

## ON A PROBLEM OF TURÁN ABOUT POLYNOMIALS WITH CURVED MAJORANTS

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**Abstract.** Let  $\phi(x) \geq 0$  for  $-1 \leq x \leq 1$ . For a fixed  $x_0$  in  $[-1, 1]$  what can be said for  $\max |p'_n(x_0)|$  if  $p_n(x)$  belongs to the class  $P_\phi$  of all polynomials of degree  $n$  satisfying the inequality  $|p_n(x)| \leq \phi(x)$  for  $-1 \leq x \leq 1$ ? The case  $\phi(x) = 1$  was considered by A. A. Markov and S. N. Bernstein. We investigate the problem when  $\phi(x) = (1 - x^2)^{1/2}$ . We also study the case  $\phi(x) = |x|$  and the subclass consisting of polynomials typically real in  $|z| < 1$ .

The following theorem was proved by A. A. Markov in 1889.

**THEOREM A.** *If  $p_n(x)$  is a polynomial of degree  $n$ , such that  $|p_n(x)| \leq 1$  for  $-1 \leq x \leq 1$ , then*

$$(1) \quad \max_{-1 \leq x \leq 1} |p'_n(x)| \leq n^2.$$

The original paper [9] of Markov is not readily accessible but an excellent account of this and other related results is presented in [4]. In Theorem A equality is attainable only at  $\pm 1$  and only for  $p_n(x) = e^{i\nu} T_n(x)$  where

$$\begin{aligned} T_n(x) &= \cos(n \cos^{-1} x) = 2^{n-1} \prod_{\nu=1}^n \{x - \cos((\nu - \frac{1}{2})\pi/n)\} \\ &= \frac{n}{2} \sum_{m=0}^{[n/2]} (-1)^m \frac{(n-m-1)!}{m!(n-2m)!} (2x)^{n-2m} \end{aligned}$$

is the so-called Chebyshev polynomial of the first kind.

For points  $x$  lying in the interval  $|x| < \cos(\frac{1}{2}\pi/n)$  the following theorem of Bernstein [2] gives a better estimate for  $|p'_n(x)|$ .

**THEOREM B.** *Under the conditions of Theorem A*

$$(2) \quad |p'_n(x)| \leq n(1-x^2)^{-1/2}, \quad -1 < x < 1.$$

This dominant  $n(1-x^2)^{-1/2}$  is the best possible dominant only at the points  $x = \cos\{(2k+1)\pi/(2n)\}$ ,  $k = 1, 2, \dots, n-1$ . It is, however, asymptotically equal to the precise bound at every fixed point in the interior of the interval as  $n$  becomes infinite.

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At a conference on Constructive Function Theory held in Varna, Bulgaria, Professor P. Turán proposed the following problem:

*Problem.* For any  $x_0$  in  $[-1, 1]$  determine  $\max |p'_n(x_0)|$  for all polynomials  $p_n(x)$  of degree  $\leq n$  satisfying the restriction

$$(3) \quad \max_{-1 \leq x \leq 1} \frac{|p_n(x)|}{\sqrt{(1-x^2)}} = 1.$$

He remarked that even the value of  $\max_{-1 \leq x \leq 1} |p'_n(x)|$  did not seem to be known for the class in (3).

For real-valued polynomials the hypothesis says that the graph of  $p_n(x)$  on the interval  $-1 < x < 1$  is contained in the closed unit disk.

Let  $\pi_n$  denote the class of polynomials  $p_n(x)$  of degree  $n$  which satisfy  $|p_n(x)| \leq (1-x^2)^{1/2}$  for  $-1 < x < 1$ .

