

# JORDAN ALGEBRAS OF SELF-ADJOINT OPERATORS

BY

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1. **Introduction.** A Jordan algebra of self-adjoint operators on a Hilbert space, or simply, a *J-algebra*, is a real linear space of such operators closed under the product  $A \circ B = \frac{1}{2}(AB + BA)$ . A *JC-algebra*, respectively, a *JW-algebra*, is a uniformly closed, respectively, weakly closed *J-algebra* (we show in §3 that  $\sigma$ -weakly closed *J-algebras* are weakly closed). In a recent paper [4], D. Topping has shown that many of the techniques used in the study of self-adjoint algebras of operators are applicable to *J-algebras*. We continue in this direction, proving that various problems are simplified by passing to the second dual.

We begin by showing that the second dual  $\mathfrak{A}^{**}$  of a *J-algebra*  $\mathfrak{A}$  is isometric to a *JW-algebra*. We then use  $\mathfrak{A}^{**}$ , together with the second dual of the *C\**-algebra  $[\mathfrak{A}]$  generated by  $\mathfrak{A}$  to investigate the uniformly closed Jordan ideals in  $\mathfrak{A}$ . If  $\mathfrak{I}$  is such an ideal we prove that  $\mathfrak{A}/\mathfrak{I}$  is isometrically isomorphic to a *J-algebra*. We also show that if  $[\mathfrak{I}]$  is the *C\**-algebra generated by  $\mathfrak{I}$ , then  $\mathfrak{I} = [\mathfrak{I}] \cap \mathfrak{A}$ , and  $[\mathfrak{I}]$  is an ideal in  $[\mathfrak{A}]$ . We conclude with a characterization of the uniformly closed Jordan ideals in  $\mathfrak{A}$ . The simplified proof of this result was suggested to us by J. Ringrose.

We are indebted to D. Topping for invaluable correspondence on the subject.

2. **Topological preliminaries.** We recall that if  $\mathfrak{X}$  is a real or complex normed linear space, there is a canonical isometry of  $\mathfrak{X}$  into the second dual  $\mathfrak{X}^{**}$ , sending the weak (i.e.,  $\sigma(\mathfrak{X}, \mathfrak{X}^*)$ ) topology into the relative weak\* (i.e.,  $\sigma(\mathfrak{X}^{**}, \mathfrak{X}^*)$ ) topology. We shall identify  $\mathfrak{X}$  with its image. If  $\mathfrak{K}$  is a uniformly closed convex subset of  $\mathfrak{X}$ , then it is weakly closed (see [2, p. 67]). It follows that if  $\bar{\mathfrak{K}}$  is the weak\* closure of  $\mathfrak{K}$  in  $\mathfrak{X}^{**}$ ,  $\mathfrak{K} = \bar{\mathfrak{K}} \cap \mathfrak{X}$ . If  $\mathfrak{L}$  is a convex set in  $\mathfrak{X}^{**}$  containing 0, the double polar  $\mathfrak{L}^{00}$  coincides with the weak\* closure  $\bar{\mathfrak{L}}$ .

We shall use a subscript 1 (resp., 0) to indicate the closed (resp., open) unit ball of a given normed linear space.

If  $\mathfrak{X}$  and  $\mathfrak{Y}$  are normed linear spaces, and  $S$  is a linear isometry of  $\mathfrak{X}$  into  $\mathfrak{Y}$ , the adjoint  $S^*$  maps  $\mathfrak{Y}_1^*$  onto  $\mathfrak{X}_1^*$ , and  $\text{kernel } S^* = S(\mathfrak{X})^0$ . On the other hand, if  $T: \mathfrak{X} \rightarrow \mathfrak{Y}$  is a linear map with  $T(\mathfrak{X}_0) = \mathfrak{Y}_0$ ,  $T^*$  is an isometry and a weak\* homeomorphism (see [2, p. 101]) of  $\mathfrak{Y}^*$  into  $\mathfrak{X}^*$ , and  $T^*(\mathfrak{Y}^*) = (\text{kernel } T)^0$ . Turning to the second adjoints,  $S^{**}$  is an isometry and weak\* homeomorphism of  $\mathfrak{X}^{**}$  into  $\mathfrak{Y}^{**}$ , extending  $S$ , and  $S^{**}(\mathfrak{X}^{**}) = S(\mathfrak{X})^{00} = S(\mathfrak{X})^-$ . Similarly,  $T^{**}(\mathfrak{X}_1^{**}) = \mathfrak{Y}_1^{**}$ , and if  $\mathfrak{K} = \text{kernel } T$ ,  $\text{kernel } T^{**} = \mathfrak{K}^{00} = \bar{\mathfrak{K}}$ .  $T^{**}$  induces an isometry of  $\mathfrak{X}^{**}/\bar{\mathfrak{K}}$  onto  $\mathfrak{Y}^{**}$ .

