

## ON FREE EXTERIOR POWERS

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**1. Introduction.** We study modules over a commutative ring  $R$  with unity. We seek properties of a module  $M$  for which some exterior power  $\wedge^p M$  is free of finite rank one or finitely generated.

This work originated in discussions with Howard Osborn. Several of his conjectures are settled below.

**2. Preliminaries.** Let  $R$  be a commutative ring with unity and let  $M$  be an  $R$  module (always assumed unital:  $1x=x$  for  $x \in M$ ).

LEMMA 1. *Suppose  $\wedge^n M = 0$ . Then*

$$\wedge^{n+p} M = 0 \quad \text{for } p = 1, 2, \dots$$

**Proof.** The map

$$(x_1 \wedge \cdots \wedge x_n, y_1 \wedge \cdots \wedge y_p) \rightarrow x_1 \wedge \cdots \wedge y_p$$

induces a surjection

$$(\wedge^n M) \otimes (\wedge^p M) \rightarrow \wedge^{n+p} M.$$

The conclusion is immediate.

LEMMA 2. *Let  $S = (s_1, \dots, s_n)$  and  $T$  be ideals of  $R$  such that  $S + T = R$ . Then for each  $p$ ,*

$$(s_1^p, \dots, s_n^p) + T = R.$$

This is proved by induction starting with the standard fact in commutative ideal theory that  $S_1 + T = S_2 + T = R$  implies  $S_1 S_2 + T = R$ .

**3. Vanishing of powers.** The following result was conjectured by H. Osborn in the free case.

THEOREM 1. *Suppose for some  $p$  that  $\wedge^p M$  is a cyclic  $R$ -module. Then  $\wedge^{p+k} M = 0$  for  $k = 1, 2, \dots$*

**Proof.** It suffices to prove that  $\wedge^{p+1} M = 0$ . Let  $e$  be a generator of  $\wedge^p M$  and let  $T$  denote the ideal of annihilators of  $\wedge^p M$ , i.e., annihilators of  $e$ . Then

$$e = \sum_1^n x_{i1} \wedge \cdots \wedge x_{ip}, \quad x_{ij} \in M.$$

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But  $x_{i1} \wedge \cdots \wedge x_{ip} = s_i e$ ,  $s_i \in R$ ; hence  $\sum s_i e = e$ . It follows that  $S + T = R$  where  $S = (s_1, \dots, s_n)$ .

Now let  $y_0 \wedge \cdots \wedge y_p \in \wedge^{p+1} M$ , where  $y_j \in M$ . If  $t \in T$ , then

$$t(y_0 \wedge \cdots \wedge y_p) = y_0 \wedge [t(y_1 \wedge \cdots \wedge y_p)] = 0.$$

Hence, by Lemma 2, we shall have proved that  $y_0 \wedge \cdots \wedge y_p = 0$  once we have shown that  $s_i^2(y_0 \wedge \cdots \wedge y_p) = 0$ . It suffices to consider  $i = 1$ . Now

$$y_1 \wedge \cdots \wedge y_p = r e \quad \text{and} \quad y_0 \wedge x_{11} \wedge \cdots \wedge x_{1,p-1} = r' e,$$

hence

$$\begin{aligned} s_1^2(y_0 \wedge \cdots \wedge y_p) &= s_1^2 r(y_0 \wedge e) \\ &= s_1 r(y_0 \wedge x_{11} \wedge \cdots \wedge x_{1p}) \\ &= s_1 r r'(e \wedge x_{1p}) \\ &= r r'(x_{11} \wedge \cdots \wedge x_{1p} \wedge x_{1p}) \\ &= 0. \end{aligned}$$

The natural generalization of this result is the conjecture that if  $\wedge^p M$  can be generated by  $q$  elements, then  $\wedge^{p+q} M = 0$ . It is doubtful that this is true; however, we do have the following.

