

## LIE ISOMORPHISMS OF DERIVED RINGS OF SIMPLE RINGS<sup>(1)</sup>

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1. **Introduction.** A Lie subring  $L$  of an associative ring  $R$  is an additive subgroup of  $R$  such that  $[x, y] = xy - yx \in L$ , whenever  $x$  and  $y$  are in  $L$ . Clearly  $[R, R]$ , the additive subgroup of  $R$  generated by all commutators  $[x, y]$ , is such a Lie subring of  $R$ . If  $L_1$  is a Lie subring of  $R$  and  $L_2$  is a Lie subring of  $S$ , then a Lie isomorphism  $\phi$  of  $L_1$  onto  $L_2$  is a one-one additive mapping of  $L_1$  onto  $L_2$  which preserves commutators, i.e.

$$\begin{aligned}\phi(x+y) &= \phi(x) + \phi(y) \\ \phi(xy-yx) &= \phi(x)\phi(y) - \phi(y)\phi(x)\end{aligned}$$

for all  $x, y \in L_1$ . In this paper, we will assume that  $L_1 = [R, R]$  and  $L_2 = [S, S]$  where  $R$  and  $S$  are simple rings with identity. We shall also assume that the characteristic of  $R$  is different from 2 and 3, and that  $R$  contains three nonzero orthogonal idempotents whose sum is the identity. We will then show that  $\phi$  may be extended to either an isomorphism of  $R$  onto  $S$ , or to the negative of an anti-isomorphism of  $R$  onto  $S$ . This result generalizes a theorem of Martindale [4, p. 916, Theorem 5].

2. **Lie isomorphisms and the Peirce decomposition.** Let  $e_1, e_2,$  and  $e_3$  be the orthogonal idempotents of  $R$ , i.e.

$$e_i^2 = e_i \neq 0; \quad \sum_{i=1}^3 e_i = 1, \quad e_i e_j = 0 \text{ for } i \neq j.$$

It is well known that we can obtain the Peirce decomposition

$$R = \bigoplus_{i,j=1}^3 R_{ij} \quad \text{where } R_{ij} = e_i R e_j.$$

We will denote an element in  $R_{ij}$  by  $x_{ij}$ . The proof of the theorem requires a careful analysis of those properties of the Peirce decomposition, which are invariant under Lie isomorphisms.

Let  $S$  be a simple ring with identity. Let  $S_r$  and  $S_l$  denote the right and left multiplications respectively of  $S$ , and denote the center of  $S$  by  $Z$ .

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2.1. LEMMA.  $S^* \otimes_Z S \cong S_l S_r.$

**Proof.** Let  $\eta: S^* \otimes_Z S \rightarrow S_l S_r$  be given by

$$\left( \sum_{i=1}^n a_i^* \otimes b_i \right) \eta = \sum_{i=1}^n a_{il} b_{ir}.$$

Since  $(1^* \otimes 1)\eta = 1$ , we know  $\eta \neq 0$ . Since  $S^* \otimes_Z S$  is simple,  $\eta$  is an isomorphism, and  $\eta$  is clearly a surjection.

The following lemma illustrates how one can solve certain “generalized polynomial identities” using the tensor product.

2.2. LEMMA. *Let  $S$  be a simple ring with identity of characteristic not 2 or 3, such that  $[S, S]^- = S$ , where  $[S, S]$  denotes the subring generated by  $[S, S]$ . Suppose  $[[[x, a], a], a] = 0$  for all  $x \in [S, S]$ . Then there is  $z \in Z$  such that  $(a+z)^2 = 0$ .*

**Proof.** Since  $[[[x, a], a], a] = 0$  for all  $x \in [S, S]$ , we may choose  $x = [y, a]$  where  $y$  is arbitrary in  $S$ . Hence  $[[[[y, a], a], a], a] = 0$  for all  $y \in S$ . In terms of mappings, this gives that  $(a_r - a_l)^4 = 0$ . Since  $[a_r, a_l] = 0$ , we can expand the previous relation to obtain

$$a_r^4 - 4a_r^3 a_l + 6a_r^2 a_l^2 - 4a_r a_l^3 + a_l^4 = 0.$$

By 2.1 we may replace this equation by:

$$(1) \quad 1 \otimes a^4 - 4a \otimes a^3 + 6a^2 \otimes a^2 - 4a^3 \otimes a + a^4 \otimes 1 = 0.$$

Since  $1 \neq 0$ , the set  $\{a^4, a^3, a^2, a, 1\}$  is a dependent set over  $Z$ . We may assume that  $\{a^3, a^2, a, 1\}$  is a dependent set. Otherwise

$$a^4 = \alpha a^3 + \beta a^2 + \gamma a + \delta, \quad \alpha, \beta, \gamma, \delta \in Z.$$

Substituting this in (1), we obtain:

$$(\alpha - 4a) \otimes a^3 + (\beta + 6a^2) \otimes a^2 + (\gamma - 4a^3) \otimes a + (\alpha a^3 + \beta a^2 + \gamma a + \delta) \otimes 1 = 0.$$

The independence of  $\{a^3, a^2, a, 1\}$  gives that  $\alpha - 4a = 0$ . But then  $a \in Z$  and  $z = -a$  satisfies the theorem. We now claim that the set  $\{a^2, a, 1\}$  is a dependent set. If this is not the case, then we have:

$$a^3 = \alpha a^2 + \beta a + \gamma \quad \alpha, \beta, \gamma \in Z$$

whence

$$a^4 = (\alpha^2 + \beta)a^2 + (\alpha\beta + \gamma)a + \alpha\gamma.$$

These relations, when substituted into (1), give

$$\begin{aligned} (-6a^2 - 4\alpha a + \alpha^2 + \beta) \otimes a^2 + (\alpha a^2 - 3\beta a + 2\gamma + \alpha\beta) \otimes a \\ + [(a^2 + \beta)a^2 + (\alpha\beta - 3\gamma)a + 2\alpha\gamma] \otimes 1 = 0. \end{aligned}$$

The assumed independence of  $\{a^2, a, 1\}$  gives that  $-6a^2 - 4\alpha a + \alpha^2 + \beta = 0$  which contradicts the independence of  $\{a^2, a, 1\}$ . Thus  $\{a^2, a, 1\}$  is a dependent set as

claimed. Furthermore, if  $\{a, 1\}$  is dependent, then  $a \in Z$  and  $z = -a$  satisfies the theorem. If  $\{a, 1\}$  is independent, then we have that:

$$(2) \quad a^2 = \alpha a + \beta$$

whence

$$(3) \quad a^3 = (\alpha^2 + \beta)a + \beta\alpha.$$

But  $[[[x, a], a], a] = 0$  for all  $x \in [S, S]$ , so

$$xa^3 - 3axa^2 + 3a^2xa - a^3x = 0 \quad \text{for all } x \in [S, S].$$

Substituting the relations (2) and (3) this equation becomes after simplification

$$(4) \quad (\alpha^2 + 4\beta)[x, a] = 0 \quad \text{for all } x \in [S, S].$$

Now, if  $[x, a] = 0$  for all  $x \in [S, S]$ , then, since  $[S, S]^- = S$ ,  $a \in Z$  and we are done as before. If  $[x, a] \neq 0$  for some  $x \in [S, S]$ , then, since  $Z$  is a field,  $\alpha^2 + 4\beta = 0$ . Let  $z = -\alpha/2$ . Now  $(a - \alpha/2)^2 = a^2 - \alpha a + \alpha^2/4 = a^2 - \alpha a - \beta = 0$ .

