ALGEBRAIC EQUATIONS IN STATE CONDITION

CHEOLGYU LEE

(Communicated by Ken Ono)

ABSTRACT. In this paper, we will prove that a problem deciding whether there is an upper-triangular coordinate in which a character is not in the state of a Hilbert point is NP-hard. This problem is related to the GIT-semistability of a Hilbert point.

1. INTRODUCTION

Let k be an algebraically closed field of characteristic zero and ${}^{r}S = k[x_1, \ldots, x_r]$ be a polynomial ring of r variables graded by degree. We will omit the superscript r if there is no confusion. When non-negative integers d and b are fixed, there is a projective space

$$E_{d,b}^r = \mathbb{P}\bigg(\bigwedge^b {}^r S_d\bigg),$$

which is a $\operatorname{GL}_r(k)$ -representation. Let T_r be the maximal torus of $\operatorname{GL}_r(k)$ which consists of diagonal matrices and U_r be the set of all upper-triangular matrices with 1's in the diagonal. There is a *G*-equivariant closed immersion

$$i_{r,P,d}$$
: Hilb^P(\mathbb{P}^{r-1}_k) $\to E^r_{d,Q(d)}$

for $d \ge g_P$ where g_P is the Gotzmann number associated to a Hilbert polynomial P, which is defined in [2]. Also $Q(d) = \binom{r+d-1}{d} - P(d)$. For any point $v \in E_{d,b}^r$, the collection of states $\Xi_{G,v} = \{\Xi_{g,v}(T) | g \in \operatorname{GL}_r(k)\}$ (de-

For any point $v \in E_{d,b}^r$, the collection of states $\Xi_{G.v} = \{\Xi_{g.v}(T) | g \in \operatorname{GL}_r(k)\}$ (defined in [5]) of v determines whether v is semistable or not, as stated in [7]. If v is unstable, $\Xi_{G.v}$ determines the Hesselink strata of $\mathbb{P}\left(\bigwedge^b S_d\right)^{us}$ that contains v, which is stated in [3]. For an arbitrary character χ of T, $Z_{v,\chi} = \{g \in \operatorname{GL}_r(k) | \chi \notin \Xi_{g.v}\}$ is a Zariski-closed subset of $\operatorname{GL}_r(k)$. In this paper, we will construct a solvability check problem (**SC**) which is equivalent to deciding if an arbitrary system of algebraic equations is solvable (**SysAl**) by *specializing* the defining equation of some $Z_{v,\chi}$ to the defining equation of $U_r \cap Z_{v,\chi}$ in U_r .

Received by the editors September 25, 2016, and, in revised form, May 27, 2017 and June 12, 2017.

²⁰¹⁰ Mathematics Subject Classification. Primary 14L24, 03D15.

This work was supported by IBS-R003-D1. The author was partially supported by the following grants funded by the government of Korea: NRF grant 2011-0030044 (SRC-GAIA) and NRF-2013R1A1A2010649.

It is a great pleasure to thank Donghoon Hyeon, who introduced the author to the original statement and encouraged him. The author also wants to thank Junyoung Park, who pointed out that the original statement is false .

CHEOLGYU LEE

It's a well-known fact that to decide whether an arbitrary system of algebraic equation is solvable is an NP-hard problem ([6]). We will show that this problem can be reduced to the problem asking whether there is a $g \in U_r$ such that $\chi \notin \Xi_{g.w}$, in polynomial time. This means that such a problem is NP-hard. This problem is related to the GIT-semistability of a Hilbert point. By solving finitely many such problems, we can decide whether a Hilbert point is semistable or not.

2. Definitions and notation

First of all, we need to define the notion of generalization of a system of algebraic equation.

Definition. Suppose *I* is an ideal of $S = k[x_1, \ldots, x_r]$. An ideal *J* of a finitely generated *k* algebra *R* is a *generalization* of *I* under π if there is a surjective ring homomorphism $\pi : R \to S$ and a minimal generator $\{z_1, \ldots, z_{r'}\}$ of *R* satisfying the following:

- For any $1 \le i \le r', \pi(z_i) \in k \cup \{x_1, \dots, x_r\}.$
- $\pi(J) = I$.

I is a specialization of J if J is a generalization of I.

For example, $I = \langle x^2 + y^2 \rangle \subset k[x, y]$ is a specialization of $J = \langle z(x^2 + y^2), zw \rangle \subset k[x, y, z, w]$ under the map $\pi : k[x, y, z, w] \to k[x, y]$ which satisfies $\pi(x) = x$, $\pi(y) = y$, $\pi(z) = 1$ and $\pi(w) = 0$.

