LOCAL CLASS FIELD THEORY

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ABSTRACT. New sufficient conditions for the validity of local class field theory for Henselian valued fields are established. An example is presented to show that these conditions are less restrictive than the applicability of the Neukirch abstract class field theory.

§0. Introduction

Local class field theory is treated in many papers, monographs, and textbooks (see, e.g., the bibliography in [1]). In the present paper, we suggest yet another exposition based on abstract class field theory [2]. (In the author’s paper [3], the reader will find a modified presentation of abstract class field theory on the basis of a combination of the approaches by Neukirch and Hasewinkel.)

We recall some definitions and notation. Let $F$ be a field; a subring $R$ of $F$ is called a valuation ring of $F$ if for every element $a \in F^\times \Rightarrow F \setminus \{0\}$ we have $a \in R$ or $a^{-1} \in R$. Every valuation ring $R$ is local, i.e., has a unique maximal ideal, which will be denoted by $m(R)$; the field $F_R := R/m(R)$ is called the residue field of $R$. We denote by $U_R$ the multiplicative group of units (invertible elements) of $R$, and by $\Gamma_R$ the factor group $F^\times/U_R$; the group $\Gamma_R$ admits a natural linear order, which is determined by the cone $R^\times/U_R \subseteq \Gamma_R$. The mapping $v_R : a \mapsto aU_R$, $a \in F^\times$, from $F^\times$ to $\Gamma_R$ is called the valuation of $F$ determined by the valuation ring $R$. Let $U_R^1$ be the subgroup of $U_R$ formed by all elements $a$ in $U_R$ such that $a - 1 \in m(R)$.

The pair $F = \langle F, R \rangle$, where $F$ is a field and $R$ is a valuation ring of $F$, is called a valued field. Let $F = \langle F, R \rangle$ and $F_0 = \langle F_0, R_0 \rangle$ be valued fields; if $F$ is a subfield of $F_0$ $(F \leq F_0)$ and $R = R_0 \cap F$, then $F_0$ is an extension of $F$ $(F \leq F_0)$.

If $F = \langle F, R \rangle \leq F_0 = \langle F_0, R_0 \rangle$, then there exist natural embeddings $F_R \rightarrow F_{R_0}$ and $\Gamma_R \rightarrow \Gamma_{R_0}$ of residue fields and valuation groups, respectively. After natural identifications, this allows us to regard $F_R$ as a subfield of $F_{R_0}$ $(F_R \leq F_{R_0})$ and $\Gamma_R$ as a subgroup of $\Gamma_{R_0}$; then $v_R = v_{R_0} \mid F^\times$. Let $F_0/F$ be a finite (algebraic) field extension of degree $n = [F_0 : F]$. Then $F_{R_0}/F_R$ is a finite field extension, and $f := [F_{R_0} : F_R]$ is called the relative degree of the extension $F \leq F_0$ of valued fields. The group $\Gamma_R$ has a finite index in $\Gamma_{R_0}$, and $e := [\Gamma_{R_0} : \Gamma_R]$ is called the ramification index. We always have the basic inequality $e \cdot f \leq n$. If $e \cdot f = n$ for every finite separable field extension $F_0 \geq F$, then the valued field $F$ is said to be algebraically complete. A valued field $F = \langle F, R \rangle$ is Henselian if, for every finite separable extension $F_0 \geq F$, there is a unique valuation ring $R_0$ of $F_0$ such that $F \leq (F_0, R_0)$. Every algebraically complete valuation is Henselian.

Let $F = \langle F, R \rangle$ be a valued field such that the residue field $F_R$ is perfect and the absolute Galois group $G(F_R)$ of the residue field is isomorphic to $\widehat{\mathbb{Z}}$. Then there is a natural epimorphism $d : G(F) \rightarrow G(F_R) = \widehat{\mathbb{Z}}$ (see [4], Proposition 1.3.3). If the valuation group

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\(\Gamma_R\) is a \(z\)-group (see the definition in §1), then there is a mapping \(\hat{v} : F^\times \to \hat{\mathbb{Z}}\). We say that local class field theory is valid for \(\mathbb{F}\) if \(\hat{v} : F^\times \to \hat{\mathbb{Z}}\) is a “Henselian” valuation (see the concluding remarks in [3]) and the \((G(F)\)-module \(F^\times_{\text{sep}}\) (\(F^\times_{\text{sep}}\) is a separable closure of \(F\)) and the mappings \(d : G(F) \to \hat{\mathbb{Z}}\) and \(\hat{v} : F^\times \to \hat{\mathbb{Z}}\) satisfy the axiom of class field theory (Axiom (6.1) in [2]; Proposition 4.1 below contains the statement of that axiom). If local class field theory is valid for \(\mathbb{F}\), then, for every finite Galois extension \(F_0 \geq F\), we have the reciprocity homomorphism \(r_{F_0/F} : G(F_0/F) \to H^0(G(F_0/F), F^\times_0) = F^\times /N_{F_0/F}(F_0^\times)\), which induces an isomorphism \((G(F_0/F))^{ab} \simeq F^\times /N_{F_0/F}(F_0^\times)\).

The following theorem is the main result of the present paper.

**Theorem.** Suppose that a valued field \(\mathbb{F} = (F, R)\) satisfies the following four conditions:

1. \(\mathbb{F}\) is algebraically complete;
2. the residue field \(F_R\) is perfect and \(G(F_R) \simeq \hat{\mathbb{Z}}\);
3. the valuation group \(\Gamma_R\) is a \(z\)-group;
4. the maximal unramified extension \(\overline{\mathbb{F}}\) of \(\mathbb{F}\) has dimension at most 1 (\(\dim \overline{\mathbb{F}} \leq 1\)).

Then local class field theory is valid for \(\mathbb{F}\).

The proof of this theorem is completed at the end of §4.

