

## ON 2-GENERATOR SUBGROUPS OF $SO(3)$

CHARLES RADIN AND LORENZO SADUN

ABSTRACT. We classify all subgroups of  $SO(3)$  that are generated by two elements, each a rotation of finite order, about axes separated by an angle that is a rational multiple of  $\pi$ . In all cases we give a presentation of the subgroup. In most cases the subgroup is the free product, or the amalgamated free product, of cyclic groups or dihedral groups. The relations between the generators are all simple consequences of standard facts about rotations by  $\pi$  and  $\pi/2$ . Embedded in the subgroups are explicit free groups on 2 generators, as used in the Banach-Tarski paradox.

### 1. INTRODUCTION

This paper concerns 2-generator subgroups of  $SO(3)$ . Let  $A$  and  $B$  be rotations of finite order of Euclidean 3-space, about axes that are themselves separated by an angle which is a rational multiple of  $\pi$ . We call these groups “generalized dihedral” groups. We are interested in the algebraic relations between  $A$  and  $B$ . The special cases where the axes are orthogonal were treated in [CR], [RS1]; here we solve the general case. Most such groups are infinite and dense. The only exceptions are: if one generator has order 1, the group is cyclic; if one generator has order 2 and the axes are orthogonal, the group is dihedral; and if both generators have order 4 and the axes are orthogonal, the group is the symmetries of the cube. (The symmetries of each other Platonic solid can also be generated by a pair of finite order rotations, but only with axes separated by an irrational angle.)

These 2-generator subgroups appear in the theory of tilings of Euclidean  $\mathbb{R}^3$ . Tilings have been constructed [CR], [RS2] in which each tile appears in an infinite number of different orientations in space. The set of relative orientations, *i.e.*, the set of rotations that make one tile parallel to another, forms a group, precisely of the sort described here.

For each 2-generator subgroup considered here, we give an explicit presentation. In many cases we also express the group as a free or amalgamated free product. In particular we show that all relations between such rotations can be understood in terms of a short list of simple relations involving rotations by  $\pi/2$ :

1. If  $A$  is a rotation by  $\pi$  about one axis and  $B$  is any rotation about an orthogonal axis then  $ABA = B^{-1}$ . Equivalently,  $ABAB$  is the identity.
2. If  $A$  and  $B$  are rotations by  $\pi/2$  about orthogonal axes, then  $ABABAB$  is the identity. Equivalently,  $ABA = BAB$ .

---

Received by the editors October 13, 1997.

1991 *Mathematics Subject Classification*. Primary 51F25, 52C22.

Research of the first author was supported in part by NSF Grant No. DMS-9531584.

Research of the second author was supported in part by NSF Grant No. DMS-9626698.

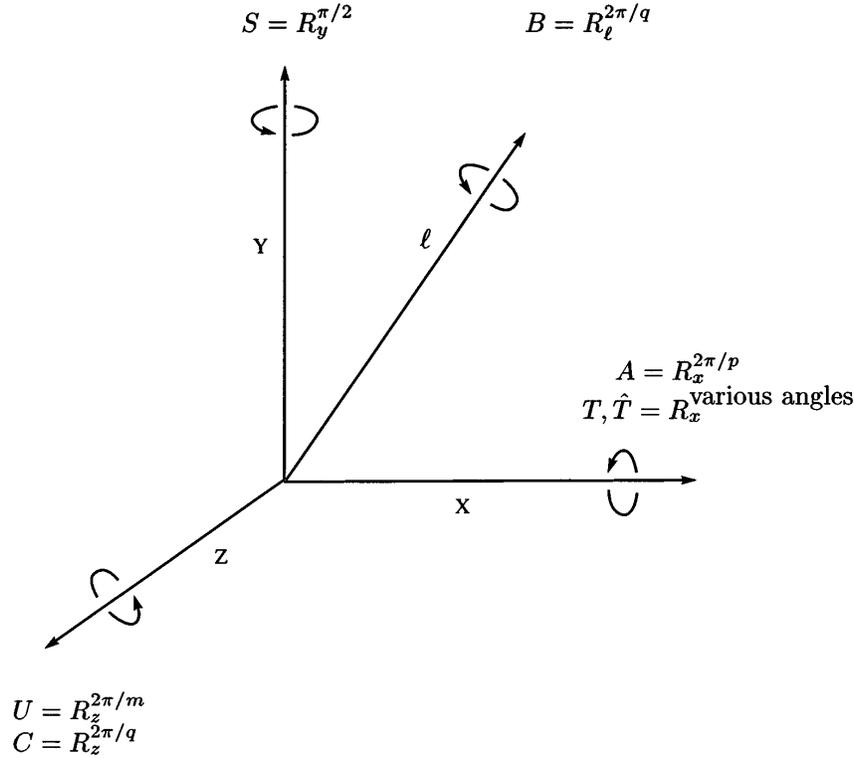


FIGURE 1

3. If  $A$  and  $B$  are rotations by  $\pi$  about axes that are separated by an angle  $\theta$ , then  $BA$  is a rotation, by  $2\theta$ , about an axis perpendicular to the axes of  $A$  and  $B$ .

We need some further notation. See Figure 1. Let  $\ell$  be the line in the  $x$ - $y$  plane, through the origin and making an angle of  $2\pi n/m$  with the  $x$ -axis, where  $n$  and  $m$  are relatively prime positive integers and  $m > 2$ . Let  $A = R_x^{2\pi/p}$  be rotation by  $2\pi/p$  about the  $x$ -axis, where  $p$  is a positive integer. Let  $B = R_\ell^{2\pi/q}$  be rotation by  $2\pi/q$  about the line  $\ell$ .  $A$  and  $B$  will be our primary objects of study. We similarly define the rotations  $C = R_z^{2\pi/q}$ ,  $S = R_y^{\pi/2}$  and  $U = R_z^{2\pi/m}$ .  $T$  and  $\hat{T}$  will denote various rotations about the  $x$  axis. Let  $G_{n/m}(p, q)$  be the subgroup of  $SO(3)$  generated by  $A$  and  $B$ . An important special case is where the axes of  $A$  and  $B$  are orthogonal: that is,  $n/m = 1/4$ . In that case we omit the subscript and write  $\hat{G}(p, q) \equiv G_{1/4}(p, q)$ . Among the groups  $\hat{G}(p, q)$ , a further special case is where  $q = 4$ , which will play a pivotal role.