We define some notation. Let $<_{\text{lex}}$ be a lexicographic monomial order satisfying $x_{i+1} <_{\text{lex}} x_i$ and let $A_{d,b}^r = \bigwedge^{b r} S_d$. Let rM_d be the set of all monomials in rS_d and

$$W_{d,b}^r = \bigg\{ \bigwedge_{i=1}^b m_i \bigg| m_i \in {}^r M_d, \ m_i >_{\text{lex}} m_{i+1} \bigg\}.$$

 $W_{d,b}^r$ is a basis of $A_{d,b}^r$. Suppose $v \in A_{d,b}^r$ and $w \in W_{d,b}^r$. We define v_w to be the *w*-component of the vector *v*. That is,

$$v = \sum_{w \in W_{d,b}^r} v_w w.$$

Let $[v] \in E_{d,b}^r$ be the line in $A_{d,b}^r$ through v and the origin of $A_{d,b}^r$. For any $g \in GL_r(k), g_{ij} \in k$ is the component of g in the *i*'th row and *j*'th column. That is,

$$g = \begin{bmatrix} g_{11} & \cdots & g_{1j} & \cdots \\ \vdots & \ddots & \vdots & \cdots \\ g_{i1} & \cdots & g_{ij} & \cdots \\ \vdots & \vdots & \vdots & \ddots \end{bmatrix}.$$

Also, $\operatorname{GL}_r(k)$ action on rS is given by $g.x_i = \sum_{1 \leq j \leq r} g_{ji}x_j$. Note that this action is a left action on rS . For any $v \in A^r_{d,b}$ and $w \in k[W^r_{d,b}]$, $(g.v)_w$ means $((\operatorname{id}_{\Gamma(\operatorname{GL}_r(k),\mathcal{O}_{\operatorname{GL}_r(k)})} \otimes_k e_v) \circ \phi)(w)$ when g is an indeterminate. Here ϕ is the co-action map

 $\phi: k[W_{d,b}^r] \to \Gamma(\operatorname{GL}_r(k), \mathcal{O}_{\operatorname{GL}_r(k)}) \otimes_k k[W_{d,b}^r] \cong k[\{g_{ij}\}_{i,j=1}^r]_{\det g} \otimes_k k[W_{d,b}^r]$

and e_v is the evaluation map $e_v : k[W_{d,b}^r] \to k$ at v. Let's define $\chi_i \in X(T_r)$ for all $1 \le i \le r$ as follows:

$$\chi_i(D) = D_i$$

where $D \in T_r$. Let $\xi_{d,b}^r = \frac{db}{r}(1, \ldots, 1) \in X(T_r)_{\mathbb{R}} = X(T_r) \otimes_{\mathbb{Z}} \mathbb{R}$. Here $X(T_r)$ is the group of characters of the algebraic torus T_r .

Let $L_r = \{g \in \operatorname{GL}_r(k) | g \text{ is lower-triangular.}\}$. Let's define a specialization map $\theta_r : \Gamma(\operatorname{GL}_r(k), \mathcal{O}_{\operatorname{GL}_r(k)}) \to \Gamma(U_r, \mathcal{O}_{U_r})$ as follows:

$$\theta_r(z_{ij}) = \begin{cases} 1, & \text{if } i = j, \\ 0, & \text{if } i > j, \\ z_{ij}, & \text{if } i < j, \end{cases}$$

where $\Gamma(\operatorname{GL}_r(k), \mathcal{O}_{\operatorname{GL}_r(k)}) = k[\{z_{ij}\}_{i,j=1}^r]_{\operatorname{det}z}$ and $\Gamma(U_r, \mathcal{O}_{U_r}) = k[\{z_{ij}\}_{1 \leq i < j \leq r}]$. For any $C \subset k[\{z_{ij}\}_{i,j=1}^r]_{\operatorname{det}z}$, let span C be the k-subspace of $k[\{z_{ij}\}_{i,j=1}^r]_{\operatorname{det}z}$ spanned by C. Let Σ_r be the permutation group on the set $\{1, 2, \ldots, r\}$, which is a subgroup of $\operatorname{GL}_r(k)$. Let Δ_v be the convex hull of Ξ_v in $X(T_r)_{\mathbb{R}}$ for all $v \in E_{d,b}^r$.