In looking for the maximum of  $|p_n^{(k)}(z^*)|$  (the  $k$ th derivative at a given point) for all polynomials  $p_n(x)$  of degree at most  $n$  which satisfy  $|p_n(x)| \leq 1$  for  $-1 \leq x \leq 1$  it is enough to consider the subclass  $A_n$  whose members are in addition real on the real axis. Let  $p_n^{(k)}(z^*) = e^{iy} |p_n^{(k)}(z^*)|$  and let  $e^{-iy} p_n(z) = p_{n,1}(z) + ip_{n,2}(z)$  where  $p_{n,1}$  and  $p_{n,2}$  are elements of  $A_n$ . Since  $p_n^{(k),1}(z^*) = |p_n^{(k)}(z^*)|$  the maximum of  $|p_n^{(k)}(z^*)|$  is attained, if at all, for some  $p_n$  in  $A_n$ . The following theorem of Duffin and Schaeffer [7, p. 240] shows that the functions in  $A_n$  are uniformly bounded on every compact set. Hence [1, p. 216] the maximum of  $|p_n^{(k)}(z^*)|$  is, in fact, attained.

**THEOREM C.** Let  $p_n(z)$  be a polynomial of degree  $n$  or less such that in the real interval  $(-1, 1)$   $|p_n(z)| \leq 1$ . Then for  $z$  lying on the ellipse  $\mathcal{E}_R$  with foci at  $-1, +1$  and semiaxes  $\frac{1}{2}(R+R^{-1}), \frac{1}{2}(R-R^{-1})$ , we have  $|p_n(z)| \leq \frac{1}{2}(R^n + R^{-n})$ .

For precisely the same reason as above the maximum of  $|p_n^{(k)}(z^*)|$  over the class  $\pi_n$  is attained for a polynomial which is real on the real axis.

We prove

**THEOREM 1.** If  $p_n(x)$  is a polynomial of degree  $n$  such that  $|p_n(x)| \leq (1-x^2)^{1/2}$  for  $-1 < x < 1$ , then

$$(4) \quad \max_{-1 \leq x \leq 1} |p'_n(x)| \leq 2(n-1).$$

If

$$U_n(x) = (1-x^2)^{-1/2} \sin \{(n+1) \cos^{-1} x\} = \sum_{m=0}^{\lfloor n/2 \rfloor} (-1)^m \frac{(n-m)!}{m!(n-2m)!} (2x)^{n-2m}$$

is the  $n$ th Chebyshev polynomial of the second kind then  $p_n(x) = (1-x^2)U_{n-2}(x)$  satisfies the conditions of Theorem 1 and  $|p'_n(\pm 1)| = 2(n-1)$ . Hence the result is best possible.

**THEOREM 2.** Under the conditions of Theorem 1

$$(5) \quad |p'_n(x)| \leq \{x^2(1-x^2)^{-1} + (n-1)^2\}^{1/2}, \quad -1 < x < 1.$$

The example  $p_n(x) = e^{iy}(1-x^2)U_{n-2}(x)$  shows that in (5) equality can be attained at those points of the interval  $-1 < x < 1$  where  $(n-1)(1-x^2)^{1/2} \tan \{(n-1) \cos^{-1} x\} = x$ .

It follows from Theorem B that if  $p_n(x)$  is a polynomial of degree  $n$  such that  $|p_n(x)| \leq 1$  for  $-1 \leq x \leq 1$  then  $n^{-1}(1-x^2)p'_n(x) \in \pi_{n+1}$ . Hence we have the following corollary of Theorem 2.

**COROLLARY 1.** *If  $p_n(x)$  is a polynomial of degree  $n$  such that  $|p_n(x)| \leq 1$  for  $-1 \leq x \leq 1$ , then*

$$(6) \quad |(1-x^2)p''_n(x) - 2xp'_n(x)| \leq n\{x^2(1-x^2)^{-1} + n^2\}^{1/2}, \quad -1 < x < 1.$$

When  $x=0$  inequality (5) may be restated as follows:

If  $p_n(x) = \sum_{k=0}^n a_k x^k \in \pi_n$  then  $|a_1| = |p'_n(0)| \leq n-1$ . This inequality is sharp for odd  $n$ . Here again the extremal polynomial is  $e^{iy}(1-x^2)U_{n-2}(x)$ .

