_0$ -hyperbolic time for  $x$ , then

$$(4.2) \quad d_{f^i(D)}(f^i(y), f^i(z)) \leq \sigma_0^{-(n-i)/2} d_{f^n(D)}(f^n(y), f^n(z)),$$

$$(4.3) \quad \frac{1}{C_1} \leq \frac{|\text{Det}Df^n|T_y D|}{|\text{Det}Df^n|T_z D|} \leq C_1,$$

whenever  $f^n(y), f^n(z)$  are contained in  $B_{f^n(D)}(f^n(x), r_0)$  (see [1, Lemma 2.7, Proposition 2.8]).

By reducing  $r_0$  if necessary, we restate the following covering lemma from [1, Lemma 3.4].

**Lemma 4.2.** *There exists  $\kappa > 0$  such that for any  $\delta \in (0, r_0]$ , for any  $C^1$ -embedded sub-manifold  $\Delta$  tangent to  $\mathcal{C}_a^F$ , for any finite Borel measure  $\mathcal{P}$  on  $\Delta$ , if  $O \subset \Delta$  is measurable, Closure( $O$ ) is compact and far away from the  $\delta$ -neighborhood of  $\partial\Delta$ , then there exists a finite subset  $Q \subset O$  such that the balls  $\{B_\Delta(x, \delta/4), x \in Q\}$  are pairwise disjoint, and*

$$\mathcal{P}(O \cap B) \geq \kappa \mathcal{P}(B), \quad \text{where } B = \bigcup_{x \in Q} B_\Delta(x, \delta/4).$$

**4.3. Choose  $r, \beta$  and auxiliary constants  $r_1, K, \beta_0$ .** By the uniformity of Hölder curvature, we may choose constant  $r_1 < r_0/4$  such that for any disk  $\Delta$  satisfying  $H_\xi(\Delta) \leq \eta$  and  $B_\Delta(x, r_0/4) \subset \Delta$  for some  $x \in \Delta$ , then

$$(4.4) \quad \frac{\text{Leb}_\Delta(B_\Delta(x, r_0/4) \setminus B_\Delta(x, r_0/4 - r_1))}{\text{Leb}_\Delta(B_\Delta(x, r_0/4))} \leq \frac{\kappa \rho}{24C_1}.$$

*Claim 4.3.* There exists  $r < r_1/2$  with following properties: If  $B$  is a disk tangent to  $F$  with radius  $r/2$  around  $x$ , let  $U_x = \bigcup_{y \in B} \{\exp_y(v) : v \perp T_y B, \|v\| < r/2\}$ , then

- $U_x$  contains a ball of radius  $r/4$  with center  $x$ ;
- for every disk  $\gamma$  tangent to  $\mathcal{C}_a^F$ , if it crosses  $U_x$ , then  $\gamma \cap U_x$  has diameter between  $r/2$  and  $2r$ .

This is because  $F$  is Hölder continuous by domination (see e.g. [2, Proposition 6.2]), and disks  $\gamma$  tangent to the cone field with a fixed width  $a$ . The claim gives the constant  $r$  we needed.

We say that  $U_x$  as constructed above is an  $r/2$ -cylinder around  $x$ , which can be equipped with a local chart  $\Psi_x$  satisfying Property (4.1).

As  $M$  is compact, we can take  $K$  as the minimum number of  $r/4$ -balls needed to cover  $M$ . Now we fix

$$(4.5) \quad \beta_0 = \frac{\kappa\rho}{12}, \quad \beta = \frac{\beta_0}{2K} = \frac{\kappa\rho}{24K}.$$

**4.4. Sketch of the proof of Theorem 4.1.** The main ideas of the proof go back to the previous work of [1], here we make some modifications to obtain the uniformity. Thus, a sketched proof is sufficient.

