

## ON THE INVARIANCE OF $q$ -CONVEXITY AND HYPERCONVEXITY UNDER FINITE HOLOMORPHIC SURJECTIONS

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**ABSTRACT.** In this note we have proved that 0-convexity and hyperconvexity are invariant under finite holomorphic surjections. Invariance of cohomological  $q$ -convexity for the case of finite dimension also has been established.

It is known [7] that Steinness is invariant under finite holomorphic surjections. In this note we investigate the invariance property for 0-convexity generally, for cohomological completeness, for cohomological  $q$ -convexity of finite dimension, and for hyperconvexity.

**1. The invariance of 0-convexity.** We recall that a complex space  $X$  is called  $q$ -convex if there exists an exhaustion function  $\varphi$  on  $X$  which is strictly  $q$ -pseudoconvex outside some compact  $K \subset X$ . It is known [2] that  $X$  is 0-convex if and only if there exists a proper holomorphic map  $\theta$  from  $X$  onto a Stein space  $S$  such that  $\theta_*\mathcal{O}_X \simeq \mathcal{O}_S$  and  $\theta$  is biholomorphic outside some set of the form  $\theta^{-1}A$ , where  $A$  is a finite subset of  $S$ . The proper surjection  $\theta: X \rightarrow S$  with  $S$  Stein, such that  $\theta_*\mathcal{O}_X \simeq \mathcal{O}_S$  is said to be the *Remmert reduction* of  $X$ . By [2] if  $X$  is holomorphically convex then there exists a Remmert reduction. In this section we prove the following theorem.

**1.1 THEOREM.** *Let  $\varphi: X \rightarrow Y$  be a finite holomorphic surjective map. Then  $Y$  is 0-convex if and only if  $X$  is.*

The proof of Theorem 1.1 is based on the following assertion, essentially as in [9].

**1.2 ASSERTION.** A complex space  $X$  is 0-convex if and only if  $\dim H^1(X, \mathcal{S}) < \infty$  for every coherent ideal subsheaf  $\mathcal{S} \subset \mathcal{O}_X$ .

**PROOF.** The necessity follows from a theorem of Andreotti-Grauert [1].

Conversely, assume that  $\dim H^1(X, \mathcal{S}) < \infty$  for every coherent ideal subsheaf  $\mathcal{S}$  of  $\mathcal{O}_X$ . We have to prove that  $X$  is 0-convex.

First we show that  $X$  is holomorphically convex. Let  $V = \{x_n\}_{n=1}^\infty$  be a discrete sequence in  $X$  and let  $J_V$  denote the ideal subsheaf of  $\mathcal{O}_X$  associated to  $V$ . Consider the exact sequence:

$$(1) \quad 0 \rightarrow J_V \rightarrow \mathcal{O}_X \xrightarrow{\eta} \tilde{\mathcal{O}}V \rightarrow 0.$$

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By hypothesis and by exactness of the cohomology sequence associated to (1) we get  
 (2)  $\dim \mathcal{O}(V)/\text{Im } \eta = \dim C^\infty/\text{Im } \eta < \infty.$

Let  $l_\infty(V)$  denote the subspace of  $\mathcal{O}(V)$  consisting of bounded functions on  $V$ . Then  $\dim C^\infty/l_\infty(V) = \infty$ . Thus by (2) it follows that  $\text{Im } \eta \setminus l_\infty(V) \neq \emptyset$ . This implies that  $\sup|f(x_n)| = \infty$  for some  $f \in \mathcal{O}(X)$ . Hence  $X$  is holomorphically convex.

Let  $\theta: X \rightarrow S$  be the Remmert reduction of  $X$ . To prove that  $X$  is 0-convex it suffices to show that  $\theta: X \setminus K \rightarrow S \setminus \theta(K)$  is injective for some compact set  $K \subset X$ . For a contradiction suppose there is a discrete set  $V = \{x_n, y_n\}_{n=1}^\infty$  such that  $\theta(x_n) = \theta(y_n)$  for every  $n \geq 1$ . For each  $n$  let  $\sigma_n \in \mathcal{O}(V)$  be given by the formula

$$\sigma_n(x_n) = 1, \quad \sigma_n|_{V - x_n} = 0.$$

Since  $\theta_*\mathcal{O}_X \simeq \mathcal{O}_S$  it is easy to see that  $\{\sigma_n \text{ mod } \eta\}$  ( $n = 1, 2, \dots$ ) is linearly independent in  $\mathcal{O}(V)/\text{Im } \eta$ . This contradicts (2). Hence Assertion 1.2 is proved.