**2.3. LEMMA.** *Let  $S$  be simple with identity and with characteristic different from 2. Suppose  $a, b \in S$  are such that  $a^2 = b^2 = [a, b] = 0$ . If, in addition,  $[[[x, b], a], b] = 0$  for all  $x \in [S, S]$ , then  $ab = ba = 0$ .*

**Proof.** Since  $[[[x, b], a], b] = 0$  for all  $x \in [S, S]$ , letting  $x = [y, a]$  where  $y$  is arbitrary in  $S$ , we have  $[[[[y, a], b], a], b] = 0$  for all  $y \in S$ . Expanding this equation and using  $a^2 = b^2 = [a, b] = 0$ , we obtain  $4abyab = 0$  for all  $y \in S$ . Since  $S$  is simple,  $ab = 0$ .

**2.4. LEMMA.** *Let  $S$  be a simple ring such that  $[S, S]^- = S$ . Suppose further that  $a[S, S]b = 0$  for some  $a, b \in S$ . Then either  $a = 0$  or  $b = 0$ .*

**Proof.** Let  $x, y \in S$ . Since  $xy - yx \in [S, S]$ , we have  $a(xy - yx)b = 0$  or  $axyb = ayxb$ . Now let  $L = \{x \in S \mid xb = 0\}$ .  $L$  is a left ideal of  $S$  and  $a[S, S] \subseteq L$ .  $LS$  is a two-sided ideal of  $S$ , and so either  $LS = 0$  or  $LS = S$ . If  $LS = 0$ , then  $L = 0$ , so  $a[S, S] = 0$ . Since  $[S, S]^- = S$ , this gives  $aS = 0$  and hence  $a = 0$ . Hence we may assume that  $LS = S$ . Let  $x \in S$ , then  $x = \sum_{i=1}^n l_i y_i$  where  $l_i \in L$  and  $y_i \in S$ . Then

$$axb = a\left(\sum_{i=1}^n l_i y_i\right)b = \sum_{i=1}^n a(l_i y_i)b = \sum_{i=1}^n (a y_i l_i b) = 0.$$

Thus  $aSb = 0$ , so either  $a = 0$  or  $b = 0$ .

We now state in the form of a remark a useful result which may be found in [1].

**REMARK 2.5.** *If  $R$  is a simple ring of characteristic different from 2 and is not a field, then  $[R, R]^- = R$ .*

Henceforth  $R$  and  $S$  will be as stated in the introduction. The "off-diagonal" elements  $R_{ij}$ ,  $i \neq j$  of the Peirce decomposition of  $R$  are in  $[R, R]$ . In fact  $x_{ij} = [e_i, x_{ij}]$ .

REMARK 2.6. The characteristic of  $S$  is not two or three, and  $([S, S])^- = S$ .

**Proof.** Since the ideal  $\{x \in R \mid 2x=0\}$  must be zero,  $2R_{12} \neq 0$ . Thus  $2\phi(R_{12}) \neq 0$  and  $2S \neq 0$ . Similarly the characteristic of  $S$  is not three.

By 2.5  $[R, R]^- = R$ , so  $[R, R] \neq 0$ . Since  $\phi$  is a bijection  $[S, S] \neq 0$ . Thus by 2.5  $[S, S]^- = S$ .

We now begin to examine the image of the Peirce decomposition under  $\phi$ . If  $x_{ij} \in R_{ij}$ ,  $i \neq j$ , then  $x_{ij} \in [R, R]$ . Thus  $\phi$  may be applied to these elements.

2.7. LEMMA. *Let  $x_{ij} \in R_{ij}$ ,  $i \neq j$ . Then  $\phi(x_{ij})^2 = 0$ .*

**Proof.** If  $x_{ij} = 0$ , then  $\phi(x_{ij})^2 = 0$ . So we may assume that  $x_{ij} \neq 0$ . Since  $x_{ij}^2 = 0$ ,  $[[[x, x_{ij}], x_{ij}], x_{ij}] = 0$  for all  $x \in [R, R]$ . Because  $\phi$  is a Lie isomorphism this gives  $[[[\phi(x), \phi(x_{ij})], \phi(x_{ij})], \phi(x_{ij})] = 0$ . But  $\phi$  is a surjection, so

$$[[[x, \phi(x_{ij})], \phi(x_{ij})], \phi(x_{ij})] = 0$$

for all  $x \in [S, S]$ . By 2.2,  $\phi(x_{ij}) = b + \lambda$ , where  $\lambda \in Z(S)$  and  $b^2 = 0$ . This is true for all  $i, j$  where  $i \neq j$ . Furthermore,  $b \neq 0$ . Otherwise  $\phi(x_{ij}) \in Z$ , so  $[\phi(x_{ij}), \phi(x_{jk})] = 0$  for  $k \neq i, k \neq j$ . Since  $\phi$  is a Lie isomorphism, this gives  $\phi([x_{ij}, x_{jk}]) = 0$ . Hence  $[x_{ij}, x_{jk}] = 0$ , so  $x_{ij}x_{jk} = 0$ . But then  $x_{ij}R_{jk} = 0$ . Hence  $x_{ij} = 0$ , a contradiction.

For convenience in notation, let us assume that  $i = 1$  and  $j = 2$ , that is, we wish to show that  $\phi(x_{12})^2 = 0$ . For this purpose let  $y_{13} \in R_{13}$ ,  $y_{12} \in R_{12}$ , and  $y_{32} \in R_{32}$  be arbitrary nonzero elements such that  $y_{13}y_{32} \neq 0$ . By the above argument we have:

- (a)  $\phi(y_{13}) = b + \lambda$ ,  $b^2 = 0$ ,  $b \neq 0$ ,  $\lambda \in Z(S)$ ,
- (b)  $\phi(y_{12}) = c + \mu$ ,  $c^2 = 0$ ,  $c \neq 0$ ,  $\mu \in Z(S)$ ,
- (c)  $\phi(y_{13}y_{32}) = d + \nu$ ,  $d^2 = 0$ ,  $d \neq 0$ ,  $\nu \in Z(S)$ .