We also estimate  $|a_2|$ .

**THEOREM 3.** *If  $p_n(x) = \sum_{k=0}^n a_k x^k \in \pi_n$  then*

$$(7) \quad |a_2| \leq \{(n-1)^2 + 1\}/2.$$

For even  $n$  the bound in (7) is attained when  $p_n(x) = e^{iy}(1-x^2)U_{n-2}(x)$ .

The next theorem is a refinement of Theorem C for polynomials belonging to  $\pi_n$ .

**THEOREM 4.** *If  $p_n(z) \in \pi_n$  then for  $z$  lying on the ellipse  $\mathcal{E}_R$  with foci at the points  $-1, +1$  and semiaxes  $\frac{1}{2}(R+R^{-1}), \frac{1}{2}(R-R^{-1})$ , we have*

$$(8) \quad |p_n(z)| \leq |1-z^2|^{1/2} \frac{1}{2}(R^{n-1} + R^{-n+1}).$$

The problem of Turán mentioned earlier is a special case of the following general question subsequently asked by him:

Let  $\phi(x) \geq 0$  for  $-1 \leq x \leq 1$ . For a fixed  $x_0$  in  $[-1, 1]$  what can be said for  $\max |p'_n(x_0)|$  if  $p_n(x)$  belongs to the class  $P_\phi$  of all polynomials of degree  $\leq n$  satisfying the inequality  $|p_n(x)| \leq \phi(x)$  for  $-1 \leq x \leq 1$ .

We shall consider only the simple class  $P_{|x|}$  of polynomials  $p_n(x)$  of degree  $\leq n$  which are dominated by the function  $|x|$  on  $[-1, 1]$ .

If  $p_n(z)$  is a polynomial of degree  $n$  such that  $|p_n(x)| \leq |x|$  for  $-1 \leq x \leq 1$  then  $p_n(z) = zg_{n-1}(z)$  where  $g_{n-1}(z)$  is a polynomial of degree  $n-1$ . Since, clearly,  $|g_{n-1}(x)| \leq 1$  for  $-1 \leq x \leq 1$ , Theorem A gives

$$|p'_n(x)| \leq |x| |g'_{n-1}(x)| + |g_{n-1}(x)| \leq (n-1)^2 + 1$$

for  $-1 \leq x \leq 1$ . Thus we have

**THEOREM 5.** *If  $p_n(z)$  is a polynomial of degree  $n$  such that  $|p_n(x)| \leq |x|$  for  $-1 \leq x \leq 1$  then*

$$(9) \quad \max_{-1 \leq x \leq 1} |p'_n(x)| \leq (n-1)^2 + 1.$$

The example  $p_n(z) = zT_{n-1}(z)$ , where  $T_{n-1}(z)$  is the Chebyshev polynomial of the first kind of degree  $n-1$ , shows that (9) is sharp.

We also prove

**THEOREM 6.** *If  $p_n(x)$  is a polynomial of degree  $\leq n$  such that  $|p_n(x)| \leq |x|$  for  $-1 \leq x \leq 1$  then for a fixed  $x_0$  in  $(-1, 1)$  we have*

$$(10) \quad |p'_n(x_0)| \leq \{(n-1)^2 x_0^2 (1-x_0^2)^{-1} + 1\}^{1/2}.$$

The example  $p_n(z) = e^{i\gamma} z T_{n-1}(z)$  shows that in (10) equality is attained at those points of the interval  $-1 < x < 1$  where  $(1-x^2)^{1/2} \tan \{(n-1) \cos^{-1} x\} = (n-1)x$ .

From a geometric point of view, those members of the class  $P_{|x|}$  which are typically real [10] in  $|z| < 1$  constitute an interesting subclass. If we restrict ourselves to this subclass we can replace (9) by a considerably stronger inequality.