1.3 ASSERTION. Let  $\theta: X \rightarrow Y$  be an  $n$ -analytic covering and let  $Y$  be normal. Then for every coherent ideal subsheaf  $\mathcal{S}$  of  $\mathcal{O}_Y$  there exists a morphism  $Q: \theta_*\theta^*\mathcal{S} \rightarrow \mathcal{S}$  such that  $Qe = \text{id}$ , where  $e: \mathcal{S} \rightarrow \theta_*\theta^*\mathcal{S}$  is the canonical injection.

PROOF. Let  $V \subset Y$  be the branch locus of  $\theta$  and let  $U \subset Y$  be a Stein open subset of  $Y$  on which there exists an exact sequence

$$(4) \quad \mathcal{O}_Y^q \rightarrow \mathcal{O}_Y^p \xrightarrow{\eta} \mathcal{S} \rightarrow 0.$$

Then the sequence

$$(5) \quad \theta^*\mathcal{O}_Y^q \rightarrow \theta^*\mathcal{O}_Y^p \xrightarrow{\tilde{\eta}} \theta^*\mathcal{S} \rightarrow 0$$

is also exact. Note that  $\theta^*\mathcal{O}_Y^m \simeq \mathcal{O}_X^m$  for every  $m \geq 1$ .

Consider  $\sigma \in H^0(U, \theta_*\theta^*\mathcal{S}) = H^0(\theta^{-1}(U), \mathcal{S})$ . Since  $\theta^{-1}(U)$  is Stein [7] we can find  $\beta \in H^0(\theta^{-1}(U), \mathcal{O}_X^p)$  such that  $\tilde{\eta}\beta = \sigma$ . Since  $Y$  is normal, the formula  $P_U(\beta)(z) = (1/n)\sum_{j=1}^n \beta(x_j)$  for  $z \in U \setminus V$  where  $\theta^{-1}(z) = \{x_1, x_2, \dots, x_n\}$ , defines an element  $Q_U(\beta) \in \mathcal{O}_Y^q(U)$ . Put

$$(6) \quad Q_U(\sigma) = \eta P_U(\beta).$$

It is easy to see that  $Q_U(\sigma)$  is independent of choice of  $\beta \in H^0(\theta^{-1}(U), \mathcal{O}_X^p)$ ,  $\tilde{\eta}\beta = \sigma$ , and  $Q_U(\sigma) = \sigma$  for all  $\sigma \in H^0(U, \mathcal{S})$ .

Assume now that

$$(7) \quad \mathcal{O}_Y^{q'} \rightarrow \mathcal{O}_Y^{p'} \rightarrow \mathcal{S} \rightarrow 0$$

in another exact sequence on  $U$ . Then there exists a commutative diagram:

$$(8) \quad \begin{array}{ccccccc} \mathcal{O}_Y^q & \rightarrow & \mathcal{O}_Y^p & \xrightarrow{\eta} & \mathcal{S} & \rightarrow & 0 \\ \downarrow & & \downarrow & & \parallel & & \\ \mathcal{O}_Y^{q'} & \rightarrow & \mathcal{O}_Y^{p'} & \rightarrow & \mathcal{S} & \rightarrow & 0 \end{array}$$

By the commutativity of (8) it follows that  $Q_U$  is independent of choice of presentation. Hence  $Q = \{Q_U\}$  defines a morphism  $Q: \theta_*\theta^*\mathcal{S} \rightarrow \mathcal{S}$  such that  $Qe = \text{id}$ . Assertion 1.3 is proved.

Now we are able to prove Theorem 1.1. Assume that  $Y$  is 0-convex. Since  $\varphi$  is proper it follows that  $X$  is holomorphically convex. Considering the commutative diagram

$$(9) \quad \begin{array}{ccc} X & \xrightarrow{\varphi} & Y \\ \theta_X \downarrow & & \downarrow \theta_Y \\ S_X & \xrightarrow{\tilde{\varphi}} & S_Y \end{array}$$

where  $\theta_X$  and  $\theta_Y$  are Remmert reductions of  $X$  and  $Y$  respectively, it is easy to see that  $\theta_X$  is biholomorphic outside some compact set  $K$  in  $X$ . Hence  $X$  is 0-convex.

