

## HOW TO DO A $p$ -DESCENT ON AN ELLIPTIC CURVE

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ABSTRACT. In this paper, we describe an algorithm that reduces the computation of the (full)  $p$ -Selmer group of an elliptic curve  $E$  over a number field to standard number field computations such as determining the ( $p$ -torsion of) the  $S$ -class group and a basis of the  $S$ -units modulo  $p$ th powers for a suitable set  $S$  of primes. In particular, we give a result reducing this set  $S$  of ‘bad primes’ to a very small set, which in many cases only contains the primes above  $p$ . As of today, this provides a feasible algorithm for performing a full 3-descent on an elliptic curve over  $\mathbb{Q}$ , but the range of our algorithm will certainly be enlarged by future improvements in computational algebraic number theory. When the Galois module structure of  $E[p]$  is favorable, simplifications are possible and  $p$ -descents for larger  $p$  are accessible even today. To demonstrate how the method works, several worked examples are included.

### 1. INTRODUCTION

Let  $E/K$  be an elliptic curve over a number field  $K$  and recall the usual exact sequence related to an  $m$ -descent,

$$0 \longrightarrow E(K)/mE(K) \longrightarrow \text{Sel}^{(m)}(K, E) \longrightarrow \text{III}(K, E)[m] \longrightarrow 0.$$

We are able to find the middle term for  $m = 2$  in many cases. John Cremona’s `mwrnk` program (see the description in [9]) has become the standard means of determining the 2-Selmer group if  $K = \mathbb{Q}$  and, if  $\text{III}(\mathbb{Q}, E)[2] = 0$ , the Mordell-Weil rank. It performs very well on most ‘real life’ elliptic curves. Cremona’s approach goes back to Birch and Swinnerton-Dyer; it uses the fairly concrete description of the 2-Selmer group as the set of equivalence classes of certain so-called 2-coverings, genus 1 curves over the base field that allow certain maps to the elliptic curve. Although this works very well for 2-descents over the rationals, it suffers from combinatorial explosion when the base field is enlarged, when higher  $p$ -descents are attempted, or even when the elliptic curve is ‘large’.

There is an alternative method, going back to Mordell [21] and Weil [35]. It is based on the cohomological description of the Selmer group and represents it as a finite subgroup of  $L^\times / (L^\times)^2$ , where  $L$  is (usually) a degree 3 field extension of the

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base field. This method avoids the combinatorial problems of the first approach, but it requires a thorough knowledge of the arithmetic of  $L$ . Detailed modern descriptions can be found in [28, 33]. It has also been applied to determine the rank of several elliptic curves over number fields. Simon [31, 32] has a general description of the algorithm and worked examples.

There are now several reasons why it is desirable to compute the  $m$ -Selmer group for values of  $m$  other than 2. The first is that we want to go around the obstruction  $\text{III}(K, E)[2]$  for the determination of the rank. The second is that the knowledge of several Selmer groups for distinct values of  $m$  lets us deduce facts about the Shafarevich-Tate group  $\text{III}(K, E)$ . Selmer groups for arbitrary  $m$  are also of interest in Iwasawa theory as well as in the study of visible parts of Shafarevich-Tate groups (see Cremona and Mazur [10]).

Algorithms for computing the full  $m$ -Selmer group of an elliptic curve for  $m > 2$  have only been described in [5] and [12]. Cassels describes how to compute the 3-Selmer group over  $\mathbb{Q}(\zeta_3)$  for an elliptic curve of the form  $y^2 = x^3 + d$  where  $d$  is a square. In [12] is a rough algorithm describing the computation of a  $p$ -Selmer group for  $p$  a prime (see the end of Section 5 for a discussion). Note that algorithms for computing the image of the 4-Selmer group in the 2-Selmer group have also been described (see [4, 19]).

Algorithms for computing a  $p$ -isogeny Selmer group have been described for  $p = 2$  (see [30, 3, 15] among others),  $p = 3$  for  $j = 0$  (see [7, 8, 16, 22, 27, 34]) and arbitrary  $j$  (see [11]), and  $p = 5$  and 7 when there is a rational 5- or 7-torsion point, respectively (see [14]).