§1. \(z\)-GROUPS

**Proposition 1.1.** For a torsion-free Abelian group \(\Gamma\), the following conditions are equivalent:

1. for all \(n > 0\), the group \(\Gamma/n\Gamma\) is cyclic;
2. for every finite torsion-free Abelian extension \(\Gamma_0 \geq \Gamma\), the group \(\Gamma_0/\Gamma\) is cyclic and \(\Gamma = [\Gamma_0 : \Gamma]\Gamma_0\);
3. if \(\Pi(\Gamma)\) is the completion of \(\Gamma\), then the group \(\Pi(\Gamma)/\Gamma\) is locally cyclic.

**Proof.** 1) \(\Rightarrow\) 2). Let \(n = [\Gamma_0 : \Gamma]\). Then \(n\Gamma \leq n\Gamma_0 \leq \Gamma\), and \(\Gamma_0/\Gamma \simeq n\Gamma_0/n\Gamma \leq \Gamma/n\Gamma\) is cyclic. Moreover, since \(n = [\Gamma_0 : \Gamma] = [n\Gamma_0 : n\Gamma] \leq [\Gamma : n\Gamma] \leq n\), we have \(n\Gamma_0 = \Gamma\).

2) \(\Rightarrow\) 3). Let \(\gamma_0, \ldots, \gamma_k \in \Pi(\Gamma)\). Then \(\Gamma_0 = \langle \gamma_0, \ldots, \gamma_k, \Gamma \rangle \geq \Gamma\) is a finite torsion-free Abelian extension, and \(\Gamma_0/\Gamma = \langle \gamma_0 + \Gamma, \ldots, \gamma_k + \Gamma \rangle\) is cyclic.

3) \(\Rightarrow\) 1). Since \(\Gamma\) is torsion-free, we have \(\Gamma \simeq n\Gamma\), whence \(\Pi(\Gamma) = \Pi(n\Gamma)\) and \(\Pi(n\Gamma) \simeq n\Gamma\). Consequently, \(\Gamma/n\Gamma \simeq \Pi(n\Gamma)/n\Gamma\) is a locally cyclic group of a bounded period at most \(n\). Thus, \(\Gamma/n\Gamma\) is cyclic (and \([\Gamma : n\Gamma]/n\)). \(\square\)

We say that a torsion-free Abelian group \(\Gamma\) is a \(z\)-group if \([\Gamma : n\Gamma] = n\) for every positive integer \(n\).

**Proposition 1.2.** For a torsion-free Abelian group \(\Gamma\), the following two conditions are equivalent:

1. \(\Gamma\) is a \(z\)-group;
2. for every \(n > 0\), the group \(\Gamma/n\Gamma\) is a cyclic group of order \(n\).

**Proof.** 1) \(\Rightarrow\) 2). Assume the contrary. Then there is a positive integer \(n\) such that the group \(\Gamma/n\Gamma\) is not cyclic (but \([\Gamma : n\Gamma] = n\)). Consequently, there exists a prime \(p\) such that the group \(\Gamma/p\Gamma\) has a factor group isomorphic to \(C_p \times C_p\) (here \(C_p\) is a cyclic group of order \(p\)). Let \(\Gamma_0 (\geq n\Gamma)\) be a subgroup of \(\Gamma\) such that \(\Gamma/\Gamma_0 \simeq C_p \times C_p\). It follows that \(\Gamma_0 \geq p\Gamma\) and \(p^2 = [\Gamma_0 : \Gamma_0] \leq [\Gamma : p\Gamma] = p\), a contradiction.

2) \(\Rightarrow\) 1). This is obvious. \(\square\)

Let \(\Gamma\) be a \(z\)-group. Then there is a natural homomorphism \(\pi_\Gamma : \Gamma \to \varprojlim_{n>0} \Gamma/n\Gamma \simeq \hat{\mathbb{Z}}\). We put \(Z_\Gamma = \pi(\Gamma) \leq \hat{\mathbb{Z}}\).
Proposition 1.3. a) \( \operatorname{Ker} \pi \) is the maximal divisible subgroup of \( \Gamma \);
b) \( Z_\Gamma \) is a pure subgroup of \( \hat{\mathbb{Z}} \) and \( \hat{\mathbb{Z}} / Z_\Gamma \) is divisible.

Proof. Since \( \operatorname{Ker} \pi = \bigcap_{n > 0} n \Gamma \), statement a) follows immediately from the fact that \( \Gamma \) is an Abelian torsion-free group (and, therefore, is uniquely divisible).

Let \( m > 0 \). Then the natural projection \( p_m : \hat{\mathbb{Z}} = \varprojlim_{n > 0} \mathbb{Z} / n \mathbb{Z} \to \Gamma / m \Gamma \simeq C_m \) is an epimorphism, so that \( \operatorname{Ker} p_m = m \hat{\mathbb{Z}} \). It follows that \( m \hat{\mathbb{Z}} \cap Z_\Gamma = m Z_\Gamma \) and, therefore, \( Z_\Gamma \) is a pure subgroup of \( \hat{\mathbb{Z}} \). If \( a \in \hat{\mathbb{Z}} \) and \( m > 0 \), then \( a + m \hat{\mathbb{Z}} = b + m \hat{\mathbb{Z}} \) for an appropriate \( b \in Z_\Gamma \). Hence, in this case there exists \( c \in \hat{\mathbb{Z}} \) such that \( a = b = mc \). Consequently, the group \( \hat{\mathbb{Z}} / Z_\Gamma \) is divisible.

Let \( F = < F, R > \) be a valued field such that the valuation group \( \Gamma_R \) is a \( z \)-group. Then we have a homomorphism \( \hat{\nu} : \pi \Gamma_R : F^\times \to Z_\Gamma \leq \hat{\mathbb{Z}} \).

Proposition 1.4. Let \( F = < F, R > \) be a valued field satisfying conditions 1) and 3) of the theorem. Then the following relations are valid for every finite extension \( F_0 = < F_0, R_0 > \geq F \):

1) \( \nu_R(N_{F_0/F}(F^\times)) = f \Gamma_R \);
2) \( \hat{\nu}(N_{F_0/F}(F^\times)) = f N_{Z_R} \),
where \( f := [F_0 : F] \) is the relative degree of the extension \( F_0 \geq F \).

