COMPLEX LINDENSTRAUSS SPACES WITH EXTREME POINTS

BY

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ABSTRACT. We prove that a complex Lindenstrauss space whose unit ball has at least one extreme point is isometric to the space of complex valued continuous affine functions on a Choquet simplex. If $X$ is a compact Hausdorff space and $A \subseteq C(X)$ is a function space then $A$ is a Lindenstrauss space iff $A$ is selfadjoint and $\text{Re} A$ is a real Lindenstrauss space.

1. In [3] and [4] E. Effros proposed and investigated the complex analogue of the preduals of real $L^1$-spaces, also called Lindenstrauss spaces. We aim to discuss those complex Lindenstrauss spaces whose unit balls have at least one extreme point. First, we prove a result which is well known in the case of real scalars (cf. [8]):

Theorem 1. Let $E$ be a Lindenstrauss space and $u$ an extreme point of the closed unit ball of $E$. Let $S = \{x^* \in E^* : \|x^*\| = x^*(u) = 1\}$. For every $x \in E$ let $\hat{x} \in C_S(S)$ be defined by $\hat{x}(x^*) = x^*(x)$. Then $S$ is a $w^*$-compact subset of $E^*$ and the map $x \rightarrow \hat{x}$ of $E$ into $C_S(S)$ is an isometry such that $\hat{u} = 1_S$.

More information about Lindenstrauss spaces as function spaces is given by

Theorem 2. Let $X$ be a compact Hausdorff space and $A \subseteq C(X)$ a closed linear subspace, separating the points of $X$ and containing the constant functions. Let $S$ denote the state space of $A$. Then the following statements are equivalent:

(i) $A$ is a Lindenstrauss space;
(ii) $\mu \in A^+ \cap M(\partial A^X) \Rightarrow \mu = 0$;
(iii) $Z = \text{conv} (SU - iS)$ is a Choquet simplex;
(iv) $A$ is selfadjoint and $\text{Re} A$ is a real Lindenstrauss space.

From Theorems 1 and 2 we shall get a characterization of $C_C(X)$ spaces identical to that given for real scalars in [8, p. 76] and we shall see that Theorem 2 implies that no uniform algebra is a Lindenstrauss space unless it is $C_C(X)$.

We shall follow the notations of [1]. By $l^n_\infty$ we shall denote the linear space of all sequences $a = (a_1, a_2, \ldots, a_n)$ of $n$ complex numbers with the norm $|a| = \max_{1 \leq i \leq n} |a_i|$. If $E$ is a Banach space we shall denote its closed unit ball by $B(E)$.

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The proof of Theorem 1 relies on results of [7] and [9]. The results and the proofs of [9] are clearly valid for complex scalars as well as for real scalars. Theorem 1 of [7] is proved for real scalars only and it is stated there that it is valid in the complex case too. For the reader’s convenience we outline the needed changes. We have to prove that for every finite dimensional subspace $B$ of a Lindenstrauss space $X$ and every $\epsilon > 0$ there is a natural number $n$ and an operator $T: l^n_\infty \to X$ such that $(1 - 5\epsilon)\|y\| < \|Ty\| < (1 + \epsilon)\|y\|$ for each $y \in l^n_\infty$ and such that $\text{dist}(x, Tl^n_\infty) < \epsilon$ for every $x \in B$ with $\|x\| = 1$. Let $E_0$ be the set of all exposed points of the unit ball of $B^\ast$. Define in $E_0$ an equivalence relation by putting $\tilde{f} \sim \tilde{g}$ if $f = \theta g$ with $\theta \in C$, $|\theta| = 1$. Let $\tilde{E}_0$ be the quotient set and $\phi : E_0 \to \tilde{E}_0$ the quotient map. We metrize $\tilde{E}_0$ by the Hausdorff metric. Actually $d(\phi(f), \phi(g)) = \min \{\|f - g\|, \|f\| = \phi(f), \phi(g) = \phi(g)\}$. Clearly $\tilde{E}_0$ is totally bounded. Thus there is a covering of $\tilde{E}_0$ consisting of mutually disjoint sets $\{\tilde{G}_i\}_{i=1}^m$ such that each $\tilde{G}_i$ has a nonvoid interior and is of diameter less than $\epsilon/2$. Pick $\phi(g) \in \tilde{G}_i$. It is easily seen that for every $\phi(g) \in \tilde{G}_i$ there is $g \in E_0$ such that $\|g - g\| < \epsilon/2$ and $\phi(g) = \phi(g)$. Thus for every $i$ there is a subset $G_i$ of $E_0$ such that $\phi(G_i) = \tilde{G}_i$, $\phi|G_i$ is one-to-one and $\|f - g\| < \epsilon$ if $f, g \in G_i$. From here on one may continue with very minor modifications as in [7].