Let  $D$  be a disk as in the assumption of the theorem. Since  $\text{Leb}_D(D \cap \mathcal{A}_\sigma) \geq 1/2$ , we can take a sub-disk  $D_0$  in the interior of  $\text{Closure}(D)$  such that  $\text{Leb}_D(D_0 \cap \mathcal{A}_\sigma) \geq 1/3$ . Define

$$\mathcal{A}_n = \left\{ x \in D_0 \cap \mathcal{A}_\sigma : \sum_{\ell=1}^{m-1} \log m(Df|F(f^\ell x)) \geq \frac{\sigma}{2}m, \forall m > n \right\}.$$

Thus,  $D_0 \cap \mathcal{A}_\sigma = \bigcup_{n \geq 0} \mathcal{A}_n$ , together with property (4.2) and assumption of Hölder curvature control ensures that there exists  $m_0 \in \mathbb{N}$  so that:

- $\text{Leb}_D(\mathcal{A}_n) \geq \text{Leb}_D(D_0 \cap \mathcal{A}_\sigma)/2$  for every  $n \geq m_0$ .
- For every  $x \in \mathcal{H}_n$  and  $n \geq m_0$ , we have  $d(f^n(x), \partial(f^n(D))) > r_0$ , where  $\mathcal{H}_n = \{x \in D_0 \cap \mathcal{A}_\sigma : n \text{ is a } \sigma_0\text{-hyperbolic time for } x\}$ .
- $H_\xi(f^n(D)) \leq \eta$  for every  $n \geq m_0$ .

Therefore,  $B_{f^n(D)}(f^n(x), r_0/4)$  is a well-defined ball inside  $f^n(D)$  for any  $x \in \mathcal{H}_n$  whenever  $n \geq m_0$ . By Lemma 4.2, for each  $n \geq m_0$ , by taking  $\Delta = f^n(D)$ ,  $\mathcal{P} = f_*^n \text{Leb}_D$ ,  $\delta = r_0$  and  $O = f^n(\mathcal{H}_n)$ , there exists a finite number of pairwise disjoint balls  $\{B_{f^n(D)}(x_{n,i}, r_0/4) : x_{n,i} \in f^n(\mathcal{H}_n), 1 \leq i \leq k(n)\}$  contained in  $f^n(D)$ , satisfying

$$(4.6) \quad f_*^n \text{Leb}_D \left( \bigcup_{1 \leq i \leq k(n)} B_{f^n(D)}(x_{n,i}, r_0/4) \cap f^n(\mathcal{H}_n) \right) \geq \kappa \text{Leb}_D(\mathcal{H}_n).$$

Given  $\eta > 0$  small enough, for each  $\ell \geq m_0$  and  $n > m_0$  we define

$$B_{\ell,\eta} = \bigcup_{i=1}^{k(\ell)} B_{f^\ell(D)}(x_{\ell,i}, r_0/4 - \eta), \quad \nu_{n,\eta} = \frac{1}{n} \sum_{\ell=m_0}^{n-1} f_*^\ell \text{Leb}_D | B_{\ell,\eta}, \quad B_{\ell,0} = B_\ell, \quad \nu_{n,0} = \nu_n.$$

*Claim 4.4.* There exists some integer  $n_0 \geq m_0$ , such that  $\nu_n(M) \geq \beta_0$  for every  $n \geq n_0$ .

Now we prove the claim. By definition of  $\nu_n$  and inequality (4.6), we obtain

$$(4.7) \quad \nu_n \left( \bigcup_{\ell=m_0}^{n-1} f^\ell(\mathcal{A}_\sigma \cap D) \right) \geq \nu_n \left( \bigcup_{\ell=m_0}^{n-1} (f^\ell(\mathcal{H}_\ell) \cap B_\ell) \right) \geq \frac{1}{n} \sum_{\ell=m_0}^{n-1} \kappa \text{Leb}_D(\mathcal{H}_\ell).$$

Consider the product space  $\{m_0, \dots, n-1\} \times D$  admitting measure  $\theta_n \times \text{Leb}_D$ , where  $\theta_n$  is defined by  $\theta_n(A) = \text{card}(A)/n$  for every  $A \subset \{m_0, \dots, n-1\}$ . By the

choice of  $m_0$  and using Fubini's theorem, one gets

$$\begin{aligned} \frac{1}{n} \sum_{\ell=m_0}^{n-1} \text{Leb}_D(\mathcal{H}_\ell) &= \int \left( \int \chi_{\mathcal{H}_\ell}(x) d\text{Leb}_D(x) \right) d\theta_n(\ell) \\ &= \int \left( \int \chi_{\mathcal{H}_\ell}(x) d\theta_n(\ell) \right) d\text{Leb}_D(x) \\ &\geq \text{Leb}_D(\mathcal{A}_{m_0}) \cdot \frac{\rho n - m_0}{n} \\ &\geq \frac{1}{6} \rho \left(1 - \frac{m_0}{n\rho}\right) \geq \rho/12, \text{ for } n \text{ large.} \end{aligned}$$

Therefore, we get the claim by (4.5)— the choice of  $\beta_0$ .