Proof. If \( n := [F_0 : F] \) and \( e := [\Gamma_R : \Gamma] \) is the ramification index of the extension \( F_0 \geq F \), then the algebraic completeness of \( F \) (condition 1)) implies the relation \( n = e \cdot f \).

Since \( \Gamma_R \) is a \( z \)-group, from the relation \( [\Gamma_R : \Gamma] = e \) it follows that \( \Gamma_R = e \Gamma_R \) (see Proposition 1.2).

For each \( a_0 \in F^\times_0 \) we have \( \nu_R(N_{F_0/F}(a_0)) = n \nu_R(a_0) \), whence \( \nu_R(N_{F_0/F}(F^\times)) = \nu_R(N_{F_0/F}(F^\times)) = n \Gamma_R \leq \Gamma_R \). However, \( n \Gamma_R = (f \cdot e) \Gamma_R = f \Gamma_R \).

Statement 1) is verified. Statement 2) follows directly from 1).

Corollary. If a valued field \( F \) satisfies conditions 1), 2), and 3) of the theorem, then the homomorphism \( \hat{\nu} : F^\times \to Z_\Gamma \leq \hat{\mathbb{Z}} \) is a "Henselian" valuation in the sense of abstract class field theory [3].

§2. UNRAMIFIED EXTENSIONS

Proposition 2.1. Let \( F = < F, R \leq F' = < F', R' \) be a finite extension of Henselian valued fields such that \( F_R \) is a separable extension of \( F_R \) and \( [F' : F] = [F' : F] \).

Then \( N_{F'/F}(U^\times) = U_R \). If \( N_{F'/F}(F^\times_R) = F^\times_R \), then \( N_{F'/F}(U^\times_R) = U_R \).

Proof. Suppose \( \alpha \in F^\times_R \) and \( F_R = F_R(\alpha) \). Let \( f \in F_R[x] \) be a minimal polynomial of \( \alpha \) over \( F_R \), and let \( g = x^n + b_1 x^{n-1} + \cdots + b_n \in R[x] \) be a unitary polynomial such that its reduction \( \overline{g} \in F_R[x] \) coincides with \( f \). By the Hensel lemma, there exists \( a \in R' \) such that \( g(a) = 0 \) and \( \overline{a} = a + m(R') \). Then \( N_{F/F}(a) = (-1)^n b_n \) (because \( g \) is irreducible over \( F \) and \( F' = F(a) \)). Let \( u \in U^\times_R \) (i.e., \( u \in R \) and \( \overline{u} = 1 \)). Then \( \overline{g} = f \), where \( g_1 = x^n + b_1 x^{n-1} + \cdots + b_n + x + u \overline{b}_n \), and, again by the Hensel lemma, there exists an element \( a_1 \in R' \) such that \( \overline{a}_1 = a \) and \( \overline{g}_1(a_1) = 0 \). As above, we have \( N_{F'/F}(a_1) = (-1)^n u \overline{b}_n \); consequently, \( N_{F'/F}(a_1 a^{-1}) = N_{F'/F}(a_1) N_{F'/F}(a)^{-1} = u \).

Since \( a_1 a^{-1} = a a^{-1} = 1 \), we have \( a_1 a^{-1} \in U_R^\times \) and \( u = N_{F'/F}(a_1 a^{-1}) \in N_{F'/F}(U^\times_R) \).

If, moreover, \( N_{F'/F}(F^\times_R) = F^\times_R \), then for every \( u \in U^\times_R \) there exists \( v \in U^\times_R \) such that \( u^{-1} N_{F'/F}(v) \in U^\times_R \). Indeed, \( \overline{u} \in F^\times_R \). Let \( \alpha \in F^\times_R \) be such that \( N_{F'/F}(\alpha) = \overline{u} \), and let \( v \in U^\times_R \) be such that \( \overline{v} = \alpha \). Then \( N_{F'/F}(v) = N_{F'/F}(\overline{v}) = N_{F'/F}(\alpha) = \overline{u} \).
Thus, \( u^{-1}N_{F'/F}(v) \in U^1_R \). As has been proved above, there is \( a \in U^1_R \) such that \( N_{F'/F}(a) = u^{-1}N_{F'/F}(v) \), and then \( u = N_{F'/F}(va^{-1}) \in N_{F'/F}(U_R) \).

**Corollary.** If a valued field \( \mathbb{F} \) satisfies conditions 1) and 2) of the theorem, then for every finite unramified extension \( \mathbb{F} \leq \mathbb{F}' \) we have \( N_{F'/F}(U_R) = U_R \).

Indeed, since \( \mathbb{F} \) is algebraically complete and \( \mathbb{F}' \geq \mathbb{F} \) is unramified, \( [F' : F] = [F_R' : F_R] \). Since \( F_R \) is perfect, the conditions of the proposition are fulfilled. Furthermore, using isomorphism \( G(F_R) \cong \mathbb{Z} \) and the fact that \( F_R \) is perfect, we conclude that \( \dim F_R \leq 1 \) (see [3] Chapter II, §3, Proposition 6(b)); in particular, \( N_{F_R'/F_R}(F_R') = F_R' \).

We now verify the class field theory axiom for unramified extensions.

**Proposition 2.2.** Let \( \mathbb{F} \) be a valued field satisfying conditions 1)–3) of the theorem. Then for every unramified cyclic extension \( \mathbb{F}_0 \supseteq \mathbb{F} \) we have \( H^0(G, F_0^\times) \cong G \) and \( H^{-1}(G, F_0^\times) = 1 \), where \( G = G(F_0/F) \).