3. Polynomial coefficients in some special cases

Suppose $v \in A_{d,b}^r$. In this section, we will compute v_w for some special $w \in W_{d,b}^r$. Let's compute it when b = 1 first.

Lemma 3.1. Suppose $r \ge 2$. Let $p \in {}^{r}S_{d} = A_{d,1}^{r}$. For any $g \in \operatorname{GL}_{r}(k)$,

$$(g.p)_{x_1^{d-j}x_2^j} = \sum_{i_1+\ldots+i_r=j} \frac{\prod_{1 \le a \le r} g_{2a}^{i_a}}{\prod_{1 \le a \le r} i_a!} \frac{\partial^j p}{\partial x_1^{i_1} \dots \partial x_r^{i_r}} \bigg|_{x_i = g_{1i}}$$

Proof. Without loss of generality, we can assume that p is a monomial. When p is a monomial, expanding g.p proves the equality.

We can generalize Lemma 3.1 using the following lemma.

Lemma 3.2. Suppose $r \ge 2$. Let $p_1, p_2 \in {}^rS_d = A_{d,1}^r$. For any $g \in GL_r(k)$,

$$(g.p_1 \wedge p_2)_{x_1^{d-j_1} x_2^{j_1} \wedge x_1^{d-j_2} x_2^{j_2}} = \begin{vmatrix} (g.p_1)_{x_1^{d-j_1} x_2^{j_1}} & (g.p_1)_{x_1^{d-j_2} x_2^{j_2}} \\ (g.p_2)_{x_1^{d-j_1} x_2^{j_1}} & (g.p_2)_{x_1^{d-j_2} x_2^{j_2}} \end{vmatrix}$$

for all $1 \le j_1 < j_2 \le d$.

Proof. It can be derived from the definition.

In Lemma 3.1, we see that taking $(g,\star)_m$ of p separates each monomial with respect to the degrees of each variable of p and m. Our construction would make use of this *phenomenon*. That is, we will *control* the degree of one variable, say x_{r+1} .

Fix d. Let F be a sequence $\{F_i\}_{i=0}^{2l-1} \in ({}^rS_d)^{2l} \subset ({}^{r+1}S_d)^{2l}$. Let's define $v_d^r(F) \in A_{2l+d,2}^{r+1}$ as follows:

$$v_d^r(F) = \left\{ \sum_{i=0}^{2l-1} x_{r+1}^i x_1^{2l-i} F_i \right\} \wedge \left\{ \sum_{i=0}^{2l-1} x_{r+1}^{i+1} x_1^{2l-i-1} F_i \right\}.$$

Note that $[v_d^r(F)] \in \operatorname{Hilb}^P(\mathbb{P}^r_k)$ where

$$P(t) = \binom{r+t}{r} - \binom{r+t-2l-d+1}{r} + \binom{r+t-2l-d-1}{r-2}.$$

Indeed, the graded ideal

$$I_F = \left\langle \sum_{i=0}^{2l-1} x_{r+1}^i x_1^{2l-i} F_i, \sum_{i=0}^{2l-1} x_{r+1}^{i+1} x_1^{2l-i-1} F_i \right\rangle$$

of r+1S satisfies the following properties.

Lemma 3.3. $^{r+1}S/I_F$ has the Hilbert polynomial

$$P(t) = \binom{r+t}{r} - \binom{r+t-2l-d+1}{r} + \binom{r+t-2l-d-1}{r-2}.$$

Also, $g_P = 2l + d$ so that $i_{r+1,P,d+2l}(I_F) = [v_d^r(F)]$. If $r \ge 2$, then I_F is saturated.

Proof. $I = I_F$ is isomorphic to $\langle x_1, x_{r+1} \rangle (-2l - d + 1)$ as a graded ${}^{r+1}S$ module. Thus, $\dim_k(I_F)_{t+2l+d}$ is equal to the number of monomials in ${}^{r+1}S_{t+1}$ which is divisible by x_1 or x_{r+1} , for every $t \ge 0$. This implies that I_F has the Hilbert polynomial