These groups form a hierarchy. The structure of  $\hat{G}(p, 4)$  is considerably simpler than that of a general  $\hat{G}(p, q)$ , while the structure of  $\hat{G}(p, q)$  is much simpler than that of a general  $G_{n/m}(p, q)$ . However,  $G_{n/m}(p, q)$  actually embeds in the “simpler”  $\hat{G}(pq, m)$ , while  $\hat{G}(p, q)$  embeds in the “simpler”  $\hat{G}(pq, 4)$ :

$$(1) \quad G_{n/m}(p, q) \subset \hat{G}(p', q') \subset \hat{G}(s, 4) = \text{not too complicated.}$$

We can therefore use the known properties of a simpler group to deduce the structure of the more complicated group. The cases  $\hat{G}(3, 3)$  and  $\hat{G}(3, 4)$  were worked out in [CR]; the structures of  $\hat{G}(p, 4)$  and  $\hat{G}(p, q)$  were derived in [RS1]; the structure of  $G_{n/m}(p, q)$  is new.

To see the embeddings of (1) it is useful to define  $G(p, q)$  as the subgroup (conjugate to  $\hat{G}(p, q)$ ) generated by rotations about the  $x$  and  $z$  axes, rather than the  $x$  and  $y$  axes. That is,  $G(p, q)$  is generated by  $A$  and  $C$ , rather than  $A$  and  $B$ , while  $\hat{G}(m, 4)$  is generated by  $T = R_x^{2\pi/m}$  and  $S = R_y^{\pi/2}$ . Note that, if  $s$  is a multiple of  $p$  and also a multiple of  $q$ , then  $A = T^{s/p}$ , while  $C = S^{-1}T^{s/q}S$ , so that  $G(p, q) \subset \hat{G}(s, 4)$ . Next we show that  $G_{n/m}(p, q) \subset G(r, m)$ , where  $r$  is any common multiple of  $p$  and  $q$ . The generators of  $G_{n/m}(p, q)$  are  $A = R_x^{2\pi/p}$  and  $B = R_\ell^{2\pi/q}$ , while the generators of  $G(r, m)$  are  $\hat{T} = R_x^{2\pi/r}$  and  $U = R_z^{2\pi/m}$ . Since  $r$  is a multiple of  $p$  and  $q$ ,  $A = \hat{T}^{r/p}$  and  $B = U^{-n}\hat{T}^{r/q}U^n$ .

Although there are quite a few cases, depending on the values of  $p, q, m$  and  $n$ , the results of this paper, and of [RS1], are all of the following form:

**“Theorem”.** *Let  $G = \hat{G}(m, 4), G(p, q)$  or  $G_{n/m}(p, q)$ . There is a short list of simple relations among the generators of  $G$ , all of which involve rotations by multiples of  $\pi/2$ , and all of which are simple consequences of*

$$(2) \quad R_x^\pi R_y^\theta R_x^\pi = R_y^{-\theta} \quad ,$$

$$(3) \quad R_y^{\pi/2} R_x^{\pi/2} R_y^{\pi/2} = R_x^{\pi/2} R_y^{\pi/2} R_x^{\pi/2} \quad ,$$

$$(4) \quad R_\ell^\pi R_x^\pi = R_z^{4\pi n/m} \quad .$$

Any other relation may be derived from these simple relations.

For example, powers of the generators of  $G_{n/m}(p, q)$ , with  $p$  and  $q$  both odd, are never rotations by multiples of  $\pi/2$ , unless they are rotations by  $2\pi$ . So we expect (and prove) that, if  $p$  and  $q$  are odd,  $G_{n/m}(p, q)$  is the free product of  $\mathbb{Z}_p$  and  $\mathbb{Z}_q$ , for any  $n$  and  $m$ .

More precise formulations of this “theorem” may be found below. The cases  $G = \hat{G}(m, 4)$  and  $G(p, q)$  are discussed in §2 (Theorems 1 and 2). The cases  $G_{n/m}(p, q)$  fall into 3 classes, which are the subjects of Theorem 3–5 in §3. Theorem 3–5 depend on Theorem 2, which in turn depends on Theorem 1, which in turn depends on the following result:

**Foundation Lemma** ([RS1]). *Let  $T = R_x^{2\pi/m}$ , let  $S = R_y^{\pi/2}$ , and consider the word*

$$(5) \quad \chi = S^W S^{a_1} T^{b_1} S^{a_2} T^{b_2} \dots S^{a_n} T^{b_n} S^E \quad ,$$

where  $W, E, a_i, b_i$  and  $n$  are integers,  $n > 0$ , none of the  $a_i$ ’s are divisible by 2, and none of the  $b_i$ ’s are divisible by  $m/4$ . Then  $\chi$  is not equal to the identity.

The following two extensions of the Foundation Lemma will also be used repeatedly:

**Extension 1.** *Let  $T = R_x^{2\pi/m}$ , let  $S = R_y^{\pi/2}$ , and let  $\chi$  be as in eq. (5). If  $W, E, a_i, b_i$  and  $n$  are integers,  $n > 0$ , none of the  $a_i$ ’s are divisible by 2, and no two consecutive  $b_i$ ’s are divisible by  $m/4$ , then  $\chi$  is not equal to the identity.*

*Proof.* If  $b_i = m/4$ ,  $a_{i-1} = a_i = 1$  and  $b_{i-1}$  and  $b_{i+1}$  are not divisible by  $m/4$ , then we can shorten our word, removing the  $T^{m/4}$  term using the identity (3):

$$(6) \quad T^{b_{i-1}} S T^{m/4} S T^{b_{i+1}} = T^{b_{i-1}} T^{m/4} S T^{m/4} T^{b_{i+1}} = T^{b_{i-1}+m/4} S T^{b_{i+1}+m/4} .$$

Similar manipulations apply if  $a_{i-1}$ ,  $b_i$  or  $a_i$  is negative. Note that, since  $b_{i\pm 1}$  is not divisible by  $m/4$ , neither is  $b_{i\pm 1} + m/4$ . We repeat this procedure until all the factors of  $T^{\pm m/4}$  are removed, except possibly  $T^{b_1}$  and  $T^{b_n}$ . If  $b_1$  and  $b_n$  are not multiples of  $m/4$ , the Foundation Lemma applies, and we are done. If  $b_1$  or  $b_n$  equals  $\pm m/4$  we apply the proof of the Foundation Lemma to the terms in the middle (e.g.,  $S^{a_2} T^{b_2} \dots S^{a_n}$  if  $b_1 = b_n = m/4$ ) and see that this central piece has four non-integral matrix elements. Since  $S$  and  $T^{m/4}$  are, up to sign, permutation matrices,  $\chi$  has four non-integral matrix elements and cannot equal the identity.  $\square$