**THEOREM 2.** *Suppose for some value of  $p$  that  $\wedge^p M$  is a free module of finite rank  $q$ . Then  $\wedge^{p+q} M = 0$ .*

**Proof.** Let  $e_1, \dots, e_q$  be a basis of  $\wedge^p M$ . Then

$$e_i = \sum_j x_{ij}, \quad x_{ij} = x_{ij1} \wedge \cdots \wedge x_{ijp},$$

and

$$x_{ij} = \sum_k s_{ijk} e_k, \quad s_{ijk} \in R.$$

Hence

$$e_i = \sum_k \left( \sum_j s_{ijk} \right) e_k, \quad \sum_j s_{ijk} = \delta_{ik}.$$

Here  $1 \leq i, k \leq q$ . Now select any  $(j_1, j_2, \dots, j_q) = j$ . By Cramer's rule,

$$[\det (s_{ijk})_{i,k}] e_r \in \sum_{m=1}^q R x_{mj_m}.$$

Call the determinant factor  $\Delta_j$ . These determinants together generate the unit ideal  $R$  since

$$1 = \det (\delta_{ij}) = \det \left( \sum_j s_{ijk} \right)_{i,k} = \sum \Delta_j.$$

Renumbering, we have obtained quantities  $t_1, t_2, \dots \in R$  such that

- (i)  $(t_1, t_2, \dots) = R$ ,
- (ii) for each  $i$ ,

$$t_i \wedge^p M \subseteq \sum_{k=1}^q R y_{ik},$$

where the  $y_{ik}$  are pure  $p$ -vectors. From (i) and Lemma 2 above,  $(t_1^{q+1}, t_2^{q+1}, \dots) = R$ . We shall prove that

$$t_i^{q+1}(\wedge^{p+q} M) = 0 \quad (i = 1, 2, \dots),$$

which implies  $\wedge^{p+q} M = 0$ . It suffices to prove

$$t^{q+1}(\wedge^{p+q} M) = 0$$

if  $t(\wedge^p M)$  is contained in a submodule of  $\wedge^p M$  generated by  $q$  pure  $p$ -vectors  $y_i = y_{i1} \wedge \dots \wedge y_{ip}$  ( $i = 1, \dots, q$ ). We resort to "abuse of language" to save notation in the calculation that follows:

$$\begin{aligned} t^{q+1}(\wedge^{p+q} M) &= t^{q+1}(\wedge^p M) \wedge (\wedge^q M) \\ &\subseteq t^q \sum R y_i \wedge (\wedge^q M) = t^q \sum R y_{i1} \wedge (\wedge^p M) \wedge (\wedge^{q-1} M) \\ &\subseteq t^{q-1} \sum R y_{i1} \wedge y_j \wedge (\wedge^{q-1} M) \\ &= t^{q-1} \sum R y_{i1} \wedge y_{j1} \wedge (\wedge^p M) \wedge (\wedge^{q-2} M) \\ &\leq \dots = \dots \\ &\subseteq \sum R y_{i1} \wedge y_{j1} \wedge \dots \wedge y_{k1}. \end{aligned}$$

But each  $(q+1)$ -tuple of indices  $(i, j, \dots, k)$  contains a repetition, hence the last module written vanishes. This completes the proof.

Besides the conjecture mentioned before the statement of the theorem, one might also ask whether

$$\wedge^{q+1}(\wedge^p M) = 0 \quad (p \geq 1, q \geq 0)$$

implies

$$\wedge^{p+q} M = 0.$$

**4. Duality.** Let  $M$  be an  $R$ -module, and denote its conjugate space by  $M^* = \text{Hom}(M, R)$ . Recall the natural homomorphisms:

$$\wedge^p M^* \rightarrow (\wedge^p M)^*, \quad M \rightarrow (M^*)^*.$$

The first is based on the pairing

$$\langle f_1 \wedge \dots \wedge f_p, z_1 \wedge \dots \wedge z_p \rangle = \det(\langle f_i, z_j \rangle)$$

of

$$(\wedge^p M^*) \times (\wedge^p M) \rightarrow R.$$

If  $\wedge^p M$  is free of rank one with basis  $e$ , then there are further natural (relative to  $e$ ) homomorphisms:

$$\wedge^r M \rightarrow (\wedge^{p-r} M)^* \quad (r = 0, \dots, p),$$

based on

$$(z_1 \wedge \dots \wedge z_r) \wedge (z_{r+1} \wedge \dots \wedge z_p) = f(z_{r+1} \wedge \dots \wedge z_p)e.$$

The main result of this section, the theorem below, settles several conjectures of H. Osborn.

