PROJECTIONS ON TENSOR PRODUCT SPACES

BY

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ABSTRACT. (S, Σ, μ), (T, Θ, ν) are finite, nonatomic measure spaces. G and H are finite-dimensional subspaces of L₁(S) and L₁(T) respectively. Both G and H contain the constant functions. It is shown that the relative projection constant of L₁(S) ⊗ H + G ⊗ L₁(T) in L₁(S × T) is at least 3.

I. Introduction. Suppose X is a normed linear space with subspace Y. A projection P : X → Y is a linear transformation whose range is Y and which acts as the identity on Y. We set λ(Y, X) = inf{∥P∥ : P is a projection from X onto Y}. This number is called the relative projection constant of Y in X. If we can find a projection from X onto Y whose norm if λ(Y, X), then this projection is called minimal. Computing λ(Y, X) even for quite "small" Y is usually a very difficult task, and the best that can be done in most cases is to provide bounds.

In this area some recent work has focused attention on bivariate function spaces. In particular several authors have considered the cases X = C(S × T), Y = C(S) + C(T) or X = Lᵖ(S × T), Y = Lᵖ(S) + Lᵖ(T) (see [3, 4 and 6] for details). Recently, Cheney and Franchetti [1] improved the results of [6] by showing that if X = C(S × T) and Y = C(S) ⊗ H + G ⊗ C(T), where G and H are finite-dimensional subspaces of C(S) and C(T) respectively and each containing the constant functions, then λ(Y, X) ≥ 3. Jameson and Pinkus [6] had previously shown that if G and H are just spanned by the constant functions, then λ(Y, X) = 3.

In this paper we shall take G as an n-dimensional subspace of L₁(S) or L∞(S) spanned by {g₁, ..., gᵦ}. H will be an m-dimensional subspace of L₁(T) or L∞(T) spanned by {h₁, ..., hₘ}. Both G and H will be assumed to contain the functions which are constant almost everywhere with respect to the appropriate measure. S and T will be finite, nonatomic measure spaces. We shall show that λ(Y, X) ≥ 3 for X = L₁(S × T), Y = L₁(S) ⊗ H + G ⊗ L₁(T).

II. Preliminary results. In this section we shall deal entirely with L₁(S × T). However, we shall be able to derive the same results in L∞(S × T). We shall indicate at the end of this section the minor differences that arise in L∞(S × T). So let us take X = L₁(S × T), U = L₁(S) ⊗ H, V = G ⊗ L₁(T) and W = U + V. We shall also assume without loss of generality that (S, Σ, μ) and (T, Θ, ν) are such that μ(S) = ν(T) = 1. For x ∈ X the sections xₛ, xₜ are defined by xₛ(t) = x(s, t) and xₜ(s) = x(s, t) for almost all (s, t) belonging to S × T. Unadorned norm symbols will denote L₁-norms. Thus, for example,

\[ \|u\| = \int |u(s)| \, dμ, \quad u ∈ L₁(S). \]
The $L_\infty$-norms are written with a subscript, as
\[ \|f\|_\infty = \text{ess sup } |f(s,t)|, \quad f \in L_\infty(S \times T). \]

We begin with an elementary lemma whose proof can be found in [5], for example.

**Lemma 2.1.** (i) There exists a constant $\beta$ such that each element $w$ of $W$ has a representation $w = u + v$, where $u \in U$, $v \in V$ and $\|u\| + \|v\| \leq \beta \|w\|$. (ii) There exists a constant $\gamma$ such that for all $x_i \in L_1(T)$, $\|x_i\| \leq \gamma \sum^n_{i=1} x_i g_i$. Here the constant $\beta$ depends only on $U$ and $V$ while the constant $\gamma$ depends only on the choice of basis for $G$.

It is well known (see [2, p. 308]) that any finite nonatomic measure space can be written as the union of a finite number of disjoint sets each with measure no more than some prescribed tolerance $\varepsilon$. This fact can be used to show that $S$ and $T$ may be partitioned into sets $S_i$ and $T_j$, where $1 \leq i \leq k$ and $\mu(S_i) = \mu(T_j) = 1/k$. In outline this is done as follows. Firstly, partition $S$ into a finite number of disjoint sets of length at most $1/2k$. By taking unions if necessary we may assume that $1/2k \leq \mu(A_1) \leq 1/k$. Partition $S \setminus A_1$ into sets of measure at most $1/4k$. By taking the union of as many of these sets as necessary together with $A_1$ we obtain $A_2$ with $3/4k \leq \mu(A_2) \leq 1/k$. This defines the first two members of a sequence of sets $A_1, A_2, A_3, \ldots$. Now setting $S_1 = \bigcup_{n=1}^{\infty} A_n$ gives $S_1$ with measure $1/k$ as required. Further members in the partition are produced by applying this technique to $S \setminus S_1$ and so on. With the aid of these partitions we define functionals $E_i \in [L_1(S)]^*$ and $F_j \in [L_1(T)]^*$ by
\[ E_i u = \int_{S_i} u d\mu, \quad u \in L_1(S), \quad 1 \leq i \leq k, \]
\[ F_j v = \int_{T_j} v d\nu, \quad v \in L_1(T), \quad 1 \leq j \leq k. \]