$$Q(t) = \binom{r+t-2l-d+1}{r} - \binom{r+t-2l-d-1}{r-2}.$$

Q admits the Macaulay representation

$$Q(t) = \binom{r+t-2l-d}{r} + \binom{r+t-2l-d-1}{r-1}$$

By the definition of n(Q) in [2, p. 65], $g_P = 2l + d$. The regularity of I_F is equal to the regularity of $\langle x_1, x_{r+1} \rangle (-2l - d + 1)$, which is equal to 2l + d. Let J be the saturation of I_F . The Hilbert polynomial of J is Q. This implies that the regularity of J is at most $g_P = 2l + d$. Therefore, $\dim_k J_t = Q(t) = \dim_k I_t$ for all $t \geq 2l + d$ by [2, (1.2) Satz, (2.9) Lemma]. Suppose $r \geq 2$. If there is a homogeneous $q \in J \setminus I$, then $q \in J_t$ for some t < 2l + d. We derive an inequality $2 = \dim_k I_{2l+d} = \dim_k J_{2l+d} \geq \dim_k \langle q \rangle_{2l+d} \geq r+1 \geq 3$, which is false. \Box

We can analyze the polynomial coefficient of $g.v_d^r(F)$ as follows:

Lemma 3.4. $\{f_{a,r,l,F}\}_{a=0}^{l-1}$ is a basis for $\operatorname{span}\{\theta_{r+1}((q.v_d^r(F))_{2^{l+d-a}-a})\}$

$$\operatorname{span}\{\theta_{r+1}((g.v_d^r(F))_{x_1^{2l+d-a}x_{r+1}^a \wedge x_1^{d+a}x_{r+1}^{2l-a}})|0 \le a \le l-1\}$$

where

$$f_{a,r,l,F} = \sum_{0 \le i < j \le 2l-1} \tilde{F}_i \tilde{F}_j g_{1r+1}^{i+j-2l+1} \left[\binom{i}{a} \binom{j}{2l-a-1} + \binom{i}{2l-a-1} \binom{j}{a} \right] \\ + \sum_{i=0}^{2l-1} \tilde{F}_i^{\ 2} g_{1r+1}^{2i-2l+1} \binom{i}{a} \binom{i}{2l-a-1} di$$

and

$$F_i = F_i(1, g_{12}, \dots, g_{1r}).$$

Proof. Using Lemma 3.1 and Lemma 3.2, we can compute that

$$f_{a,r,l,F} - f_{a-1,r,l,F} = \theta_{r+1}((g.v_d^r(F))_{x_1^{2l+d-a}x_{r+1}^a \wedge x_1^{d+a}x_{r+1}^{2l-a}})$$

for all $1 \leq a \leq l-1$ and

$$f_{0,r,l,F} = \theta_{r+1}((g.v_d^r(F))_{x_1^{2l+d} \wedge x_1^d x_{r+1}^{2l}}).$$

Let ψ be a sequence $\{\psi_i\}_{i=0}^{l-1} \in ({}^rS_d)^l \subset ({}^{r+1}S_d)^l$. Let's define a sequence $F_{\psi} \in ({}^rS_d)^{2l} \subset ({}^{r+1}S_d)^{2l}$ as follows:

- $(F_{\psi})_i = 0$ for all $l \le i \le 2l 2$. $(F_{\psi})_{2l-1} = x_1^d$. $(F_{\psi})_i = \frac{1}{i!}\psi_i$ for all $0 \le i \le l 1$.

Lemma 3.5. $\pi^{\psi} = \{\pi_j^{\psi}\}_{j=0}^{l-1}$ is a basis for

$$\operatorname{span}\{\theta_{r+1}((g.v_d^r(F_\psi))_{x_1^{2l+d-a}x_{r+1}^a \wedge x_1^{d+a}x_{r+1}^{2l-a}}) | 0 \le a \le l-1\}$$

where

$$\pi_j^{\psi} = \frac{(2l-1)!}{(2l-1-j)!} \left[\sum_{a=0}^{l-1-j} \binom{2l-1-j}{a} (-1)^a \right] g_{1r+1}^{2l-1} + \tilde{\psi}_j g_{1r+1}^j$$

and

$$\psi_j = \psi_j(1, g_{12}, \dots, g_{1r}).$$

Proof. By the definition of $f_{a,r,l,F_{\psi}}$,

$$a! \binom{2l-1}{a}^{-1} f_{a,r,l,F_{\psi}} = \frac{(2l-1)!}{(2l-1-a)!} g_{1r+1}^{2l-1} + \sum_{i=a}^{l-1} \frac{1}{(i-a)!} \tilde{\psi}_i g_{1r+1}^i$$