**THEOREM 3.** *Let  $M$  be an  $R$ -module such that  $\wedge^p M$  is free of rank one for some value of  $p$ . Then the following hold:*

- (i)  $M$  and  $M^*$  are finitely generated.
- (ii)  $\wedge^p M^*$  is free of rank one.
- (iii) The natural map  $\wedge^p M^* \rightarrow (\wedge^p M)^*$  is an isomorphism.
- (iv)  $M$  is reflexive: the natural map  $M \rightarrow M^{**}$  is an isomorphism.
- (v) For each  $r=0, \dots, p$ , the natural map  $\wedge^r M^* \rightarrow (\wedge^r M)^*$  is an isomorphism. In addition, each module  $\wedge^r M, \wedge^r M^*$  is reflexive:

$$\wedge^r M \approx (\wedge^r M)^{**}, \quad \wedge^r M^* \approx (\wedge^r M^*)^{**}.$$

- (vi) For each  $r=0, \dots, p$ , the natural map (relative to a basis of  $\wedge^p M$ )

$$\wedge^r M \rightarrow (\wedge^{p-r} M)^*$$

is an isomorphism.

- (vii) The modules  $M$  and  $M^*$  are projective.

The proof will involve several steps.

**LEMMA 3.** *If  $F \in (\wedge^p M)^*$  and  $z_0, \dots, z_p \in M$ , then*

$$\sum (-1)^j F(z_0 \wedge \dots \wedge z_{j-1} \wedge z_{j+1} \wedge \dots \wedge z_p) z_j = 0.$$

**Proof.** The mapping

$$(z_0, \dots, z_p) \rightarrow \sum (-1)^j F(z_0 \wedge \dots \wedge z_{j-1} \wedge z_{j+1} \wedge \dots \wedge z_p) z_j$$

is alternating multilinear on  $\times^{p+1} M \rightarrow M$ , hence vanishes identically by Theorem 1.

For the next steps it is convenient to fix certain elements of  $R, M, M^*$ , and their exterior powers.

Let  $e$  be a basis of  $\wedge^p M$ . Then  $e = \sum_1^n b_i x_i$ , where  $b_i \in R, x_i = x_{i1} \wedge \dots \wedge x_{ip}$ . Since  $e$  is a basis,  $x_i = s_i e, \sum b_i s_i = 1$ . Set

$$y_{ij} = (-1)^{j-1} x_{i1} \wedge \dots \wedge x_{i,j-1} \wedge x_{i,j+1} \wedge \dots \wedge x_{ip} \in \wedge^{p-1} M,$$

so  $x_i = x_{ij} \wedge y_{ij}$ . Define  $f_{ij} \in M^*$  by

$$\langle f_{ij}, z \rangle e = z \wedge y_{ij} \quad (1 \leq i \leq n, 1 \leq j \leq p).$$

Then  $\langle f_{ij}, x_{ij} \rangle = s_i$ ,  $\langle f_{ij}, x_{ik} \rangle = 0$  ( $k \neq j$ ). Set  $f_i = f_{i1} \wedge \cdots \wedge f_{ip} \in \wedge^p M^*$ . Then

$$\langle f_i, x_i \rangle = \det (\langle f_{ij}, x_{ik} \rangle)_{j,k} = s_i^p.$$

Also by a simple determinant computation,  $\langle f_i, z \wedge y_{ij} \rangle = s_i^{p-1} \langle f_{ij}, z \rangle$ .