**THEOREM 7.** *Let  $p_n(z)$  be a polynomial of degree  $n$  such that  $|p_n(x)| \leq |x|$  for  $-1 \leq x \leq 1$ . If  $p_n(z)$  is typically real in  $|z| < 1$  then*

$$(11) \quad |p'_n(x)| \leq (n+1)/2$$

for  $-1 \leq x \leq 1$ .

A function  $g(z)$  analytic in  $|z| < 1$  is typically real in  $|z| < 1$  if and only if [10, p. 112]  $g(z)$  is real for real  $z$  and  $\operatorname{Re} \{(1-z^2)/z\} g(z) \neq 0$  in  $|z| < 1$ . Hence if  $n$  is odd the polynomial

$$p_n(z) = 2(z+z^3+\dots+z^n)/(n+1)$$

is typically real in  $|z| < 1$ . It also belongs to  $P_{|x|}$ , and since  $|p'_n(1)| = (n+1)/2$  the bound in (11) cannot in general be improved.

**Lemmas.** We shall now collect some results which we shall use in the proofs of the above theorems.

If  $p_n(x)$  is a polynomial of degree  $n$  then  $p_n(\cos \theta)$  is a trigonometric polynomial of degree  $n$ . Since  $d\theta = -(1-x^2)^{-1/2} dx$ , inequality (2) states that  $|(d/d\theta)p_n(\cos \theta)| \leq n$ . Hence Theorem B is a consequence of the following result known as Bernstein's theorem for trigonometric polynomials.

**THEOREM D.** *If  $t(\theta)$  is a trigonometric polynomial of degree  $n$  and  $|t(\theta)| \leq 1$ , then  $|t'(\theta)| \leq n$ .*

It has been remarked by Boas [4, p. 169] that Markov's theorem (Theorem A) would also follow from Bernstein's theorem for trigonometric polynomials if it could be shown that  $|p'_n(x)|$  attains its maximum at  $\pm 1$  if  $p_n(x)$  is extremal.

We observe that the following result which is a refined version of Bernstein's theorem for trigonometric polynomials and which was independently proved by Szegő [12, p. 69], Boas [3, p. 287], van der Corput and Schaake [6, p. 321] is more appropriate for the study of polynomials on the unit interval and gives Markov's theorem as an immediate corollary.

LEMMA 1. Let  $t(\theta) = \sum_{k=-n}^n a_k e^{ik\theta}$  be a real trigonometric polynomial of degree  $n$ . If  $|t(\theta)| \leq 1$  then

$$(12) \quad n^2\{t(\theta)\}^2 + \{t'(\theta)\}^2 \leq n^2.$$

This result plays a central role in our paper. First of all we use it to prove:

LEMMA 2. If  $p_{n-1}(x)$  is a real valued polynomial of degree  $n-1$  such that  $(1-x^2)^{1/2}|p_{n-1}(x)| \leq 1$  for  $-1 < x < 1$  then

$$(13) \quad |p_{n-1}(x)| \leq n \quad \text{for } -1 \leq x \leq 1.$$

Our hypothesis implies that  $(\sin \theta)p_{n-1}(\cos \theta)$  is a real trigonometric polynomial of degree  $n$  whose absolute value does not exceed 1. Hence according to Lemma 1

$$n^2 \sin^2 \theta \{p_{n-1}(\cos \theta)\}^2 + \{(\cos \theta)p_{n-1}(\cos \theta) + (\sin \theta)(d/d\theta)p_{n-1}(\cos \theta)\}^2 \leq n^2$$

for real  $\theta$ . At a point where  $|p_{n-1}(\cos \theta)|$  attains its maximum value,  $(d/d\theta)p_{n-1}(\cos \theta)$  must vanish. Consequently, at such a point  $\theta_0$ ,

$$n^2(\sin^2 \theta_0)\{p_{n-1}(\cos \theta_0)\}^2 + (\cos^2 \theta_0)\{p_{n-1}(\cos \theta_0)\}^2 \leq n^2$$

or

$$(n^2 - 1)(\sin^2 \theta_0)\{p_{n-1}(\cos \theta_0)\}^2 + \{p_{n-1}(\cos \theta_0)\}^2 \leq n^2.$$

Therefore  $|p_{n-1}(\cos \theta_0)| \leq n$  which gives the desired result.