for all $0 \le a \le l - 1$. Now

$$\begin{split} \sum_{a=j}^{l-1} \frac{(-1)^{a+j}}{(a-j)!} \left[a! \binom{2l-1}{a}^{-1} f_{a,r,l,F_{\psi}} \right] &= (2l-1)! \sum_{a=j}^{l-1} \frac{(-1)^{a+j}}{(a-j)!(2l-1-a)!} g_{1r+1}^{2l-1} \\ &+ \sum_{a=j}^{l-1} \sum_{i=a}^{l-1} \frac{(-1)^{a+j}}{(a-j)!(i-a)!} \tilde{\psi}_{i} g_{1r+1}^{i} \\ &= \frac{(2l-1)!}{(2l-1-j)!} \sum_{a=0}^{l-1-j} \binom{2l-1-j}{a} (-1)^{a} g_{1r+1}^{2l-1} \\ &+ \sum_{i=j}^{l-1} \sum_{a=0}^{i-j} \frac{1}{(i-j)!} \binom{i-j}{a} (-1)^{a} \tilde{\psi}_{i} g_{1r+1}^{i} = \pi_{j}^{\psi}. \end{split}$$

Clearly $\{\pi_j | 0 \le j \le l-1\}$ is a linearly independent set. This proves the lemma. \Box

 π^{ψ} has the following property. This property depends on the characteristic of k, which is zero in this paper.

Lemma 3.6. The coefficient of g_{1r+1}^{2l-1} in π_j^{ψ} is non-zero. That is,

$$\sum_{a=0}^{l-1-j} \binom{2l-1-j}{a} (-1)^a \neq 0$$

for any choice of integers l and j satisfying $l \ge 1$ and $0 \le j \le l-1$.

Proof. Note that

$$\binom{2l-1-j}{a} \le \binom{2l-1-j}{a+1}$$

for all a satisfying $0 \le a \le l - 1 - j$.

If l - 1 - j is even,

$$\sum_{a=0}^{l-1-j} \binom{2l-1-j}{a} (-1)^a = 1 + \sum_{a=1}^{\frac{l-1-j}{2}} \binom{2l-1-j}{2a} - \binom{2l-1-j}{2a-1} > 0.$$

Similarly, If l - 1 - j is odd, we can show that

$$\sum_{a=0}^{l-1-j} \binom{2l-1-j}{a} (-1)^a < 0$$

because the first term is always strictly smaller than the absolute value of the second term. $\hfill \Box$

4. NP-hardness of a problem judging the existence of an upper-triangular coordinate

Suppose $l \ge 3$, $r \ge 2$ and $p = \{p_i\}_{i=0}^{l-3} \in k[x_2, \dots, x_r]^{l-2}$. Assume that $d \ge \max\{\deg(p_i) | 0 \le i \le l-3\}$

where $\deg(p_i)$ means the non-homogeneous degree of p_i . Let's construct $\psi(p) = \{\psi_i(p)\}_{i=0}^{l-1}$.

• Define the first two terms as follows:

(1)
$$\psi_i(p) = -\frac{(2l-1)!}{(2l-1-i)!} \left[\sum_{a=0}^{l-1-i} \binom{2l-1-i}{a} (-1)^a \right] x_1^d$$
for $i \in \{0, 1\}.$

• For
$$2 \le i \le l-1$$
, let
(2) $\psi_i(p) = -\frac{(2l-1)!}{(2l-1-i)!} \left[\sum_{a=0}^{l-1-i} {\binom{2l-1-i}{a}} (-1)^a \right] x_1^d + x_1^d p_{i-2} \left(\frac{x_2}{x_1}, \dots, \frac{x_r}{x_1} \right).$

Now we are ready to prove the following.

Theorem 4.1. Let $l \geq 3$. There is $g \in U_{r+1}$ satisfying $\chi = \chi_1^{2d+2l}\chi_{r+1}^{2l} \notin \Xi_{[g,v_d^r(F_{\psi(p)})]}$ if and only if the ideal J of $k[x_2,\ldots,x_r]$ generated by $\{p_i|0 \leq i \leq l-3\}$ has a solution over k.