The key to the whole proof is the following

LEMMA 4. For  $z \in M$  and for  $g \in M^*$  we have

$$\begin{aligned} s_i z &= \sum_{j=1}^p \langle f_{ij}, z \rangle x_{ij}, \\ s_i g &= \sum_{j=1}^p \langle g, x_{ij} \rangle f_{ij} \end{aligned} \quad (1 \leq i \leq n).$$

**Proof.** Since  $\wedge^p M$  is free with basis  $e$ , there is an  $F \in (\wedge^p M)^*$  such that  $F(e) = 1$ . Apply Lemma 3 to  $F; z, x_{i1}, \dots, x_{ip}$ :

$$F(x_i)z = \sum_j F(z \wedge y_{ij})x_{ij}.$$

But  $F(x_i) = F(s_i e) = s_i$  and  $F(z \wedge y_{ij}) = F(\langle f_{ij}, z \rangle e) = \langle f_{ij}, z \rangle$ , so the first relation follows.

Now apply  $g$  to this relation:

$$s_i \langle g, z \rangle = \sum_j \langle f_{ij}, z \rangle \langle g, x_{ij} \rangle.$$

Since this is true for all  $z \in M$ , the second relation follows.

LEMMA 5. If  $z \in M$  and if  $g \in M^*$ , then

$$z = \sum_{i,j} b_i \langle f_{ij}, z \rangle x_{ij}, \quad g = \sum_{i,j} b_i \langle g, x_{ij} \rangle f_{ij}.$$

**Proof.** Multiply each relation in Lemma 4 by  $b_i$  and sum.

**Proof of (i), Theorem 3.** By Lemma 5, the  $x_{ij}$  ( $1 \leq i \leq n, 1 \leq j \leq p$ ) span  $M$  and the  $f_{ij}$  span  $M^*$ .

By Lemma 2,  $(s_1^p, \dots, s_n^p) = R$ . Hence there are  $a_i \in R$  satisfying

$$\sum_1^n a_i s_i^p = 1.$$

Set

$$f = \sum_1^n a_i s_i f_i \in \wedge^p M^*.$$

LEMMA 6.  $s_i \langle f_i, e \rangle = s_i^p$ ,  $\langle f, e \rangle = 1$ .

**Proof.**

$$\begin{aligned} s_i \langle f_i, e \rangle &= \langle f_i, s_i e \rangle = \langle f_i, x_i \rangle = s_i^p, \\ \langle f, e \rangle &= \sum a_i s_i \langle f_i, e \rangle = \sum a_i s_i^p = 1. \end{aligned}$$

LEMMA 7. For each  $g \in \wedge^p M^*$ ,  $g = \langle g, e \rangle f$ .

**Proof.** It suffices to prove this for  $g$  pure,  $g = g_1 \wedge \cdots \wedge g_p$ . By Lemma 4,  $s_i g_k = \sum_j \langle g_k, x_{ij} \rangle f_{ij}$ . Multiply these together:

$$s_i^p g = \langle g, x_i \rangle f_i = \langle g, e \rangle s_i f_i.$$

Multiply by  $a_i$  and sum to complete the proof.

LEMMA 8. For each  $i$ ,  $s_i f_i = s_i^p f$ .

**Proof.**  $s_i f_i = \langle s_i f_i, e \rangle f = \langle f_i, s_i e \rangle f = \langle f_i, x_i \rangle f = s_i^p f$ .

**Proof of (ii), Theorem 3.** By Lemma 7,  $f$  spans  $\wedge^p M^*$ . By Lemma 6, if  $cf=0$ , then  $c = c \langle f, e \rangle = 0$ , hence  $f$  is free,  $\wedge^p M^*$  is free of rank one with basis  $f$ .

**Proof of (iii), Theorem 3.** By Lemma 6,  $f \rightarrow$  generator of  $(\wedge^p M)^*$ , so the map is an isomorphism.

LEMMA 9. The natural map  $M \rightarrow M^{**}$  is injective.