Conversely, assume that  $X$  is 0-convex. We prove that  $Y$  is also 0-convex.

(a) First we consider the case, where  $\dim Y < \infty$ .

We assume that the theorem has been proved for all complex spaces  $Y$  of dimension  $< m$ . Now assume that  $\dim Y = m$ . Consider the commutative diagram:

$$(10) \quad \begin{array}{ccc} (X \times_Y \tilde{Y})_{\text{red}} & \xrightarrow{\tilde{\varphi}} & \tilde{Y} \\ \downarrow \eta & & \downarrow \nu \\ X & \xrightarrow{\varphi} & Y \end{array}$$

of finite holomorphic surjective maps, where  $\tilde{Y}$  is the normalization of  $Y$ . By the necessary condition already proved,  $(X \times_Y \tilde{Y})_{\text{red}}$  is 0-convex. On the other hand, since  $\tilde{\varphi}$  is finite and  $\tilde{Y}$  normal it follows that  $\tilde{\varphi}$  is a finite analytic  $n$ -covering for some  $n$  [4]. Thus by 1.2 and 1.3 we infer that  $\tilde{Y}$  is 0-convex. To prove that  $Y$  is 0-convex by 1.2 it suffices to show that  $\dim H^1(Y, \mathcal{S}) < \infty$  for every coherent ideal subsheaf  $\mathcal{S}$  of  $\mathcal{O}_Y$ . Let  $\tilde{\mathcal{O}}_Y$  denote the coherent analytic sheaf of germs of weakly holomorphic functions on  $Y$  [4]. Put  $\mathcal{D} = \mathcal{O}_Y : \tilde{\mathcal{O}}_Y$ . Note that  $\nu_* \mathcal{O}_{\tilde{Y}} = \tilde{\mathcal{O}}_Y$  and  $\text{supp } \theta_Y / \mathcal{D} = N(Y)$  where  $N(Y)$  denotes the nonnormal locus of  $Y$ . Let  $\mathcal{V}$  be the coherent ideal subsheaf of  $\mathcal{O}_{\tilde{Y}}$  which is the image of  $\nu^* \mathcal{D} \mathcal{S} = \nu^{-1}(\mathcal{D} \mathcal{S}) \otimes_{\nu^{-1} \mathcal{O}_Y} \mathcal{O}_{\tilde{Y}}$  under multiplication. By using the definition of  $\tilde{\varphi}$  it follows that  $\nu_* \mathcal{V} \subset \mathcal{S}$  and since  $\tilde{Y}$  is 0-convex and  $\nu$  is finite we have [4]

$$(11) \quad \dim H^1(Y, \nu_* \mathcal{V}) = \dim H^1(\tilde{Y}, \mathcal{V}) < \infty.$$

Since  $\nu$  is biholomorphic outside  $\nu^{-1}(N(Y))$  it follows that

$$(12) \quad \text{supp } \mathcal{S} / \nu_* \mathcal{V} \subset N(Y).$$

Thus, using the induction hypothesis we get

$$(13) \quad \dim H^1(Y, \mathcal{S} / \nu_* \mathcal{V}) = \dim H^1(N(Y), \mathcal{S} / \nu_* \mathcal{V}) < \infty.$$

By (11) and (13) and by the exactness of the cohomology sequence associated to the exact sequence

$$0 \rightarrow \nu_* \mathcal{V} \rightarrow \mathcal{S} \rightarrow \mathcal{S} / \nu_* \mathcal{V} \rightarrow 0,$$

we infer that  $\dim H^1(Y, \mathcal{S}) < \infty$ .

(b) In the general case, let  $Y = \bigcup_{j=1}^{\infty} V_j$ , where  $V$  is an irreducible branch of  $Y$  for any  $j \geq 1$ . Since  $\tilde{Y} = \coprod_1^{\infty} \tilde{V}_j$ , by the 0-convexity of  $\tilde{Y}$  it is easy to see that there exists  $j_0$  such that  $\tilde{V}_j$  is Stein for every  $j > j_0$ . Hence  $V_j$  is also Stein for every  $j > j_0$ .

Put

$$Y_0 = \bigcup_{j=1}^{j_0} V_j, \quad Y_k = Y_0 \cup \bigcup_{j=1}^k V_{j_0+j}.$$

By (a)  $Y_k$  is 0-convex for every  $k \geq 0$ .