2. An admissible basis in a space $E$ isometric to $l^n_\infty$ is the image of the unit vector basis of $l^n_\infty$ by an isometry of $l^n_\infty$ onto $E$. 

Lemma 2.1. Let $E \subset F$ be Banach spaces such that $E$ is isometric to $l^n_\infty$ and $F$ is isometric to $l^m_\infty$. Let $P$ be a contractive projection of $F$ onto $E$ such that there is an admissible basis $\{e_i\}_{i=1}^n$ of $E$ and an admissible basis $\{u_j\}_{j=1}^m$ of $F$ for which $P(u_j) = e_j$ if $1 \leq j \leq n$, $P(u_j) = 0$ if $n + 1 \leq j \leq m$. Let $x \in B(F)$ and assume that $P(x) = \frac{1}{2}(v + w)$, $v, w \in B(E)$, $v \neq P(x)$. Then there are $y, z \in B(F)$ such that $x = \frac{1}{2}(y + z)$, $P(y) = v$, $P(z) = w$ and $\|y - v\|, \|z - w\| \leq \max \{4\|x - P(x)\|, 4\|x - P(x)\|^{1/4}\}$.

Proof. From [9, Lemma 2.3] and the assumptions on $P$ it follows that there are scalars $\{a_{ij}\}_{i=1}^n$ such that

$$e_i = u_i + \sum_{j=n+1}^m a_{ij}u_j, \quad 1 \leq i \leq n,$$

$$\sum_{i=1}^n |a_{ij}| \leq 1, \quad n + 1 \leq j \leq m.$$

Let $x = \sum_{j=1}^m \lambda_j u_j$. Then $P(x) = \sum_{i=1}^n \lambda_i e_i$, $v = \sum_{i=1}^n (\lambda_i + \delta_i) e_i$, $w = \sum_{i=1}^n (\lambda_i - \delta_i) e_i$, where
Denote $\epsilon = \|x - P(x)\|$. Since $P(x) = \sum_{i=1}^{n} \lambda_i u_i + \sum_{j=n+1}^{m} (\sum_{i=1}^{n} \lambda_{i,j} a_{i,j}) u_j$, we have

$$
\max_{1 \leq i \leq m} |\lambda_i| < 1, \quad \max_{1 \leq i \leq n} |\lambda_i \pm \delta_i| < 1.
$$

Now, if $\epsilon > 1/3$ put $y = \sum_{i=1}^{n} (\lambda_i + \delta_i) u_i + \sum_{j=n+1}^{m} \lambda_{i,j} u_j$, $z = \sum_{i=1}^{n} (\lambda_i - \delta_i) u_i + \sum_{j=n+1}^{m} \lambda_{i,j} u_j$. Since from (1) it follows that $|\delta_i| < 1$, we have

$$
\|y - v\| = \max_{n+1 \leq i \leq m} \left| \lambda_i - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{i,j} \right| < \epsilon + 1 \leq 4\epsilon.
$$

Similarly, $\|z - w\| \leq 4\epsilon$. Clearly $y$ and $z$ so defined satisfy all the required conditions.