**Proof.** Suppose  $z \in M$  and  $g(z) = 0$  for all  $g \in M^*$ . By the first formula in Lemma 5,  $z = 0$ .

To complete the proof of (iv) we must study the homomorphisms of  $M^*$  induced by the  $x_{ij}$ . Let

$$x_{ij} \rightarrow \phi_{ij} \in M^{**} \quad \phi_{ij}(g) = \langle g, x_{ij} \rangle.$$

LEMMA 10. For each  $\phi \in M^{**}$ ,

$$s_i \phi = \sum_{j=1}^p \phi(f_{ij}) \phi_{ij} \quad (1 \leq i \leq n),$$

$$\phi = \sum_{i,j} b_i \phi(f_{ij}) \phi_{ij}.$$

**Proof.** Let  $g \in M^*$ . Apply  $\phi$  to the second formula of Lemma 4:

$$s_i \phi(g) = \sum_j \langle g, x_{ij} \rangle \phi(f_{ij}) = \sum_j \phi(f_{ij}) \phi_{ij}(g).$$

Hence the first formula of Lemma 10; the second formula easily follows.

**Proof of (iv), Theorem 3.** The map  $M \rightarrow M^{**}$  is injective by Lemma 9 and surjective by Lemma 10 (since  $x_{ij} \rightarrow \phi_{ij}$ ), hence is an isomorphism.

Now we prepare for the proofs of (v) and (vi). The cases  $r=0$ ,  $r=p$  are trivial. Fix  $r$ ,  $1 \leq r \leq p-1$ . As before, let  $H$  run over  $r$ -element subsets of  $\{1, \dots, p\}$  and let  $H'$  denote the complement of  $H$ . Set

$$x_{iH} = x_{ih_1} \wedge \cdots \wedge x_{ih_r} \in \wedge^r M, \quad \text{etc.}$$

LEMMA 11. If  $z \in \wedge^r M$ , then

$$s_i^r z = \sum_{H'} \langle f_{iH}, z \rangle x_{iH}.$$

If  $g \in \wedge^r M^*$ , then

$$s_i^r g = \sum_H \langle g, x_{iH} \rangle f_{iH},$$

both relations for  $1 \leq i \leq n$ .

These formulas follow easily from Lemma 4 applied to the special cases of  $z, g$  pure.

LEMMA 12. *The  $x_{iH}$  span  $\wedge^r M$  and the  $f_{iH}$  span  $\wedge^r M^*$ .*

This follows from Lemma 11 and the consequences of Lemma 2:  $(s_1^r, \dots, s_n^r) = R$ .

**Proof of (v), Theorem 3.** Each  $g \in \wedge^r M^*$  induces an element of  $(\wedge^r M)^*$  via  $z \rightarrow \langle g, z \rangle$ . The resulting map  $\wedge^r M^* \rightarrow (\wedge^r M)^*$  is injective. For suppose  $\langle g, z \rangle = 0$  for all  $z$ . By Lemma 11,  $s_i^r g = 0$  for  $1 \leq i \leq n$ . But  $R = (s_1^r, \dots, s_n^r)$ , hence  $g = 0$ .

The map is also surjective. For let  $F \in (\wedge^r M)^*$ . Apply  $F$  to the first formula of Lemma 11:

$$s_i^r F(z) = \sum_H \langle f_{iH}, z \rangle F(x_{iH}).$$

Select  $c_i$  so  $\sum c_i s_i^r = 1$ . Then

$$F(z) = \sum_{i,H} c_i F(x_{iH}) \langle f_{iH}, z \rangle,$$

which shows that  $F$  lies in the image of  $\wedge^r M^*$ . Hence the map is an isomorphism.

Similar reasoning, applied to the second identity of Lemma 11, shows that each linear functional on  $\wedge^r M^*$  is induced by a unique element of  $\wedge^r M$ , so each module is the conjugate of the other.

**Proof of (vi), Theorem 3.** We are considering the pairing

$$\pi: \wedge^r M \times \wedge^{p-r} M \rightarrow R$$

given by  $z \wedge w = \pi(z, w)e$ , which induces

$$\wedge^r M \rightarrow (\wedge^{p-r} M)^* \rightarrow \wedge^{p-r} M^*.$$

Statement (vi) asserts that this map is an isomorphism.