**Extension 2.** Let  $A$  and  $C$  be rotations about orthogonal axes by  $2\pi/p$  and  $2\pi/q$ , respectively, and consider a word of the form

$$(7) \quad A^{a_1} C^{c_1} \dots A^{a_n} C^{c_n} ,$$

where  $a_1$  and  $c_n$  may be zero, but otherwise none of the  $a_i$ 's are multiples of  $p/2$  and none of the  $c_j$ 's are multiples of  $q/2$ . If no two consecutive terms represent rotations by  $\pm\pi/2$  (e.g.  $A^{p/4} C^{-q/4}$  or  $C^{q/4} A^{p/4}$ ), and if the word is not empty, then the word is not equal to the identity.

*Proof.* Choose axes such that  $A = R_x^{2\pi/p}$  and  $C = R_z^{2\pi/q}$ , and let  $S = R_y^{\pi/2}$  and  $T = R_x^{2\pi/pq}$ , so that  $A = T^q$  and  $B = S^{-1} T^p S$ . Rewrite the word in terms of  $S$  and  $T$  and apply Extension 1.  $\square$

To prove Theorems 1–5, we employ two strategies repeatedly. We always begin by noting certain simple relations among the generators of  $G$ . The first strategy is to use these relations to convert an arbitrary word in the generators either into the identity or into a word which, by the Foundation Lemma and its Extensions, is known not to equal the identity. When this strategy succeeds it implies that there cannot be any relations that are independent of the stated ones. A presentation of the group then follows. A second strategy is to embed the group  $G$  in a seemingly larger group  $H$ , and then use the simple relations to show that the generators of  $H$  are actually in  $G$ , and thus that  $G = H$ . All cases yield to a combination of these strategies. In a small number of cases (Theorem 5), both strategies are needed. First we choose an appropriate  $H$  and show that  $G = H$ , and then we apply the first argument to  $H$  to find a presentation.

## 2. THE STRUCTURES OF $\hat{G}(m, 4)$ AND $G(p, q)$

Before examining the structures of  $G(p, q)$  and  $\hat{G}(m, 4)$  in general, we consider again the few cases where the group is finite.  $G(p, 1)$  is the cyclic group of order  $p$ , and has the presentation

$$(8) \quad G(p, 1) = \mathbb{Z}_p = \langle \alpha : \alpha^p \rangle.$$

$G(p, 2)$  is the dihedral group of order  $2p$ , and has the presentation

$$(9) \quad G(p, 2) = D_p = \langle \alpha, \beta : \alpha^p, \beta^2, (\alpha\beta)^2 \rangle.$$

The relation  $(\alpha\beta)^2 = 1$ , which is equivalent to eq. (2), will be used repeatedly.

The last finite group is  $\hat{G}(4, 4) = G(4, 4)$ , which is the 24-element group of rotational symmetries of the cube. It has the presentation

$$(10) \quad \hat{G}(4, 4) = \langle \alpha, \beta : \alpha^4, \beta^4, (\alpha\beta^2)^2, (\alpha^2\beta)^2, (\alpha\beta)^3 \rangle.$$

A new ingredient is the last relator in (10), which is a consequence of eq. (3). This, too, will be used frequently.

**Theorem 1.** *The group  $\hat{G}(m, 4)$  has the following presentation:*

1. *If  $m$  is odd, then*

$$(11) \quad \hat{G}(m, 4) = \langle \alpha, \beta : \alpha^m, \beta^4, (\alpha\beta^2)^2 \rangle = D_m *_{\mathbb{Z}_2} \mathbb{Z}_4,$$

2. *If  $m$  is twice an odd number, then*

$$(12) \quad \hat{G}(m, 4) = \langle \alpha, \beta : \alpha^m, \beta^4, (\alpha\beta^2)^2, (\alpha^{m/2}\beta)^2 \rangle = D_m *_{D_2} D_4,$$

3. *If  $m$  is divisible by 4, then*

$$(13) \quad \hat{G}(m, 4) = \langle \alpha, \beta : \alpha^m, \beta^4, (\alpha\beta^2)^2, (\alpha^{m/2}\beta)^2, (\alpha^{m/4}\beta)^3 \rangle = D_m *_{D_4} \hat{G}(4, 4).$$

*Proof.* Let  $\hat{G}(m, 4)$  be generated by  $S = R_y^{\pi/2}$  and  $T = R_x^{2\pi/m}$ . In each case, the relators listed (with  $\alpha$  corresponding to  $T$  and  $\beta$  to  $S$ ), are just the previously discussed relators either involving rotations by  $\pi$  or involving pairs of rotations by  $\pi/2$ . Our task is to show there are no additional relations. We do this by taking an arbitrary word in  $S$  and  $T$  and, using the known relations, either reducing the word to the form (5) or to the identity. If the reduction is to the form (5), then, by the Foundation Lemma (or Extension 1), the word cannot be a relator. If the reduction is to the identity, the word *is* a relator, but is not independent of the listed relators.

We begin with case 1. An arbitrary word in  $S$  and  $T$  is of the form

$$(14) \quad S^W S^{a_1} T^{b_1} S^{a_2} T^{b_2} \dots S^{a_n} T^{b_n} S^E,$$

with  $W, a_i, b_i$  and  $E$  arbitrary. Using the relators  $S^4$  and  $T^m$ , we can require that the  $b_i$ 's be between 1 and  $m - 1$ , inclusive, and that each  $a_i$  be 1, 2 or 3. Since  $m$  is odd,  $T^{b_i}$  can never be a rotation by a multiple of  $\pi/2$ . If any of the  $a_i$ 's equal 2, we can shorten the word using  $(TS^2)^2 = 1$ , or equivalently  $T^b S^2 = S^2 T^{-b}$ , and hence  $T^b S^2 T^{b'} = S^2 T^{b'-b}$ . In this way, we shorten the word until either  $n = 0$  or until all the  $a_i$ 's are odd, in which case we have the form (5).