Suppose now $0 < \epsilon < 1/3$ and fix $j$, $n + 1 \leq j \leq m$. If $|\lambda_i| \geq 1 - \epsilon|^{1/2}$ define $\delta_j = 0$. If $|\lambda_i| < 1 - \epsilon|^{1/2}$ and $\sum_{i=1}^{n} \delta_i a_{i,j} = 0$ define $\delta_j = 0$ too. If $|\lambda_j| < 1 - \epsilon|^{1/2}$ and $\sum_{i=1}^{n} \delta_i a_{i,j} \neq 0$ define $\delta_j$ as follows. There are uniquely determined positive numbers $\alpha_j, \beta_j$ such that

$$
|\lambda_j + \alpha_j \sum_{i=1}^{n} \delta_i a_{i,j}| = |\lambda_j - \beta_j \sum_{i=1}^{n} \delta_i a_{i,j}| = 1.
$$

Put

$$
(4) \quad t = \min \left( 1, \alpha_j, \beta_j \right),
$$

$$
(5) \quad \delta_j = t \sum_{i=1}^{n} \delta_i a_{i,j}.
$$

For future use we remark that

$$
(6) \quad 0 \leq 1 - t \leq \epsilon|^{1/2}.
$$

Indeed, if $t = 1$ this is clear. If $t = \alpha_j$ or $t = \beta_j$ then

$$
1 = \left| (1 - t)\lambda_j + t \left( \lambda_j \pm \sum_{i=1}^{n} \delta_i a_{i,j} \right) \right| \\
\leq (1 - t)|\lambda_j| + t \left| \lambda_j \pm \sum_{i=1}^{n} \delta_i a_{i,j} \right| \\
\leq (1 - t)(1 - \epsilon|^{1/2}) + t(1 + \epsilon);
$$

thus $t \geq (\epsilon|^{1/2} + 1)^{-1}$ and $1 - t \leq \sqrt{\epsilon}$. In the proof we made use of $|\lambda_j \pm \sum_{i=1}^{n} \delta_i a_{i,j}| \leq 1 + \epsilon$ which is a consequence of (1) and (2).

Define $y = \sum_{j=1}^{m} (\lambda_j + \delta_j) u_j$, $z = \sum_{j=1}^{m} (\lambda_j - \delta_j) u_j$. Clearly $x = \lambda|^{1/2}(y + z)$, $P(y) = v$, $P(z) = w$. For every $j$ we have $|\lambda_j \pm \delta_j| \leq 1$; thus $y, z \in B(P)$. Indeed, if $1 \leq j \leq n$ or if $n + 1 \leq j \leq m$ and $\delta_j = 0$ this is obvious. Otherwise this is a consequence of (3), (4) and (5).
Now
\[
y - v = \sum_{j=n+1}^{m} \left[ \lambda_j + \delta_j - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij} \right] u_j.
\]
Thus
\[
\|y - v\| = \max_{n+1 \leq j \leq m} \left| \lambda_j + \delta_j - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij} \right|.
\]
Suppose \(|\lambda_j| \geq 1 - \epsilon^2/2\). Then \(|\sum_{i=1}^{n} \lambda_i a_{ij}| \geq 1 - \epsilon^2 - \epsilon > 0\) by (2) (recall that \(0 < \epsilon < 1/3\)) and from this and \(|\sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij}| \leq 1\) we get
\[
\left| \sum_{i=1}^{n} \delta a_{ij} \right| \leq \left( 1 - \left| \sum_{i=1}^{n} \lambda_i a_{ij} \right|^{2^{1/2}} \right) \leq 2 \epsilon^{1/4}.
\]
Therefore
\[
\left| \lambda_j + \delta_j - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij} \right| \leq \left| \lambda_j - \sum_{i=1}^{n} \lambda_i a_{ij} \right| + \left| \sum_{i=1}^{n} \delta a_{ij} \right| \leq \epsilon + 2 \epsilon^{1/4} \leq 4 \epsilon^{1/4}.
\]
If \(|\lambda_j| < 1 - \epsilon^2/2\) and \(\sum_{i=1}^{n} \delta a_{ij} = 0\) then obviously \(|\lambda_j + \delta_j - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij}| \leq 4 \epsilon^{1/4}\). Suppose now \(|\lambda_j| < 1 - \epsilon^2/2\) and \(\sum_{i=1}^{n} \delta a_{ij} \neq 0\). Then
\[
\left| \lambda_j + \delta_j - \sum_{i=1}^{n} (\lambda_i + \delta_i) a_{ij} \right| \leq \left| \lambda_j - \sum_{i=1}^{n} \lambda_i a_{ij} \right| + (1 - \epsilon) \left| \sum_{i=1}^{n} \delta a_{ij} \right| \leq \epsilon + \epsilon^{1/2} \leq 4 \epsilon^{1/4}
\]
by (1), (2) and (6). It follows from this discussion that \(\|y - v\| \leq 4 \epsilon^{1/4}\) and in the same way one can show that \(\|z - w\| \leq 4 \epsilon^{1/4}\). The proof of the lemma is complete.