It is an injection. Suppose  $z \rightarrow 0$  for some  $z \in \wedge^r M$ , which means  $z \wedge w = 0$  for all  $w \in \wedge^{p-r} M$ . By Lemma 11,

$$s_i^r z = \sum_H \langle f_{iH}, z \rangle x_{iH}.$$

Hence in particular,

$$\sum_H \langle f_{iH}, z \rangle x_{iH} \wedge x_{iK'} = 0,$$

where  $|K| = r$ . Thus for each  $H$ , and each  $i$ ,

$$\begin{aligned} \langle f_{iH}, z \rangle x_i &= 0, \\ s_i \langle f_{iH}, z \rangle &= 0. \end{aligned}$$

To prove  $z=0$ , we shall show that the  $s_i f_{iH}$  span  $\wedge^r M^*$  and appeal to conclusion (v) of the theorem. But the second formula of Lemma 11 implies that if  $g \in \wedge^r M^*$ , then  $s_i^{r+1}g$  is a linear combination of  $s_i f_{iH}$ . Since  $(s_1^{r+1}, \dots, s_n^{r+1}) = R$ , so is  $g$ .

Now let  $F \in (\wedge^{p-r} M)^*$ . If  $w \in \wedge^{p-r} M$ , then (Lemma 11)

$$s_i^{p-r}w = \sum_K \langle f_{iK}, w \rangle x_{iK}.$$

Hence

$$s_i^{p-r}F(w) = \sum_H \langle f_{iH}, w \rangle F(x_{iH})$$

on the one hand, showing that the functionals  $w \rightarrow s_i \langle f_{iH}, w \rangle$  span  $(\wedge^{p-r} M)^*$ ; and

$$s_i^{p-r}x_{iH} \wedge w = \varepsilon_{H,H'} \langle f_{iH'}, w \rangle s_i e,$$

or  $s_i^{p-r}\pi(x_{iH}, w) = \varepsilon_{H,H'} s_i \langle f_{iH'}, w \rangle$  on the other hand. This latter relation shows that each  $w \rightarrow s_i \langle f_{iH'}, w \rangle$  is the image of  $\pm s_i^{p-r}x_{iH}$ ; the mapping is surjective.

**Proof of (vii), Theorem 3.** Suppose we have homomorphisms

$$\begin{array}{ccc} & M & \\ & \downarrow \phi & \\ A & \xrightarrow{\psi} C & \longrightarrow 0 \end{array}$$

where the row is exact. Select  $u_{ij} \in A$  so  $\psi(u_{ij}) = \phi(x_{ij})$ . Define  $\lambda: M \rightarrow A$  by

$$\lambda(z) = \sum b_i \langle f_{ij}, z \rangle u_{ij}.$$

By Lemma 5,  $\psi \circ \lambda = \phi$ . Hence  $M$  is projective; similarly  $M^*$  is so.

REMARK. The isomorphism

$$\wedge^r M \rightarrow \wedge^{p-r} M^*$$

can be made explicit by use of interior products. Indeed, as Professor Osborn has pointed out, this provides an alternate proof of (v). The products  $\lrcorner$  and  $\llcorner$  are defined by

$$\langle f \lrcorner z, w \rangle = \langle f, z \wedge w \rangle$$

for  $z \in \wedge^r M, w \in \wedge^{p-r} M$ ,

$$\langle g, h \llcorner e \rangle = \langle h \wedge g, e \rangle$$

for  $h \in \wedge^{p-r} M^*, g \in \wedge^r M^*$ . Thus

$$\begin{aligned} f \lrcorner &: \wedge^r M \rightarrow \wedge^{p-r} M^*, \\ \llcorner e &: \wedge^{p-r} M^* \rightarrow \wedge^r M. \end{aligned}$$

The basic relation is the Cauchy-Binet Formula:

$$\langle f \lrcorner z, g \llcorner e \rangle = \langle g, z \rangle$$

for  $z \in \wedge^r M, g \in \wedge^{p-r} M^*$ .