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Received by the editors October 31, 1965.

### 3. The second dual.

**THEOREM 1.** *Let  $\mathfrak{A}$  be a  $J$ -algebra. There is an isometry of  $\mathfrak{A}^{**}$  onto a  $JW$ -algebra, carrying the weak\* topology onto the weak operator topology, and providing  $\mathfrak{A}^{**}$  with a multiplication extending that of  $\mathfrak{A}$ .*

**Proof.** Let  $\mathfrak{C}$  be the  $C^*$ -algebra  $[\mathfrak{A}]$ . Due to the Sherman, Takeda, Grothendieck theory (see [3]), we may identify  $\mathfrak{C}^{**}$  with a von Neumann algebra, for which the weak and  $\sigma$ -weak operator topologies coincide with the weak\* topology, and the multiplication extends that of  $\mathfrak{C}$ . From §2, the second adjoint of the identity map of  $\mathfrak{A}$  into  $\mathfrak{C}$  is an isometry and a weak\* homeomorphism of  $\mathfrak{A}^{**}$  onto  $\bar{\mathfrak{A}}$ , the weak operator closure of the  $J$ -algebra  $\mathfrak{A}$ .  $\bar{\mathfrak{A}}$  is again a  $J$ -algebra (see [4]), hence a  $JW$ -algebra. As the isometry reduces to the identity on  $\mathfrak{A}$ , the resulting Jordan product on  $\mathfrak{A}^{**}$  extends that on  $\mathfrak{A}$ .

It is readily verified that the product on  $\mathfrak{A}^{**}$  coincides with both extensions of that on  $\mathfrak{A}$  defined by Arens [1].

With the exception of the following paragraph, it will not be necessary to discuss the  $\sigma$ -weak operator topology. As it coincides with the weak operator topology on  $\mathfrak{C}^{**}$ , the same is true on  $\mathfrak{A}^{**}$ . In addition, any  $\sigma$ -weakly closed  $J$ -algebra  $\mathfrak{A}$  is weakly closed. To see this we note that the unit ball  $\mathfrak{A}_1$  is strongly, hence weakly dense in  $(\bar{\mathfrak{A}})_1$ . For, as has been indicated to us by Topping, the Kaplansky Density Theorem is valid for  $J$ -algebras (see, e.g., the proof in [5]). But  $\mathfrak{A}_1$  is weakly compact, hence  $\mathfrak{A} = \bar{\mathfrak{A}}$ .

A representation  $\phi$  of a  $J$ -algebra  $\mathfrak{A}$  is a bounded linear map of  $\mathfrak{A}$  into  $\mathfrak{L}_{SA}$ , the self-adjoint operators on some Hilbert space, satisfying  $\phi(A \circ B) = \phi(A) \circ \phi(B)$ . As  $\phi: \mathfrak{A} \rightarrow \mathfrak{L}$  is continuous in the  $\sigma(\mathfrak{A}, \mathfrak{A}^*)$  and  $\sigma(\mathfrak{L}, \mathfrak{L}_*)$  topologies, the restriction map  $\phi^*: \mathfrak{L}_* \rightarrow \mathfrak{A}^*$  is defined, and we obtain a  $\sigma$ -weakly continuous representation  $\phi^{**}: \mathfrak{A}^{**} \rightarrow \mathfrak{L}$ . Any  $\sigma$ -weakly continuous homomorphism of  $JW$ -algebras splits into an isometric isomorphism, and a zero map. As we shall not use this fact, and the proof is essentially the same as that for von Neumann algebras, we omit the details.