In this article, we improve on the algorithm in [12] to derive an algorithm that is guaranteed to compute the  $p$ -Selmer group. Our algorithm gives a feasible reduction of the  $p$ -descent on an elliptic curve to standard computations in number fields. Since we can expect progress on the latter,  $p$ -descent computations will become more and more feasible. Given the current state of the art in dealing with number fields, the only computations which are feasible in general at the moment are for the special case  $p = 3$  over the base field  $\mathbb{Q}$  (although this will certainly change). For this case we give a very explicit description of the algorithm in Section 7. This 3-descent algorithm has been implemented by the second author in MAGMA [18], and proved to work quite well on a number of examples. When the Galois module structure of  $E[p]$  is favorable, simplifications are possible and  $p$ -descents for larger  $p$  are accessible even today.

Note also that we give a quite general result on the set of ‘bad primes’ that have to be considered in a  $p$ -descent. It says that it suffices to consider primes above  $p$ , together with primes such that the corresponding Tamagawa number of the elliptic curve (or one of the two curves involved in case of a descent by  $p$ -isogeny) is divisible by  $p$ ; see Proposition 3.2. Since Tamagawa numbers are rarely large, this leads to a considerable improvement in the efficiency of the algorithm. When the elliptic curve has a rational  $p$ -isogeny  $h : E \rightarrow E'$ , we can use Selmer groups related to  $h$  and the dual isogeny instead of the  $p$ -Selmer group. The computation is considerably simpler and is described in Section 6.

We finish with three examples featuring computations of the various Selmer groups we describe. In the first, we use a 3-Selmer group to determine the Mordell-Weil rank of an elliptic curve which cannot be determined from the analytic rank nor from the 2-Selmer group. In the second, we find the 5-Selmer group of an elliptic

curve in which 5 splits in the endomorphism ring. In the third, we use two  $h$ -Selmer groups, where  $h$  is an isogeny of degree 13, to show that two isogenous elliptic curves have trivial 13-parts of their Shafarevich-Tate groups over the rationals.

The reader is welcome to contact either author for an expanded version of this paper that includes some omitted proofs and computations.

## 2. ÉTALE ALGEBRAS

An étale algebra  $D$  over an infinite<sup>1</sup> field  $K$  is a  $K$ -algebra of the form  $D = K[T]/(f(T))$ , where  $f(T) \in K[T]$  is a monic polynomial with non-zero discriminant. Such an algebra decomposes uniquely into a direct product of finite separable field extensions of  $K$ , i.e.,  $D \cong \prod_{i=1}^m D_i$ . When  $K$  is a number field and  $S$  is a finite set of places of  $K$ , we define

$$D(S, p) = \{ \alpha \in D^\times / (D^\times)^p \mid \alpha \text{ unramified outside } S \} = \prod_{i=1}^m D_i(S, p).$$

Here  $\alpha$  is called unramified outside  $S$  when all the extensions  $D_j(\sqrt[p]{\alpha_j})$  are unramified at all primes of  $D_j$  lying above a place outside  $S$ ;  $(\alpha_1, \dots, \alpha_m)$  is a representative of  $\alpha$ , split into its components according to the splitting of  $D$  into number fields.

We write  $\bar{D} = D \otimes_K \bar{K}$  with  $\bar{K}$  a separable closure of  $K$ . A straightforward generalization of Hilbert's Theorem 90 shows that  $H^1(K, \bar{D}^\times) = 0$ . By the usual Kummer sequence, this implies  $H^1(K, \mu_p(\bar{D})) \cong D^\times / (D^\times)^p$ .

A more abstract definition of an étale algebra is that it is the affine algebra corresponding to a finite étale scheme  $X$  over  $K$ . When we look at it this way,  $\bar{D}$  consists of functions from the points  $X(\bar{K})$  into  $\bar{K}$ , and  $D$  is the subset of Galois-invariant functions (the Galois group acts both on the points and on the values). Similarly,  $D^\times$  consists of Galois-invariant functions into  $\bar{K}^\times$ , and  $\mu_p(\bar{D})$  consists of functions into  $\mu_p$ . We will use this interpretation frequently in what follows.

Let  $G_K$  denote the absolute Galois group of  $K$ . In this setting, we get an anti-equivalence of categories between the category of finite  $G_K$ -sets and the category of étale algebras over  $K$ .