For the sake of completeness we include a proof of Lemma 1. In this way we will also be giving a complete and independent proof of Markov's theorem (since it follows from Theorem B in conjunction with Lemma 2). It may be noted that our proof of Lemma 1 depends only on the maximum modulus principle and the Gauss-Lucas theorem [8, p. 84].

**Proof of Lemma 1.** Let  $p(z)$  be a polynomial of degree  $m$  such that  $|p(z)| \leq M$  for  $|z| \leq 1$ . Then for  $|\lambda| > 1$  the polynomial  $P(z) = p(z) - \lambda M$  does not vanish in  $|z| \leq 1$ . Let

$$Q(z) = z^m \overline{P(1/\bar{z})} = z^m \overline{p(1/\bar{z})} - \lambda M z^m = q(z) - \lambda M z^m.$$

Since the function  $Q(z)/P(z)$  is holomorphic in  $|z| \leq 1$  and  $|Q(z)| = |P(z)|$  for  $|z| = 1$  it follows from the maximum modulus principle that  $|Q(z)/P(z)| \leq 1$  for  $|z| \leq 1$ . Replacing  $z$  by  $1/\bar{z}$  we conclude that  $|P(z)| \leq |Q(z)|$  for  $|z| \geq 1$ . Thus for  $|\mu| > 1$  all the zeros of the polynomial  $P(z) - \mu Q(z)$  lie in  $|z| < 1$  and so do the zeros of  $P'(z) - \mu Q'(z)$  by Gauss-Lucas theorem. Consequently,

$$(14) \quad |p'(z)| = |P'(z)| \leq |Q'(z)| = |q'(z) - \lambda M m z^{m-1}| \quad \text{for } |z| \geq 1.$$

According to our hypothesis  $|p(z)| \leq M$  for  $|z| \leq 1$ . Since

$$p(z) = z^m \overline{q(1/\bar{z})},$$

we have

$$|z^m \overline{q(1/\bar{z})}| \leq M \quad \text{for } |z| \leq 1,$$

i.e.,  $|q(z)| \leq M|z|^m$  for  $|z| \geq 1$ . Hence for  $|\Lambda| > 1$  all the zeros of the polynomial  $q(z) - \Lambda Mz^m$  lie in  $|z| < 1$  and by the Gauss-Lucas theorem so do the zeros of  $q'(z) - \Lambda Mmz^{m-1}$ . This implies that  $|q'(z)| \leq Mm|z|^{m-1}$  for  $|z| \geq 1$ . Given a point  $z$  in the circular domain  $|z| \geq 1$ , this inequality permits us to choose  $\arg \lambda$  in (14) such that  $|q'(z) - \lambda Mmz^{m-1}| = |\lambda| Mm|z|^{m-1} - |q'(z)|$ . We readily obtain

$$(15) \quad |p'(z)| + |q'(z)| \leq Mm|z|^{m-1} \quad \text{for } |z| \geq 1.$$

In particular, we have

$$(16) \quad |(d/d\theta)p(e^{i\theta})| + |-imp(e^{i\theta}) + (d/d\theta)p(e^{i\theta})| \leq Mm.$$

If  $t(\theta) = \sum_{k=-n}^n a_k e^{ik\theta}$  is a trigonometric polynomial of degree  $n$  and  $|t(\theta)| \leq 1$  then  $e^{in\theta}t(\theta) = p(e^{i\theta})$  where  $p(z)$  is a polynomial of degree  $2n$  such that  $|p(z)| \leq 1$  for  $|z| \leq 1$ . From (16) we get  $|int(\theta) + t'(\theta)| + |-int(\theta) + t'(\theta)| \leq 2n$ . Hence  $n^2\{t(\theta)\}^2 + \{t'(\theta)\}^2 \leq n^2$  if the trigonometric polynomial is real.