**Proof.** The group \( H^{-1}(G, F_0^\times) \) is trivial by virtue of Hilbert’s Theorem 90 [2].

Consider the exact sequence

\[
1 \longrightarrow U_R \longrightarrow F^\times \longrightarrow \Gamma_R \longrightarrow 1,
\]

which yields the exact sequence

\[
1 \longrightarrow U_R/N_{F_0/F}(F_0^\times) \cap U_R \longrightarrow F^\times/N_{F_0/F}(F_0^\times) \longrightarrow \Gamma_R/U_R(N_{F_0/F}(F_0^\times)) \longrightarrow 1.
\]

Since \( N_{F_0/F}(F_0^\times) \cap U_R = N_{F_0/F}(U_{R_0}) \), we have \( U_R = N_{F_0/F}(U_{R_0}) \) by Proposition 2.1. Consequently, we arrive at an isomorphism

\[
F^\times/N_{F_0/F}(F_0^\times) \cong \Gamma_R/U_R(N_{F_0/F}(F_0^\times)).
\]

Let \( n = |G| = |F_0 : F| = |F_R_0 : F_R| \). Since \( F_0 \geq F \) is an unramified extension, the relative degree \( f \) of the extension \( F_0 \geq F \) is equal to \( n \). By Proposition 1.4, 1), we have \( v_R(N_{F_0/F}(F_0^\times)) = n\Gamma_R \), and since \( \Gamma \) is a \( z \)-group, the group \( \Gamma/n\Gamma \cong C_n \) is cyclic of order \( n \). Thus,

\[
H^0(G, F_0^\times) = F^\times/N_{F_0/F}(F_0^\times) \cong \Gamma_R/U_R(N_{F_0/F}(F_0^\times)).
\]

Since \( N_{F_0/F}(F_0^\times) \cap U_R = N_{F_0/F}(U_{R_0}) \), we have \( U_R = N_{F_0/F}(U_{R_0}) \) by Proposition 2.1.

Remark. Under the conditions of Proposition 2.2, the axiom \( U \) (i.e., \( H^0(G, U_{R_0}) = 1 \) and \( H^{-1}(G, U_{R_0}) = 1 \)) is fulfilled.

Indeed, consider the exact sequence of \( G \)-modules

\[
1 \longrightarrow U_{R_0} \longrightarrow F_0^\times \longrightarrow \Gamma_{R_0} \longrightarrow 1
\]

and the corresponding exact sequence of cohomology groups

\[
H^0(G, U_{R_0}) \longrightarrow H^0(G, F_0^\times) \longrightarrow H^0(G, \Gamma_{R_0}) \longrightarrow H^1(G, U_{R_0}) \longrightarrow H^1(G, F_0^\times).
\]

By the corollary to Proposition 2.1, we have \( H^0(G, U_{R_0}) = 1 \), and by Hilbert’s Theorem 90, \( H^1(G, F_0^\times) = 1 \). Therefore, we have the exact sequence

\[
1 \longrightarrow H^0(G, F_0^\times) \longrightarrow H^0(G, \Gamma_{R_0}) \longrightarrow H^1(G, U_{R_0}) \longrightarrow 1.
\]

Proposition 2.2 implies that \( H^0(G, F_0^\times) \cong G \cong C_n \). Since \( G \) acts trivially on \( \Gamma_{R_0} \), we obtain \( N(\Gamma_{R_0}) = n\Gamma_{R_0} \) (in additive notation), and \( H^0(G, \Gamma_{R_0}) \cong \Gamma_{R_0}/n\Gamma_{R_0} \cong \Gamma_R/n\Gamma_R \cong C_n \). Consequently, \( H^{-1}(G, U_{R_0}) \cong H^1(G, U_{R_0}) \) is fulfilled; the fact that the \( H^0(G, U_{R_0}) = 1 \) has already been mentioned.
Proposition 3.1. Let $F = \langle F, R \rangle$ be a Henselian valued field such that $\Gamma_R$ is a $z$-group. If $F_0 = (F_0, R_0) \geq F$ is a cyclic totally ramified extension of $F$, then $G \simeq H^{-1}(G, U_{R_0})$, where $G \equiv G(F_0/F)$.

Proof. Let $n = [F_0 : F] = [\Gamma_{R_0} : \Gamma_R]$. Then $\Gamma_R = n\Gamma_{R_0}$ and $\Gamma_{R_0}/\Gamma_R$ is a cyclic group of order $n$ (i.e., $\Gamma_{R_0}/\Gamma_R \simeq G = G(F_0/F)$). Let $\gamma_0 \in \Gamma_{R_0}$ be an element such that $\gamma_0 > 0$ and $\langle \gamma_0 + \Gamma_R \rangle = \Gamma_{R_0}/\Gamma_R$. We put $\gamma = n\gamma_0, \gamma \in \Gamma_R$. Let $\pi_0 \in R_0^\times$ be an element such that $v_{R_0}(\pi_0) = \gamma_0$. Then for $\pi = N_{F_0/F}(\pi_0) \in R^\times$ we have $v_R(\pi) = v_{R_0}(\pi) = v_{R_0}(N_{F_0/F}(\pi_0)) = n\gamma_0 = \gamma$.

For $\tau \in G$, we put $\chi(\tau) = \pi_0^{-\gamma} \cdot V(F_0/F)$, where $V(F_0/F) = \langle u^\sigma - u \mid u \in U_{R_0}, \sigma \in G \rangle \leq U_{R_0}$. We note that $N_{F_0/F}(\pi_0^{-1}) = N_{F_0/F}(\pi_0)^{\tau^{-1}} = 1$. Consequently, $\chi(\tau) \in NU_{R_0}/V(F_0/F)$ (the inclusion $V(F_0/F) \leq NU_{R_0}$ can also be verified easily). We recall that $NU_{R_0} = \{u \mid u \in U_{R_0}, N_{F_0/F}(u) = 1\}$.

We check that $\chi$ is independent of the choice of $\pi_0$. If $v_{R_0}(\pi_1) = \gamma_0 = v_{R_0}(\pi_0)$, then $\pi_1 = \pi_0 u$ for an appropriate $u \in U_{R_0}$, so that we have $\pi_1^{-1} = (\pi_0 u)^{-1} = \pi_0^{-1}u^{-1}$ and $u^{-1} \in V(F_0/F)$.