Let \(E\) be a separable infinite dimensional Lindenstrauss space. Then there is an increasing sequence of subspaces \(\{E_n\}_{n=1}^{\infty}\) such that \(E = \bigcup_{n=1}^{\infty} E_n\) and each \(E_n\) is isometric to \(l_\infty\) (cf. [7]). By [9, Lemma 2.3] one may choose admissible bases \(\{e_{ij}\}_{i=1}^{\infty}\) in every \(E_n\) such that \(e_i = e^i_{n+1} + a^i e^i_{n+1}\), \(1 \leq i \leq n\), and \(\sum_{i=1}^{n} |a^i_{i+1}| \leq 1\) for \(n = 1, 2, \ldots\). As in [10] we define a sequence of functionals \(\{\phi_i\}_{i=1}^{\infty}\) on \(\bigcup_{i=1}^{\infty} E_i\) as follows: for \(i \leq n\) and \(x = \sum_{i=1}^{n} \alpha_i e^i_{i+1}\) let \(\phi_i(x) = \alpha_i\). Clearly \(\phi_i\) is a well-defined linear functional and \(\|\phi_i\| = 1\). Thus \(\phi_i\) can be extended by continuity to all of \(E\).

Lemma 2.2. Let \(E\) be a separable Lindenstrauss space and let \(u \in \partial E(E)\). Suppose \(E = \bigcup_{n=1}^{\infty} E_n\) where \(\{E_n\}_{n=1}^{\infty}\) is an increasing sequence of subspaces such that \(E_n\) is isometric to \(l_n\) for every \(n\). Then there is a sequence of contractive projections \(\{P_n\}_{n=1}^{\infty}\) such that \(\lim_{n \to \infty} P_n(x) = x\) for all \(x \in E\), \(P_n(E) = E_n\) and \(P_n(u) \in \partial E(E_n), n = 1, 2, \ldots\).
Proof. Let \( P_n(x) = \sum_{i=1}^{n} \phi_i(x) e_i \) where \( e_i, \phi_i \) are defined as above. We have to show only that \( P_n(u) = \partial E \). Assume that for some \( n \), \( P_n(u) \) is not an extreme point of \( B(E) \). Then \( P_n(u) = \frac{1}{2}(x_0 + y_0) \) with \( x_0, y_0 \in B(E) \), \( x_0 \neq y_0 \). Choose an increasing sequence of natural numbers \( \{n_k\}_{k=1}^{\infty} \) such that \( n_1 > n \) and \( \|P_{n_k+1}(u) - P_{n_k}(u)\| < 2^{-4k} \). Since for any \( m, n \) with \( m > n \) we have \( P_m P_n = P_n \) it follows from Lemma 2.1 that there are \( x_1, y_1, x_0, y_0 \in B(E) \) such that \( P_{n_k}(u) = \frac{1}{2}(x_1 + y_1), P_{n_0}(x_0) = x_0, P_{n_0}(y_0) = y_0 \). By using once more Lemma 1 we may construct inductively two sequences \( \{x_k\}_{k=1}^{\infty}, \{y_k\}_{k=1}^{\infty} \) such that for any \( k \) we have \( x_k, y_k \in B(E), P_{n_k}(u) = \frac{1}{2}(x_k + y_k), P_{n_k}(x_{k+1}) = x_k, P_{n_k}(y_{k+1}) = y_k \) and \( \|y_{k+1} - y_k\|, \|x_{k+1} - x_k\| \leq 4 \cdot 2^{-k} \). Thus \( \{x_k\}_{k=1}^{\infty}, \{y_k\}_{k=1}^{\infty} \) converge to \( x, y \in B(E) \) respectively and \( u = \frac{1}{2}(x + y) \). Obviously \( \|u - x\| > \|P_{n_0}(u) - x_0\| > 0 \) and this is a contradiction.