For the proof of Theorem 7 we shall need the following result due to de Bruijn [5, Theorem 4].

LEMMA 3. *Let  $C$  be a circular domain in the  $z$ -plane, and  $S$  an arbitrary point set in the  $w$ -plane. If the polynomial  $P(z)$  of degree  $n$  satisfies  $P(z) = w \in S$  for any  $z \in C$ , then we have, for any  $z \in C$  and any  $\xi \in C$ ,*

$$(\xi/n)P'(z) + P(z) - zP'(z)/n \in S.$$

**Proofs of the theorems.**

**Proof of Theorem 1.** As remarked earlier there is no loss of generality in assuming  $p_n(x)$  to be real-valued. Since  $p_n(x)$  vanishes at the points  $-1, +1$  we have  $p_n(x) = (1-x^2)q_{n-2}(x)$  where  $q_{n-2}(x)$  is a polynomial of degree  $n-2$ . We set  $(1-x^2)^{1/2}q_{n-2}(x) = f(x)$  and write  $p_n(x)$  as the product of  $(1-x^2)^{1/2}$  and  $f(x)$ . Thus

$$(17) \quad |p'_n(x)| = |-x(1-x^2)^{-1/2}f(x) + (1-x^2)^{1/2}f'(x)| \leq |x| |(1-x^2)^{-1/2}f(x)| + |(1-x^2)^{1/2}f'(x)|.$$

We observe that  $f(\cos \theta)$  is a trigonometric polynomial of degree  $n-1$  whose absolute value does not exceed 1. Hence according to Theorem D  $|(d/d\theta)f(\cos \theta)| \leq n-1$  for real  $\theta$ . Since

$$(-\sin \theta) \frac{d}{d(\cos \theta)} f(\cos \theta) = \frac{d}{d\theta} f(\cos \theta)$$

we get

$$(18) \quad |(1-x^2)^{1/2}f'(x)| \leq n-1 \quad \text{for } -1 \leq x \leq 1.$$

Now let us note that  $(1-x^2)^{-1/2}f(x)$  is a polynomial of degree  $n-2$  such that  $|(1-x^2)^{1/2} \cdot (1-x^2)^{-1/2}f(x)| = |f(x)| \leq 1$  for  $-1 \leq x \leq 1$ . Hence by Lemma 2

$$(19) \quad |(1-x^2)^{-1/2}f(x)| \leq n-1 \quad \text{for } -1 \leq x \leq 1.$$

Using (18) and (19) in (17) we get the desired estimate for  $\max_{-1 \leq x \leq 1} |p'_n(x)|$ .

**REMARK.** Our proof of Theorem 1 makes particular use of the fact that the polynomial  $p_n(z)$  under consideration vanishes at the points  $-1, +1$ . However, the bound for  $\max_{-1 \leq x \leq 1} |p'_n(x)|$  is not very much improved if we only add this requirement to the hypothesis in Markov's theorem. By considering the polynomial  $p_n(x) = \cos n \cos^{-1}(x \cos(\pi/2n))$  we see that  $\max_{-1 \leq x \leq 1} |p'_n(x)|$  can be as large as  $n \cot(\pi/2n)$  if  $p_n(\pm 1) = 0$  and  $\max_{-1 \leq x \leq 1} |p_n(x)| = 1$ . A theorem of Schur [11, pp. 284-285] says that  $\max_{-1 \leq x \leq 1} |p'_n(x)| \leq n \cot(\pi/2n)$  for every polynomial of degree  $\leq n$  satisfying the inequality  $|p_n(x)| \leq 1$  for  $-1 \leq x \leq 1$  and vanishing at the points  $-1, +1$ .