This is proved by calculation for the generators  $x_{iH}, f_{iK}$ . An easy consequence is the following pair of formulas:

$$\begin{aligned} (f_{\perp}z)_{\perp}e &= (-1)^{r(p-r)}z \\ f_{\perp}(h_{\perp}e) &= (-1)^{r(p-r)}h \end{aligned}$$

for  $z \in \wedge^r M, h \in \wedge^{p-r} M^*$ . Hence  $z \rightarrow f_{\perp}z, h \rightarrow h_{\perp}e$  are isomorphisms, inverses of each other up to sign.

5. **Other results.** The following special case of Theorem 3 has some interest.

**THEOREM 4.** *Suppose  $\wedge^p M$  is free of rank one and  $\wedge^p M = Re$  where  $e$  is a pure vector,  $e = x_1 \wedge \cdots \wedge x_p$ . Then  $M$  is a free module of rank  $p$ .*

**Proof.** Proceed as above, but with  $n=1, s_1=1$ . Thus  $\langle f_i, x_j \rangle = \delta_{ij}$  so  $x_1, \dots, x_p$  are linearly independent. We know they span (Lemma 5), hence they form a basis of  $M$ .

The following result is due to Osborn, who used it to obtain part of Theorem 3 under stronger hypotheses.

**THEOREM 5.** *Suppose  $R$  is a local ring and  $\wedge^p M$  is free of rank one. Then  $M$  is free.*

**Proof.** Use the notation of Theorem 3. Then  $\wedge^p M$  has basis  $e = \sum b_i x_i$ , where  $x_i$  are pure  $p$ -vectors, and  $x_i = s_i e$  with  $\sum b_i s_i = 1$ . Since  $R$  is a local ring and  $(s_1, \dots, s_n) = R$ , some  $s_i$  is a unit, hence  $x_i$  is a basis and Theorem 4 applies.

Lemma 3 above can be generalized in a way which might be useful.

**THEOREM 6.** *Let  $\wedge^p M$  be cyclic. Let*

$$F \in \text{Hom}(\wedge^r M, N_1) \quad \text{and} \quad G \in \text{Hom}(\wedge^{p-r+1} M, N_2).$$

Let  $z_0, \dots, z_p \in M$ . Then

$$\sum_H \varepsilon_{H,H'} F(z_H) \otimes G(z_{H'}) = 0,$$

where  $H$  runs over  $r$  element subsets of  $\{0, 1, \dots, p\}$ ,

$$H = \{i_1 < i_2 < \cdots < i_r\}, \quad H' = \{j_0 < j_1 < \cdots < j_{p-r}\}$$

is the complement of  $H$  in  $\{0, 1, \dots, p\}$ , and

$$z_H = z_{i_1} \wedge z_{i_2} \wedge \cdots \wedge z_{i_r}, \quad z_{H'} = z_{j_0} \wedge \cdots \wedge z_{j_{p-r}}.$$

**Proof.** The map

$$(z_0, \dots, z_p) \rightarrow \sum_H \varepsilon_{H,H'} F(z_H) \otimes G(z_{H'})$$

is alternating multilinear on

$$\times^{p+1} M \rightarrow (\wedge^r N_1) \otimes (\wedge^{p-r+1} N_2).$$

But  $\wedge^{p+1} M = 0$  by Theorem 1, hence the map vanishes.

Some of the obvious generalizations of Theorem 3, conclusion (i) are wrong. For example the  $\mathbf{Z}$  module  $\mathbf{M} = \mathbf{Q}/\mathbf{Z}$  is not finitely generated, but  $\wedge^2 \mathbf{M} = \mathbf{0}$ . Thus in general  $\wedge^p \mathbf{M}$  finitely generated does not imply  $\mathbf{M}$  finitely generated. Also  $\wedge^p \mathbf{M}$  finitely generated (or even generated by one element) does not imply  $\wedge^p \mathbf{M}^*$  finitely generated. For example, let  $k$  be a field,  $V$  a (countably) infinite dimensional  $k$ -space. Set  $\mathbf{R} = k \oplus V$  with trivial multiplication in  $V$ . Set  $\mathbf{M} = \mathbf{R}/V \approx k$ . Then  $\mathbf{M}$  is a cyclic  $\mathbf{R}$ -module. But  $\mathbf{M}^* = \text{Hom}(\mathbf{M}, \mathbf{R}) \approx V$ , so each  $\wedge^p \mathbf{M}^*$  is infinite dimensional.