In case 2,  $T^{b_i}$  may be a rotation by  $\pi$ , but not by  $\pm\pi/2$ . In that case, in addition to removing  $S^2$  factors, we remove  $T^{m/2}$  factors, using  $T^{m/2} S = S^{-1} T^{m/2}$  and hence  $T^{m/2} S^a = S^{-a} T^{m/2}$ . Once the  $T^{m/2}$ 's and  $S^2$ 's are removed, we have the form (5).

In case 3, even after removing the  $T^{m/2}$ 's and  $S^2$ 's, we may have some  $T^{\pm m/4}$ 's in our word. If two consecutive factors appear we shorten the word using  $(T^{m/4} S)^3 = 1$ , and hence  $ST^{m/4} ST^{m/4} = T^{-m/4} S^{-1}$ , with similar identities applying if the exponents are not all positive. Thus the word may continue to be shortened until either Extension 1 may be applied, or the word is so short it can be checked by hand.

This gives the presentations (11)–(13). As for the amalgamated free products, in case 1 the  $D_m$  subgroup is generated by  $\alpha$  and  $\beta^2$ ,  $\mathbb{Z}_4$  is generated by  $\beta$ , and their intersection is  $\mathbb{Z}_2 = \{1, \beta^2\}$ . In case 2 the  $D_m$  subgroup is generated by  $\alpha$  and  $\beta^2$ , the  $D_4$  subgroup by  $\alpha^{m/2}$  and  $\beta$ , and the common  $D_2$  by  $\alpha^{m/2}$  and  $\beta^2$ . In

case 3,  $D_m$  is generated by  $\alpha$  and  $\beta^2$ ,  $\hat{G}(4, 4)$  by  $\alpha^{m/4}$  and  $\beta$ , and the common  $D_4$  subgroup by  $\alpha^{m/4}$  and  $\beta^2$ . □

**Theorem 2.** *The group  $G(p, q)$  has the following structure:*

1. *If  $p$  and  $q$  are both odd, then*

$$(15) \quad G(p, q) = \langle \alpha, \beta : \alpha^p, \beta^q \rangle = \mathbb{Z}_p * \mathbb{Z}_q ,$$

2. *If  $p$  is even and  $q$  is odd, then*

$$(16) \quad G(p, q) = \langle \alpha, \beta : \alpha^p, \beta^q, (\alpha^{p/2}\beta)^2 \rangle = \mathbb{Z}_p *_{\mathbb{Z}_2} D_q ,$$

3. *If  $p$  and  $q$  are both even, but  $q$  is not divisible by 4, then*

$$(17) \quad G(p, q) = \langle \alpha, \beta : \alpha^p, \beta^q, (\alpha^{p/2}\beta)^2, (\alpha\beta^{q/2})^2 \rangle = D_p *_{D_2} D_q .$$

4. *If  $p$  and  $q$  are both divisible by 4, then  $G(p, q) = \hat{G}([p, q], 4)$ .*

*Proof.* In cases 1–3, the relations listed are known consequences of rotations by  $\pi$ . What remains is to show that these are the only relations.

Let  $A = R_x^{2\pi/p}$  and  $C = R_z^{2\pi/q}$  be the generators of  $G(p, q)$ . Take an arbitrary word in  $A$  and  $C$ , and use the given relations as above to put it in the form

$$(18) \quad A^{a_1} C^{c_1} \dots A^{a_n} C^{c_n} ,$$

where  $a_1$  and  $c_n$  may be zero, but none of the other  $a_i$ 's are multiples of  $p/2$ , and none of the other  $c_j$ 's are multiples of  $q/2$ . (In case 1 there is nothing to do, in case 2 we are eliminating factors of  $A^{p/2}$ , and in case 3 we are eliminating factors of  $A^{p/2}$  and  $C^{q/2}$ .) Since  $q$  is not divisible by 4, none of the remaining  $C^{c_j}$  terms are rotations by multiples of  $\pi/2$ . Thus, by Extension 2, our word is not the identity.

All that remains for cases 1–3 are the amalgamated free products. In case 2,  $D_q$  is generated by  $A^{p/2}$  and  $C$ , while  $\mathbb{Z}_p$  is generated by  $A$ . In case 3,  $D_p$  is generated by  $A$  and  $C^{q/2}$ ,  $D_q$  is generated by  $A^{p/2}$  and  $C$ , and their intersection,  $D_2$ , is generated by  $A^{p/2}$  and  $C^{q/2}$ .

In case 4, we apply the second strategy. Consider  $S$  and  $\hat{T} = R_x^{2\pi/[p,q]}$ , the generators of  $\hat{G}([p, q], 4)$ . Since  $A$  and  $C$  can be constructed from  $S$  and  $\hat{T}$ , we must have  $G(p, q) \subseteq \hat{G}([p, q], 4)$ . We will show that  $S, \hat{T} \in G(p, q)$ , and thus  $G(p, q) = \hat{G}([p, q], 4)$ .

Since  $p$  is divisible by 4,  $R_x^{\pi/2} = A^{p/4} \in G(p, q)$ . Since  $q$  is divisible by 4,  $R_z^{\pi/2} = C^{q/4} \in G(p, q)$ . Thus  $S = R_y^{\pi/2} = R_x^{-\pi/2} R_z^{\pi/2} R_x^{\pi/2} \in G(p, q)$ . Now  $S^{-1}CS = R_x^{2\pi/q} \in G(p, q)$ . Since  $R_x^{2\pi/p}$  and  $R_x^{2\pi/q}$  are in the group, so is  $\hat{T}$ . □

### 3. THE STRUCTURE OF $G_{n/m}(p, q)$

In studying  $G(p, q)$  (Theorem 2) we saw that one of two things happened: either the only relations among the generators  $A$  and  $C$  were the obvious ones, or  $G(p, q)$  was equal to the previously understood group  $\hat{G}([p, q], 4)$ . In analyzing  $G_{n/m}(p, q)$ , we shall have 3 possibilities. Sometimes there are no non-trivial relations between the generators; these cases are treated in Theorem 3. Sometimes  $G_{n/m}(p, q) = G(m, [p, q])$ ; these cases are treated in Theorem 4. In a few exceptional cases (Theorem 5),  $G_{n/m}(p, q)$  is neither  $\mathbb{Z}_p * \mathbb{Z}_q$  nor  $G(m, [p, q])$ ; these cases are in some sense intermediate.