**Proof of Theorem 2.** Without loss of generality we may assume  $p_n(x)$  to be real-valued. Again setting  $f(x) = (1-x^2)^{-1/2} p_n(x)$  we see that  $f(\cos \theta)$  is a real trigonometric polynomial of degree  $n-1$  whose absolute value does not exceed 1. Hence from Lemma 1

$$(20) \quad (n-1)^2 f^2(x) + (1-x^2) \{f'(x)\}^2 \leq (n-1)^2 \quad \text{for } -1 \leq x \leq 1.$$

Using this inequality in (17) we conclude that for  $-1 < x < 1$

$$\begin{aligned} |p'_n(x)| &\leq |x|(1-x^2)^{-1/2} |f(x)| + (n-1) \{1 - |f(x)|^2\}^{1/2} \\ &\leq \max_{0 \leq y \leq 1} \{ |x|(1-x^2)^{-1/2} y + (n-1)(1-y^2)^{1/2} \}. \end{aligned}$$

For a given  $x$  in  $(-1, 1)$  the maximum of the expression  $|x|(1-x^2)^{-1/2} y + (n-1)(1-y^2)^{1/2}$  is  $\{x^2(1-x^2)^{-1} + (n-1)^2\}^{1/2}$  which is attained when

$$y = |x| \{ (n-1)^2(1-x^2) + x^2 \}^{-1/2}.$$

**Proof of Theorem 3.** We have

$$|a_2| = \frac{1}{2} |p''_n(0)| = \frac{1}{2} |f''(0) - f(0)| \leq \frac{1}{2} \{ |f''(0)| + |f(0)| \}$$

where  $f(x) = (1-x^2)^{-1/2} p_n(x)$ . Now if  $F(\theta) = f(\cos \theta)$  then  $|f''(0)| = |F''(\pi/2)|$  and hence by Theorem D  $|f''(0)| \leq (n-1)^2$ . Since  $|f(0)| \leq 1$  we get the desired result.

**Proof of Theorem 4.** This result is proved in exactly the same way as Theorem C was proved by Duffin and Schaeffer [7, p. 240]. We need only to observe that  $f(\cos z) = (\operatorname{cosec} z) p_n(\cos z)$  is an entire function of exponential type  $n-1$ .

If  $p_n(z) = (1-z^2) U_{n-2}(z)$ , then (8) becomes an equality at the points

$$z = ((R+R^{-1})/2) \cos \phi_k \pm i((R-R^{-1})/2) \sin \phi_k$$

where  $\phi_k = \{(2k + (-1)^k)/2(n-1)\}\pi, k = 0, 1, 2, \dots$

**Proof of Theorem 6.** It is enough to prove the theorem for polynomials which assume real values on the real axis. We have  $p_n(x) = x g_{n-1}(x)$  where  $g_{n-1}(x)$  is a polynomial of degree  $n-1$  which assumes real values for real  $x$  and  $|g_{n-1}(x)| \leq 1$  for  $-1 \leq x \leq 1$ . Thus  $g_{n-1}(\cos \theta)$  is a real trigonometric polynomial of degree  $n-1$  such that  $|g_{n-1}(\cos \theta)| \leq 1$ . Hence from Lemma 1 we get

$$(21) \quad (n-1)^2 \{g_{n-1}(x)\}^2 + (1-x^2) \{g'_{n-1}(x)\}^2 \leq (n-1)^2 \quad \text{for } -1 \leq x \leq 1.$$

We use this inequality in  $|p'_n(x)| \leq |g_{n-1}(x)| + |x| |g'_{n-1}(x)|$  to complete the proof of the theorem in precisely the same way as for Theorem 2.