If  $Y_0$  is Stein then  $Y$  is Stein by [7]. Now we assume that  $Y_0$  is 0-convex non-Stein. Thus  $Y_k$  is 0-convex non-Stein for every  $k \geq 0$ . Let  $\theta_k: Y_k \rightarrow S_k$  be the Remmert reduction of  $Y_k$ . Then we have the following diagram:

$$\begin{array}{ccccccc} Y_0 & \xrightarrow{i_0} & Y_1 & \xrightarrow{i_1} & Y_2 & \xrightarrow{i_2} & \dots \\ \theta_0 \downarrow & & \downarrow \theta_1 & & \downarrow \theta_2 & & \\ S_0 & \xrightarrow{\tilde{i}_0} & S_1 & \xrightarrow{\tilde{i}_1} & S_2 & \xrightarrow{\tilde{i}_2} & \dots \end{array}$$

Let  $A_k$  be a finite subset of  $S_k$  such that  $\theta_k: Y_k - \theta_k^{-1}(A_k) \rightarrow S_k - A_k$  is biholomorphic. Since  $Y_k$  non-Stein,  $\theta_k^{-1}(y)$  is connected of positive dimension for every  $y \in A_k$  [2]. Then, since  $\bigcup_{j>j_0} V_j$  is Stein, and  $\theta_k^{-1}(A_k)$  is compact connected of positive dimension, it follows that

$$(14) \quad \theta_k^{-1}(A_k) = \theta_0^{-1}(A_0) \quad \text{for every } k \geq 0.$$

From (14) it is easy to see that there exists  $k_0$  such that

$$(15) \quad \tilde{i}_k \text{ is proper injective and } \theta_0^{-1}(A_0) \subset \text{Int } Y_k \quad \text{for every } k = k_0.$$

Put  $S = \varinjlim S_k$  and  $\theta = \varinjlim \theta_k: Y \rightarrow S$ . By (14)(15) we infer that  $\theta$  is proper,  $\theta|_{Y \setminus \theta_0^{-1}(A_0)}$  is biholomorphic, and  $\theta_* \mathcal{O}_Y = \mathcal{O}_S$ . Moreover, since  $S = \varinjlim \tilde{i}_k(S_k)$  where  $\tilde{i}_k(S_k)$  are Stein closed subspaces of  $S$ , it follows that  $S$  is holomorphically separated and holomorphically convex and thereby  $S$  is Stein. Hence  $Y$  is 0-convex. This completes the proof of Theorem 1.1.

The following is an immediate consequence of Theorem 1.1.

1.4 COROLLARY. *A complex space  $X$  is 0-convex if and only if all its irreducible branches, except for finitely many which are 0-convex, are Stein.*

1.5 COROLLARY. *Let  $\theta: X \rightarrow Y$  be a proper holomorphic surjective map which is finite outside a compact set. Then  $X$  is 0-convex if and only if  $Y$  is.*

PROOF. Assume that  $Y$  is 0-convex. Considering the commutative diagram (9) it is easy to see that  $\theta_X$  is finite outside a compact set. Hence by the Steinness of  $S_X$  we infer that  $X$  is 0-convex. Now assume that  $X$  is 0-convex. Consider the commutative diagram (16)

$$(16) \quad \begin{array}{ccc} X & \xrightarrow{\theta} & Y \\ \theta_X \downarrow & \searrow \eta & \uparrow \theta' \\ S_X & \xleftarrow{\beta} & X' \end{array}$$

in which  $X'$  is the Stein factorization of  $X$  for  $\theta$ , and  $\eta, \beta$  are canonical maps and  $\theta'$  is induced by  $\theta$ . It is easy to check that  $\theta'$  is finite,  $\beta$  is finite outside a compact set. This implies that  $X'$  is 0-convex. By Theorem 1.1 we infer that  $Y$  is 0-convex.

1.6 COROLLARY. *Let  $\theta: X \rightarrow Y$  be a proper holomorphic surjective map and  $X$  be 0-convex. Then  $Y$  is also 0-convex.*

PROOF. Considering the commutative diagrams (10) and (16) and by Theorem (1.1), without loss of generality we may assume that  $Y$  is normal. Hence  $Y$  is holomorphically convex. Consider the commutative diagram:

$$\begin{array}{ccc} X & \xrightarrow{\theta} & Y \\ \theta_X \downarrow & & \downarrow \theta_Y \\ S_X & \xrightarrow{\tilde{\theta}} & S_Y \end{array}$$

Since  $\theta_X$  is finite outside a compact set, and  $\tilde{\theta}$  is finite, it follows that  $\theta_Y$  is finite outside a compact set. Hence  $Y$  is 0-convex.