## 3. COMPUTING A SELMER GROUP

Throughout this paper,  $p$  will be a fixed odd prime number. Let  $\theta$  denote an isogeny from  $E$  to  $E'$  over  $K$  whose kernel has exponent  $p$ . Recall that the  $\theta$ -Selmer group,  $\text{Sel}^{(\theta)}(K, E)$ , is isomorphic to

$$\{ \xi \in H^1(K, E[\theta]; S') \mid \text{res}_v(\xi) \in \delta_{\theta, v}(E'(K_v) / \theta E(K_v)) \text{ for all } v \in S' \},$$

where  $S'$  is the set of primes of  $K$  including primes above  $p$ , infinite primes and primes of bad reduction and  $\delta_{\theta, v}$  is the co-boundary map from  $E'(K_v)$  to  $H^1(K_v, E[\theta])$  (for this and other theoretical results in this section, see [30, §X.4]).

Let  $E$  be defined by a minimal Weierstrass equation over  $K_v$ , and let  $E_0(K_v)$  denote the points with non-singular reduction. Equivalently,  $E_0(K_v)$  is isomorphic

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<sup>1</sup>In general, we can define an étale algebra over an arbitrary field  $K$  to be a finite product of finite separable field extensions of  $K$ . If  $K$  is finite, it is not always possible to find a polynomial  $f$  defining the algebra.

to the group of sections from  $\mathcal{O}_{K_v}$  to the open subgroup scheme of the Néron model of  $E/\mathcal{O}_{K_v}$  gotten by removing the non-identity components of the special fiber. The following result looks superficially like Proposition I.3.8 in [20], but is in fact different and does not seem to exist in the literature.

**Lemma 3.1.** *Assume  $v$  does not lie over  $p$ . Let  $R \in E'_0(K_v)$ . Then the image of  $R$  in  $H^1(K_v, E[\theta])$  is unramified.*

*Proof.* Let  $k_v$  denote the residue class field of  $K_v$ , and denote by  $E_1$  and  $E'_1$  the kernels of reduction. Note that  $E'_0(K_v)$  is contained in  $E'_0(K_v^{\text{unr}})$ . We show that  $E'_0(K_v^{\text{unr}})/\theta E_0(K_v^{\text{unr}})$  is trivial. To see this, consider the following diagram with exact rows:

$$\begin{CD} 0 @>>> E_1(K_v^{\text{unr}}) @>>> E_0(K_v^{\text{unr}}) @>>> E(\bar{k}_v)^{\text{ns}} @>>> 0 \\ @. @V{\theta}VV @V{\theta}VV @V{\theta}VV @. \\ 0 @>>> E'_1(K_v^{\text{unr}}) @>>> E'_0(K_v^{\text{unr}}) @>>> E'(\bar{k}_v)^{\text{ns}} @>>> 0 \end{CD}$$

Here the superscript ns denotes the smooth part of the reduction. The rightmost vertical map is surjective since  $\bar{k}_v$  is algebraically closed. The leftmost vertical map is surjective since the kernels of reduction are pro- $q$  groups with  $q \neq p$ . Hence the middle vertical map is also surjective.

The following diagram commutes:

$$\begin{CD} E'_0(K_v)/\theta E_0(K_v) @>{\delta_v}>> H^1(K_v, E[\theta]) \\ @VVV @VV{\text{res}}V \\ E'_0(K_v^{\text{unr}})/\theta E_0(K_v^{\text{unr}}) @>{\delta_v}>> H^1(K_v^{\text{unr}}, E[\theta]) \end{CD}$$

Since the lower left group is trivial, the image of the upper left group in the lower right group must be trivial. By definition, this means that its image in the upper right group is unramified.  $\square$

We remark that one can extend this proof to show that the image of  $E'(K_v)$  in  $H^1(I_v, E[\theta])$  is isomorphic to the image of  $\Phi'(k_v)$  in  $\Phi'/\theta\Phi$ . Here we use  $\Phi'$  to denote  $E'(K_v^{\text{unr}})/E'_0(K_v^{\text{unr}})$ , the component group of the Néron model, and  $\Phi'(k_v)$  to denote the subgroup fixed under the action of Frobenius. (Similarly for  $E$  and  $\Phi$ .) This provides an alternative way to prove Proposition 3.2 below.

Let  $c_{E,v} = \#E(K_v)/E_0(K_v) = \#\Phi(k_v)$ . This is often called the Tamagawa number. The only possible primes at which the Tamagawa number is not 1 are those dividing the conductor of  $E$ .