*Claim 4.5.*  $\nu_{n,r_1}(M) \geq \beta_0/2$  for every  $n \geq n_0$ .

Indeed, given  $\ell \in \mathbb{N}$ ,  $1 \leq i \leq k(\ell)$ , take  $A = B_{f^\ell D}(x_{\ell,i}, r_0/4) \setminus B_{f^\ell D}(x_{\ell,i}, r_0/4 - r_1)$ ,  $B = B_{f^\ell D}(x_{\ell,i}, r_0/4)$ . By property (4.3) and the mean value theorem, we have

$$\begin{aligned} \frac{\text{Leb}_{f^\ell D}(A)}{\text{Leb}_{f^\ell D}(B)} &= \frac{\int_{f^{-\ell} A} |\text{Det} Df^\ell| T_x(f^{-\ell} B) |d\text{Leb}_D(x)|}{\int_{f^{-\ell} B} |\text{Det} Df^\ell| T_x(f^{-\ell} B) |d\text{Leb}_D(x)|} \\ &= \frac{|\text{Det} Df^\ell| T_y(f^{-\ell} B) |d\text{Leb}_D(f^{-\ell} A)|}{|\text{Det} Df^\ell| T_z(f^{-\ell} B) |d\text{Leb}_D(f^{-\ell} B)|} \\ &\geq \frac{1}{C_1} \frac{\text{Leb}_D(f^{-\ell} A)}{\text{Leb}_D(f^{-\ell} B)}, \end{aligned}$$

where  $y, z$  are some points inside  $f^{-\ell}(B)$ . It follows from (4.4) that

$$f_*^\ell \text{Leb}_D(A) \leq \frac{f_*^\ell \text{Leb}_D(A)}{f_*^\ell \text{Leb}_D(B)} = \frac{\text{Leb}_D(f^{-\ell} A)}{\text{Leb}_D(f^{-\ell} B)} \leq C_1 \frac{\text{Leb}_{f^\ell D}(A)}{\text{Leb}_{f^\ell D}(B)} \leq \frac{\kappa\rho}{24},$$

and hence,  $f_*^\ell \text{Leb}_D(B_\ell \setminus B_{\ell,r_1}) \leq \kappa\rho/24$ . We then get the result by definitions of  $\nu_n$  and  $\nu_{n,r_1}$ . Define  $B_\infty = \bigcap_{n \geq m_0} \overline{\bigcup_{\ell \geq n} B_\ell}$ . Take subsequences if necessary, we may suppose  $\mu_n \rightarrow \mu$ ,  $\nu_n \rightarrow \nu$ . By definition,  $\text{supp}(\nu) \subset B_\infty$ . Therefore, by using the Ascoli-Arzela theorem, for every  $y \in \text{supp}(\nu)$ , there exist points  $x_j \in B_{\ell_j}$  converge to a point  $x$  for subsequence  $\ell_j \rightarrow \infty$ , and  $B_{f^{\ell_j} D}(x_j, r_0/4)$  converges to some disk  $B(x)$  containing  $y$  with center  $x$  of radius  $r_0/4$  in  $C^1$  topology. Denote by  $\mathcal{B}_\infty$  the family of disks  $B(x)$ .

Let us assume  $\nu_{n,r_1} \rightarrow \nu_{r_1}$  (up to considering subsequences), whose support is contained in the union of the family of disks  $\mathcal{B}_{\infty,r_1}$  obtained by removing  $r_1$ -neighborhood of the boundary from each disk of  $\mathcal{B}_\infty$ . From the first statement of Claim 4.3 and the choice of  $K$ , there exists an  $r/2$ -cylinder  $U_z$  around some point  $z \in B(x) \in \mathcal{B}_\infty$  for some  $x$  such that  $\nu_{r_1}(U_z) \geq \kappa\rho/24K$ .