Proof. By definition, $Z_{[v_d^r(F_{\psi(p)})],\chi} \cap U_{r+1}$ is the zero set of the ideal

$$I \subset \Gamma(U_{r+1}, \mathcal{O}_{U_{r+1}}) = k[\{g_{ij}\}_{1 \le i < j \le r+1}]$$

generated by

$$\{\theta_{r+1}((g.v_d^r(F_{\psi(p)}))_{x_1^{2l+d-a}x_{r+1}^a \wedge x_1^{d+a}x_{r+1}^{2l-a}}) | 0 \le a \le l-1\}$$

By Lemma 3.5, I is generated by

$$\{\pi_i^{\psi(p)} | 0 \le i \le l-1\}.$$

It suffices to show that the zero set of I is non-empty if and only if the zero set of J is non-empty. If there is an element $\{x_{ij}\}_{1 \le i < j \le r-1}$ in the zero set of I, then $g_{1r+1} = 1$ because $\pi_i^{\psi(p)} = 0$ for $i \in \{0, 1\}$ if and only if $g_{1r+1}^{2l-1} = 1$ and $g_{1r+1}^{2l-1} - g_{1r+1} = 0$

by Lemma 3.6. Note that (x_{12}, \ldots, x_{1r}) is a solution of the system of equations defined by

$$\{\pi_i^{\psi(p)}|_{g_{1r+1}=1}|2 \le i \le l-1\} = \{p_i(g_{12},\dots,g_{1r})|0 \le i \le l-3\}$$

so that J has non-empty zero set. If there is an element $\{x_i\}_{i=2}^r$ in the zero set of J, $\{z_{ij}\}_{1 \le i < j \le r+1}$ is in the zero set of I if $z_{ir} = x_i$ for all $2 \le i \le l-1$ and $z_{1r+1} = 1.$

Theorem 4.1 implies the following.

Corollary 4.2. For any ideal I of a polynomial ring, there is a Hilbert point $v \in$ $\operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r})$, a choice of closed immersion $\operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r}) \to \mathbb{P}(\bigwedge^{Q(d)} S_{d})$ and a character $\chi \in X(T_{r+1})$ such that there is an ideal J of $\Gamma(\operatorname{GL}_{r+1}(k), \mathcal{O}_{\operatorname{GL}_{r+1}(k)})$ such that $Z_{v,\chi}$ is the zero locus of J and J is a generalization of I.

Let's consider some decision problems. Let SysAl be a problem asking if a system of algebraic equations over \mathbb{Q} has a solution over k and **HC** be a problem asking if a graph has a Hamiltonian cycle. Using the proof of Corollary 2.3.2 in [6, p. 21], we can prove that **HC** can be reduced to **SysAl** in polynomial time. By Theorem 10.23 of [4], **HC** is an NP-complete problem so that **SysAl** is an NP-hard problem. Let's describe a solvability check problem SC as follows:

- Given : A rational Hilbert point v ∈ Hilb^P(P^{r-1}_k), a choice of closed immersion Hilb^P(P^{r-1}_k) → P(Λ^{Q(d)} S_d) and a character χ ∈ X(T_r).
 Decide : Is there a coordinate g ∈ U_r satisfying χ ∉ Ξ_{g.v}?

Here, $v \in \operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r-1})$ is rational if it represents a saturated homogeneous ideal of ^{r}S generated by rational polynomials. Theorem 4.1 shows that there is a polynomial time reduction from **SysAl** to **SC**. That is,

Corollary 4.3. The problem SC is NP-hard.

There is an extended version of **SC**, which would be called **ESC**, described as follows:

- Given : A rational Hilbert point $v \in \operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r-1})$, a choice of closed immersion Hilb^P(\mathbb{P}_{k}^{r-1}) $\rightarrow \mathbb{P}(\bigwedge^{Q(d)} S_{d})$ and a finite set of characters $C \subset X(T_{r})$.
- Decide : Is there a coordinate $g \in U_r$ satisfying $C \cap \Xi_{g,v} = \emptyset$?

SC can be reduced to **ESC** in polynomial time so that we can prove the following:

Corollary 4.4. The problem ESC is NP-hard.

On the other hand, we can use Buchberger's algorithm in [1] to solve the problem **ESC** because the zero set of an ideal $I \subset {}^{r}S$ is non-empty if and only if $1 \notin I$ if and only if the Gröbner basis of I with respect to the lexicographic (or graded reverse-lexicographic) monomial order contains 1.