**Proof of Theorem 7.** According to hypothesis  $p_n(z)$  assumes real values in  $|z| < 1$  if and only if  $z$  is real. Hence  $p'_n(x) \neq 0$  for  $-1 < x < 1$  and  $p_n(x)$  is a monotonic function on the interval  $-1 \leq x \leq 1$ . Without loss of generality we may suppose  $p_n(x)$  to be increasing on  $[-1, 1]$ . Let  $x_0$  be a given point of the open interval  $(0, 1)$ . The polynomial  $P(z) = p_n(x_0 z)$  is typically real in  $|z| < 1/x_0$  and hence in  $|z| \leq 1$ . Also  $|P(x)| \leq x_0$  for  $-1 \leq x \leq 1$ . Since the only zero of  $P(z)$  in  $|z| < |x_0|^{-1}$  is a simple zero at the origin,  $Q(z) = z^n P(z^{-1})$  is a polynomial of degree  $n-1$  having all its zeros in  $|z| \leq x_0$ . Hence according to Walsh's generalization of Laguerre's theorem [13, Lemma 1, p. 13]  $Q'(1)/Q(1) = (n-1)/(1-w)$  where  $|w| \leq x_0$ . Consequently  $|Q'(1)| \geq ((n-1)/(1+x_0))|Q(1)|$ , i.e.

$$(22) \quad |np_n(x_0) - x_0 p'_n(x_0)| \geq \frac{n-1}{1+x_0} |p_n(x_0)| = \frac{n-1}{1+x_0} p_n(x_0).$$

But if  $z_1, z_2, \dots, z_{n-1}$  are the zeros of  $z^{-1}p_n(z)$  then

$$\frac{x_0 p'_n(x_0)}{p_n(x_0)} = \operatorname{Re} \left\{ \frac{x_0 p'_n(x_0)}{p_n(x_0)} \right\} = 1 + \sum_{j=1}^{n-1} \operatorname{Re} \left( \frac{x_0}{x_0 - z_j} \right)$$

where  $\operatorname{Re} (x_0/(x_0 - z_j)) \leq \frac{1}{2}$ ,  $1 \leq j \leq n-1$ , since  $|z_j| \geq 1$ . Thus  $np_n(x_0) - x_0 p'_n(x_0) \geq \frac{1}{2}(n-1)p_n(x_0) \geq 0$ , and (22) can be written as

$$np_n(x_0) - x_0 p'_n(x_0) \geq \frac{n-1}{1+x_0} p_n(x_0).$$

This implies that the point  $p_n(x_0) - n^{-1}x_0 p'_n(x_0)$  lies on the interval

$$[(1-n^{-1})(1+x_0)^{-1}p_n(x_0), p_n(x_0)].$$

Now we note that the image  $S$  of the circular domain  $|z| \leq x_0$  under the mapping  $w = p_n(z)$  lies in the plane cut along the positive real axis from  $p_n(x_0)$  to infinity. According to Lemma 3 the disk

$$|w - \{p_n(x_0) - n^{-1}x_0 p'_n(x_0)\}| \leq n^{-1}x_0 p'_n(x_0)$$

lies in  $S$ . This is possible only if

$$n^{-1}x_0 p'_n(x_0) \leq p_n(x_0) \{1 - (1-n^{-1})(1+x_0)^{-1}\}.$$

Since  $p_n(x_0) \leq x_0$  we get

$$(23) \quad p'_n(x_0) \leq n - (n-1)(1+x_0)^{-1}.$$

By continuity  $p'_n(0) \leq 1$  and  $p'_n(1) \leq (n+1)/2$ . Hence

$$(24) \quad \max_{0 \leq x \leq 1} p'_n(x) \leq (n+1)/2.$$

Applying this result to  $-p_n(-x)$  we get

$$(25) \quad \max_{-1 \leq x \leq 0} p'_n(x) \leq (n+1)/2.$$

The desired result follows from (24) and (25).

Inequality (23) gives an estimate for  $|p'_n(x_0)|$  at a fixed point  $x_0$  in  $[-1, 1]$  but the bound does not appear to be sharp except at  $-1, 0, +1$ .

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