Let  $L_\infty := L_\infty(\mu)$  be the union of the intersections of  $U_z$  with all the disks from  $\mathcal{B}_\infty$  that cross  $U_z$ , the family of these restricted disks is denoted by  $\mathcal{L}_\infty$ . Observe that by the second statement of Claim 4.3, for every  $\gamma \in \mathcal{B}_{\infty,r_1}$  intersecting  $U_z$ , then its extension  $\gamma' \in \mathcal{B}_\infty$  must cross  $U_z$ . Combining this observation with Claim 4.5 we obtain

$$\mu(L_\infty) \geq \nu_{r_1}(L_\infty) \geq \frac{\kappa\rho}{24K}.$$

Recalling (4.5), the proof of item (2) is complete.

Item (3) is a direct consequence of the construction of  $U_z$ .

Item (1) follows from the construction and property (4.2); see [1, Lemma 3.7] for details.

Now a sketch of the proof of Theorem 4.1 is finished.

## 5. THE EXISTENCE AND FINITENESS OF PHYSICAL MEASURES

### 5.1. The finiteness of ergodic Gibbs *cu*-states.

**Theorem 5.1.** *Let  $f$  be a  $C^{1+}$  partially hyperbolic diffeomorphism with splitting  $TM = E^u \oplus_{\succ} E^{cu} \oplus_{\succ} E^{cs}$ . If  $(f, E^{cs})$  has the  $\mathcal{G}^-$  property and  $\mathcal{L}_{E^{cu}}(f, \mu) > 0$  for every  $\mu \in G^u(f)$ , then there exist at most finitely many ergodic Gibbs *cu*-states for  $f$ .*

We set  $F = E^u \oplus E^{cu}$ . As we have shown, one can choose  $\xi, \eta > 0$  so that  $F$  is  $(\xi, \eta)$ -Hölder continuous (i.e.  $\text{dist}(F(x), F(y)) \leq \eta d(x, y)^\xi$  for every  $x, y$ ). To prove this theorem, we need the following observation.

**Lemma 5.2.** *Under the assumption of Theorem 5.1,  $G^{cu}(f)$  is non-empty. Moreover, there exist  $\sigma > 0$  and constants  $r, \beta, \lambda$  depend only on  $f, \sigma$  as fixed in Theorem 4.1. For every ergodic  $\mu \in G^{cu}(f)$ , there exists a Pesin unstable disk  $D$  tangent to  $F$  such that  $\mu = \lim_{n \rightarrow \infty} \mu_{n,D}$  and for Leb-a.e.  $x \in D$ ,*

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df|F(f^i(x))) > \sigma;$$

consequently, there are  $U(\mu)$ ,  $\mathcal{L}_\infty(\mu)$  as described in Theorem 4.1.

*Proof.* By the compactness of  $G^u(f)$  (item (1) of Proposition 2.1), there is  $\sigma_{cu} > 0$  so that  $\mathcal{L}_{E^{cu}}(f, \mu) > \sigma_{cu}$  for every  $\mu \in G^u(f)$ . This implies that there is  $\sigma > 0$  such that  $\mathcal{L}_F(f, \mu) > \sigma$  for every  $\mu \in G^u(f)$ . By Proposition 3.2, we know  $\mathcal{A}_\sigma$  has full Lebesgue measure of  $M$ . Therefore the existence of Gibbs *cu*-states is guaranteed by [1, Theorem 6.3].

Given an ergodic Gibbs *cu*-state  $\mu$ , one can choose a Pesin unstable disk  $D$  tangent to  $F$  such that Leb-a.e. point of  $D$  is contained in  $B(\mu, f)$ . As a result, for any continuous function  $\varphi$ , by dominated convergence theorem, we have

$$\lim_{n \rightarrow \infty} \int \varphi d\mu_{n,D} = \int_D \lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \varphi(f^i(x)) d\text{Leb}_D = \int \varphi d\mu.$$