Now  $[[[x, y_{13}], y_{12}], y_{13}] = 0$  for all  $x \in [R, R]$ , thus

$$[[[x, \phi(y_{13})], \phi(y_{12})], \phi(y_{13})] = 0 \quad \text{for all } x \in [S, S].$$

Since  $\lambda, \mu \in Z(S)$ , this gives  $[[[x, b], c], b] = 0$ . Since  $[y_{13}, y_{12}] = 0$ ,  $[\phi(y_{13}), \phi(y_{12})] = 0$ , and so  $[b, c] = 0$ . By 2.3  $bc = cb = 0$ . Hence,

(1)  $\phi(y_{13})c = (b + \lambda)c = \lambda c$ .

Since  $[y_{32}, y_{12}] = 0$ ,  $[\phi(y_{32}), \phi(y_{12})] = 0$  and so  $[\phi(y_{32}), c] = 0$ . Commuting (1) with  $\phi(y_{32})$ , we obtain

(2)  $[\phi(y_{13})c, \phi(y_{32})] = [\lambda c, \phi(y_{32})] = 0$ .

Since  $[\phi(y_{13})c, \phi(y_{32})] = [\phi(y_{13}), \phi(y_{32})]c = \phi([y_{13}, y_{32}])c$ , we have

(3)  $\phi(y_{13}y_{32})c = 0$ .

But then from (c),

(4)  $(d + \nu)c = 0$ .

An application of 2.3 shows that  $dc = 0$ , hence

(5)  $\nu c = 0$ .

(6)  $\nu = 0$ .

We have shown that  $\phi(y_{13}y_{32})^2=0$ . Since  $R_{12}=R_{13}R_{32}$ , we may write

$$x_{12} = \sum_{i=1}^n y_{13}^{(i)}y_{32}^{(i)}.$$

Hence  $\phi(x_{12}) = \sum_{i=1}^n \phi(y_{13}^{(i)}y_{32}^{(i)})$ . We have just shown that  $\phi(y_{13}^{(i)}y_{32}^{(i)})^2=0$ . Because

$$[\phi(y_{13}^{(i)}y_{32}^{(i)}), \phi(y_{13}^{(j)}y_{32}^{(j)})] = 0$$

and

$$[[[x, \phi(y_{13}^{(i)}y_{32}^{(i)})], \phi(y_{13}^{(j)}y_{32}^{(j)})], \phi(y_{13}^{(i)}y_{32}^{(i)})] = 0,$$

we have by 2.3 that  $\phi(y_{13}^{(i)}y_{32}^{(i)})\phi(y_{13}^{(j)}y_{32}^{(j)})=0$ . Thus

$$\phi(x_{12})^2 = \left( \sum_{i=1}^n \phi(y_{13}^{(i)}y_{32}^{(i)}) \right)^2 = 0.$$

2.8. LEMMA. *Let  $x_{ij} \in R_{ij}$ ,  $x_{kl} \in R_{kl}$  where  $i \neq j$  and  $k \neq l$ . If  $x_{kl}x_{ij} = x_{ij}x_{kl} = 0$ , then  $\phi(x_{ij})\phi(x_{kl}) = \phi(x_{kl})\phi(x_{ij}) = 0$ .*

**Proof.** Since  $[[[x, x_{ij}], x_{kl}], x_{ij}] = 0$  for all  $x \in [R, R]$  and  $[x_{ij}, x_{kl}] = 0$ , we have

$$[[[x, \phi(x_{ij})], \phi(x_{kl})], \phi(x_{ij})] = 0 \quad \text{for all } x \in [S, S],$$

and  $[\phi(x_{ij}), \phi(x_{kl})] = 0$ . Furthermore  $\phi(x_{ij})^2 = 0$  and  $\phi(x_{kl})^2 = 0$ . Hence by 2.3  $\phi(x_{ij})\phi(x_{kl}) = 0$ .

In order to continue the study of the Peirce decomposition under a Lie isomorphism, we must examine the relationship between  $[R, R]$  and the ‘‘off-diagonal’’ components  $R_{ij}$ ,  $i \neq j$ . To this end we have:

2.9. LEMMA.  *$[R, R]$  is additively generated by  $R_{ij}$ ,  $i \neq j$ , and  $[R_{ij}, R_{ji}]$  for  $i \neq j$ .*

**Proof.**

$$\begin{aligned} [R, R] &= \left[ \bigoplus_{i,j=1}^3 R_{ij}, \bigoplus_{i,j=1}^3 R_{ij} \right] \\ &= \sum_{i \neq j} R_{ij} + \sum_{i \neq j} [R_{ij}, R_{ji}] + \sum_{i=1}^3 [R_{ii}, R_{ii}]. \end{aligned}$$

Thus we need only show that  $[R_{ii}, R_{ii}] \subseteq [R_{ij}, R_{ji}]$  for  $i \neq j$ . Without loss of generality, we may assume that  $i=1$  and  $j=2$ . Then  $R_{11} = e_1 R e_1 = e_1 R e_2 R e_1$ . Let  $x, y \in R_{11}$ . Write  $x = \sum_{i,j} e_1 x_i e_2 y_j e_1$  and  $y = e_1 w e_1$ . Then

$$[x, y] = \left[ \sum_{i,j} e_1 x_i e_2 y_j e_1, e_1 w e_1 \right] = \sum_{i,j} [e_1 x_i e_2 y_j e_1, e_1 w e_1].$$

So it suffices to show that  $[e_1 x_i e_2 y_j e_1, e_1 w e_1] \in [R_{12}, R_{21}]$ . But

$$[e_1 x_i e_2 y_j e_1, e_1 w e_1] = [e_1 x_i e_2, e_2 y_j e_1 w e_1] - [e_1 w e_1 x_i e_2, e_2 y_j e_1]$$

which is in  $[R_{12}, R_{21}]$ .

Since  $\phi$  is a Lie isomorphism, 2.9 can be carried over to  $S$ .

2.10. LEMMA.  $[S, S]$  is additively spanned by  $\phi(x_{ij}), i \neq j$ , and  $\phi[x_{ij}, x_{ji}]$  for  $i \neq j$ .

**Proof.** The result is immediate from 2.9 and the fact that  $\phi$  is a surjection.

We are trying to show that  $\phi$  can be extended to either an isomorphism or the negative of an anti-isomorphism. Lemma 2.7 hints that  $\phi$  is well-behaved. The next lemma, which is the key to the main theorem, determines  $\phi$  on certain of the off-diagonal components.