Lemma 2.3. Let \( E \) be a Lindenstrauss space and \( u \in \partial B(E) \). Then for any \( x \in E, \|x\| = 1 \) and for any \( \epsilon > 0 \) there is a functional \( x^* \in E^* \) such that \( \|x^*\| = x^*(u) = 1 \) and \( |x^*(x)| > 1 - \epsilon \).

Proof. By the proof of Theorem 1.1 in [9] there is a separable Lindenstrauss subspace \( Y \) of \( E \) such that \( u, x \in Y \). Let \( Y = \bigcup_{n=1}^{\infty} E_n \) where \( \{E_n\}_{n=1}^{\infty} \) is an increasing sequence of finite dimensional subspaces such that \( E_n \) is isometric to \( l_1^n \) and let \( \{P_n\}_{n=1}^{\infty} \) be a sequence of projections as given by Lemma 2.2. Choose \( n \) such that \( \|x - P_n(x)\| < \epsilon \). Since \( P_n(u) \in \partial E \) there is an \( i, 1 \leq i \leq n, \) for which \( |\phi_i(P_n(x))| > 1 - \epsilon \) and \( |\phi_i(P_n(u))| = 1 \), \( \phi_i \) being one of the functionals on \( Y \) defined in the discussion preceding Lemma 2.2. Now, \( \phi_i(x) = \phi_i(P_n(x)) \) and \( \phi_i(u) = \phi_i(P_n(u)) \). To get the desired functional one has to multiply \( \phi_i \) by an appropriate constant \( \theta \) and to extend \( \theta \phi_i \) to \( E \) by the Hahn-Banach theorem.

Proof of Theorem 1. Obviously \( \|\tilde{x}\| \leq \|x\| \) and by Lemma 2.3 we have \( \|\tilde{x}\| \geq \|x\| \) for every \( x \in E \).

3. Let \( X \) be a compact Hausdorff space and let \( A \subseteq C(X) \) be a closed linear subspace, separating the points of \( X \) and containing the constant functions. For simplicity we shall denote \( B(A^*) \) by \( K \). The state space of \( A \), i.e.

\[ S = \{p \in A^*: p(1_x) = \|p\| = 1\} \]

is a \( w^* \)-closed face of \( K \). Define \( Z = \text{conv}(SU - iS) \) and let \( \theta: A \to A(Z) \) be defined as \( \theta(a) = \text{Re} z(a) \) for all \( z \in Z \) and \( a \in A \). Then \( \theta \) is a bicontinuous real-linear isomorphism of \( A \) onto the space \( A(Z) \) of real continuous affine functions on \( Z \) (cf. [2]).

We note that \( S \) is a closed face of \( Z \) with complementary face \( S' = -iS \). Moreover, the barycenter coefficient in the decomposition after \( S \) and \( S' \) is uniquely determined, i.e. \( S \) is a parallel face of \( Z \). For details we refer to [1].
Let \( \Phi \) denote the canonical embedding of \( X \) into \( S \), i.e.
\[
\phi(x)(a) = a(x), \quad \forall a \in A.
\]

Also let \( T \) denote the unit circle and define \( \phi: T \times X \to K \) by \( \Phi(\lambda, x) = \lambda \phi(x) \) and \( L: C_c(X) \to C_c(T \times X) \) by
\[
Lf(\lambda, x) = \lambda f(x), \quad \forall (\lambda, x) \in T \times X.
\]

It follows from [5] and [6] that \( L^* \circ \Phi^{-1} \) maps maximal probability measures on \( K \) into complex boundary measures on \( X \), i.e. \( \mu \in M^*_1(\partial e K) \) implies \( L^*(\Phi^{-1} \mu) \in M(\partial e X) \).