Our notation is as in Figure 1. As always,  $A = R_x^{2\pi/p}$ ,  $S = R_y^{\pi/2}$ ,  $\ell$  is the line in the  $x$ - $y$  plane, through the origin, making an angle of  $2\pi n/m$  with the  $x$  axis,

and  $B = R_\ell^{2\pi/q}$ . We now fix  $T = R_x^{2\pi/pq}$ ,  $\hat{T} = R_x^{2\pi/[p,q]}$  and  $U = R_z^{2\pi/m}$ .  $A$  and  $B$  generate  $G_{n/m}(p, q)$ , while  $U$  and  $T$  (or  $\hat{T}$ ) generate  $G(pq, m)$  (or  $G([p, q], m)$ ). Note that  $A = T^q$  and  $B = U^{-n}T^pU^n$ , so  $G_{n/m}(p, q) \subseteq G(m, pq)$ . Similarly,  $G_{n/m}(p, q) \subseteq G([p, q], m)$ . For any integer  $N$ , let  $\rho(N)$  be the number of powers of 2 that divide  $N$ . For example  $\rho(3) = 0$  and  $\rho(12) = 2$ .

Without loss of generality we can assume that  $m$  is either odd or a multiple of 4. For if  $m$  is an odd multiple of 2, then we can replace the axis  $\ell$  by  $-\ell$ , which makes an angle of  $2\pi([(m/2) + n]/m)$  with the  $x$  axis. Since  $m/2$  and  $n$  are odd,  $m/2 + n$  is even, and we can replace  $n$  and  $m$  by  $n' = (m/2 + n)/2$  and  $m' = m/2$ , where  $m'$  is odd.

**Theorem 3.** *If  $p$  and  $q$  are odd, or if  $p$  is even,  $q$  is odd and  $m \neq 4$ , then*

$$(19) \quad G_{n/m}(p, q) = \langle \alpha, \beta : \alpha^p, \beta^q \rangle = \mathbb{Z}_p * \mathbb{Z}_q .$$

*If  $p$  is even,  $q$  is odd and  $m = 4$ , then*

$$(20) \quad G_{n/m}(p, q) = \langle \alpha, \beta : \alpha^p, \beta^q, (\alpha^{p/2}\beta)^2 \rangle = \mathbb{Z}_p *_{\mathbb{Z}_2} D_q .$$

*Proof.* Take an arbitrary nontrivial word in  $A$  and  $B$ ,

$$(21) \quad A^{a_1} B^{b_1} \dots A^{a_n} B^{b_n} ,$$

and rewrite it in terms of  $U$  and  $T$ :

$$(22) \quad T^{qa_1} U^{-n} T^{pb_1} U^n \dots T^{pb_n} U^n .$$

If  $p$  and  $q$  are odd, then no power of  $T$  can be a rotation by  $\pi/2$  or  $\pi$ . Since  $m > 2$ , and since  $n$  and  $m$  are relatively prime,  $U^{\pm n}$  is not a rotation by  $\pi$  (although it may be a rotation by  $\pi/2$  if  $m = 4$ ). By Extension 2 the word is not equal to the identity, so no relations between  $A$  and  $B$  exist.

If  $p$  is even,  $q$  is odd, and  $m \neq 4$ , then  $U^n$  is not a rotation by  $\pi/2$  or  $\pi$ . However, some of the  $T^{qa_i}$  terms may be rotations by  $\pi$ . We remove these using the fact that

$$(23) \quad T^{pb_{i-1}} U^n T^{pq/2} U^{-n} T^{pb_i} = T^{pb_{i-1}} U^{2n} T^{pb_i + pq/2} .$$

Note that  $T^{pb_i + pq/2}$  is not a rotation by a multiple of  $\pi/2$ . There may remain some  $T^{qa_i}$  terms that are rotations by  $\pi/2$ , and  $U^{2n}$  might be a rotation by  $\pi/2$  (if  $m = 8$ ), but these cannot occur consecutively. Every  $T^{qa_i}$  is flanked by  $U^{\pm n}$ , while every  $U^{2n}$  is flanked by  $T^{pb_{i-1}}$  and  $T^{pb_i + pq/2}$ . Thus by Extension 2 the word is not equal to the identity.

The case where  $p$  is even,  $q$  is odd and  $m = 4$  is just part 2 of Theorem 2. □

What remain are the cases where both  $p$  and  $q$  are even. In these cases we have a new relation. Rotation by  $\pi$  about the  $x$  axis, followed by rotation by  $\pi$  about the  $\ell$  axis, equals rotation by  $4\pi n/m$  about the  $z$  axis:

$$(24) \quad B^{q/2} A^{p/2} = R_\ell^\pi R_x^\pi = R_z^{4\pi n/m} = U^{2n} .$$

To see this, note that  $B^{q/2} A^{p/2}$  fixes the  $z$  axis while reflecting the  $x$  axis across the  $\ell$  axis. The remainder of this paper tracks the effect of this relation.

**Theorem 4.** *If*

1.  $p$  is even,  $q$  is even and  $m$  is odd, or
2.  $\rho(p) \geq 2$ ,  $\rho(q) \geq 2$  and  $\rho(m) = 2$ , or
3.  $q$  is even and  $\rho(p) \geq \rho(m) \geq 3$ ,

*then  $G_{n/m}(p, q) = G([p, q], m)$ .*

*Proof.* We must show that  $U$  and  $\hat{T}$  are in  $G_{n/m}(p, q)$ . First we show it suffices to prove that  $U^n \in G_{n/m}(p, q)$ . Since  $n$  and  $m$  are relatively prime,  $U$  is a power of  $U^n$ . If  $U^n \in G_{n/m}(p, q)$ , then  $R_x^{2\pi/q} = U^n B U^{-n} \in G_{n/m}(p, q)$ , and so  $\hat{T} \in G_{n/m}(p, q)$ . Note that, by eq. (24), we know that  $U^{2n}$ , and therefore  $U^2$ , is in  $G_{n/m}(p, q)$ .

If  $m$  is odd, then  $U^n = (U^{2n})^{(m+1)/2}$ , and case 1 is done.