2.11. LEMMA. Let  $(i, j, k)$  be any permutation of  $(1, 2, 3)$ . Suppose  $x_{ij} \in R_{ij}$  and  $x_{jk} \in R_{jk}$ . Then either:

- (1)  $\phi(x_{ij}x_{jk}) = \phi(x_{ij})\phi(x_{jk})$ , or
- (2)  $\phi(x_{ij}x_{jk}) = -\phi(x_{jk})\phi(x_{ij})$ .

**Proof.** Without loss of generality we may assume  $i=1, j=2$ , and  $k=3$ . The method of proof will be to show that

$$\phi(x_{12})\phi(x_{23})[S, S]\phi(x_{23})\phi(x_{12}) = 0.$$

That this suffices, can be seen as follows:

By 2.4 either  $\phi(x_{12})\phi(x_{23})=0$  or  $\phi(x_{23})\phi(x_{12})=0$ . Since  $\phi$  is a Lie isomorphism,

$$\phi(x_{12}x_{23}) = \phi([x_{12}, x_{23}]) = [\phi(x_{12}), \phi(x_{23})] = \phi(x_{12})\phi(x_{23}) - \phi(x_{23})\phi(x_{12}).$$

This gives the result.

Since  $[S, S]$  is additively spanned by elements of the form  $\phi(x_{ij}), i \neq j$  and  $[\phi(x_{ij}), \phi(x_{ji})], i \neq j$ , it suffices to consider these elements only.

$$\begin{aligned} \phi(x_{12})\phi(x_{23})\phi(y_{12})\phi(x_{23})\phi(x_{12}) &= \phi(x_{12})\phi(x_{23})\phi(y_{12})\phi(x_{23})\phi(x_{12}) \\ &\quad - \phi(x_{12})\phi(y_{12})\phi(x_{23})\phi(x_{23})\phi(x_{12}) \quad (\text{by 2.7}) \\ &= \phi(x_{12})\phi(x_{23}y_{12} - y_{12}x_{23})\phi(x_{23})\phi(x_{12}) \\ (1) \quad &\quad (\text{since } \phi \text{ is a Lie isomorphism}) \\ &= -\phi(x_{12})\phi(y_{12}x_{23})\phi(x_{23})\phi(x_{12}) \\ &= 0 \quad (\text{since by 2.8, } \phi(x_{12})\phi(y_{12}x_{23}) = 0). \end{aligned}$$

$$(2) \quad \phi(x_{12})\phi(x_{23})\phi(y_{ij})\phi(x_{23})\phi(x_{12}) = 0, \quad \text{for } (i, j) = (1, 3), (2, 1), (2, 3) \quad (\text{by 2.8}).$$

$$\begin{aligned} \phi(x_{12})\phi(x_{23})\phi(y_{31})\phi(x_{23})\phi(x_{12}) &= \phi(x_{12})\phi(x_{23})\phi(y_{31})\phi(x_{23})\phi(x_{12}) \\ &\quad - \phi(x_{12})\phi(y_{31})\phi(x_{23})\phi(x_{23})\phi(x_{12}) \\ (3) \quad &= \phi(x_{12})\phi(x_{23}y_{31} - y_{31}x_{23})\phi(x_{23})\phi(x_{12}) \\ &\quad (\text{since } \phi \text{ is a Lie isomorphism}) \\ &= \phi(x_{12})\phi(x_{23}y_{31})\phi(x_{23})\phi(x_{12}) \\ &= 0 \quad (\text{since by 2.8, } \phi(x_{23}y_{31})\phi(x_{23}) = 0). \end{aligned}$$

$$\begin{aligned}
 \phi(x_{12})\phi(x_{23})\phi(y_{32})\phi(x_{23})\phi(x_{12}) &= \phi(x_{12})\phi(x_{23})\phi(y_{32})\phi(x_{23})\phi(x_{12}) \\
 &\quad - \phi(x_{23})\phi(x_{12})\phi(y_{32})\phi(x_{23})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23} - x_{23}x_{12})\phi(y_{32})\phi(x_{23})\phi(x_{12}) \\
 &\quad \text{(since } \phi \text{ is a Lie isomorphism)} \\
 &= \phi(x_{12}x_{23})\phi(y_{32})\phi(x_{23})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23})\phi(y_{32})\phi(x_{23})\phi(x_{12}) \\
 &\quad - \phi(y_{32})\phi(x_{12}x_{23})\phi(x_{23})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23}y_{32} - y_{32}x_{12}x_{23})\phi(x_{23})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23}y_{32})\phi(x_{23})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23}y_{32})\phi(x_{23})\phi(x_{12}) \\
 &\quad - \phi(x_{23})\phi(x_{12}x_{23}y_{32})\phi(x_{12}) \\
 &= \phi(x_{12}x_{23}y_{32}x_{23})\phi(x_{12}) \\
 &= 0 \quad \text{(by 2.8).}
 \end{aligned}$$

(4)

(5)  $\phi(x_{12})\phi(x_{23})([\phi(y_{ij}), \phi(y_{ji})])\phi(x_{23})\phi(x_{12}) = 0$  for  $i \neq j$  by 2.8.

This concludes the proof.

This lemma points the way of the main theorem. It says that  $\phi$  is either an associative isomorphism or the negative of an anti-isomorphism on certain parts of  $R$ . It is most convenient to break the proof of the main theorem into two cases depending on the outcome of this lemma. The two cases will occupy §§3 and 4 respectively.

**3. The isomorphism case.** In this section we will continue the proof of the theorem under the following assumption:

3.1. ASSUMPTION. There is  $r_{12} \in R_{12}$ ,  $r_{23} \in R_{23}$  such that  $r_{12}r_{23} \neq 0$  and

$$\phi(r_{12}r_{23}) = \phi(r_{12})\phi(r_{23}).$$

The first task is to show that 3.1 determines the behavior of  $\phi$  on all products  $y_{12}y_{23}$ .

3.2. LEMMA. If  $y_{12} \in R_{12}$ ,  $y_{23} \in R_{23}$ , then  $\phi(y_{12}y_{23}) = \phi(y_{12})\phi(y_{23})$ .

**Proof.** We may assume  $y_{12}y_{23} \neq 0$ . Otherwise,  $\phi(y_{12}y_{23}) = 0$ . But then

$$0 = \phi[y_{12}, y_{23}] = \phi(y_{12})\phi(y_{23}) - \phi(y_{23})\phi(y_{12}).$$

Thus  $\phi(y_{12})\phi(y_{23}) = \phi(y_{23})\phi(y_{12})$ . But by 2.11 one of these terms is zero. Hence  $0 = \phi(y_{12}y_{23}) = \phi(y_{12})\phi(y_{23})$ .

Now suppose the lemma is false. Then  $\phi(y_{12}y_{23}) = -\phi(y_{23})\phi(y_{12})$  by 2.11.