1.7 REMARK. Corollary (1.6) is not true for the holomorphically convex property [7].

**2. The invariance of cohomological  $q$ -completeness.** A complex space  $X$  is called cohomologically  $q$ -complete (resp. cohomologically  $q$ -convex) if and only if  $H^p(X, \mathcal{S}) = 0$  (resp.  $\dim H^p(X, \mathcal{S}) < \infty$ ) for every coherent ideal subsheaf  $\mathcal{S}$  of  $\mathcal{O}_X$  and for every  $p > q$ .

In this section we prove the following theorem.

2.1 THEOREM. *Let  $\varphi: X \rightarrow Y$  be a finite holomorphic surjective map. Then  $X$  is cohomologically  $q$ -complete if and only if  $Y$  is. If, moreover,  $\dim X < \infty$  then  $X$  is cohomologically  $q$ -convex if and only if  $Y$  is.*

PROOF. Since  $\varphi$  is finite it follows that if  $Y$  is cohomologically  $q$ -complete (resp. cohomologically  $q$ -convex) then  $X$  is too.

As in the proof of Theorem 1.1(a) it follows that if  $\dim X < \infty$  and  $X$  is cohomologically  $q$ -complete (resp. cohomologically  $q$ -convex), then so is  $Y$ .

Thus to find the proof of the theorem it suffices to prove the following

2.2 ASSERTION. Let  $X = \bigcup_{k=1}^{\infty} X_k$ ,  $X_k$  is the union of all irreducible branches of  $X$  of dimension  $< k$ . If  $X_k$  is cohomologically  $q$ -complete for every  $k \geq 1$ , then  $X$  is also cohomologically  $q$ -complete.

PROOF. Let  $\mathcal{S}$  be a coherent ideal subsheaf of  $\mathcal{O}_X$  and  $J_k = \mathcal{F}_{X_k}$ —the ideal subsheaf of  $\mathcal{O}_X$  associated to  $X_k$ . By  $\eta_k: \mathcal{O}_X \rightarrow \tilde{\mathcal{O}}_{X_k}$  denotes the canonical map. Put  $\mathcal{S}_k = \eta_k(\mathcal{S})$ . Since any open set in  $X$  is contained in some  $X_k$  it follows that

$$\mathcal{S} = \varprojlim \{ \mathcal{S}_k, \omega_k^j \},$$

where  $\omega_k^j: \mathcal{S}_k \rightarrow \mathcal{S}_j$  is a canonical map.

Let  $\mathcal{U}$  be a Stein open covering of  $X$ . By hypothesis we have

$$(17) \quad H^p(\mathcal{U}, \mathcal{S}_k) = H^p(X, \mathcal{S}_k) = H^p(X_k, \mathcal{S}_k) = 0$$

for every  $p > q$  and so

$$(18) \quad \text{Im}\{H^{p-1}(\mathcal{U}, \mathcal{S}_{k+1}) \rightarrow H^{p-1}(\mathcal{U}, \mathcal{S}_k)\} = H^{p-1}(\mathcal{U}, \mathcal{S}_k)$$

for every  $p > q$  and  $k \geq 1$ .

Consider  $\sigma \in Z^p(\mathcal{U}, \mathcal{S})$ ,  $p > q$ . By (17) for each  $k \geq 1$  we find  $\beta'_k \in C^{p-1}(\mathcal{U}, \mathcal{S}_k)$  such that  $\delta^{p-1}\beta'_k = \eta_k\sigma$ . Put  $\beta_1 = \beta'_1$  and consider  $\omega_2^1\beta'_2 - \beta_1$ . Since  $\delta^{p-1}(\omega_2^1\beta'_2 - \beta_1) = 0$ , by (18) with  $k = 1$  we find  $\beta''_2 \in Z^{p-1}(\mathcal{U}, \mathcal{S}_2)$  such that

$$\omega_2^1(\beta''_2 - \beta'_2) + \beta_1 = \delta^{p-2}\gamma \quad \text{for some } \gamma \in C^{p-2}(\mathcal{U}, \mathcal{S}_1).$$

Since  $\mathcal{U}$  is a Stein open covering, there exists  $\tilde{\gamma} \in C^{p-2}(\mathcal{U}, \mathcal{S}_2)$  such that  $\omega_2^1\tilde{\gamma} = \gamma$ .