We prove that $\chi$ is a homomorphism from $G$ to $NU_{R_0}/V(F_0/F)$. Let $\tau_0, \tau_1 \in G$. Then $\tau_0 \tau_1 - 1 = \tau_0 \tau_1 - \tau_0 - 1 - \tau_0 \tau_1^{-1} = (\pi_0^\gamma)^{\tau_1^{-1}-1}$

However, $v_{R_0}(\pi_0^\gamma) = v_{R_0}(\pi_0) = \gamma_0$, whence $(\pi_0^\gamma)^{\tau_1^{-1}-1} \equiv \pi_0^{-\gamma} \mod V(F_0/F)$ and $\pi_0^{-\gamma}\pi_0 v_{R_0}^{-1} V(F_0/F) = \pi_0^{-\gamma} V(F_0/F) = \pi_0^{-\gamma} V(F_0/F)$. Thus, $\chi(\tau_0 \tau_1) = \chi(\tau_0^{\tau_1})(\tau_1)$.

Now, we prove that $\chi$ is an epimorphism. Let $u \in NU_{R_0}$, i.e., $N_{F_0/F}(u) = 1$. Then, by Hilbert’s Theorem 90, we have $u = a_0^{-1}$ for some $\tau_0 \in G$ such that $\tau_0 = G$ and for an appropriate $a_0 \in F_0^\times$. Let $\psi \equiv v_{R_0}(a_0)$. Then we can find $\psi' \in \Gamma_R$ and $0 \leq k < n$ such that $\tau_1 = \psi + k\gamma_0$ (because $\Gamma_{R_0}/\Gamma_R = \langle \gamma_0 + \Gamma_R \rangle$). Let $a \in F^\times$ be an element such that $v_{R}(a) = \psi$. Then $v_{R_0}(a_0(a \pi_0^k)^{-1}) = 0$ and $a_0 = va = \pi_0^k$ for $v = a_0(a \pi_0^k)^{-1} \in U_{R_0}$. We have $\pi_0^{-1} = (a \pi_0^{-k})^{-1} = u^{-1}$ and $\pi_0^{k-1} \equiv (\pi_0^k)^{-1} \mod V(F_0/F)$. Consequently, $v_{F_0}(F_0/F) = a_0^{\tau_0^{-1}}V(F_0/F) = \chi(\pi_0^k) \in \chi(G)$ and $\chi(G) = NU_{R_0}/V(F_0/F)$.

We prove that $\chi$ is a monomorphism. If $G = \langle \gamma_0 \rangle$, then $V(F_0/F) = U_{R_0}^{-1} = \{u^{\tau_0^{-1}} \mid u \in U_{R_0}\}$. Indeed, $u^{\tau_0^{-1}} = u^{(\tau_0^{-1} + \cdots + 1)}(\tau_0^{-1})^{-1} = u^{-1}$, where $v = u^{\tau_0}$ and $\tau_0 = \tau_0^{-1} \in V(F_0/F)$, i.e., $\pi_0^{k-1} \equiv u^{-1}$ for an appropriate $u \in U_{R_0}$. Then $\pi_0^{k-1} = (\pi_0^{k})^{-1} = u^{-1}$ and $\pi_0^{k-1}u^{-1} = u^{-1}$. Consequently, $\pi_0^{-\gamma} \equiv (\pi_0^{k})^{-1} \equiv u^{-1}$ and $\pi_0^{k-1} \equiv u^{-1}$.

Thus, $\chi$ is an isomorphism between $G$ and $NU_{R_0}/V(F_0/F)$. But $V(F_0/F) = IGU_{R_0} = \langle (u^{\gamma - 1} \mid u \in U_{R_0}, \sigma \in G) \rangle$, and therefore

$$NU_{R_0}/V(F_0/F) = H^{-1}(G, U_{R_0}) = NU_{R_0}/IGU_{R_0}.$$ \hfill $\square$

Proposition 3.2. Let $F = (F, R)$ be a valued field satisfying conditions 1)–4) of the theorem. If $F_0 = (F_0, R_0) \geq F$ is a cyclic totally ramified extension, then $G(F_0/F) \simeq H^0(G, U_{R_0}) = H^0(G, F_0^\times)$.

Proof. Let $G = G(F_0/F), G = \langle \tau \rangle$, and let $n = |G| = [F_0 : F]$. Suppose $F_1 = \langle F_1, R_1 \rangle$ is an unramified extension of $F$ of degree $m$ divisible by $n$, $G_1 = G(F_1/F)$, and $G_1 = \langle \psi \rangle$.

Let $F_2 := F_0F_1 = F_0 \otimes_F F_1$, and let $R_2$ be a valuation ring of $F_2$ dominating $R_0$ and $R_1$. Then $G(F_2/F) \simeq G \times G_1 = \langle \tau \times \psi \rangle$, and $F_2 = \langle F_2, R_2 \rangle$ is totally ramified over $F_1$ and unramified over $F_0$. We have $G(F_2/F_1) \simeq G = \langle \tau \rangle, G(F_2/F_0) \simeq G_1 = \langle \psi \rangle, F_2^\psi = F_0$, and $F_2^\tau = F_1$. 

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We introduce a group $U_{R_2}^F (\leq U_R)$ and a homomorphism $\rho_\psi : U_{R_2}^F \to H^{-1}(G, U_{R_2})$ as follows:

$U_{R_2}^F := \{ u \mid u \in U_R \text{ is such that there exists } v \in U_{R_2} \text{ with } N_{F_2/F_1}(v) = u \};$

if $u \in U_{R_2}^F$ and $v$ is an element of $U_{R_2}$ such that $N_{F_2/F_1}(v) = u$, then

$$\rho_\psi(u) := v^1 - \psi V(F_2/F_1).$$

We prove that $\rho_\psi$ is well defined. If $v' \in U_{R_2}$ and $N_{F_2/F_1}(v') = u$, then $N_{F_2/F_1}(u^{-1}) = uu^{-1} = 1$ for $w = v'v^{-1}$. Consequently, by Hilbert’s Theorem 90, $w = w_0^{-1}$ for an appropriate $w_0 \in U_{R_2}$. But in this case we have $v'^{1 - \psi} = (uv)^{1 - \psi} = v^{1 - \psi}u_0^{(\tau - 1)(1 - \psi)}$ and $u_0^{(\tau - 1)(1 - \psi)} = (w_0^{1 - \psi})^{-1} \in V(F_2/F_1)$. Therefore, $v^{1 - \psi}V(F_2/F_1) = v^{1 - \psi}V(F_2/F_1)$, and we see that $\rho_\psi$ is well defined.