Thus,  $\mu_{n,D} \xrightarrow{\text{weak*}} \mu$  as  $n \rightarrow \infty$ . Moreover, we obtain that for Leb-a.e.  $x \in D$ ,

$$\lim_{n \rightarrow \infty} \frac{1}{n} \sum_{i=0}^{n-1} \log m(Df|F(f^i(x))) = \mathcal{L}_F(f, \mu) > \sigma.$$

Certainly,  $\text{Leb}_D(D \cap \mathcal{A}_\sigma) \geq 1/2$  and  $H_\xi(f^n(D)) \leq \eta$  for every  $n \in \mathbb{N}$ . We can fix constants  $r, \beta, \lambda$  by Theorem 4.1 and, moreover, every accumulation of  $\mu_{n,D}$  admits a cylinder  $U$  and the family of unstable disks  $\mathcal{L}_\infty$  with properties item (1)–item (3) there, so does  $\mu$ .  $\square$

**Proposition 5.3.** *There exist  $C_2 > 0$  such that for any ergodic  $\mu \in G^{cu}(f)$ , we have*

$$\frac{1}{C_2} \leq \frac{d\mu_\gamma}{d\text{Leb}_\gamma} \leq C_2, \text{ for almost every } \gamma \in \mathcal{L}_\infty(\mu).$$

*Proof.* Let  $\{\rho_\gamma, \gamma \in \mathcal{L}_\infty(\mu)\}$  be the family of density functions of the conditional measures of  $\mu$  along  $\mathcal{L}_\infty(\mu)$ . By [11, Proposition 2.1], for every  $x, y \in \gamma$  and almost every  $\gamma \in \mathcal{L}_\infty(\mu)$ , we have

$$\frac{\rho_\gamma(y)}{\rho_\gamma(x)} = \prod_{i=1}^{\infty} \frac{|\text{Det}Df|F(f^{-i}x)|}{|\text{Det}Df|F(f^{-i}y)|}.$$

Since  $f \in C^{1+}$  and  $F$  is Hölder continuous, there are  $C_u > 0$  and  $\eta_u > 0$  such that  $|\text{Det}Df|F(x)|$  is  $(C_u, \eta_u)$ -Hölder continuous w.r.t.  $x$ . Therefore, for every  $m \geq 1$ , one gets

$$\begin{aligned} \log \prod_{i=1}^m \frac{|\text{Det}Df|F(f^{-i}x)|}{|\text{Det}Df|F(f^{-i}y)|} &\leq \sum_{i=1}^m |\log |\text{Det}Df|F(f^{-i}x)| - \log |\text{Det}Df|F(f^{-i}y)|| \\ &\leq \sum_{i=1}^m C_u d_{f^{-i}\gamma}(f^{-i}x, f^{-i}y)^{\eta_u} \\ &\leq \sum_{i=1}^m C_u \lambda^{i\eta_u} d_\gamma(x, y)^{\eta_u} \quad (\text{item (3) of Theorem 4.1}) \\ &\leq \sum_{i=1}^m C_u \lambda^{i\eta_u} r_0^{\eta_u} \leq \frac{C_u \lambda^{\eta_u} r_0^{\eta_u}}{1 - \lambda^{\eta_u}}. \end{aligned}$$

This implies that the densities are Hölder continuous functions bounded away from zero and infinity by some constant  $C_2$  depending only on  $f$  and  $\sigma$ .  $\square$

The following result is a slightly generalized version of [1, Lemma 6.1].

**Proposition 5.4.** *Let  $A$  be a set on which there is a measurable partition  $\mathcal{P}^u$  formed by disjoint local unstable manifolds. Given any invariant measure  $\mu$ , if there is a measure  $\nu$  which is not necessarily invariant, such that*

- $\nu \leq \mu^3$  and  $\nu(A) > 0$ ,
- the conditional measures of  $\nu$  along these local unstable manifolds are absolutely continuous w.r.t. Lebesgue measures along these manifolds,

then there exist some ergodic components of  $\mu$  to be Gibbs cu-states admitting positive measure on  $A$ .