**Proposition 3.2.** *Let  $S$  be any finite set of places containing the places above  $p$  and the places  $v$  such that at least one of  $c_{E,v}$  and  $c_{E',v}$  is divisible by  $p$ . Then*

$$\text{Sel}^{(\theta)}(K, E) = \{ \xi \in H^1(K, E[\theta]; S) \mid \text{res}_v(\xi) \in \delta_{\theta,v}(E'(K_v)/\theta E(K_v)) \text{ for all } v \in S \}.$$

*Proof.* Since the degree of  $\theta$  is odd, if  $v$  is infinite, then  $E'(K_v)/\theta E(K_v)$  and the unramified subgroup of  $H^1(K_v, E'[\theta])$  are both trivial. Using the proof of [29, Lemma 3.1], we see that for finite  $v$ , the size of the unramified subgroup of  $H^1(K_v, E[\theta])$  is the same as the size of  $E(K_v)[\theta]$ . If  $v$  is finite and does not lie over  $p$ , then the size of  $E'(K_v)/\theta E(K_v)$  is  $\#E(K_v)[\theta] \cdot c_{E',v}/c_{E,v}$  (see [29, Lemma 3.8]).

Now assume that  $v$  is finite and does not lie over  $p$ , and  $c_{E,v}$  and  $c_{E',v}$  are not divisible by  $p$ . Since the degree of  $\theta$  is a  $p$ -power and  $p$  does not divide  $c_{E,v}$  and  $c_{E',v}$ , they must be the same. Thus the image of  $E'(K_v)/\theta E(K_v)$  and the unramified subgroup have the same size. So it suffices to prove that the image of  $E'(K_v)/\theta E(K_v)$  is contained in the unramified subgroup. Since  $c_{E,v}$  and  $c_{E',v}$  are not divisible by  $p$ , the map from  $E'_0(K_v)/\theta E_0(K_v)$  to  $E'(K_v)/\theta E(K_v)$  is an isomorphism. So from Lemma 3.1, the image of  $E'(K_v)/\theta E(K_v)$  is unramified.  $\square$

In order to implement this description, we need a practical representation of the a priori rather abstractly defined group  $H^1(K, E[\theta]; S)$  and the maps  $\delta_v$ . Our approach (based on [28]) is to identify the cohomology group with a subgroup of  $D(S, p)$  for a suitable étale algebra  $D$  over  $K$ . It will turn out that the coboundary maps  $\delta_v$  can then be realized as polynomial (or rational) functions on  $E$  with values in  $D_v$ .

This leaves the task of determining a basis of  $D(S, p)$ . Thanks to the advances in the computational theory of number fields, this is now feasible in many cases. An algorithm for doing so is described in [24, §12]. It involves determining the ( $p$ -torsion of the)  $S$ -class group and a basis of the  $S$ -units modulo  $p$ th powers.

Now let us proceed to find a suitable algebra  $D$ . Let  $\theta'$  denote the dual isogeny over  $K$  from  $E'$  to  $E$ . Let  $X$  be a Galois-invariant subset of  $E'[\theta'] \setminus \{0\}$  spanning  $E'[\theta']$ , and let  $D$  be the étale  $K$ -algebra corresponding to  $X$ , considered as a finite étale subscheme of  $E'$ . Recall that we interpret elements of  $D$  as functions on  $X$ .

Let  $w_\theta$  denote the map from  $E[\theta]$  to  $\mu_p(\bar{D})$  which sends  $R$  to the function  $P \mapsto e_\theta(R, P)$  (where  $e_\theta$  is the Weil pairing). Since  $X$  is a spanning set of  $E'[\theta']$ , the map  $w_\theta$  is injective. Let  $\bar{w}_\theta$  denote the induced map from  $H^1(K, E[\theta])$  to  $H^1(K, \mu_p(\bar{D}))$ . Let  $k$  denote the Kummer isomorphism from  $H^1(K, \mu_p(\bar{D}))$  to  $D^\times/(D^\times)^p$ . The image of  $H^1(K, E[\theta]; S)$  under  $k \circ \bar{w}_\theta$  is contained in  $D(S, p)$ .

For the method to work, the following two conditions on  $X$  have to be satisfied:

- (i) The map  $\bar{w}_\theta$  must be injective both globally and locally (i.e., over  $K_v$ ).
- (ii) We must be able to find the image of  $H^1(K, E[\theta]; S)$  in  $D(S, p)$ .