Now, we prove that $\rho_\psi$ is a homomorphism. If $u_0, u_1 \in U_{R_2}^F$ and $v_0, v_1 \in U_{R_2}$ are such that $N_{F_2/F_1}(v_i) = u_i$ $(i = 0, 1)$, then $u_0u_1 \in U_{R_2}$, $N_{F_2/F_1}(u_0u_1) = N_{F_2/F_1}(v_0)v_1 = N_{F_2/F_1}(v_1)v_1 = u_0u_1$, and $(u_0u_1)^{1 - \psi} = v_0^{1 - \psi}v_1^{1 - \psi}$. Hence, $\rho_\psi(u_0u_1) = \rho_\psi(u_0) \cdot \rho_\psi(u_1)$, i.e., $\rho_\psi$ is a homomorphism.

Let $\psi' := \psi \tau \in G(F_2/F) = G \times G_1 = (\tau) \times (\psi)$. Observe that $|\psi'| = |\psi| = m$ because $|\tau| = n$ divides $m$. We put $F_2' = F_2^{\psi'}$ and $R_2' = R_2 \cap F_2'$. It is easy to check that $F_2'$ is an unramified over $F_2 = (F_0', R_0)$.

We define a homomorphism $\rho_\psi : U_{R_2}^F \to H^{-1}(G, U_{R_2})$ in the same way as $\rho_\psi$:

for $u \in U_{R_2}^F$, we take $v \in U_{R_2}$ such that $N_{F_2/F_1}(v) = u$ and put

$$\rho_\psi(u) := v^{1 - \psi}V(F_0/F_1).$$

We note that $\rho_{\psi'} = \rho_\psi$. Let $v \in U_{R_2}$ and $u = N_{F_2/F_1}(v) \in U_R$. Then $v^{1 - \psi} = v^{1 - \psi'} = \psi^{-1} = (v^{-1})(\psi')^{-1}$. Since $v^{-1} \in V(F_2/F_1)$ and $N_{F_2/F_1}(v^{-1}) = N_{F_2/F_1}(v^{-1}) = u^{-1}$, we have $\rho_\psi(u) = v^{1 - \psi}V(F_2/F_1) = v^{1 - \psi}V(F_2/F_1) = \rho_\psi(u)$.

Now, we verify that $\rho_\psi$ is an epimorphism. By Proposition 3.1, the mapping $\chi : G \to H^{-1}(G_0, U_{R_2})$ defined by $\chi'(\tau') = \tau^{-1}V(F_2/F_1)$ for $\tau' \in G_0 = (\tau)$ is an isomorphism; here $\tau_0 \in R_0 \leq R_2$ is chosen as in the proof of Proposition 3.1 $(v_0, v_0) = (\gamma_0)$. It follows that the element $\tau_0^{-1}V(F_2/F_1)$ is a generator of the cyclic group $H^{-1}(G, U_{R_2}) \simeq G$.

Let $\pi_0' \in R_2'$ be such that $\pi_0' \pi_0^{-1} = \gamma_0$. Then for $v = \pi_0' \pi_0^{-1}$ we have $vR_2(v) = 1$, $v \in U_{R_2}$, and $\pi_0' = \pi_0v$. Since $\pi_0' \in R_0$, we obtain

$$\pi_0' = \pi_0\pi_0' = (\pi_0v)\pi_0' = \pi_0\pi_0' \pi_0v = \pi_0v.$$ 

Consequently, $\pi_0^{-1} = v^{1 - \psi'}$, whence $\pi_0^{-1}V(F_2/F_1) = v^{1 - \psi}V(F_2/F_1)$. We note that $u = N_{F_2/F_1}(v) = N_{F_2/F_1}(\pi_0' \pi_0^{-1}) = N_{F_2/F_1}(\pi_0' \pi_0) \pi_0^{-1} \in F_2 \cap F_0' = F_1 \cap F_0' = F$, and $N_{F_2/F_1}(\pi_0) \in F_1 \cap F_0 = F$. It follows that $u \in U_{R_2}$ and $\rho_\psi(u) = \rho_\psi(u) = \rho_\psi(u) = \pi_0^{-1}V(F_2/F_1)$. Thus,

$$\rho_\psi(U_{R_2}^F) = H^{-1}(G, U_{R_2}) \simeq H^{-1}(G, U_{R_2}).$$

The following lemma is important.

**Lemma 1.** $N_{F_0/F}(U_{R_0}) \leq U_{R_2}^F$ and $\ker \rho_\psi = N_{F_0/F}(U_{R_0})$.

This is a special case of [2] Proposition 6].

**Corollary.** $U_{R_2}^F / N_{F_0/F}(U_{R_0}) \simeq G$.

**Lemma 2.** $U_{R_2}^F = U_R$. 

Proof. If $F'_1 \geq F_1$ is also a finite unramified extension of $F$, $F'_2 = F'_1 \cap F_0 = F'_1 \otimes_F F_0$, and $U'_{F'_2} = \{u \mid u \in U_R \text{ is such that there exists } v \in U_{F'_2} \text{ with } N_{F'_2/F'_1}(v) = u \}$, then it can easily be verified that $U'_{F'_2} \geq U'_{F_R}$. We have $U_{F'_2}/N_{F_0/F}(U_{R_0}) \leq U'_{F'_2}/N_{F_0/F}(U_{R_0})$. Moreover,

$$U'_{F'_2}/N_{F_0/F}(U_{R_0}) \simeq G, \quad U'_{F'_2}/N_{F_0/F}(U_{R_0}) \simeq G.$$ 

Since $u \in U_R$. Then there exists a finite unramified extension $F'_1 \geq F$ such that $F'_1 \geq F_1$ and $u \in U'_{F'_2}$. Indeed, let $F_1$ be the maximal unramified extension of $F$, and let $F_2 = F_1 F_0$. Then, by condition 4), we have $N_{F_2/F_1}(F_2^\times) = F_1^\times$. In particular, there exists a finite subextension $F'_1 \leq F_1$ such that $u \in N_{F_2/F_1}(F'_1^\times)$. We can choose $F'_1$ so that $F'_1 \geq F_1$. From the relations $u \in U'_{F'_2} = U'_{F_R}$, it follows that $U'_{F'_2} = U_R$. □

Corollary. $H^0(G, U_{R_0}) = U_R/N_{F_0/F}(U_{R_0}) = U'_{F'_2}/N_{F_0/F}(U_{R_0}) \simeq G$.