Following Effros [4] we define for \( f \in C_c(K) \) the function
\[
inv_T f(p) = \int_T f(\alpha p) d\alpha, \quad \forall p \in K,
\]
where \( d\alpha \) is the normalized Haar measure on \( T \). It is easily verified that \( inv_T \) is a norm decreasing projection in \( C_c(K) \). Similarly, we write
\[
hom_T f(p) = \int_T \alpha^{-1} f(\alpha p) d\alpha, \quad \forall p \in K,
\]
and observe that \( hom_T \) is also a contractive projection in \( C_c(K) \). Taking adjoints of these projections we obtain the following contractive \( w^* \)-continuous projections in \( M(K) \):
\[
inv_T \mu = \mu \circ inv_T, \quad hom_T \mu = \mu \circ hom_T.
\]

In [4] Effros proved that a complex Lindenstrauss space \( V \) can be characterized by the following condition on \( B(V^*) \):
\[
(*) \mu, \nu \in M^*_1(\partial e B(V^*)) \text{ with } r(\mu) = r(\nu) \Rightarrow hom_T \mu = hom_T \nu.
\]
Here \( r: M^*_1(B(V^*)) \to B(V^*) \) denotes the barycentric map.

Lemma 3.1. Let \( \mu \in M^*_1(\partial e K) \). Then the measures \( hom_T \mu \) and \( inv_T \mu \) are boundary measures on \( K \).

Proof. [4, Lemma 4.2].

If \( \nu \in M(X) \), then we denote by \( \phi(\nu) \) the direct image of \( \nu \) under \( \phi \).

Lemma 3.2. Let \( \mu \in M^*_1(\partial e K) \). Then the measure \( \nu = L^* \circ (\Phi^{-1} \mu) \) is a complex boundary measure on \( X \) such that \( hom_T \mu = hom_T (\phi \nu) \).

Proof. By [5] \( \nu \) is a complex boundary measure on \( X \).

Let \( f \in C_c(K) \). Then
\[
L(hom_T f \circ \phi)(\lambda, x) = hom_T f(\lambda \phi(x)).
\]
Hence
\[ \text{hom}_T(\phi \nu)(f) = \int_X \text{hom}_T / \circ \phi d\nu = \int_X \text{hom}_T / \circ \phi dL^*(\Phi^{-1}\mu) \]
\[ = \int_{TXX} L(\text{hom}_T / \circ \phi) d\Phi^{-1}\mu = \int_{\Phi(TXX)} (L(\text{hom}_T / \circ \phi)) \circ \Phi^{-1} d\mu \]
\[ = \int_K \text{hom}_T / d\mu = \text{hom}_T \mu(f) \]
and the lemma is proved.

We shall need the following fact on the embedding of $S$ in $Z$.

**Lemma 3.3.** $S$ is a split face of $Z$ if and only if $A$ is closed under complex conjugation.

**Proof.** Assume $S$ is a split face of $Z$. Let $a \in A$ and decompose $a = a_1 + ia_2$.

Define $b_1 \in A(S)$ and $b_2 \in A(-iS)$ by
\[ b_1(p) = \theta a(p), \quad b_2(-ip) = -\theta a(-ip), \quad \forall p \in S. \]

Since $S$ is a split face of $Z$ and $S' = iS$ is closed, it follows from [1, Proposition II. 6.19] that there exists $h \in A$ such that $dh|_S = b_1, dh|_{S'} = b_2$. Thus for $x \in X$ we shall have $a_1(x) - ia_2(x) = \theta a(x) - i\theta a(-ix) = \theta b(x) + i\theta b(-ix) = b(x)$. Hence $\theta \in A$.