In the cases we present, we will verify both conditions. For the following general discussion, we simply assume them.

We now find a nice description of the composition  $k \circ \bar{w}_\theta \circ \delta_\theta$ . We use  $O$  to denote the 0-point of  $E$  when it appears in the support of a divisor. For each  $P \in X$ , choose a function  $f_P$  in  $K(P)(E')$  with the property that  $\text{div}(f_P) = pP - pO$  and such that for  $\sigma \in G_K$  we have  $\sigma f_P = f_{\sigma P}$ . Let  $F$  be the rational function from  $E'$  to  $\bar{D}$  which sends a point  $R$  to the function  $P \mapsto f_P(R)$ . Put differently, we choose  $F \in D(E')$  such that  $\text{div}(F)$  corresponds to the function  $X \rightarrow \text{Div}_{E'}(\bar{K})$  given by  $P \mapsto pP - pO$ .

We call a degree-0 divisor on  $E'$  *good* if it is defined over  $K$  and its support avoids  $X \cup \{O\}$ . Since  $E'$  has a  $K$ -rational point, every element of  $E'(K)$  can be represented by a good divisor. We can evaluate  $F$  on a good  $K$ -rational divisor  $\sum_j n_j Q_j$  to get  $\prod_j F(Q_j)^{n_j} \in D^\times$ . By evaluating on good divisors, the function  $F$  induces a well-defined map from  $E'(K)/\theta E(K)$  to  $D^\times/(D^\times)^p$ , which is the same as  $k \circ \bar{w}_\theta \circ \delta_\theta$  (see [28, Thm. 2.3]).

For a place  $v$  of  $K$ , define  $D_v = D \otimes_K K_v$ . The map  $F$  then induces a map  $F_v$  from  $E'(K_v)/\theta E(K_v)$  to  $D_v^\times/(D_v^\times)^p$ . The maps  $F$  and  $F_v$  are injective by our assumptions.

We can now reformulate how we compute the Selmer group. Consider the following diagram:

$$\begin{array}{ccc}
 E'(K)/\theta E(K) & \xrightarrow{F} & D(S, p) \\
 \downarrow & & \downarrow \Pi_v \text{ res}_v \\
 \prod_{v \in S} E'(K_v)/\theta E(K_v) & \xrightarrow{\Pi_v F_v} & \prod_{v \in S} D_v^\times / (D_v^\times)^p
 \end{array}$$

We have

$$(3.1) \quad \text{Sel}^{(\theta)}(K, E) = \{ \alpha \in (\text{image of } H^1(K, E[\theta]; S) \text{ in } D(S, p)) \mid \text{res}_v(\alpha) \in F_v(E'(K_v)/\theta E(K_v)) \text{ for all } v \in S \}.$$

In order to find the image  $F_v(E'(K_v))$  in  $D_v^\times / (D_v^\times)^p$ , we need to know the size of  $E'(K_v)/\theta E(K_v)$ . If  $v$  does not lie over  $p$ , then this is given in the proof of Proposition 3.2. If  $v$  does lie over  $p$  and  $\theta = p$ , then

$$\#E(K_v)/pE(K_v) = p^{[K_v:\mathbb{Q}_p]} \cdot \#E(K_v)[p]$$

(see [28, Prop. 2.4]). If  $v$  lies over  $p$  and  $\theta$  is a  $p$ -isogeny, then

$$\#E'(K_v)/\theta E(K_v) = \frac{\gamma \cdot \#E(K_v)[\theta] \cdot c_{E',v}}{c_{E,v}},$$

where  $\gamma$  is the norm of the leading coefficient of the power series representation of  $\theta$  on formal groups (see [29, p. 92]). This computation with formal groups can sometimes be avoided by combining the result for  $\#E(K_v)/pE(K_v)$  and the exact sequence (6.1) in Section 6 below.

Once we know the size of  $E'(K_v)/\theta E(K_v)$ , we search for good divisors (here defined over  $K_v$ ) whose classes span the group. Since  $F_v$  is injective by assumption, it is typically easier to determine the independence of such divisors by looking at their images in  $D_v^\times / (D_v^\times)^p$ . Though in practice, finding good divisors that span  $E'(K_v)/\theta E(K_v)$  is usually not difficult, a deterministic algorithm could be modeled on that found in [33].