*Proof of Theorem 5.1.* The existence of ergodic Gibbs cu-states has been obtained by Lemma 5.2; it remains to prove the finiteness. By contradiction, we suppose that  $\mu_n$  is a sequence of different ergodic Gibbs cu-states that converge to an  $f$ -invariant measure  $\mu$ . We then construct open neighborhoods  $U_n$  and families  $\mathcal{L}_\infty^n$  associated to  $\mu_n$ , respectively, as in Theorem 4.1. Furthermore, we can assume that for every  $n$ , for any disk  $\gamma \in \mathcal{L}_\infty^n$ , Leb $_\gamma$ -a.e. point is contained in  $B(\mu_n, f)$  by the ergodicity and Proposition 5.3. Up to considering subsequences, we may prove  $\overline{U}_n$  converges to a (compact) cylinder  $\mathcal{C}$  with the following properties (see [11, Lemma 3.1–3.3] for details):

- let  $\mathcal{L}_\infty^\infty$  be the family of disks contained in  $\mathcal{C}$  which are accumulations of disks from  $\mathcal{L}_\infty^n$ , if we denote by  $L_\infty^\infty$  the union of this family, then  $\mu(L_\infty^\infty) \geq \beta$ ;
- the conditional measures of  $\mu$  along disks of  $\mathcal{L}_\infty^\infty$  are absolutely continuous w.r.t. Lebesgue measures along these disks.

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<sup>3</sup>This means that  $\nu(B) \leq \mu(B)$  for every measurable set  $B$ .

It follows from Proposition 5.4 that one can find an ergodic component  $\mu_{ac}$  of  $\mu$  to be a Gibbs *cu*-state with  $\mu_{ac}(L_\infty^\infty) > 0$ . Also,  $\mu_{ac}$  has negative Lyapunov exponents along  $E^{cs}$  by assumption. Therefore, we can pick a disk  $\gamma \in \mathcal{L}_\infty^\infty$  such that  $\text{Leb}_\gamma$ -a.e. point is in  $B(\mu_{ac}, f)$  and admits a local Pesin stable manifold. Thus, one can find a subset of  $\gamma$  with positive Lebesgue measure such that their local Pesin stable manifolds exhibit uniform size. Since  $\gamma$  is an accumulation of disks from  $\mathcal{L}_\infty^n$ , these local Pesin stable manifolds intersect  $\gamma_n$  with a positive Lebesgue measure subset for sufficiently large  $n$ , using the absolute continuity of Pesin stable foliation. Observe that a stable manifold can only lie in the same basin, we have  $\mu_n = \mu_{ac}$  for sufficiently large  $n$ , a contradiction. So the proof is finished.  $\square$

**5.2. Basin covering property and the finiteness of physical measures.** In this subsection, we will give the proof of Theorem B, and then complete the proof of Theorem A by using Theorem B and previous results.

Under the assumption of Theorem B, as we have seen in Lemma 5.2,  $\mathcal{A}_\sigma$  has full Lebesgue measure. Let  $\mathcal{N}$  be a subset of  $\mathcal{A}_\sigma$  with positive Lebesgue measure, such that we have following result:

**Proposition 5.5.** *There exists a disk  $D$  intersecting  $\mathcal{N}$  with a positive Lebesgue measure, and some ergodic Gibbs *cu*-state  $\mu_*$  such that  $\text{Leb}_D(\mathcal{N} \cap B(\mu_*, f)) > 0$ .*

*Proof.* By taking an open neighborhood of a density point of  $\mathcal{N}$  and foliate it by disks tangent to the  $F$  direction cone field, we may find a small  $C^2$  disk  $D$  so that  $\text{Leb}_D(\mathcal{N} \cap D) \geq 1/2$ . We then choose some  $\xi, \eta > 0$  such that  $H_\xi(f^n D) \leq \eta$  for all  $n$  large enough, guaranteed by [1, Corollary 2.4]. Replacing  $\mathcal{A}_\sigma$  by  $\mathcal{N}$ , as constructed in Subsection 4.4, define the component  $\nu_n$  of  $\mu_{n,D}$  supported on the union of small disks with uniform size. In the same manner as (4.7),  $\nu_n(\bigcup_{\ell=m_0}^{n-1} f^\ell(\mathcal{N} \cap D)) \geq \beta_0$ . Consequently, there exist  $\delta > 0$  and a subset  $\mathcal{K}$  of these uniform disks in the support of  $\nu_n$  such that

- the union of disks in  $\mathcal{K}$  has  $\nu_n$  mass larger than  $\beta_0/2$ ;
- for any disk  $B \subset f^n(D)$  coming from  $\mathcal{K}$  for some  $n$ ,  $\text{Leb}_B(B \cap f^n(\mathcal{N})) > \delta$ .