Now define functions $x_i \in L_1(S)$ and $y_i \in L_1(T)$ by
\[ x_i = k \chi_{S_i}, \quad y_i = k \chi_{T_i}, \quad 1 \leq i \leq k. \]

Here $\chi_{S_i}$ denotes the characteristic function of the set $S_i$. Since $\{S_i\}$ is a partition of $S$ we have $\sum_{i=1}^{k} x_i = k$ and similarly $\sum_{i=1}^{k} y_i = k$. We shall set $z_{ij} = x_i y_j$, $1 \leq i, j \leq k$. It is clear that
\[ \|E_i\| = \|F_i\| = \|x_i\| = \|y_i\| = 1, \quad 1 \leq i \leq k, \]
and
\[ E_i(x_j) = F_i(y_j) = \delta_{ij}, \quad 1 \leq i, j \leq k. \]

We also define $D_{ab} \in [L_1(S \times T)]^*$ by $D_{ab} z = E_a(F_b z)$ for all $z \in L_1(S \times T)$ and $1 \leq a, b \leq k$.

**Lemma 2.2.** Let $P$ be a projection from $L_1(S \times T)$ onto $W$. Then we have
\[ \|P\| \geq \frac{1}{k^2} \sum_{a,b=1}^{k} \sum_{i,j=1}^{k} |(D_{ab})(Pz_{ij})|. \]

**Proof.** We have for any $z \in L_1(S \times T)$ with $\|z\| = 1$,
\[ \|P\| \geq \|Pz\| = \sup \left\{ \int \int \phi \cdot Pz \, d\sigma : \phi \text{ belongs to the unit ball in } L_\infty(S \times T) \right\}. \]
Now since the functionals $D_{ab}$, $1 \leq a, b \leq k$, have disjoint support and are of unit norm we may write

$$\|P\| \geq \sum_{a,b=1}^{k} |(D_{ab})(Pz)|$$

or

$$\|P\| \geq \sum_{a,b=1}^{k} |(D_{ab})(Pz_{ij})|.$$  

Summing each side of this inequality over $1 \leq i, j \leq k$ gives

$$k^2 \|P\| \geq \sum_{a,b=1}^{k} \sum_{i,j=1}^{k} |(D_{ab})(Pz_{ij})|$$

which is equivalent to the required result.  

**LEMMA 2.3.** For any projection $P$ from $L_1(S \times T)$ onto $W$ we have

$$\|P\| \geq 3 - \frac{2}{k^2} \sum_{a,b=1}^{k} (D_{ab})(Pz_{ab}),$$

**PROOF.** Consider first

$$\sum_{i=1}^{k} (D_{ab})(Pz_{ij}) = (D_{ab}) \left( \sum_{i=1}^{k} z_{ij} \right) = (D_{ab})(P(ky_j)) = (D_{ab})(ky_j) = \delta_{bj}.$$  

Similarly, $\sum_{j=1}^{k} (D_{ab})(Pz_{ij}) = \delta_{ai}$. This allows us to reason as follows:

$$k^2 \|P\| \geq \sum_{a,b=1}^{k} \sum_{i,j=1}^{k} |(D_{ab})(Pz_{ij})|$$

\[
\geq \sum_{a,b=1}^{k} \left[ - \sum_{i,j=1}^{k} (D_{ab})(Pz_{ij}) + \sum_{j=1}^{k} (D_{ab})(Pz_{aj}) + \sum_{i=1}^{k} (D_{ab})(Pz_{ib}) - (D_{ab})(Pz_{ab}) \right]
\]

\[
= \sum_{a,b=1}^{k} \left[ - \sum_{i,j=1}^{k} (D_{ab})(Pz_{ij}) + 2 \sum_{j=1}^{k} (D_{ab})(Pz_{aj}) + 2 \sum_{i=1}^{k} (D_{ab})(Pz_{ib}) - 2(D_{ab})(Pz_{ab}) \right]
\]

\[
= \sum_{a,b=1}^{k} (-1 + 2\delta_{aa} + 2\delta_{bb} - 2(D_{ab})(Pz_{ab}))
\]

\[
= 3k^2 - 2 \sum_{a,b=1}^{k} (D_{ab})(Pz_{ab}).
\]

\[\square\]
We conclude this section as promised, with a brief discussion of the $L_\infty$-case. Lemma 2.3 is really not dependent on the norm employed so much as the closedness of $U + V$. We define $E_i$, $F_i$, $x_i$, $y_i$, $1 \leq i \leq k$, as before but now $\|E_i\| = \|F_i\| = 1/k$ and $\|x_i\| = \|y_i\| = k$, $1 \leq i \leq k$. We continue to have the biorthogonality property. The change of scaling does not affect Lemma 2.2 and since Lemma 2.3 is an algebraic result resting only on the biorthogonality conditions we continue to have the following result.