We claim  $r_{12}y_{23} = 0$ . For this, consider

(1)  $\phi(r_{12}(y_{23} + r_{23})) = \phi(r_{12}y_{23}) + \phi(r_{12}r_{23}).$

By 2.11,  $\phi(r_{12}y_{23}) = \phi(r_{12})\phi(y_{23})$  or  $\phi(r_{12}y_{23}) = -\phi(y_{23})\phi(r_{12})$ . Suppose

$$(2) \quad \phi(r_{12}y_{23}) = -\phi(y_{23})\phi(r_{12}).$$

Then from (1) we have

$$(3) \quad \phi(r_{12}(y_{23} + r_{23})) = -\phi(y_{23})\phi(r_{12}) + \phi(r_{12})\phi(r_{23}).$$

On the other hand, by 2.11 either

$$(4) \quad \phi(r_{12}(y_{23} + r_{23})) = \phi(r_{12})\phi(y_{23} + r_{23})$$

or

$$(5) \quad \phi(r_{12}(y_{23} + r_{23})) = -\phi(y_{23} + r_{23})\phi(r_{12}).$$

If (4) is true, then using (3) we obtain

$$(6) \quad -\phi(y_{23})\phi(r_{12}) = \phi(r_{12})\phi(y_{23}).$$

It is immediate from (6) that  $r_{12}r_{23} = 0$ , and the claim is true. If (5) is true, then again from (3) we obtain

$$(7) \quad \phi(r_{12})\phi(r_{23}) = -\phi(r_{23})\phi(r_{12}).$$

It follows from (7) that  $r_{12}r_{23} = 0$ , a contradiction. Thus if (2) is true, the claim has been proven. If (2) is false, then we have

$$(8) \quad \phi(r_{12}y_{23}) = \phi(r_{12})\phi(y_{23}).$$

Reasoning as before, we find that either  $y_{12}y_{23} = 0$  or  $r_{12}y_{23} = 0$ . Hence  $r_{12}y_{23} = 0$ .

We claim also that  $y_{12}r_{23} = 0$ . The proof is analogous to the above.

In order to complete the proof of this lemma we consider  $\phi((r_{12} + y_{12})(r_{23} + y_{23}))$ . By additivity and the claims, we have

$$(9) \quad \begin{aligned} \phi((r_{12} + y_{12})(r_{23} + y_{23})) &= \phi(r_{12}r_{23}) + \phi(y_{12}y_{23}) \\ &= \phi(r_{12})\phi(r_{23}) - \phi(y_{23})\phi(y_{12}) \\ &\quad \text{(by 3.1 and the denial of the lemma).} \end{aligned}$$

By 2.11 we have either

$$(10) \quad \phi((r_{12} + y_{12})(r_{23} + y_{23})) = \phi(r_{12} + y_{12})\phi(r_{23} + y_{23})$$

or

$$(11) \quad \phi((r_{12} + y_{12})(r_{23} + y_{23})) = -\phi(r_{23} + y_{23})\phi(r_{12} + y_{12}).$$

Combining (10) with (9), we obtain

$$(12) \quad \phi(y_{12})\phi(y_{23}) = -\phi(y_{23})\phi(y_{12}).$$

This gives  $y_{12}y_{23} = 0$ , a contradiction. Similarly (11) and (9) give

$$(13) \quad \phi(r_{12})\phi(r_{23}) = -\phi(r_{23})\phi(r_{12}).$$

Hence  $r_{12}r_{23} = 0$ , another contradiction. This completes the proof of the lemma.

**3.3. LEMMA.**  $\phi$  is a homomorphism from  $R_{12} \oplus R_{23} \oplus R_{13}$  into  $S$ .



**Proof.** Since  $\phi$  is additive, it suffices to check the various products  $y_{ij}y_{lm}$ ,  $i \neq j$ ,  $l \neq m$ .

(1)  $\phi(y_{12}y_{23}) = \phi(y_{12})\phi(y_{23})$  (by 3.2).

(2)  $\phi(y_{23}y_{12}) = 0$ . But

$$\begin{aligned} \phi(y_{23})\phi(y_{12}) &= [\phi(y_{23}), \phi(y_{12})] + \phi(y_{12})\phi(y_{23}) \\ &= \phi([y_{23}, y_{12}]) + \phi(y_{12})\phi(y_{23}) \\ &= \phi(-y_{12}y_{23}) + \phi(y_{12}y_{23}) \quad (\text{by 3.2}) \\ &= 0. \end{aligned}$$

(3) Each of the other possibilities are trivial since  $y_{ij}y_{lm} = 0$  and  $\phi(y_{ij})\phi(y_{lm}) = 0$  by 2.8.

3.4. LEMMA. *Let  $(i, j, k)$  be a permutation of  $(1, 2, 3)$ . Then  $\phi$  is a homomorphism of  $R_{ij} \oplus R_{jk} \oplus R_{ik}$  into  $S$ .*

**Proof.** We will prove the lemma by showing, if the lemma is true for  $(i, j, k)$ , then it is true for  $(i, k, j)$  and for  $(j, i, k)$ . Noting that these two transpositions generate  $S_3$ , we see then that the lemma is true either for all permutations or for none. By 3.3, we will then be done.

It clearly suffices to let  $i = 1, j = 2, k = 3$ . Thus  $\phi$  is a homomorphism on  $R_{12} \oplus R_{23} \oplus R_{13}$ . Suppose there are  $x_{13} \in R_{13}, x_{32} \in R_{32}$  such that  $\phi(x_{13}x_{32}) \neq \phi(x_{13})\phi(x_{32})$ . By 2.11,  $\phi(x_{13}x_{32}) = -\phi(x_{32})\phi(x_{13})$ . Let  $x_{23} \in R_{23}$ . Then

$$\phi((x_{13}x_{32})x_{23}) = \phi(x_{13}x_{32})\phi(x_{23}) = -\phi(x_{32})\phi(x_{13})\phi(x_{23}) = 0 \quad \text{by 2.8.}$$

Since  $\phi$  is an injection,  $x_{13}x_{32}x_{23} = 0$ . Hence  $x_{13}x_{32}R_{23} = 0$ , so  $x_{13}x_{32} = 0$ . Thus  $\phi(x_{13}x_{32}) = 0$ . On the other hand,  $-\phi(x_{32})\phi(x_{13}) = \phi(x_{13}x_{32}) = \phi([x_{13}, x_{32}]) = [\phi(x_{13}), \phi(x_{32})]$ . So  $\phi(x_{13})\phi(x_{32}) = 0$ . But then  $\phi(x_{13}x_{32}) = \phi(x_{13})\phi(x_{32})$ , a contradiction.