In case 2,  $\rho(m) = 2$ , so  $R_z^{\pi/2}$  is an odd power of  $U$ , and hence an odd power of  $U^n$ . Let  $s$  be an odd integer such that  $R_z^{\pi/2} = U^{ns}$ .  $U^{n(s-1)}$  is then an even power of  $U^n$ , and hence an element of  $G_{n/m}(p, q)$ . Thus  $R_y^{2\pi/q} = R_z^{-\pi/2} T^p R_z^{\pi/2} = U^{-n(s-1)} B U^{n(s-1)} \in G_{n/m}(p, q)$ . Since 4 divides  $q$ , a power of this is  $R_y^{\pi/2} = S$ . Since 4 divides  $p$ ,  $R_x^{\pi/2} = A^{p/4} \in G_{n/m}(p, q)$ . Thus  $R_z^{\pi/2} = S^{-1} R_x^{\pi/2} S \in G_{n/m}(p, q)$ . So  $U^n = R_z^{\pi/2} U^{-n(s-1)} \in G_{n/m}(p, q)$ , which completes case 2.

In case 3, since  $\rho(m) \geq 3$ ,  $R_z^{\pi/2}$  is an even power of  $U^n$  and hence is in  $G_{n/m}(p, q)$ . Since 4 divides  $p$ ,  $R_x^{\pi/2} \in G_{n/m}(p, q)$ . From these we see that  $S \in G_{n/m}(p, q)$ . Conjugating  $A$  by  $S$  we obtain  $R_z^{2\pi/p} \in G_{n/m}(p, q)$ . Since  $\rho(p) \geq \rho(m)$ ,  $U^b = [R_z^{2\pi/p}]^a \in G_{n/m}(p, q)$ , where  $a = p/2^{\rho(p)-\rho(m)}$  and  $b = m/2^{\rho(m)}$ . Since  $n$  and  $b$  are both odd,  $n - b$  is even, so  $U^{n-b} = (U^2)^{(n-b)/2} \in G_{n/m}(p, q)$  and  $U^n = U^b U^{n-b} \in G_{n/m}(p, q)$ .  $\square$

All that is left are a handful of special cases, all of which have  $p$  and  $q$  even and  $m$  divisible by 4. Without loss of generality we assume  $\rho(p) \geq \rho(q)$ .

**Theorem 5.** 1. If  $\rho(m) > \rho(p) = \rho(q) = 1$ , then

$$(25) \quad G_{n/m}(p, q) = \langle \alpha, \beta, \gamma : \alpha^p, \beta^q, \gamma^2, (\alpha\gamma)^2, (\beta\gamma)^2, (\alpha^{p/2}\beta^{q/2})^{m/4}\gamma \rangle.$$

*This is a quotient of  $D_p *_{\mathbb{Z}_2} D_q$  by the last relation.*

2. If  $\rho(m) > \rho(p) > 1$  and  $\rho(m) > \rho(q) > 1$ , then

$$(26) \quad G_{n/m}(p, q) = G_{1/2r}(4, 4) = G(r, 4) *_{D_r} G(r, 4) ,$$

where  $r = [p, q, m/2]$  is the least common multiple of  $p, q$  and  $m/2$ , the first  $G(r, 4)$  subgroup is generated by  $R_z^{2\pi/r}$  and  $R_x^{\pi/2}$ , the second is conjugate to the first by  $R_z^{\pi/r}$ , and the common  $D_r$  subgroup is generated by  $R_z^{2\pi/r}$  and  $R_x^{\pi}$ .

3. If  $\rho(m) > \rho(p) > \rho(q) = 1$ , then

$$(27) \quad G_{n/m}(p, q) = G_{1/2s}(4, q) = G(s, 4) *_{D_2} D_q ,$$

where  $s = [p, m/2]$ ,  $G(s, 4)$  is generated by  $R_z^{2\pi/s}$  and  $R_x^{\pi/2}$ ,  $D_q$  is generated by  $B$  and  $R_z^{\pi}$ , and the common  $D_2$  subgroup is generated by  $B^{q/2}$  and  $R_z^{\pi}$ .

4. If  $\rho(p) > \rho(q) = 1$  and  $\rho(m) = 2$ , then

$$(28) \quad G_{n/m}(p, q) = G_{1/2t}(p, 2) = D_p *_{D_2} D_t ,$$

where  $t = [q, m/2]$ ,  $D_p$  is generated by  $A$  and  $R_z^{\pi}$ ,  $D_t$  is generated by  $R_z^{2\pi/t}$  and  $B^{q/2}$ , and the common  $D_2$  is generated by  $R_z^{\pi}$  and  $A^{p/2} = R_z^{2\pi/t} B^{q/2}$ .

*Proof.* We begin with case 1. Taking  $\alpha = A, \beta = B$  and  $\gamma = R_z^{\pi} = (A^{p/2} B^{q/2})^{m/4}$  (from (24), since  $n$  is odd), it is clear that  $\alpha, \beta$  and  $\gamma$  generate the group (indeed,  $\alpha$  and  $\beta$  do), and that all the stated relations hold. As usual, we must show that there are no additional relations.

Take an arbitrary word  $w$  in  $\alpha$ ,  $\beta$  and  $\gamma$  and shorten it as follows. Make all exponents of  $\alpha$  less than or equal to  $p/2$  in magnitude, and all exponents of  $\beta$  less than or equal to  $q/2$  in magnitude. Use the relators  $(\alpha\gamma)^2$  and  $(\beta\gamma)^2$  to move all the  $\gamma$ s to the left (i.e., replace  $\alpha\gamma$  by  $\gamma\alpha^{-1}$  etc.), after which there should be either one or zero  $\gamma$ s. If there are any sequences  $\dots\alpha^{p/2}\beta^{q/2}\dots$  with more than  $m/4$  pairs, replace it with  $\gamma$  times a shorter sequence, using the relator  $(\alpha^{p/2}\beta^{q/2})^{m/4}\gamma$ . Repeat these procedures as necessary until there is at most one  $\gamma$  (at the left), all rotations are by  $\pi$  or less, and there are at most  $m/4$  pairs of rotations by  $\pi$  in a row. We claim that this word, if not explicitly the identity, is not equal to the identity.