**Lemma 2.4.** Let $P$ be any projection from $L_\infty(S \times T)$ onto $L_\infty(S) \otimes H + G \otimes L_\infty(T)$, where $H$ is finite dimensional in $L_\infty(T)$, $G$ is finite dimensional in $L_\infty(S)$ and $G$ and $H$ contain the functions which are constant almost everywhere on $S$ and $T$ respectively. Then

$$\|P\| \geq 3 - \frac{2}{k^2} \sum_{a,b=1}^{k} (D_{ab})(P_{ab}).$$

**III. Main theorem.** With the aid of the results in the previous section we can now deduce the required result in $L_1(S \times T)$.

**Theorem 3.1.** Let $G, H$ be finite-dimensional subspaces of $L_1(S)$ and $L_1(T)$ respectively such that they each contain the functions which are constant almost everywhere with respect to the appropriate measure. Then

$$\lambda(L_1(S) \otimes H + G \otimes L_1(T), L_1(S \times T)) \geq 3.$$

**Proof.** By Lemma 2.1 we can express $P_{ab}$ as

$$P_{ab} = u_{ab} + v_{ab} = \sum_{i=1}^{n} x_{i,a,b} g_i + \sum_{j=1}^{m} y_{j,a,b} h_j,$$

where $\|u_{ab}\| + \|v_{ab}\| \leq \beta \|P_{ab}\|$. Then

$$\sum_{a,b=1}^{k} (D_{ab})(P_{ab}) = \sum_{a,b=1}^{k} \left\{ \sum_{i=1}^{n} E_a(g_i) F_b(x_{i,a,b}) + \sum_{j=1}^{m} E_a(y_{j,a,b}) F_b(h_j) \right\} \leq \sum_{a,b=1}^{k} \left\{ \sum_{i=1}^{n} |E_a(g_i)| |F_b(x_{i,a,b})| + \sum_{j=1}^{m} |E_a(y_{j,a,b})| |F_b(h_j)| \right\}.$$

Now by Lemma 2.1 again we may argue as follows

$$|F_b(x_{i,a,b})| \leq \|x_{i,a,b}\| \leq \gamma \sum_{i=1}^{n} x_{i,a,b} g_i \leq \beta \gamma \|P_{ab}\| \leq \beta \gamma \|P\|.$$

Similarly, $|E_a(y_{j,a,b})| \leq \beta \delta \|P\|$, where $\delta$ is an appropriate constant depending only on the basis chosen for $H$. Hence, there exists a real number $R > 0$ such that

$$\sum_{a,b=1}^{k} (D_{ab})(P_{ab}) \leq R \|P\| \sum_{a,b=1}^{k} \left\{ \sum_{i=1}^{n} |E_a(g_i)| + \sum_{j=1}^{m} |F_b(h_j)| \right\}.$$

Now by our definition of $E_a$, $F_b$, $1 \leq a, b \leq k$, we have

$$\sum_{a=1}^{k} |E_a(g_i)| \leq \|g_i\|, \quad \sum_{b=1}^{k} |F_b(h_j)| \leq \|h_j\|.$$
and so
\[ \sum_{a,b=1}^{k} (D_{ab})(Pz_{ab}) \leq kR\|P\| \left[ \sum_{i=1}^{n} \|g_i\| + \sum_{j=1}^{m} \|h_j\| \right]. \]

Hence,
\[ \|P\| \geq 3 - \frac{2}{k^2} \sum_{a,b=1}^{k} (D_{ab})(Pz_{ab}) \geq 3 - \frac{2}{k} R\|P\| \left[ \sum_{i=1}^{n} \|g_i\| + \sum_{j=1}^{m} \|h_j\| \right]. \]

Since this inequality holds for all natural numbers \(k\), we have the required result. \(\square\)

REFERENCES

1. E. W. Cheney and C. Franchetti, Minimal projections in tensor product spaces (Center for Numerical Analysis Report No. 184, Univ. of Texas at Austin, Austin, Texas); J. Approx. Theory (to appear).

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