Conversely, we assume that $A$ is closed under complex conjugation. Consider convex combinations
\[ \lambda p_1 + (1 - \lambda)(-ip_1) = \lambda p_2 + (1 - \lambda)(-ip_2) \]
where $p_1, q_2 \in S$ and $0 < \lambda < 1$ for $i = 1, 2$.

If $p_1 \neq p_2$, then it follows from the Hahn-Banach theorem and the assumption on $A$ that we can find $a = a_1 \in A$ such that $\theta a(p_1) \neq \theta a(p_2)$. Moreover, $\lambda \theta a(p_1) + (1 - \lambda) \theta a(-ip_1) = \lambda \theta a(p_2) + (1 - \lambda) \theta a(-ip_2)$. Since $\theta a|_{S'} = 0$, we shall have $\lambda \theta a(p_1) = \lambda \theta a(p_2)$ which is a contradiction, and the lemma is proved.

**Proof of Theorem 2.** (i) $\Rightarrow$ (ii). Let $\mu \in A^+ \cap M(\partial A X)$ and decompose $\mu$ as
\[ \mu = \lambda_1 \mu_1 - \lambda_2 \mu_2 + i\lambda_3 \mu_3 - i\lambda_4 \mu_4, \]
where $\lambda_i \geq 0$ and $\mu_i \in M^+_1(\partial A X)$ for $i = 1, 2, 3, 4$.

Let $p_i = r(\phi(\mu_i))$ for $i = 1, 2, 3, 4$. Then
\[ 0 = \lambda_1 p_1 - \lambda_2 p_2 + i\lambda_3 p_3 - i\lambda_4 p_4, \]
or equivalently
\[ \lambda_1 p_1 + \lambda_2 (\lambda_3 - i p_4) = \lambda_2 p_2 + \lambda_4 (\lambda_3 - i p_3). \]

Let \( z \) be the common value of the left- and right-hand sides of this equation. Since \( 1_X \in A \) we conclude that \( \lambda_1 = \lambda_2 \) and \( \lambda_3 = \lambda_4. \) Hence we may assume that \( \lambda_1 + \lambda_4 = \lambda_2 + \lambda_3 = 1. \) Specifically, \( z \in \mathbb{Z}. \)

If \( \psi: K \to K \) is defined by \( \psi(p) = -ip, \forall p \in K, \) then the measures

\[ \nu_1 = \lambda_1 (\phi(p_1)) + \lambda_2 (\phi(p_4)), \quad \nu_2 = \lambda_2 (\phi(p_2)) + \lambda_3 (\phi(p_3)), \]

are maximal probability measures representing \( z. \)

Since \( A \) is a complex Lindenstrauss space, it satisfies the condition \( (*) \).

Hence \( \text{hom}_T \nu_1 = \text{hom}_T \nu_2. \)

Let \( f \in C_c(X); \) define \( \overline{f} \) on \( \Phi(T \times X) \) by

\[ \overline{f}(\lambda \phi(x)) = \lambda f(x), \]

and extend \( \overline{f} \) to \( \overline{f} \in C_c(K) \) (Tietze). Then

\[ \text{hom}_T \overline{f}(\phi(x)) = f(x), \quad \forall x \in X. \]

Moreover,

\[ \text{hom}_T \nu_1(\overline{f}) = \lambda_1 \int_X \text{hom}_T \overline{f} \circ \phi d\mu_1 + \lambda_4 \int_X \text{hom}_T \overline{f} \circ \psi \circ \phi d\mu_4 = \lambda_1 \int_X f d\mu_1 - i \lambda_4 \int_X f d\mu_4. \]

Similarly,

\[ \text{hom}_T \nu_2(\overline{f}) = \lambda_2 \int_X f d\mu_2 - i \lambda_3 \int_X f d\mu_3, \]

and hence

\[ 0 = \lambda_1 \mu_1(f) - \lambda_2 \mu_2(f) + i \lambda_3 \mu_3(f) - i \lambda_4 \mu_4(f) = \mu(f), \]

i.e. \( \mu = 0 \) and (ii) follows.