So consider  $w = \gamma^c\alpha^{a_1}\beta^{b_1}\dots\alpha^{a_n}\beta^{b_n}$ , with  $c = 0$  or  $1$  and the aforementioned restrictions on the  $a_i$ s and  $b_i$ s. Now rewrite  $\alpha$ ,  $\beta$  and  $\gamma$  in terms of  $U$  and  $T$ , getting

$$(29) \quad w = U^{cm/2} \prod_i (T^{qa_i}U^{-n}T^{pb_i}U^n) .$$

Since  $p$  and  $q$  are even, some of the powers of  $T$  may be rotations by  $\pi$ , but, since neither  $p$  nor  $q$  is a multiple of  $4$ , none are rotations by  $\pm\pi/2$ . We eliminate the  $T^{pq/2}$  terms, pushing them to the left (in the same spirit as (23)). If  $k$  such pairs are adjacent, then this process changes the  $k+1$  flanking  $U^{\pm n}$ 's into a single  $U^{\pm(k+1)n}$ . However, since  $k$  is never bigger than  $m/4$ ,  $U^{\pm(k+1)n}$  is never a rotation by  $\pi$ , and since  $n$  is relatively prime to  $m$  neither is  $U^{\pm(k+1)n}$ . The remaining powers of  $T$  are not rotation by multiples of  $\pi/2$ . Thus, by Extension 2 (applied to  $U$  and  $T$ ),  $w$  is nontrivial.

In case 2, since  $\rho(p)$  and  $\rho(q)$  are at least 2 our group contains rotations by  $\pi/2$  about the  $x$  and  $\ell$  axes. Since  $\rho(m) \geq 3$ , our group also contains  $R_z^{\pi/2}$  (as in the proof of Theorem 4). Conjugating these rotations about the  $x$  and  $\ell$  axes by  $R_z^{\pi/2}$  gives rotations by  $\pi/2$  about the  $y$  and  $\ell'$  axes, where  $\ell'$  is orthogonal to  $\ell$ . Conjugating  $A$  and  $B$  by these last rotations, we get rotations about the  $z$  axis by  $2\pi/p$  and  $2\pi/q$ , and hence by  $2\pi/[p, q]$ . Since  $U^2 = R_z^{4\pi/m}$  is also in the group, and since  $\rho(m) > \rho([p, q])$ , our group contains  $R_z^{2\pi/r}$ , where  $r$  is the least common multiple  $[p, q, m/2]$ . Conjugating this by  $R_y^{\pi/2}$  and by  $R_{\ell'}^{\pi/2}$  gives  $R_x^{2\pi/r}$  and  $R_{\ell}^{2\pi/r}$ . Finally, since  $n/m$  is an odd multiple of  $1/2r$ , conjugating  $R_{\ell}^{2\pi/r}$  by an appropriate power of  $R_z^{2\pi/r}$  gives  $R_{\ell''}^{2\pi/r}$ , where the axis  $\ell''$  makes an angle of  $2\pi/2r$  with the  $x$ -axis. So  $G_{1/2r}(r, r) \subseteq G_{n/m}(p, q)$ , and therefore  $G_{n/m}(p, q) = G_{1/2r}(r, r)$ .

Thus  $G_{n/m}(p, q)$  does not depend on  $p$ ,  $q$ ,  $n$  and  $m$  separately, but only on  $r$ , which is an odd multiple of  $m/2$ . So we can replace  $p$  and  $q$  by  $4$ ,  $n$  by  $1$  and  $m$  by  $2r$ , which proves the first equality of (26).

To prove the second equality, we again assume that  $p = q = 4$ ,  $n = 1$  and  $r = m/2$ . Our group is now generated by  $A = T$  and  $B = UTU^{-1}$ , where  $T = R_x^{\pi/2}$  and  $U = R_z^{2\pi/m} = R_z^{\pi/r}$ . Our group also contains  $U^2 = A^2B^2$ . We consider the subgroups generated by  $U^2$  and  $A = T$ , on the one hand, and by  $U^2$  and  $B$  on the other hand. Each group is isomorphic to  $G(r, 4)$ , and they have a common  $D_r$  subgroup generated by  $U^2$  and  $A^2$  (or  $U^2$  and  $B^2 = A^2U^2$ ). The group generated by  $B$  and  $A$  is the quotient of  $G(r, 4) *_{D_r} G(r, 4)$  by any additional relations. We will show that there are no additional relations.

Using (2) and (3) as in the proof of Theorem 1, any element of the first  $G(r, 4)$  can be written as

$$(30) \quad \alpha = WT^{\pm 1}U^{a_1}T^{\pm 1} \dots T^{\pm 1}U^{a_N} ,$$

where  $W$  is an element of  $D_r$ , each of the  $a_i$ 's is even, and, for  $i < N$ ,  $U^{a_i}$  is not a rotation by a multiple of  $\pi/2$ . Similarly, an element of the second  $G(r, 4)$  can be written as

$$(31) \quad \beta = WUT^{\pm 1}U^{a_1}T^{\pm 1} \dots T^{\pm 1}U^{a_{N-1}} .$$

We now need a simple fact about amalgamated free products. Consider some  $G *_H \tilde{G}$ , and left coset spaces  $G/H$  and  $\tilde{G}/H$ . Pick a set of representatives  $\{k_i\}$  (or  $\{\tilde{k}_i\}$ ) for the left cosets of  $G$  (or  $\tilde{G}$ ). That is, every element  $g$  of  $G$  can be written as  $g = hk_i$  for some representative  $k_i$  and some  $h \in H$ , with a similar expression for elements of  $\tilde{G}$ . We claim that any element of  $G *_H \tilde{G}$  can be expressed in the form:

$$(32) \quad hg_1\tilde{g}_1g_2\tilde{g}_2g_3 \dots \tilde{g}_p$$

where  $h \in H$ , each  $g_j \in \{k_i\}$  and each  $\tilde{g}_j \in \{\tilde{k}_i\}$ . To see this, just note that any element of  $G *_H \tilde{G}$  can automatically be expressed in the form  $\phi_1\tilde{\phi}_1\phi_2\tilde{\phi}_2\phi_3 \dots \tilde{\phi}_p$ , with  $\phi_j \in G$  and  $\tilde{\phi}_j \in \tilde{G}$ . Starting from the right, express  $\tilde{\phi}_p = \tilde{h}_p\tilde{k}_p$ . Then since  $\phi_{p-1}\tilde{h}_p \in G$ , we can write  $h_{p-1}\tilde{k}_{p-1} = \phi_{p-1}\tilde{h}_p$ , etc., moving an element of  $H$  through the word all the way to the left, where it becomes the  $h$  in (32).