Now

$$\begin{aligned} \phi(x_{32})\phi(x_{13}) &= [\phi(x_{32}), \phi(x_{13})] + \phi(x_{13})\phi(x_{32}) \\ &= \phi[x_{32}, x_{13}] + \phi(x_{13})\phi(x_{32}) \\ &= -\phi(x_{13}x_{32}) + \phi(x_{13}x_{32}) = 0 = \phi(0) = \phi(x_{32}x_{13}). \end{aligned}$$

$\phi$  is multiplicative on  $x_{32}x_{12}$  and  $x_{12}x_{32}$  by 2.8. The argument for the triple  $(2, 1, 3)$  is similar to the above.

3.5. LEMMA. *Let  $x_{ij}, y_{ij} \in R_{ij}$  and  $x_{ji} \in R_{ji}$ ,  $i \neq j$ . Then  $\phi(x_{ij}x_{ji}y_{ij}) = \phi(x_{ij})\phi(x_{ji})\phi(y_{ij})$ .*

**Proof.** In order to simplify notation, let  $i = 1$ , and  $j = 2$ . We will show that

$$[\phi(x_{12}x_{21}y_{12}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})][S, S] = 0.$$

The result will then follow from 2.4. By 2.10 it suffices to show

$$[\phi(x_{12}x_{21}y_{12}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})]\phi(y_{ij}) = 0 \quad \text{for } i \neq j.$$

(1)  $\phi(x_{12}x_{21}y_{12})\phi(z_{12}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(z_{12}) = 0 \quad (\text{by 2.8})$

(2)  $\phi(x_{12}x_{21}y_{12})\phi(y_{13}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(y_{13}) = 0$  (by 2.8)

(3) 
$$\begin{aligned} &\phi(x_{12}x_{21}y_{12})\phi(y_{23}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(y_{23}) \\ &= \phi(x_{12}x_{21}y_{12}y_{23}) - \phi(x_{12})\phi(x_{21})\phi(y_{12}y_{23}) \quad (\text{by 3.4}) \\ &= \phi(x_{12}x_{21}y_{12}y_{23}) - \phi(x_{12})\phi(x_{21}y_{12}y_{23}) \quad (\text{by 3.4}) \\ &= \phi(x_{12}x_{21}y_{12}y_{23}) - \phi(x_{12}x_{21}y_{12}y_{23}) = 0 \quad (\text{by 3.4}). \end{aligned}$$

(4) Letting  $y_{21} = \sum_{i=1}^n y_{23}^{(i)}y_{31}^{(i)}$ , we then have  $\phi(y_{21}) = \sum_{i=1}^n \phi(y_{23}^{(i)})\phi(y_{31}^{(i)})$  by 3.4.

Thus

$\phi(x_{12}x_{21}y_{12})\phi(y_{21}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(y_{21}) = 0$  (by part (3)).

(5)  $\phi(x_{12}x_{21}y_{12})\phi(y_{31}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(y_{31}) = 0 - 0 = 0$  (by 3.4).

(6)  $\phi(x_{12}x_{21}y_{12})\phi(y_{32}) - \phi(x_{12})\phi(x_{21})\phi(y_{12})\phi(y_{32}) = 0$  (by 3.4).

The key to extending  $\phi$  to all of  $R$  is given by:

3.6. LEMMA. *Let  $(i, j, k)$  be any permutation of  $(1, 2, 3)$ . Suppose that*

$$\sum_{s=1}^n x_{ij}^{(s)}x_{ji}^{(s)} = \sum_{t=1}^m x_{ik}^{(t)}x_{ki}^{(t)},$$

then

$$\sum_{s=1}^n \phi(x_{ij}^{(s)})\phi(x_{ji}^{(s)}) = \sum_{t=1}^m \phi(x_{ik}^{(t)})\phi(x_{ki}^{(t)}).$$

**Proof.** To simplify notation, take  $(i, j, k) = (1, 2, 3)$ . As before, the method of proof will be to show:

$$\left[ \sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)}) \right] [S, S] = 0.$$

By 2.10 it suffices to verify this for elements of  $[S, S]$  of the form  $\phi(y_{ij})$ ,  $i \neq j$ .

(1) 
$$\begin{aligned} &\sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)})\phi(y_{12}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)})\phi(y_{12}) \\ &= \sum_{s=1}^n \phi(x_{12}^{(s)}x_{21}^{(s)}y_{12}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)}y_{12}) \quad (\text{by 3.5 and 3.4}) \\ &= \sum_{s=1}^n \phi(x_{12}^{(s)}x_{21}^{(s)}y_{12}) - \sum_{t=1}^m \phi(x_{13}^{(t)}x_{31}^{(t)}y_{12}) \quad (\text{by 3.4}) \\ &= \phi\left(\sum_{s=1}^n x_{12}^{(s)}x_{21}^{(s)}y_{12} - \sum_{t=1}^m x_{13}^{(t)}x_{31}^{(t)}y_{12}\right) \quad (\text{since } \phi \text{ is additive}) \\ &= \phi(0) = 0. \end{aligned}$$

$$\begin{aligned}
 (2) \quad & \sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)})\phi(y_{13}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)})\phi(y_{13}) \\
 &= \sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)}x_{13}) - \sum_{t=1}^m \phi(x_{13}^{(t)}x_{31}^{(t)}y_{13}) \quad (\text{by 3.5 and 3.4}) \\
 &= \sum_{s=1}^n \phi(x_{12}^{(s)}x_{21}^{(s)}x_{13}) - \sum_{t=1}^m \phi(x_{13}^{(t)}x_{31}^{(t)}y_{13}) \quad (\text{by 3.4}) \\
 &= \phi(0) = 0 \quad (\text{since } \phi \text{ is additive}).
 \end{aligned}$$

$$(3) \quad \sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)})\phi(y_{21}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)})\phi(y_{21}) = 0 - 0 = 0 \quad (\text{by 2.8}).$$

$$(4) \quad \sum_{s=1}^n \phi(x_{12}^{(s)})\phi(x_{21}^{(s)})\phi(y_{23}) - \sum_{t=1}^m \phi(x_{13}^{(t)})\phi(x_{31}^{(t)})\phi(y_{23}) = 0 - 0 = 0 \quad (\text{by 3.4}).$$

(5) The computations for  $y_{31}$  and  $y_{32}$  are the same as (4).

3.7. COROLLARY. *Suppose  $i \neq j$  and  $\sum_{s=1}^n x_{ij}^{(s)}x_{ji}^{(s)} = 0$ . Then  $\sum_{s=1}^n \phi(x_{ij}^{(s)})\phi(x_{ji}^{(s)}) = 0$ .*

**Proof.** Choose  $x_{ik}^{(1)} = x_{ki}^{(1)} = 0$ ,  $k \neq i$ ,  $k \neq j$ , and  $m = 1$  in 3.6. The result is then immediate.