**4. Ideal theory.** A Jordan ideal  $\mathfrak{I}$  in a  $J$ -algebra  $\mathfrak{A}$  is a linear subspace of  $\mathfrak{A}$  such that if  $A \in \mathfrak{A}$  and  $D \in \mathfrak{I}$ , then  $A \circ D \in \mathfrak{I}$ . If  $\mathfrak{I}$  is uniformly closed, the quotient space  $\mathfrak{A}/\mathfrak{I}$  forms a (nonassociative) normed algebra in the usual way. The weak operator closure  $\bar{\mathfrak{I}}$  is a Jordan ideal in  $\bar{\mathfrak{A}}$ . For if  $A \in \mathfrak{A}$  and  $D \in \bar{\mathfrak{I}}$ , choose a net  $D_\alpha \in \mathfrak{I}$  with  $D_\alpha \rightarrow D$  weakly. Then  $A \circ D_\alpha \in \mathfrak{I}$  and  $A \circ D_\alpha \rightarrow A \circ D$ , hence the latter is in  $\bar{\mathfrak{I}}$ . If  $A \in \bar{\mathfrak{A}}$  and  $D \in \bar{\mathfrak{I}}$ , choose a net  $A_\alpha \rightarrow A$ . Then  $A_\alpha \circ D \in \bar{\mathfrak{I}}$ ,  $A_\alpha \circ D \rightarrow A \circ D$ , and  $A \circ D \in \bar{\mathfrak{I}}$ .

Topping proved [4] that if  $\mathfrak{A}$  is a  $JW$ -algebra, the lattice of projections in  $\mathfrak{A}$  must be complete. Letting  $E$  be the maximal projection in  $\mathfrak{A}$ ,  $A = AE = EA$  for all  $A \in \mathfrak{A}$ . He then pointed out that if  $\mathfrak{I}$  is a weakly closed ideal in  $\mathfrak{A}$ , and  $F$  is the maximal projection in  $\mathfrak{I}$ , then  $\mathfrak{I} = \mathfrak{A}F$ , and  $F$  commutes with all the elements of  $\mathfrak{A}$ .

**THEOREM 2.** *Let  $\mathfrak{S}$  be a uniformly closed Jordan ideal in a  $J$ -algebra  $\mathfrak{A}$ . Then  $\mathfrak{A}/\mathfrak{S}$  is isometrically isomorphic with a  $J$ -algebra,  $\mathfrak{S} = [\mathfrak{S}] \cap \mathfrak{A}$ , and  $[\mathfrak{S}]$  is an ideal in  $[\mathfrak{A}]$ .*

**Proof.** As we showed in the proof of Theorem 1,  $\mathfrak{A}^{**}$  may be identified with the weak closure of  $\mathfrak{A}$  in  $\mathfrak{C}^{**}$ , where  $\mathfrak{C} = [\mathfrak{A}]$ . From above, the weak closure  $\mathfrak{S}^{00} = \overline{\mathfrak{S}}$  is an ideal in  $\overline{\mathfrak{A}}$ . The maximal projection  $F$  of  $\overline{\mathfrak{S}}$  must lie in the center of  $\mathfrak{C}^{**} = [\mathfrak{A}]^-$ .

Let  $T$  be the canonical homomorphism of  $\mathfrak{A}$  onto  $\mathfrak{A}/\mathfrak{S}$ . Then  $T(\mathfrak{A}_0) = (\mathfrak{A}/\mathfrak{S})_0$  and  $T^{**}$  induces an isometry of  $\mathfrak{A}^{**}/\overline{\mathfrak{S}}$  onto  $(\mathfrak{A}/\mathfrak{S})^{**}$  (see §2). Composing the inverse of this map with the canonical isometry of  $\mathfrak{A}/\mathfrak{S}$  into  $(\mathfrak{A}/\mathfrak{S})^{**}$ , we obtain the map  $A + \mathfrak{S} \rightarrow A + \overline{\mathfrak{S}}$ , and conclude the latter is an isometric isomorphism of  $\mathfrak{A}/\mathfrak{S}$  into  $\overline{\mathfrak{A}}/\overline{\mathfrak{S}}$ . On the other hand, the homomorphism  $B + \overline{\mathfrak{S}} \rightarrow B(I - F)$  of  $\overline{\mathfrak{A}}/\overline{\mathfrak{S}}$  onto  $\overline{\mathfrak{A}}(I - F)$  is an isometry, as if  $D \in \overline{\mathfrak{S}}$ ,

$$\|B + D\| = \|B(I - F) + (B + D)F\| = \max [\|B(I - F)\|, \|(B + D)F\|],$$

the von Neumann algebra  $\mathfrak{C}^{**}$  being isomorphic, hence isometric to  $\mathfrak{C}^{**}F \oplus \mathfrak{C}^{**}(I - F)$ . Thus  $A + \mathfrak{S} \rightarrow A(I - F)$  is an isometric isomorphism of  $\mathfrak{A}/\mathfrak{S}$  into the  $JW$ -algebra  $\overline{\mathfrak{A}}(I - F)$ .