Let's construct an example. Fix natural numbers r and d. Suppose l = 3, $p_0 \in k[x_2, \ldots, x_r]$ and deg $(p_0) \leq d$. In this case, p is a sequence of length 1 and the ideal generated by $\{p_i | 0 \le i \le l-3\}$ has empty zero locus if and only if p_0 is a non-zero constant polynomial. Let

$$F' = -6x_1^{d+5} + 15x_1^{d+4}x_{r+1} - 10x_1^{d+3}x_{r+1}^2 + x_1^d x_{r+1}^5 + \frac{x_1^{d+3}x_{r+1}^2}{2}p_0\left(\frac{x_2}{x_1}, \dots, \frac{x_r}{x_1}\right).$$

CHEOLGYU LEE

By the definition, $I_{F_{\psi(p)}} = \langle x_1 F', x_{r+1} F' \rangle$. This means that there is a $g \in U_{r+1}$ such that $\chi_1^{2d+6} \chi_{r+1}^6 \notin \Xi_{[g.v_d^r(F_{\psi(p)})]}$ if and only if p_0 is the zero polynomial or $\deg(p_0) \geq 1$.

5. A relation between the problem ESC and GIT-semistability

In this section, every GIT problem is related to the action of $\operatorname{GL}_r(k)$ on $E_{d,b}^r$. It will be proved that we can decide whether a rational Hilbert point is GIT-semistable by solving finitely many **ESC**. As a consequence of [7, Criterion 3.3], we have the following lemma.

Lemma 5.1. A rational point $v \in E_{d,b}^r$ is GIT-semistable if and only if $\xi_{d,b}^r \in \Delta_{g,v}$ for all $g \in GL_r(k)$.

Proof. v is semistable if and only if it is semistable under the action of every maximal torus of $\operatorname{GL}_r(k)$ by [8, Theorem 2.1]. Since every two maximal tori are conjugate, [7, Criterion 3.3] proves the lemma.

A point in $X(T)_{\mathbb{R}}$ is not in a polytope Δ if and only if there is a separating hyperplane in $X(T)_{\mathbb{R}}$. That is,

Lemma 5.2. For any $g \in \operatorname{GL}_r(k)$ and $v \in E^r_{d,b}$, $\xi^r_{d,b} \notin \Delta_{g.v}$ if and only if there is an $\omega \in X(T)^{\vee}_{\mathbb{R}}$ such that

(3)
$$\omega(\xi_{d,b}^r) < \min \omega(\Xi_{g,v} \otimes_{\mathbb{R}} 1).$$

For some special choices of $\omega \in X(T)_{\mathbb{R}}^{\vee}$ and v, we can still guarantee (3) for every $g \in L_r$.

Lemma 5.3. Suppose there are $v \in E_{d,b}^r$ and $\omega \in X(T)_{\mathbb{R}}^{\vee}$ satisfying $\omega(\xi_{d,b}^r) < \min \omega(\Xi_v \otimes_{\mathbb{R}} 1)$

and $\omega(\chi_i) \leq \omega(\chi_{i+1})$ for all $1 \leq i < r$. Then, for any $l \in L_r$,

 $\omega(\xi_{d,b}^r) < \min \omega(\Xi_{l.v} \otimes_{\mathbb{R}} 1).$

Proof. Suppose $\eta \in \Xi_{l,v} \otimes_{\mathbb{R}} 1 \setminus \Xi_v \otimes_{\mathbb{R}} 1$. It suffices to show that $\omega(\eta) \ge \min \omega(\Xi_v \otimes_{\mathbb{R}} 1)$. By definition, there is an $m \in W_{d,b}^r$ satisfying $\eta \in \Xi_{l,m}$ and $\Xi_m \subset \Xi_v$. By expanding l.m, we can prove that

$$\omega(\eta) \ge \min \omega(\Xi_m \otimes_{\mathbb{R}} 1)$$

using the condition $\omega(\chi_i) \leq \omega(\chi_{i+1}), \forall 1 \leq i \leq r-1$. Since $\Xi_m \subset \Xi_v$, we can deduce that $\min \omega(\Xi_m \otimes_{\mathbb{R}} 1) \geq \min \omega(\Xi_v \otimes_{\mathbb{R}} 1)$. Thus the claimed statement is true. \Box

Now, we can restate the condition for v to be unstable.