Corollary 3.7 gives the necessary information to allow us to extend  $\phi$  to all of  $R$ . This is done as follows:

3.8. DEFINITION. Let  $\psi$  be the mapping of  $R$  into  $S$  defined by:

- (1) For  $x \in R_{ij}$ ,  $i \neq j$ ,  $\psi(x) = \phi(x)$ .
- (2) For  $x \in R_{ii}$ , let  $x = \sum_{t=1}^n x_{ij}^{(t)}x_{ji}^{(t)} = \sum_{s=1}^m x_{ik}^{(s)}x_{ki}^{(s)}$  where  $(i, j, k)$  is a permutation of  $(1, 2, 3)$ . Then

$$\psi(x) = \sum_{t=1}^n \phi(x_{ij}^{(t)})\phi(x_{ji}^{(t)}) = \sum_{s=1}^m \phi(x_{ik}^{(s)})\phi(x_{ki}^{(s)}) \quad \text{by 3.6.}$$

- (3) For  $x \in R$ , let  $x = \sum_{i,j=1}^3 x_{ij}$  where  $x_{ij} \in R_{ij}$ . Then  $\psi(x) = \sum_{i,j=1}^3 \psi(x_{ij})$ .

3.9. REMARKS. Part (2) of the definition gives a well defined mapping by 3.7. Part (3) is legitimate since the Peirce decomposition is a direct sum. The mapping  $\psi$  is an additive mapping of  $R$  into  $S$  since  $\phi$  is additive on  $R_{ij}$ ,  $i \neq j$ , and  $\psi$  is by its nature additive on  $R_{ii}$ . We hope to show that  $\psi$  is the desired extension of  $\phi$  and that it is an associative isomorphism. We begin with,

3.10. LEMMA.  *$\psi$  is an extension of  $\phi$  to  $R$ .*

**Proof.** We must show that  $\psi|_{[R,R]} = \phi$ . By 2.9  $[R, R]$  is additively generated by elements of the form  $x_{ij}$ ,  $i \neq j$ , and by  $x_{ik}x_{ki} - x_{ki}x_{ik}$  where  $i \neq k$ . Thus it suffices to check  $\psi$  on elements of this type.

- (1) By definition,  $\psi(x_{ij}) = \phi(x_{ij})$  for  $i \neq j$ .

$$\begin{aligned}
 (2) \quad & \psi(x_{ij}x_{ji} - x_{ji}x_{ij}) = \psi(x_{ij}x_{ji}) - \psi(x_{ji}x_{ij}) \\
 &= \phi(x_{ij})\phi(x_{ji}) - \phi(x_{ji})\phi(x_{ij}) = \phi(x_{ij}x_{ji} - x_{ji}x_{ij}),
 \end{aligned}$$

by the definition of  $\psi$ .

3.11. LEMMA.  $\psi$  is a homomorphism of  $R$  into  $S$ .

**Proof.** Since  $\psi$  is already known to be additive, it suffices to show that  $\psi(x_{ij}x_{kl}) = \psi(x_{ij})\psi(x_{kl})$   $i, j, k, l = 1, 2, 3$ .

(1)  $i \neq j, k \neq l, j \neq k$ . Then  $\psi(x_{ij}x_{kl}) = \psi(0) = 0$ . But then  $\psi(x_{ij})\psi(x_{kl}) = \phi(x_{ij})\phi(x_{kl})$ . But this product is zero by 3.4 if  $i = l$ , and by 2.8 if  $i \neq l$ .

(2)  $i \neq j, k \neq l, j = k$ . If  $i = l$ , then  $\psi(x_{ij}x_{jl}) = \phi(x_{ij})\phi(x_{jl})$  by the definition of  $\psi$ . But  $\phi(x_{ij})\phi(x_{jl}) = \psi(x_{ij})\psi(x_{jl})$ . If  $i \neq l$ , then  $\psi(x_{ij})\psi(x_{jl}) = \phi(x_{ij})\phi(x_{jl}) = \phi(x_{ij}x_{jl}) = \psi(x_{ij}x_{jl})$  by 3.4.

(3)  $i = j, k \neq l, i \neq k$ . By 3.9 we may assume  $x_{ii} = x_{ik}x_{ki}$ . Then

$$\psi(x_{ik}x_{ki})\psi(x_{kl}) = \phi(x_{ik})\phi(x_{ki})\phi(x_{kl}) = \phi(x_{ik})0 = 0 = \psi(0) = \psi((x_{ik}x_{ki})x_{kl}).$$

(4)  $i = j, k \neq l, i = k$ . We may assume  $x_{ii} = x_{ii}x_{ii}$ . Then

$$\begin{aligned} \psi(x_{ii}x_{ii})\psi(y_{ii}) &= \phi(x_{ii})\phi(x_{ii})\phi(y_{ii}) = \phi(x_{ii})\phi(x_{ii})\phi(y_{ii}) \\ &= \phi(x_{ii}x_{ii}y_{ii}) = \psi(x_{ii}x_{ii}y_{ii}) = \psi((x_{ii}x_{ii})x_{ii}) \end{aligned}$$

by 3.5.

(5)  $i \neq j, k = l$ . This case is handled exactly as cases (3) and (4).

(6)  $i = j, k = l, i \neq k$ . Then we may assume  $x_{ii} = x_{ik}x_{ki}$  and  $x_{kk} = y_{kl}y_{lk}$ , then

$$\psi(x_{ik}x_{ki})\psi(y_{kl}y_{lk}) = \phi(x_{ik})\phi(x_{ki})\phi(y_{kl})\phi(y_{lk}) = 0 \quad (\text{by 2.8}).$$

But  $\psi((x_{ik}x_{ki})(y_{kl}y_{lk})) = \psi(0) = 0$ .

(7)  $i = j, k = l, i = k$ . In this case we may assume  $x_{ii} = x_{ip}x_{pi}$  and  $x_{kk} = y_{ip}y_{pi}$ . Then

$$\begin{aligned} \psi(x_{ip}x_{pi})\psi(y_{ip}y_{pi}) &= \phi(x_{ip})\phi(x_{pi})\phi(y_{ip})\phi(y_{pi}) \\ &= \phi(x_{ip}x_{pi}y_{ip})\phi(y_{pi}) = \psi((x_{ip}x_{pi}y_{ip})y_{pi}) \end{aligned}$$

by 3.5 and the definition of  $\psi$ .

3.12. LEMMA.  $\psi$  is an isomorphism of  $R$  onto  $S$ .

**Proof.** All that is needed is to show that  $\psi$  is a bijection.

(1)  $\psi$  is an injection;  $\psi$  is nonzero, since  $\phi$  is nonzero. The kernel of  $\psi$  is an ideal of the simple ring  $R$ . Hence  $\psi$  is one-to-one.