It remains to prove that $H^0(G, U_{R_0}) \simeq H^0(G, F_0^\times)$.

The exact sequence

$$1 \longrightarrow U_R \longrightarrow F^\times \xrightarrow{v_R} \Gamma_R \longrightarrow 1$$

gives rise to the exact sequence

$$1 \longrightarrow U_R/N_{F_0/F}(F_0^\times) \cap U_R \longrightarrow F^\times/N_{F_0/F}(F_0^\times) \xrightarrow{(\ast)} \Gamma_R/v_R(N_{F_0/F}/(F_0^\times)) \longrightarrow 1.$$ 

Observe that $N_{F_0/F}(F_0^\times) \cap U_R = N_{F_0/F}(U_{R_0})$. By Proposition 1.4, 1), we obtain $v_R(N_{F_0/F}(F_0^\times)) = f \Gamma_R$, where $f = [F_0/F_1]$. However, $f = 1$ in the case in question, and we obtain $v_R(N_{F_0/F}(F_0^\times)) = \Gamma_R$. Then the exact sequence $(\ast)$ yields an isomorphism $H^0(G, U_{R_0}) \simeq H^0(G, F_0^\times)$. □

Corollary. The class field theory axiom is fulfilled for the cyclic totally ramified extensions $F_0 \geq F$ if $F$ satisfies conditions 1)-4) of the theorem.

§4. ARBITRARY CYCLIC EXTENSIONS

Proposition 4.1. Let $F = (F, R)$ be a valued field satisfying conditions 1)-4) of the theorem. Let $F_0 = \langle F_0, R_0 \rangle \geq F$ be a cyclic extension, and let $G \Rightarrow G(F_0/F) = \langle \sigma \rangle$. Then $H^{-1}(G, F_0^\times) = 1$ and $|H^0(G, F_0^\times)| = |G|$.

Proof. The fact that the group $H^{-1}(G, F_0^\times)$ is trivial for a cyclic extension $F_0 \geq F$ follows from Hilbert’s Theorem 90.

Let $(F \leq) F_1$ be the maximal unramified subextension of $F_0$, and let $R_1 \Rightarrow R_0 \cap F_0$. Then $F_1 \geq F$ is an unramified cyclic extension and $F_0 \geq F_1$ is a totally ramified extension. Put $n_0 = [F_0 : F_1]$ and $n_1 = [F_1 : F]$. Then $n_0 \cdot n_1 = n = [F_0 : F] = |G|$ and $G(F_0/F_1) = \langle \sigma^n \rangle$.

Now, we use the exact sequence $(\ast)$ (see §3). Proposition 1.4, 1) shows that $v_R(N_{F_0/F}(F_0^\times)) = f \Gamma_R$, where $f$ is the relative degree of $F_0 \geq F$. Then $f = n_1$, $v_R(N_{F_0/F}(F_0^\times)) = n_1 \Gamma_R$, and $\Gamma_R/n_1 \Gamma_R \simeq C_n$.

We have $N_{F_0/F}(F_0^\times) \cap U_R = N_{F_0/F}(U_{R_0})$. We prove that $U_R/N_{F_0/F}(U_{R_0}) \simeq U_{R_1}/N_{F_0/F_1}(U_{R_0})$. By Proposition 2.1, the norm mapping $N_{F_1/F}$ from $U_{R_1}$ to $U_R$ is an epimorphism (because $F_1$ is unramified over $F$). Since $N_{F_1/F}(N_{F_0/F}(U_{R_0})) = N_{F_0/F}(U_{R_0})$, we see that $N_{F_1/F}$
induces an isomorphism between \( U_{R_1}/N_{F_0/F_1}(U_{R_0}) \) and \( U_R/N_{F_0/F}(U_{R_0}) \). Since \( F_0 \geq F_1 \) is a totally ramified cyclic extension, Proposition 3.2 implies the relations

\[
U_{R_1}/N_{F_0/F_1}(U_{R_0}) = H^0(G(F_0/F_1), U_{R_0}) \simeq G(F_0/F_1).
\]

The exact sequence \((*)\) shows that

\[
|H^0(G, F_0^\times)| = |F^\times/N_{F_0/F}(F_0^\times)| = |U_R/N_{F_0/F}(U_{R_0})| \cdot |\Gamma_R/n_1\Gamma_R| = |G(F_0/F_1)| \cdot n_1 = n_0 \cdot n_1 = n = |G|.
\]

The proposition is proved. \( \square \)

The following theorem (stated in the Introduction) is a consequence of the above proposition:

For a valued field satisfying conditions 1)–4) of the theorem, local class field theory is valid.

Indeed, Proposition 4.1 shows that, in this case, the class field theory axiom is fulfilled for the \( G(F) \)-module \( F_0^\times \).

§5. Sufficient conditions. An example

Among conditions 1)–4) ensuring the validity of local class field theory, condition 4) is most difficult to verify. By [5, Chapter II, §3, Proposition 5], this condition is equivalent, in particular, to one of the following conditions:

1) \( Br(F_0) = 0 \) for every finite separable extension \( F_0 \geq \bar{F} \);  
2) \( Br(F_0) = 0 \) for all finite extensions \( F_0 \geq F_1 \geq \bar{F} \) of \( F \), we have \( N_{F_0/F_1}(F_0^\times) = F_1^\times \).