In Theorem 2 it was shown that  $\wedge^p \mathbf{M}$  free of rank  $q$  implies  $\wedge^{p+q} \mathbf{M} = \mathbf{0}$ . Assuming, of course,  $q \geq 1$ , does this imply  $\wedge^p \mathbf{M}^*$  free of rank  $q$  and the duality situation of Theorem 3? This is difficult and will be postponed for later investigation.

**6. Examples.** Let  $\mathbf{R}$  be the ring of real analytic functions on the  $p$ -sphere  $S^p$  in Euclidean  $E^{p+1}$  defined by  $x_0^2 + \dots + x_p^2 = 1$ . Let  $\mathbf{M}$  be the module of real analytic differentiable one-forms on  $S^p$ . The  $\wedge^p \mathbf{M}$  is free of rank one with basis the element of area

$$\sigma = \sum (-1)^j x_j dx_0 \wedge \dots \wedge dx_{j-1} \wedge dx_{j+1} \wedge \dots \wedge dx_p.$$

By Theorem 3,  $\mathbf{M}$  is projective; but  $\mathbf{M}$  is not free unless  $S^p$  is parallelizable, i.e.,  $p = 1, 3,$  or  $7$ . Our work above shows that each real analytic one-form on  $S^p$  can be written  $\sum f_i dx_i$  where  $f_i \in \mathbf{R}$ . (This is not obvious because the elements of  $\mathbf{M}$  are cross sections of the bundle of one-forms at all points of  $S^p$ .) Similar remarks apply to any real analytic manifold which is orientable and is a submanifold of euclidean space.

An algebraic model may be constructed as follows. Let  $\mathbf{S}$  be a ring and  $\mathbf{R} = \mathbf{S}[r, s, t]$  subject to  $r^2 + s^2 + t^2 = 1$ . Let  $\mathbf{M} = \mathbf{R}x + \mathbf{R}y + \mathbf{R}z$  subject to  $rx + sy + tz = 0$ . Then  $\wedge^2 \mathbf{M}$  has the basis  $e = ry \wedge z + sz \wedge x + tx \wedge y$  so  $\mathbf{M}$  is projective; but  $\mathbf{M}$  is not free.

A similar example is obtained from the ring  $\mathbf{R} = \mathbf{S}[a, b, c, r, s, t]$  subject to the single relation  $ar + bs + ct = 1$ . The module is  $\mathbf{M} = \mathbf{R}x + \mathbf{R}y + \mathbf{R}z$  with the generating relation  $rx + sy + tz = 0$ . The element  $e = ay \wedge z + bz \wedge x + cx \wedge y$  is a basis of  $\wedge^2 \mathbf{M}$ , so  $\mathbf{M}$  is projective; but  $\mathbf{M}$  is not free.

To see that  $e$  is a basis, first observe by the defining relations that

$$x \wedge y = te, \quad y \wedge z = re, \quad z \wedge x = se,$$

hence  $e$  generates  $\wedge^2 \mathbf{M}$ . Next the map  $F: \times^2 \mathbf{M} \rightarrow \mathbf{R}$  given by

$$F(\alpha_1 x + \alpha_2 y + \alpha_3 z, \beta_1 x + \beta_2 y + \beta_3 z) = \begin{vmatrix} \alpha_1 & \alpha_2 & \alpha_3 \\ \beta_1 & \beta_2 & \beta_3 \\ r & s & t \end{vmatrix}$$

is well defined and is alternating bilinear, hence defines  $F: \wedge^2 \mathbf{M} \rightarrow \mathbf{R}$ . But  $F(e) = 1$ , hence  $e$  is free.

The proof that  $\mathbf{M}$  is not free is more complicated.

## REFERENCES

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