Consider the accumulation  $K_\infty$  of disks in  $\mathcal{K}$  formed by disjoint unstable disks (whose collection is denoted by  $\mathcal{K}_\infty$ ) accumulated by disks from  $\mathcal{K}$ , it has  $\nu$  measure larger than  $\beta_0/2$ . Here we assume  $\mu, \nu$  are the limit measures of  $\mu_{n,D}$  and  $\nu_n$  for some subsequence, respectively. Now, we take a point in the support of  $\nu|K_\infty$ , and then choose two cylinders  $U_1, U_2$  around this point as in Theorem 4.1 such that

- $U_1$  is strictly contained in  $U_2$  with the same height (the  $E^{cs}$ -direction, see Figure 1);
- if we let  $\mathcal{J}$  be the family of disks in  $\mathcal{K}_\infty$  crossing  $U_2$ , whose union is denoted by  $J$ , then  $\nu(U_1 \cap J) > 0$ .

Moreover, the conditional measures of  $\nu|K_\infty$  restricted on  $U_2$  along disks of  $\mathcal{J}$  are absolutely continuous w.r.t. Lebesgue measures along these disks; see [1, Lemma 4.4] for details. Using Proposition 5.4, there is an ergodic Gibbs *cu*-state  $\mu_*$  as a component of  $\mu$  possessing positive measure on  $U_1 \cap J$ . Up to considering a subfamily of  $\mathcal{J}$ , we may assume that for every  $\gamma \in \mathcal{J}$ ,  $\text{Leb}_{\gamma \cap U_2}$ -a.e. point is contained in  $B(\mu_*, f) \cap \mathcal{R}(f)$ , where  $\mathcal{R}(f)$ <sup>4</sup> is the Lyapunov regular set of  $f$  and, moreover,  $\text{Leb}_{\gamma \cap U_1}$ -a.e. point returns to  $U_1 \cap J$  infinitely often.

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<sup>4</sup>It is a set for which Lyapunov exponents are well defined, it has full measure for every invariant measure.

We claim that for every  $\gamma \in \mathcal{J}$ ,  $\text{Leb}_\gamma$ -a.e.  $x \in \gamma$  is in  $B(\mu_*, f)$  and admits a Pesin stable manifold through  $x$ . This is because, if there exist  $\gamma \in \mathcal{J}$  and a subset  $S \subset \gamma$  with positive  $\text{Leb}_\gamma$  measure such that  $S \subset M \setminus (B(\mu_*, f) \cap \mathcal{R}(f))$ , then by the backward contraction of  $\gamma$  and the recurrent property, we have that  $f^{-N}\gamma \subset \gamma_0 \cap U_2$  for some  $N$  sufficiently large and  $\gamma_0 \in \mathcal{J}$ . Thus,  $f^{-N}(S) \subset \gamma_0 \cap U_2$  has positive  $\text{Leb}_{\gamma_0 \cap U_2}$  measure, and it is not contained in  $B(\mu_*, f) \cap \mathcal{R}(f)$  by the invariance of  $B(\mu_*, f)$  and  $\mathcal{R}(f)$ . This gives a contradiction.

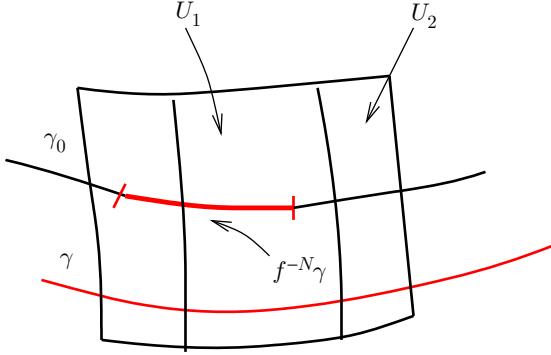


FIGURE 1. Two cylinders

Take any  $\gamma \in \mathcal{J}$ , there exists a sequence of disks  $\gamma_{n_i} \subset f^{n_i}(D)$  of  $\mathcal{K}$  converges to it by assumption. Using the absolute continuity of Pesin stable foliation and the claim above, we have  $\text{Leb}_{\gamma_{n_i}}(f^{n_i}(\mathcal{N} \cap D) \cap B(\mu_*, f)) > 0$ , for  $i$  large enough. Hence,  $\text{Leb}_D(\mathcal{N} \cap B(\mu_*, f)) > 0$ .  $\square$