(2)  $\psi$  is a surjection: Since  $\psi$  is an extension of  $\phi$ ,  $[S, S] \subseteq \text{image of } \psi$ . Since the image of a homomorphism is a subring, this gives  $[S, S]^- \subseteq \text{im } \psi$ . But  $[S, S]^- = S$ . Hence  $\psi$  is onto.

**4. The general case.** Suppose that assumption 3.1 is false. Then in particular, we have by 2.11:

4.1. ASSUMPTION. There is  $r_{12} \in R_{12}, r_{23} \in R_{23}$ , such that  $r_{12}r_{23} \neq 0$  and

$$\phi(r_{12}r_{23}) = -\phi(r_{23})\phi(r_{12}).$$

By using the opposite ring  $S^*$  of  $S$ , and the results of §3, we will prove the main result.

Let  $S^*$  denote the opposite ring of  $S$ . Let  $\eta$  denote the canonical anti-isomorphism of  $S$  onto  $S^*$ . Let  $\nu = -\eta$ .  $\nu$  is the negative of an anti-isomorphism of  $S$  onto  $S^*$ , and hence  $\nu$  is a Lie isomorphism of  $S$  onto  $S^*$ . It is clear that  $\nu([S, S]) = [S^*, S^*]$ . Let  $\kappa$  denote the restriction of  $\nu$  to  $[S, S]$ .  $\kappa$  is a Lie isomorphism of  $[S, S]$  onto  $[S^*, S^*]$ . Thus  $\kappa \circ \phi$  is a Lie isomorphism of  $[R, R]$  onto  $[S^*, S^*]$ . Furthermore,  $\kappa \circ \phi(r_{12}r_{23}) = \kappa(-\phi(r_{23})\phi(r_{12})) = \kappa \circ \phi(r_{12})\kappa \circ \phi(r_{23})$ . Thus  $\kappa \circ \phi$  is a Lie isomorphism of  $[R, R]$  onto  $[S, S]$  satisfying 3.1. By 3.13,  $\kappa \circ \phi$  can be extended to an associative isomorphism  $\xi$  of  $R$  onto  $S$ . Let  $\psi = \nu^{-1} \circ \xi$ .  $\psi$  is clearly the negative of an anti-isomorphism of  $R$  onto  $S$ . We must show that  $\psi$  extends  $\phi$ . Let  $[r_1, r_2] \in [R, R]$ .

$$\psi([r_1, r_2]) = \nu^{-1} \circ \xi([r_1, r_2]) = \nu^{-1} \circ \kappa \circ \phi[r_1, r_2] = \kappa^{-1} \circ \kappa \circ \phi[r_1, r_2] = \phi[r_1, r_2].$$

We have now completed the proof of:

**4.2. MAIN THEOREM.** *Let  $R$  be a simple ring containing three nonzero orthogonal idempotents  $\{e_i\}_{i=1}^3$  such that  $1 = \sum_{i=1}^3 e_i$ . Let  $S$  be a simple ring with 1. If  $\phi$  is a Lie isomorphism of  $[R, R]$  onto  $[S, S]$ , and the characteristic of  $R$  is not two or three, then  $\phi$  can be extended to an additive bijection  $\psi$  of  $R$  onto  $S$  such that  $\psi$  is either an associative isomorphism or the negative of an anti-isomorphism of  $R$  onto  $S$ .*

We give now two corollaries of the main result. The first is the classical result, which is due to Landherr [4].

**4.3. COROLLARY.** *Let  $F_n$  be the  $n \times n$  matrices over  $F$ , a field of characteristic 0. Let  $G_m$  be the  $m \times m$  matrices over  $G$ , a field of characteristic 0. Suppose either  $n \geq 3$  or  $m \geq 3$ . If  $\phi$  is a Lie isomorphism of  $[F_n, F_n]$  onto  $[G_m, G_m]$  then  $\phi$  may be extended to a mapping  $\psi$  of  $R$  onto  $S$  such that  $\psi$  is either an associative isomorphism or the negative of an anti-isomorphism of  $F_n$  onto  $G_m$ .*

**Proof.** The corollary follows immediately from 4.2, by using  $e_i = e_{ii}$ , the standard matrix units.

**4.4. COROLLARY (MARTINDALE [5]).** *Let  $R, S$  be simple rings of characteristic not 2 or 3. Suppose  $R$  contains three orthogonal idempotents  $\{e_i\}_{i=1}^3$  such that  $\sum_{i=1}^3 e_i = 1$ . Let  $\phi$  be a Lie isomorphism of  $R$  onto  $S$ . Then  $\phi = \sigma + \tau$ , where  $\sigma$  is either an isomorphism of  $R$  onto  $S$  or the negative of an anti-isomorphism of  $R$  onto  $S$ , and  $\tau$  is an additive mapping of  $R$  into  $Z(S)$ , the center of  $S$ , such that  $\tau$  maps  $[R, R]$  to zero.*

**Proof.** Let  $\eta$  be the restriction of  $\phi$  to  $[R, R]$ . It is clear that  $\eta[R, R] = [S, S]$ , and so  $\eta$  is a Lie isomorphism of  $[R, R]$  onto  $[S, S]$ . Let  $\sigma$  be the extension of  $\eta$  to  $R$  guaranteed by 4.2. Let  $\tau = \phi - \sigma$ .  $\tau$  is an additive mapping of  $R$  into  $S$ , since both  $\phi$  and  $\sigma$  are additive. Furthermore,  $\tau[x, y] = (\phi - \sigma)([x, y]) = \phi[x, y] - \sigma[x, y] = \eta[x, y] - \eta[x, y] = 0$ . It remains to show that  $\tau$  maps  $R$  into the center of  $S$ . That is we wish to show that  $[\tau(x), S] = 0$  for all  $x \in R$ . Since  $[S, S] = S$ , it suffices to show that  $[\tau(x), [S, S]] = 0$  for all  $x \in R$ . But  $\eta$  is a surjection of  $[R, R]$

onto  $[S, S]$ , hence it suffices to show  $[\tau(x), \eta(y)] = 0$  for all  $x \in R$  and for all  $y \in [R, R]$ . But

$$\begin{aligned} [\tau(x), \eta(y)] &= [\phi(x) - \sigma(x), \eta(y)] = [\phi(x), \eta(y)] - [\sigma(x), \eta(y)] \\ &= [\phi(x), \phi(y)] - [\sigma(x), \sigma(y)] = \phi[x, y] - \sigma[x, y] \\ &= (\phi - \sigma)[x, y] = 0, \end{aligned}$$

since both  $\phi$  and  $\sigma$  are Lie isomorphisms.

By definition,  $\phi = \sigma + \tau$ , and the proof is complete.

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