#### 4. FULL $p$ -DESCENT. CONDITION (i)

In this and the following section, we consider the situation where  $\theta$  is the multiplication-by- $p$  map on  $E$ . We begin with deriving a sufficient condition on  $X$  for condition (i) in Section 3 to hold. Some standard references for the group cohomology needed are [1] and [2].

In [12], it is shown that condition (i) holds when we take  $X$  to be  $E[p] \setminus \{0\}$ . The following two corollaries follow from the results in [12, §3].

**Corollary 4.1.** *Let  $M_1 \rightarrow M_2$  be a monomorphism of  $K$ -Galois modules with Galois action factoring through a linear action of  $G \subset \text{GL}(2, \mathbb{F}_p)$ . We assume that  $\alpha I \in G$  acts as multiplication by  $\alpha$  on  $M_1$ . If either  $p \nmid \#G$  or the map  $H^1(W, M_1) \rightarrow H^1(W, M_2)$  is injective, where  $W \subset G$  is a  $p$ -Sylow subgroup, then the map on Galois cohomology,  $H^1(L, M_1) \rightarrow H^1(L, M_2)$ , is also injective for all field extensions  $L/K$ .*

Once we choose a basis for  $E[p]$ , we can identify  $\text{GL}(E[p]) = \text{GL}(2, \mathbb{F}_p)$ .

**Corollary 4.2.** *Let  $X$  be a Galois-invariant spanning set of  $E[p]$  and let  $G$  be the image of  $G_K$  in  $\text{GL}(E[p])$ . Then condition (i) is satisfied if either  $p$  does not divide the order of  $G$ , or if the induced map*

$$\bar{w}_p : H^1(W, E[p]) \longrightarrow H^1(W, \mu_p(\bar{D}))$$

*is injective, where  $W$  is a  $p$ -Sylow subgroup of  $G$  and  $D$  is the étale  $K$ -algebra corresponding to  $X$ .*

Let us see what properties of  $X$  guarantee this injectivity to hold. By changing the basis of  $E[p]$  if necessary, we can assume that  $W = \left\{ \begin{pmatrix} 1 & * \\ 0 & 1 \end{pmatrix} \right\}$ .

The set  $X$  is the union of  $W$ -orbits of size  $p$ , which we denote  $S_i$ , and singleton  $W$ -orbits, which we denote  $Q_j$ . As  $W$ -modules, we have the direct sum decomposition

$$\begin{aligned} \mu_p(\bar{D}) = \text{Map}(X, \mu_p) &= \bigoplus_i \text{Map}(S_i, \mu_p) \oplus \bigoplus_j \text{Map}(Q_j, \mu_p) \\ &\cong \bigoplus_i \text{Map}(W, \mathbb{Z}/p\mathbb{Z}) \oplus \bigoplus_j \mathbb{Z}/p\mathbb{Z}, \end{aligned}$$

where if  $Y$  is a set with Galois action and  $M$  is a Galois module,  $\text{Map}(Y, M)$  denotes the Galois module of maps from  $Y$  into  $M$ . (Note that  $W$  acts trivially on  $\mu_p$ .) Hence

$$H^1(W, \mu_p(\bar{D})) \cong \bigoplus_i H^1(W, \text{Map}(W, \mathbb{Z}/p\mathbb{Z})) \oplus \bigoplus_j H^1(W, \mathbb{Z}/p\mathbb{Z}) \cong \bigoplus_j \mathbb{Z}/p\mathbb{Z}$$

by Shapiro’s lemma and the explicit description of the cohomology of cyclic groups. On the other hand,

$$H^1(W, E[p]) \cong E[p]/(\sigma - 1)E[p] = E[p]/E[p]^W$$

(where  $\sigma$  is a generator of  $W$ ) is one-dimensional, so  $\bar{w}_p$  cannot be injective when  $X$  has no singleton  $W$ -orbits. We thank Hendrik W. Lenstra, Jr. for pointing this out to us.

If  $X$  contains a point  $Q$  fixed by  $W$ , then we see that  $\bar{w}_p$  is injective as follows. A generator of  $H^1(W, E[p])$  is represented by a point  $P \in E[p] \setminus E[p]^W$ , so  $P$  and  $Q$  are independent and their Weil pairing  $e_p(Q, P)$  is non-trivial. Hence the image of  $P$  is non-zero in the component of  $H^1(W, \mu_p(\bar{D}))$  corresponding to  $Q$ .