It is condition 4” that was used in §3.

Condition 4') for \( \bar{F} \) can be restated as follows: for every finite separable extension \( F_0 \geq F \), each finite-dimensional skew-field \( D \) over \( F_0 \) splits over a finite unramified extension \( F_1 \geq F_0 \); moreover, it suffices to check this for the skew-fields such that \( [D : F] = p^2 \) for all prime \( p \).

**Proposition 5.1.** Let a Henselian valued field \( F = (F, R) \) be such that \( F_R \) is perfect and \( \Gamma_R \) is a z-group. Then every skew-field \( D \) with center \( F \) such that \( [D : F] = p^2 \) for a prime \( p \) splits over an unramified extension \( F \) if one of the following conditions is fulfilled:

1) \( F_R \) has characteristic 0;  
2) \( F \) is a maximal valued field (see [4, 6]);  
3) \( \Gamma_R \simeq \mathbb{Z} \), i.e., \( F \) is a discrete valuation field.

**Proof.** The Henselian valuation \( v_R \) of \( F \) can be extended uniquely up to a valuation \( v_D \) of the skew-field \( D \), and we have \( [D : F] = [D : F_R] \cdot [\Gamma_D : \Gamma_R]q^k \). Here, \( D \) is the residue algebra, \( \Gamma_D \) is the value group of \( v_D \), \( q = 1 \) if the residue field \( F_R \) has characteristic 0, \( q = \chi(F_R) \) if the characteristic \( \chi(F_R) \) of \( F_R \) is greater than 0, and \( k \geq 0 \) is a positive integer [4].

To prove the proposition, it suffices to show that \( D \neq F_R \). Indeed, let \( \alpha \in D \setminus F_R \), and let \( a \in R_{v_D} (= \text{the valuation ring of } v_D) \) be such that \( \alpha = a + m(R_{v_D}) \). Then \( F_0 = F(a) \leq D \) is a subfield of \( D \) and a proper extension of \( F \). From the results of [8, Chapter 13] it follows that the degree \( [F_0 : F] \) divides \( p \). Then \( [F_0 : F] = p \) and \( F_0 \) is a maximal subfield of \( D \), and therefore a splitting field of \( D \). The degree \( [F_R(a) : F_R] \) divides \( [F_0 : F_R] \) (\( R_0 = R_{v_D} \cap F_0 \)), and \( [F_R(a) : F_R] \) divides \( [F_0 : F] = p \). Since \( F_R(a) \neq F_R \), we have \( F_R(a) = F_{R_0} \), \( [F_{R_0} : F_R] = p = [F_0 : F] \), and \( F_0 \) is an unramified extension of \( F \).

For every \( b \in D^\times \), we have \( v_D(b) = v_{R_1}(b) \), where \( R_1 = R_{v_D} \cap F(b) \). \( [F(b) : F] \) divides \( p \), and \( [\Gamma_{R_1} : \Gamma_R] \) divides \( [F(b) : F] \). Consequently, \( pv_{R_1}(b) \in \Gamma_R \). It follows that the
factor group \( \Gamma_D/\Gamma_R \) has period \( p \). Since \( \Gamma_R \) is a \( z \)-group, we see that \( |\Gamma_D : \Gamma_R| \) divides \( p \) (i.e., \( |\Gamma_D : \Gamma_R| = 1 \) or \( p \)).

If \( q^k = 1 \), then we obtain the required relation \( \widehat{D} \neq F_R \). The identity \( q^k = 1 \) is valid both in case 1) (because \( q = 1 \) in this case) and in case 2) (by [6] Chapter 2, Theorem 11).

Suppose condition 3) is fulfilled. We consider the completion \( \widehat{F} \) of \( F \) with respect to the topology defined by \( v_R \); then \( \widehat{D} \rightleftharpoons D \otimes_F \widehat{F} \) remains a skew-field (see [3]), and \( \widehat{F} \) satisfies condition 2) and \( \widehat{D} = \overline{D} \).

The proposition is proved. □

Since conditions 1)-3) of the proposition are preserved under finite separable extensions, we arrive at the following statement.

**Corollary.** Under the conditions of Proposition 5.1, the maximal immediate extension \( \overline{F} \) of \( F \) satisfies condition 4').

The following proposition is yet another consequence of Proposition 5.1.

**Proposition 5.2.** Let \( F \) be a Henselian valued field such that \( \Gamma_R \) is a \( z \)-group, \( F_R \) is perfect, \( G(F_R) \cong \widehat{Z} \), and one of conditions 1)-3) of Proposition 5.1 is fulfilled. Then local class field theory is valid for \( F \).

It suffices to observe that one of conditions 1), 2), and 3) of Proposition 5.1 and the fact that \( F \) is Henselian imply that \( F \) is algebraically complete.

In conclusion, we consider an example. Let \( k \) be a perfect field such that \( G(k) \cong \widehat{Z} \) (e.g., \( k \) is a finite field or \( k = \mathbb{C}[[t]] \) is the field of formal power series over the field \( \mathbb{C} \) of complex numbers). Let \( \Gamma = \sum_{p \in P} \widehat{Z}_p \) be the direct sum of the groups \( \widehat{Z}_p \cong \lim_{\longrightarrow_{n \in \omega}} \mathbb{Z}/p^n\mathbb{Z} \) (isomorphic to the additive group of \( p \)-adic integers; \( P \) is the set of all prime numbers). There is a natural embedding

\[
\Gamma \leq \widehat{Z} \cong \prod_{p \in P} \widehat{Z}_p.
\]

We note that \( \Gamma \) is a \( z \)-group, but it does not contain any generators of the group \( \widehat{Z} \). Since \( \Gamma \) is a torsion-free Abelian group, the group \( \Gamma \) can be linearly ordered. Viewing \( \Gamma \) as a linearly ordered group, we can define a field \( F = k(t^\Gamma) \) (see [4] Chapter 1, §7), having a valuation \( v : F^\times \rightarrow \Gamma \) such that \( F_R = k \) and \( F = (F, R_v) \) is a maximal valued field for which local class field theory is valid.

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