Theorem 5.4. Suppose $v \in E_{d,b}^r$. v is unstable if and only if there are $u \in U_r$ and $q \in \Sigma_r$ satisfying

$$\xi_{d,b}^r \notin \Delta_{uq.v}.$$

Proof. If part is obvious by Lemma 5.1. Suppose there is $g \in GL_r(k)$ satisfying

$$\xi_{d,b}^r \notin \Delta_{g.v}.$$

By Lemma 5.2, there is $\omega \in X(T)_{\mathbb{R}}^{\vee}$ satisfying

$$\omega(\xi_{d,b}^r) < \min \omega(\Xi_{g.v} \otimes_{\mathbb{R}} 1).$$

There is a $p \in \Sigma_r$ satisfying $\omega(\chi_{p(i)}) \leq \omega(\chi_{p(i+1)})$ for all *i*. Let's define $\omega_p(\chi_i) = \omega(\chi_{p(i)})$. Then,

$$\omega_p(\xi_{d,b}^r) = \omega(\xi_{d,b}^r) < \min \omega(\Xi_{g.v} \otimes_{\mathbb{R}} 1) = \min \omega_p(\Xi_{p^{-1}g.v} \otimes_{\mathbb{R}} 1).$$

Now there are $l \in L_r, u \in U_r$ and $q \in \Sigma_r$ satisfying $p^{-1}g = luq$ by the LUdecomposition of general non-singular matrix. $p^{-1}g.v$ and ω_p satisfies the condition of Lemma 5.3. Thus,

$$\omega_p(\xi_{d,b}^r) < \min \omega_p(\Xi_{l^{-1}luq.v} \otimes_{\mathbb{R}} 1) = \min \omega_p(\Xi_{uq.v} \otimes_{\mathbb{R}} 1).$$

By Lemma 5.2, $\xi_{d,b}^r \notin \Delta_{uq,v}$, as desired.

Using Theorem 5.4 and Lemma 5.2, we can solve **ESC** for each choice of $\omega \in X(T)_{\mathbb{R}}^{\vee}$ and $q \in \Sigma_r$ to check if

$$\{\chi \in X(T) | \omega(\chi) \le \omega(\xi^r_{d,Q(d)})\} \cap \Xi_{uq.v} = \emptyset$$

for a rational $v \in \operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r-1})$ and an integer $d \geq g_{P}$. Note that we have to consider finitely many ω 's because $A_{d,b}^{r}$ has only finitely many weights with respect to the action of T_{r} . In this way, we can check if v is semistable or not. This fact implies that there is an algorithm deciding if a rational $v \in \operatorname{Hilb}^{P}(\mathbb{P}_{k}^{r-1})$ is GIT-semistable or not.

References

- David Cox, John Little, and Donal O'Shea, *Ideals, varieties, and algorithms*, 3rd ed., Undergraduate Texts in Mathematics, Springer, New York, 2007. An introduction to computational algebraic geometry and commutative algebra. MR2290010
- [2] Gerd Gotzmann, Eine Bedingung für die Flachheit und das Hilbertpolynom eines graduierten Ringes (German), Math. Z. 158 (1978), no. 1, 61–70, DOI 10.1007/BF01214566. MR0480478
- [3] Wim H. Hesselink, Uniform instability in reductive groups, J. Reine Angew. Math. 303/304 (1978), 74–96, DOI 10.1515/crll.1978.303-304.74. MR514673
- [4] John E. Hopcroft and Jeffrey D. Ullman, Introduction to automata theory, languages, and computation, Addison-Wesley Publishing Co., Reading, Mass., 1979. Addison-Wesley Series in Computer Science. MR645539
- [5] George R. Kempf, Instability in invariant theory, Ann. of Math. (2) 108 (1978), no. 2, 299–316, DOI 10.2307/1971168. MR506989
- [6] Susan Margulies, Computer algebra, combinatorics, and complexity: Hilbert's Nullstellensatz and NP-complete problems, ProQuest LLC, Ann Arbor, MI, 2008. Thesis (Ph.D.)–University of California, Davis. MR2712545
- [7] Ian Morrison and David Swinarski, Gröbner techniques for low-degree Hilbert stability, Exp. Math. 20 (2011), no. 1, 34–56, DOI 10.1080/10586458.2011.544577. MR2802723
- [8] David Mumford and John Fogarty, Geometric invariant theory, 2nd ed., Ergebnisse der Mathematik und ihrer Grenzgebiete [Results in Mathematics and Related Areas], vol. 34, Springer-Verlag, Berlin, 1982. MR719371

CENTER FOR GEOMETRY AND PHYSICS, INSTITUTE FOR BASIC SCIENCE (IBS), POHANG 37673, REPUBLIC OF KOREA – AND – DEPARTMENT OF MATHEMATICS, POSTECH, 77 CHEONGAM-RO, NAM-GU, POHANG, GYEONGBUK, 37673, KOREA.

E-mail address: ghost279.math@gmail.com