**Proposition 4.3.** *Let  $X$  be a Galois-invariant subset of  $E[p] \setminus \{0\}$  spanning  $E[p]$ , and let  $G = \text{Gal}(K(E[p])/K)$ . Then  $X$  satisfies condition (i) of Section 3 if  $p \nmid \#G$  or  $p \nmid \#X$ .*

*Proof.* We have seen earlier that it is sufficient to have  $p \nmid \#G$ .

Now suppose that  $p \mid \#G$  and  $p \nmid \#X$ . Then  $X$  must contain a point fixed by  $W$  (in fact, since  $\#(E[p]^W \setminus \{0\}) = p - 1$ , we have  $X \cap E[p]^W \neq \emptyset \iff p \nmid \#X$ ). In the discussion preceding the proposition, we have seen that  $\bar{w}_p$  is injective on  $H^1(W, E[p])$  in this case. By Corollary 4.2, the result follows.  $\square$

As a kind of converse to this result, we can state that if the sufficient conditions are not satisfied,  $\bar{w}_p$  will fail to be injective on  $H^1(L, E[p])$  for any field extension  $L$  of  $K$  such that  $G = \text{Gal}(L(E[p])/L)$  satisfies  $H^1(G, E[p]) \neq 0$  and contains  $W$  as a normal subgroup.

Dokchitser independently proved that if  $G$  acts irreducibly on  $E[p]$ , then  $\bar{w}_p$  is injective (see [13, §6.1]). Note that in this case,  $D$  corresponds to all of  $E[p] \setminus \{0\}$  and is a field.

5. FULL  $p$ -DESCENT. THE GENERIC CASE

For the rest of this paper,  $A$  will be the étale algebra corresponding to the finite étale subscheme  $E[p] \setminus \{0\}$  of  $E$ . For an example where we use a smaller Galois-invariant spanning set of  $E[p]$ , see Section 8.2.

Our goal in this section is to prove that the conditions (i) and (ii) of Section 3 are satisfied when  $\theta$  is the multiplication-by- $p$  map and  $X = E[p] \setminus \{0\}$  (so  $D = A$ ). This is the generic case, since usually the action of the absolute Galois group  $G_K$  is transitive on  $E[p] \setminus \{0\}$ .

Since  $X = E[p] \setminus \{0\}$ ,  $\text{GL}(2, \mathbb{F}_p)$  acts on  $X$  and acts linearly on all modules derived from it, and the Galois action on them factors through a subgroup of  $\text{GL}(2, \mathbb{F}_p)$ . We will call modules of this type *Galois modules with  $\text{GL}(2)$ -action*. Similarly, a  $G_K$ -set  $Y$  with Galois action factoring through an action of  $\text{GL}(2, \mathbb{F}_p)$  on  $Y$  is called a  *$G_K$ -set with  $\text{GL}(2)$ -action*.

Recall the notation  $\bar{A} = A \otimes_K \bar{K}$  and that elements of  $\bar{A}^\times$  can be regarded as functions  $E[p] \setminus \{0\} \rightarrow \bar{K}^\times$ . In order to simplify some statements below, we will extend these functions to all of  $E[p]$  by defining their value at 0 to be 1. So with this convention, we have

$$\bar{A}^\times = \{\varphi : E[p] \rightarrow \bar{K}^\times \mid \varphi(0) = 1\}.$$

**Corollary 5.1.** *The cohomology group  $H^1(K, E[p])$  embeds into  $A^\times / (A^\times)^p$ . (See Section 3 for an explicit description of the embedding map.) In the same way, the local cohomology group  $H^1(K_v, E[p])$  embeds into  $A_v^\times / (A_v^\times)^p$ . In other words, condition (i) holds.*

*When  $S$  is a finite set of places of  $K$ , then  $H^1(K, E[p]; S)$  embeds into  $A(S, p)$ .*

*Proof.* The first statements follow from Proposition 4.3, since  $\#(E[p] \setminus \{0\}) = p^2 - 1$  is not divisible by  $p$ .