Put

$$\beta_2 = -\beta''_2 + \beta'_2 + \delta^{p-2}\tilde{\gamma}.$$

Then  $\delta^{p-1}\beta_2 = \eta_2\sigma$  and  $\omega_2^1\beta_2 = \omega_2^1(\beta'_2 - \beta''_2) + \omega_2^1\delta^{p-1}\tilde{\gamma} = \omega_2^1(\beta'_2 - \beta''_2) + \delta^{p-1}\omega_2^1\tilde{\gamma} = \omega_2^1(\beta'_2 - \beta''_2) + \beta_1 + \omega_2^1(\beta''_2 - \beta'_2) = \beta_1$ . Continuing this process we get a sequence  $\{\beta_n\}$  such that for every  $n \geq 1$ :

$$\beta_n \in C^{p-1}(\mathcal{U}, \mathcal{S}_n), \quad \delta^{p-1}(\beta_n) = \eta_n(\sigma) \quad \text{and} \quad \omega_{n+1}^n\beta_{n+1} = \beta_n.$$

Thus  $\beta = \{\beta_n\} \in C^{p-1}(\mathcal{U}, \mathcal{S})$  and  $\delta^{p-1}\beta = \sigma$ . Hence  $H^p(X, \mathcal{S}) = 0$  and 2.2 is proved.

The following is an immediate consequence of Theorem 2.1.

**2.3 COROLLARY.** *X is cohomologically q-complete if and only if every irreducible branch of X is.*

**3. The invariance of the hyperconvexity.** We recall that a Stein space  $X$  is called hyperconvex (resp. strongly hyperconvex) if there exists a plurisubharmonic (resp. strictly plurisubharmonic) negative exhaustion function on  $X$  [8]. In this section the following theorem is proved.

**3.1 THEOREM.** *Let  $\theta: X \rightarrow Y$  be a finite holomorphic surjective map of finite-dimensional complex spaces. Then:*

- (i) *If Y is strongly hyperconvex having a strictly plurisubharmonic negative exhaustion  $C^2$ -function, then X is strongly hyperconvex.*
- (ii) *If Y is irreducible and X is strongly hyperconvex having a strictly plurisubharmonic negative exhaustion  $C^2$ -function, then Y is strongly hyperconvex.*

We need the following.

**3.2 LEMMA.** *If X is strongly hyperconvex and Y is normal, then so is Y.*

**PROOF.** Let  $\psi$  be a strictly plurisubharmonic negative exhaustion function of  $X$ . By the integer lemma [4] we infer that  $\theta: X \rightarrow Y$  is an analytic covering. Thus we can define a function  $\varphi$  on  $Y$  by the formula

$$(19) \quad \varphi(y) = \text{Tr}_\theta(\psi)(y) = \sum_{\theta x=y} \psi(x)$$

(the points of  $\theta^{-1}(y)$  being counted with the right multiplicity).

Since  $\psi < 0$  it follows that  $\varphi$  is an exhaustion function. First we prove that  $\varphi$  is plurisubharmonic. By a theorem of Fornaess and Narasimham [5] it suffices to show that  $\varphi\sigma$  is subharmonic for any holomorphic map  $\sigma$  of unit disc  $D \subset C$  into  $Y$ .

Given such a map  $\sigma: D \rightarrow Y$ , consider the commutative diagram:

$$\begin{array}{ccc} (D \times_Y X)_{\text{red}} & \xrightarrow{\tilde{\sigma}} & X \\ \tilde{\theta} \downarrow & & \downarrow \theta \\ D & \rightarrow & Y \end{array}$$

in which  $\theta$  and  $\tilde{\theta}$  are analytic coverings. It is easy to see that the branching order  $O_{\tilde{\theta}}(x) = O_{\theta}(\sigma x)$  for any  $x \in (D \times_Y X)_{\text{red}}$ . Thus  $(\text{Tr}_{\theta}\psi)\sigma = \text{Tr}_{\tilde{\theta}}(\psi\tilde{\sigma})$ . Hence it remains to show that  $\text{Tr}_{\tilde{\theta}}(\psi\tilde{\sigma})$  is subharmonic. The problem is local on  $D$ , whence, without loss of generality, we can assume that there exists an embedding  $e: (D \times_Y X)_{\text{red}} \rightarrow C^n$  for some  $n$ . Then we have the commutative diagram:

$$\begin{array}{ccc} (D \times_Y X)_{\text{red}} & \xrightarrow{\tilde{e}=(\tilde{\theta}, e)} & D \times C^n \\ \tilde{\theta} \searrow & & \downarrow \tilde{\pi} \\ & & D \end{array}$$

in which  $\tilde{\pi}|_A: A \rightarrow D$ ,  $A = \tilde{e}(D \times_Y X)_{\text{red}}$ , is an analytic covering. Since

$$\text{Tr}_{\tilde{\theta}}(\psi\tilde{\sigma}) \circ \tilde{e}^{-1}|_A = \text{Tr}_{\tilde{\pi}}(\psi \circ \tilde{\sigma} \circ \tilde{e}^{-1}|_A),$$

the subharmonicity of  $\text{Tr}_{\tilde{\theta}}(\psi\tilde{\sigma})$  follows from a lemma of [5].

If  $\sigma$  is a  $C^2$ -function on a neighborhood  $V$  of a point  $y_0 \in Y$  such that partial derivatives of order  $\leq 2$  have sufficiently small absolute values, then  $\psi + \sigma\theta$  is plurisubharmonic. Since  $\text{Tr}_{\theta}(\psi) + \sigma = \text{Tr}_{\theta}(\psi + \sigma\theta)$  we infer that  $\text{Tr}_{\theta}(\psi) + \sigma$  is plurisubharmonic. Thus  $\text{Tr}_{\theta}\psi$  is strictly plurisubharmonic by definition. The lemma is proved.

**3.3 LEMMA.** *If  $Y$  is irreducible and  $\tilde{Y}$  is strongly hyperconvex, then so is  $Y$ .*

**PROOF.** Since  $Y$  is irreducible, the normalization map  $\nu: \tilde{Y} \rightarrow Y$  is homeomorphic. Thus  $\psi \circ \nu^{-1}$  is a continuous negative exhaustion function on  $Y$ , where  $\psi$  is that function on  $Y$ . Since for every holomorphic map  $\sigma: D \rightarrow Y$  the map  $\nu^{-1}\sigma$  is holomorphic, as in the proof of the Lemma 3.2 we infer that  $\psi\nu^{-1}$  is strictly plurisubharmonic. Hence  $Y$  is strongly hyperconvex.

**PROOF OF THEOREM 3.1.** (i) Let  $\varphi$  be a strictly plurisubharmonic negative exhaustion  $C^2$ -function on  $Y$ . We can assume that  $Y$  is embedded in  $C^n$  for some  $n$ . It is known [6] that there exists a relatively compact Stein open covering  $\{U_j\}$  of  $C^n$  of finite order and a  $C^\infty$ -partition  $\{\rho_j\}$  of unity subordinate to  $\{U_j\}$  such that  $|D^\alpha\rho_j(x)| \leq C_\alpha$  for all  $\alpha$  and for all  $j$ . Since  $\theta^{-1}(U_j)$  is a relatively compact Stein open set, we may find a strictly plurisubharmonic nonnegative  $\psi_j$   $C^\infty$ -function on  $\theta^{-1}(U_j)$ . We set

$$\psi(x) = \sum_j \rho_j(\theta x)\psi_j(x) + \varphi(\theta x).$$

By calculating  $\partial^2\psi/\partial z\partial\bar{z}$  (in the local coordinate of  $X$ ) we conclude that in choosing  $\psi_j$  such that the absolute values of their partial derivatives of order  $\leq 2$  is sufficiently small,  $\psi(x)$  is a strictly plurisubharmonic negative exhaustion function of  $X$ . Hence  $X$  is strongly hyperconvex.

(ii) Considering the commutative diagram:

$$\begin{array}{ccc} (X \times_Y \tilde{Y})_{\text{red}} & \xrightarrow{\tilde{\theta}} & \tilde{Y} \\ \tilde{\nu} \downarrow & & \downarrow \nu \\ X & \xrightarrow{\theta} & Y \end{array}$$

of the finite surjective maps, by (i) and by Lemma 3.2 and 3.3 we get strong hyperconvexity of  $Y$ . The theorem is proved.

**3.4 REMARK.** In [3] Diederich and Fornaess have proved that every Stein bounded domain in  $C^n$  with  $C^2$ -boundary has a strictly plurisubharmonic negative exhaustion  $C^2$ -function.

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