By (30), we may choose representatives for the first  $G(r, 4)/D_r$  of the form  $T^{\pm 1}U^{a_1} \dots T^{\pm 1}U^{a_N}$ . Similarly, (31) indicates the form of the representatives for the second  $G(r, 4)/D_r$ . So an arbitrary element of  $G(r, 4) *_D_r G(r, 4)$  can be written as

$$(33) \quad \gamma = W(T^{\pm 1}U^{a_{1,1}}T^{\pm 1} \dots T^{\pm 1}U^{a_{N_1,1}})U(T^{\pm 1}U^{a_{1,2}}T^{\pm 1} \dots T^{\pm 1}U^{a_{N_2,2}})U^{-1} \dots ,$$

where again  $W$  is an arbitrary element of  $D_r$ . Notice that none of the powers of  $U$  are rotations by multiples of  $\pi/2$ , except possibly the last term in each parenthetical expression, which then gets multiplied by  $U^{\pm 1}$ , yielding an odd power of  $U$ , hence not a rotation by a multiple of  $\pi/2$ . Since  $T$  is a rotation by  $\pi/2$ , and none of the powers of  $U$  are, Extension 2 implies the resulting word is not equal to the identity, which completes case 2.

In case 3, as in case 2, we have rotations by  $\pi/2$  about the  $x$  and  $z$  axes, hence around the  $y$  axis, and hence can transfer generators from the  $x$  to the  $z$  axis and vice versa. Defining  $U = R_z^{\pi/s}$ , as in case 2 we again have  $U^2$  in our group, and, by conjugating by a power of  $U^2$ , we can exchange the  $\ell$  axis for an axis that makes an angle of  $\pi/s$  with the  $x$  axis. This shows that  $p$  and  $m$  do not contribute separately, but only through their least common multiple  $2s$ , and that  $n$  does not matter at all. This establishes the first equality in (27).

For the second equality we assume  $p = 4$  and  $n = 1$ , so  $m = 2s$  and  $U = R_z^{2\pi/m}$ . The  $G(s, 4)$  and  $D_q$  subgroups are manifest, as is their common  $D_2$  subgroup. All that remains is to show that there are no hidden relations among the elements of  $G(s, 4) *_D_2 D_q$ .

The rest of the argument goes just as in case 2. Find representatives for all the left cosets of  $D_2$  in  $G(s, 4)$  and in  $D_q$ , write out an arbitrary element of the amalgamated free product, and notice that it isn't trivial.

For case 4, we define  $U = R_z^{2\pi/m}$ . Since  $\rho(m) = 2$ ,  $R_z^{\pi/2}$  is an odd power of  $U$ . Since  $n$  is odd, conjugating  $B$  by an even power of  $U$  gives a rotation about the  $y$  axis, and conjugating this by  $A^{p/4}$  gives a rotation about the  $z$  axis. Thus generators may be transferred back and forth between the  $\ell$  and  $z$  axes, demonstrating that  $q$  and  $m$  only contribute through their least common multiple  $2t$ . Also, the result is independent of  $n$  as usual. This gives the first equality.

For the second equality, assume  $q = 2$ , so  $t = m/2$ . The same argument as in cases 2 and 3 again works.  $\square$

#### 4. CONCLUSIONS AND REMARKS

The group of rotations of three dimensional Euclidean space is a fundamental object in mathematics and in mathematical models of science. Starting with a few simple geometrical facts and a single algebraic lemma, we have determined the relations in increasingly complicated subgroups of the rotation group, resulting in a complete classification of the “generalized dihedral” subgroups, those generated by a pair of rotations of finite order, about axes that are themselves separated by an angle which is a rational multiple of  $\pi$ .

As an application, we consider the role of two-generator subgroups of  $SO(3)$  in the Banach-Tarski paradox (see [W]). There one uses a pair of “independent” rotations, that is, rotations which generate a free subgroup of  $SO(3)$ , to produce interesting subsets of the unit sphere. Since in a free group there are NO relations, such rotations must have infinite order, being rotations by irrational angles.

At first glance such rotations would seem different, indeed complementary, to the subject of this paper. However, it is not difficult to exhibit explicit free 2-generator subgroups of many of the groups considered here. For example, let  $m$  be any positive integer other than 1, 2, 4 or 8, let  $S = R_y^{\pi/2}$ , and let  $T = R_x^{2\pi/m}$ . Then the two rotations  $A = STST$  and  $B = ST^2ST^2$  are independent. To see this, write an arbitrary word in  $A$  and  $B$  in terms of  $S$  and  $T$ . Since neither  $T$  nor  $T^2$  is a rotation by a multiple of  $\pi/2$ , the result is a word in  $S$  and  $T$  of the form (5), and is therefore not the identity. (It is not difficult to check that the word in  $S$  and  $T$  is always longer than the original word in  $A$  and  $B$ .) The group generated by  $A$  and  $B$  is then a free 2-generator subgroup of  $\hat{G}(m, 4)$ .

#### ACKNOWLEDGEMENTS

We thank John Conway, J.-P. Serre and John Tate for helpful discussions. This work, as well as [RS1], is an outgrowth of work with John Conway [CR].

#### REFERENCES

- [CR] J. Conway and C. Radin: *Quaquaversal tilings and rotations*, *Inventiones Math.* **132** (1998), 179–188. [Obtainable from the electronic archive: mp\_arc@math.utexas.edu] MR **99c**:52031
- [RS1] C. Radin and L. Sadun: *Subgroups of  $SO(3)$  associated with tilings*, *J. Algebra* **202** (1998), 611–633. [Obtainable from the electronic archive: mp\_arc@math.utexas.edu] MR **99c**:20064

- [RS2] C. Radin and L. Sadun: *An algebraic invariant for substitution tiling systems*, *Geometriae Dedicata* **73** (1998), 21–37. [Obtainable from the electronic archive: mp\_arc@math.utexas.edu] CMP 99:03
- [W] S. Wagon: *The Banach-Tarski paradox*. The University Press, Cambridge, 1985. MR **87e**:04007

DEPARTMENT OF MATHEMATICS, THE UNIVERSITY OF TEXAS AT AUSTIN, AUSTIN, TEXAS 78712  
*E-mail address*: `radin@math.utexas.edu`

DEPARTMENT OF MATHEMATICS, THE UNIVERSITY OF TEXAS AT AUSTIN, AUSTIN, TEXAS 78712  
*E-mail address*: `sadun@math.utexas.edu`