*Proof of Theorem B.* By Theorem 5.1, let  $\mu_1, \dots, \mu_\ell$  be the finitely many ergodic Gibbs *cu*-states for  $f$ . Since  $(f, E^{cs})$  has the  $\mathcal{G}^-$  property, they are hyperbolic measures, by using the absolute continuity of Pesin stable foliation, we know all these measures are physical measures. Now we show that Leb-a.e. point of  $M$  is in the union of basins of these physical measures. By contradiction, the set  $\mathcal{N} = \mathcal{A}_\sigma \setminus B(\mu_1, f) \cup \dots \cup B(\mu_\ell, f)$  admits positive Lebesgue measure. By Proposition 5.5, one can take a disk  $D$  and ergodic Gibbs *cu*-state  $\mu_*$  such that  $\text{Leb}_D(\mathcal{N} \cap B(\mu_*, f)) > 0$ , a contradiction.  $\square$

Now we can complete the proof of Theorem A.

*Proof of Theorem A.* By Proposition 3.3, there exist  $N \in \mathbb{N}$  and  $\alpha > 0$  such that  $\mathcal{L}_{E^{cu}}(f^N, \mu) > \alpha$  for every  $\mu \in G^u(f^N)$ . Since  $(f, E^{cs})$  satisfies the  $\mathcal{G}^-$  property, by Lemma 2.4 we have that  $(f^N, E^{cs})$  satisfies the  $\mathcal{G}^-$  property. Thus, we can apply Theorem B by considering  $f^N$  instead. Therefore, there are finitely many ergodic physical measures  $\mu_1, \dots, \mu_\ell$  for  $f^N$  whose basins cover a full Lebesgue measure subset of  $M$ . Consider the measures  $\tilde{\mu}_i = \frac{1}{N} \sum_{j=0}^{N-1} f_*^j \mu_i$  for each  $1 \leq i \leq \ell$ , they are ergodic measures of  $f$ . In what follows, we prove that  $\tilde{\mu}_i, 1 \leq i \leq \ell$  are physical measures for  $f$  whose basins cover Leb-a.e. point of  $M$ . Observe that  $B(\mu_i, f^N) \subset B(\tilde{\mu}_i, f)$  for each  $1 \leq i \leq \ell$ , this together with the fact that Leb-a.e. point belongs to some basin  $B(\mu_i, f^N)$  ensures that  $\tilde{\mu}_i, 1 \leq i \leq \ell$  are physical

measures for  $f$  whose basins cover a full Lebesgue measure subset of  $M$ . Moreover, since they are Gibbs  $cu$ -states, and have negative Lyapunov exponents along  $E^{cs}$ , they are SRB measures also.  $\square$

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SCHOOL OF MATHEMATICAL SCIENCES, SOOCHOW UNIVERSITY, SUZHOU, 215006, PEOPLE'S REPUBLIC OF CHINA—AND—SCHOOL OF MATHEMATICS AND STATISTICS, NANJING UNIVERSITY OF INFORMATION SCIENCES AND TECHNOLOGY, NANJING 210044, PEOPLE'S REPUBLIC OF CHINA

*E-mail address:* mizeya@163.com

SCHOOL OF MATHEMATICAL SCIENCES, SOOCHOW UNIVERSITY, SUZHOU, 215006, PEOPLE'S REPUBLIC OF CHINA

*E-mail address:* ylcao@suda.edu.cn

SCHOOL OF MATHEMATICAL SCIENCES, SOOCHOW UNIVERSITY, SUZHOU, 215006, PEOPLE'S REPUBLIC OF CHINA

*E-mail address:* yangdaw1981@gmail.com

*E-mail address:* yangdw@suda.edu.cn