(ii) \( \Rightarrow \) (i). The condition \( (*) \) is seen to be an immediate consequence of Lemma 3.2.

(ii) \( \Rightarrow \) (iii). First we observe that \( S \) is a Choquet simplex since (ii) asserts that there are no real annihilating boundary measures. Hence it suffices to prove that \( S \) is a split face of \( Z \) or equivalently that \( A \) is selfadjoint.

To see this we assume that \( a \in A \) and \( \overline{a} \notin A. \) Then there exists a measure \( \mu \in A^1 \) such that \( \mu(\overline{a}) \neq 0. \) Decompose \( \mu \) into real and imaginary parts, i.e.

\[ \mu = \mu_1 + i \mu_2 \]

and choose real boundary measures \( \nu_i \in M(\partial A X) \) such that

\[ \mu_i - \nu_i \in A^1 \quad \text{for} \quad i = 1, 2. \]
Define \( \nu = \nu_1 + i\nu_2 \); then \( \nu \in A^1 \cap M(\partial_A X) \) and from (ii) we conclude that \( \nu = 0 \) and hence \( \nu_1 = 0 = \nu_2 \). In particular \( \mu_i \in A^2 \) for \( i = 1, 2 \) and hence \( \mu_1 = 0 \), and we have obtained a contradiction.

(iii) \( \Rightarrow \) (ii). Let \( \mu \in A^1 \cap M(\partial_A X) \) and decompose \( \mu \) as \( \mu = \mu_1 + i\mu_2 \). Since \( A \) is selfadjoint, we have that \( \mu_i \in A^1 \) for \( i = 1, 2 \), and since \( S \) is a Choquet simplex, we conclude that \( \mu_i = 0 \) for \( i = 1, 2 \) and hence \( \mu = 0 \).

(iii) \( \Leftrightarrow \) (iv). Trivial.

This completes the proof of the theorem.

Remark. In order to prove that (ii) implies (i) we could have used the fact that the space of complex boundary measures \( M(\partial_A X) \) is an \( L^1 \)-space [4], and since every \( p \in A^* \) can be represented by a complex boundary measure \( \mu_p \in M(\partial_A X) \) with \( \|p\| = \|\mu_p\| \), condition (ii) asserts that \( A^* \) is isometrically isomorphic to \( M(\partial_A X) \), and (i) follows.

**Corollary 3.4.** Let \( E \) be a complex Banach space. \( E \) is isometric to a \( C_C(X) \) space iff it satisfies the following conditions

(i) \( E \) is a Lindenstrauss space;
(ii) \( \partial e B(E^*) \) is \( w^* \)-closed;
(iii) \( \partial e B(E) \neq \emptyset \).

**Proof.** If \( E \) is a \( C_C(X) \) space then (i)–(iii) are well known. Assume that \( E \) fulfills these conditions and let \( u \in \partial e B(E) \). By Theorems 1 and 2, \( E \) is isometric to the space of complex continuous affine functions on \( \mathcal{S} = \{x^* \in E^* : x^*(u) = \|x^*\| = 1\} \) in the \( w^* \)-topology. By (ii) and Theorem 2, \( \mathcal{S} \) is a Bauer simplex; hence \( E \) is isometric to \( C_C(\partial e \mathcal{S}) \) (cf. [1, Theorem II. 4.3]).

The real analogue of the above corollary was proved by Lindenstrauss [8, p. 76].

**Corollary 3.5.** Let \( A \) be a uniform subalgebra of \( C_C(X) \). Then \( A \) is a Lindenstrauss space iff \( A = C_C(X) \).

**Proof.** If \( A \) is a Lindenstrauss space then \( A \) is selfadjoint. The Stone-Weierstrass theorem yields \( A = C_C(X) \).

**REFERENCES**


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