If  $\mathfrak{S}'$  is the weak\* closure of  $\mathfrak{S}$  in  $\mathfrak{A}^{**}$ , we have from §2 that  $\mathfrak{S} = \mathfrak{S}' \cap \mathfrak{A}$ . As the injection of  $\mathfrak{A}^{**}$  into  $\mathfrak{C}^{**}$  is a weak\* homeomorphism,  $\mathfrak{S} = \overline{\mathfrak{S}} \cap \mathfrak{A}$ . As  $AF = A$  for all  $A \in \mathfrak{S}$ ,  $CF = C$  for all  $C \in [\mathfrak{S}]$ , i.e.,  $[\mathfrak{S}] \subseteq \mathfrak{C}^{**}F$ . Thus

$$[\mathfrak{S}] \cap \mathfrak{A} \subseteq \mathfrak{C}^{**}F \cap \overline{\mathfrak{A}} = \overline{\mathfrak{A}}F = \overline{\mathfrak{S}},$$

and  $[\mathfrak{S}] \cap \mathfrak{A} \subseteq \mathfrak{S}$ . The converse inclusion is trivial.

We next prove that  $[\mathfrak{S}]^- = \mathfrak{C}^{**}F$ . It suffices to show that if  $B \in \mathfrak{C}^{**} = [\mathfrak{A}]^-$  is such that  $B = BF$ , then  $B \in [\mathfrak{S}]^-$ .  $B$  is a weak limit of finite linear combinations of terms of the form  $A_1 \cdots A_n$  with  $A_i \in \mathfrak{A}$ . Multiplying these sums by  $F$ , we may instead assume the  $A_i$  lie in  $\overline{\mathfrak{S}} = \overline{\mathfrak{A}}F$ . Using the Kaplansky Density Theorem, we may select  $A_i^\alpha \in \mathfrak{S}$  with  $\|A_i^\alpha\| \leq \|A_i\|$ , and  $A_i^\alpha \rightarrow A_i$  strongly. Thus  $A_1^\alpha \cdots A_n^\alpha \rightarrow A_1 \cdots A_n$  strongly,  $A_1 \cdots A_n \in [\mathfrak{S}]^-$ , and  $B \in [\mathfrak{S}]^-$ .

It follows that  $[\mathfrak{S}]^-$  is an ideal in  $\mathfrak{C}^{**}$ , and  $[\mathfrak{S}] = [\mathfrak{S}]^- \cap \mathfrak{C}$  is an ideal in  $\mathfrak{C}$ .

**5. A characterization of Jordan ideals.**

**THEOREM 3.** *Let  $\mathfrak{S}$  be a subspace of a  $J$ -algebra  $\mathfrak{A}$  with a multiplicative identity, or a uniformly closed subspace of an arbitrary  $J$ -algebra  $\mathfrak{A}$ . Then the following are equivalent:*

- (1)  $\mathfrak{S}$  is a Jordan ideal in  $\mathfrak{A}$ .
- (2) If  $A \in \mathfrak{A}$  and  $D \in \mathfrak{S}$ , then  $ADA \in \mathfrak{S}$ .

**Proof.** That (1) always implies (2) follows from the equation

$$ADA = \frac{1}{2}[(A(DA + AD) + (DA + AD)A) - (A^2D + DA^2)].$$

Conversely, say that (2) is satisfied and  $E$  is a multiplicative identity for  $\mathfrak{A}$ . If  $A \in \mathfrak{A}$  and  $D \in \mathfrak{F}$ , the  $A \circ D \in \mathfrak{F}$  as

$$AD + DA = (A + E)D(A + E) - ADA - D.$$

If  $\mathfrak{A}$  does not have an identity, let  $E$  be the maximal projection in the  $JW$ -algebra  $\mathfrak{A}^{**}$ . From the Kaplansky Density Theorem, there is a net  $B_\alpha \in \mathfrak{A}$  with  $\|B_\alpha\| \leq 1$ , converging strongly to  $E$ . It follows that

$$(A + B_\alpha)D(A + B_\alpha) - ADA - D \rightarrow AD + DA$$

in the strong, hence weak topologies, and  $AD + DA \in \overline{\mathfrak{F}} \cap \mathfrak{A}$ . As  $\mathfrak{F}$  is convex and uniformly closed, we have from §2,  $\overline{\mathfrak{F}} \cap \mathfrak{A} = \mathfrak{F}$ .

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