The statement  $H^1(K, E[p]; S) \hookrightarrow A(S, p)$  then follows from the definitions of  $H^1(K, E[p]; S)$  and  $A(S, p)$ ; more precisely, we have that

$$H^1(K, E[p]; S) = H^1(K, E[p]) \cap A(S, p),$$

where we identify  $H^1(K, E[p])$  with its image in  $A^\times / (A^\times)^p$ . □

We have now exhibited  $H^1(K, E[p])$  as a subgroup of  $A^\times / (A^\times)^p$ . It remains to determine precisely which subgroup it is. The following lemma provides a first step towards this goal. First we define some notation.

Any finite-dimensional  $\mathbb{F}_p$ -vector space  $M$  with (linear)  $\text{GL}(2, \mathbb{F}_p)$ -action splits as a representation of the center  $Z = \mathbb{F}_p^\times I$  of  $\text{GL}(2, \mathbb{F}_p)$  into a direct sum

$$M = M^{(0)} \oplus M^{(1)} \oplus \dots \oplus M^{(p-2)}$$

of subspaces, where  $M^{(\nu)}$  (for  $\nu \in \mathbb{Z}/(p-1)\mathbb{Z}$ ) is the subspace of  $M$  on which a matrix  $\alpha I$  (with  $\alpha \in \mathbb{F}_p^\times$ ) acts as multiplication by  $\alpha^\nu$ . This direct sum decomposition is compatible with the  $\text{GL}(2)$ -action. In particular, the action on  $E[p]$  is the standard one, so  $E[p] = E[p]^{(1)}$ . The notation  $\mathbb{Z}/p\mathbb{Z}$  will denote a one-dimensional space with trivial action, so  $\mathbb{Z}/p\mathbb{Z} = (\mathbb{Z}/p\mathbb{Z})^{(0)}$ . We let  $E[p]^\vee = \text{Hom}(E[p], \mathbb{Z}/p\mathbb{Z})$ , with the induced  $\text{GL}(2)$ -action. In particular,  $E[p]^\vee = (E[p]^\vee)^{(-1)}$ . There is the Weil pairing  $e_p : E[p] \times E[p] \rightarrow \mu_p$ , a perfect, alternating, Galois-equivariant pairing of  $E[p]$  with itself into the  $p$ th roots of unity,  $\mu_p$ . The fact that  $e_p$  is alternating implies that the action of  $\text{Gal}(K(E[p])/K)$  on  $\mu_p$  is given by the determinant of the

corresponding 2-by-2 matrix. Thus we have  $\mu_p = \mu_p^{(2)}$ . Note also that it suffices to specify the action of  $gI$ , where  $g$  is a primitive root mod  $p$ , in order to define  $M^{(\nu)}$ .

**Lemma 5.2.** *Let  $D$  be an étale algebra over  $K$  corresponding to a  $G_K$ -set  $X$  with  $\text{GL}(2)$ -action. Assume that the stabilizers in  $\text{GL}(2, \mathbb{F}_p)$  of points in  $X$  meet the center  $Z$  of  $\text{GL}(2, \mathbb{F}_p)$  trivially.*

*Then there is an étale subalgebra  $D_+$  of  $D$  corresponding to the orbits in  $X$  of  $Z = \mathbb{F}_p^\times I$ ;  $D$  is an extension of degree  $p - 1$  of  $D_+$ , and the automorphism group of  $D/D_+$  is cyclic of order  $p - 1$ .*

*Let  $\mu_p(\bar{D})^{(1)}$  be the Galois submodule of  $\mu_p(\bar{D})$  consisting of the elements on which the action of a central element  $\alpha I$  is multiplication by  $\alpha$ . Then*

$$H^1(K, \mu_p(\bar{D})^{(1)}) \cong \ker(g - \sigma_g : D^\times / (D^\times)^p \rightarrow D^\times / (D^\times)^p),$$

*where  $g$  is a primitive root mod  $p$ , and  $\sigma_g$  is the automorphism of  $D/D_+$  corresponding to the action of  $gI$  on the set  $X$ .*

*If  $p = 3$ , this simply means*

$$H^1(K, \mu_3(\bar{D})^{(1)}) \cong \ker(N_{D/D_+} : D^\times / (D^\times)^3 \rightarrow D_+^\times / (D_+^\times)^3).$$

*Proof.* The assumption implies that the canonical map  $X \rightarrow X/Z$  has fibers of size  $p - 1$ . Hence the corresponding injection  $D_+ \rightarrow D$  of étale algebras has degree  $p - 1$ . Since  $Z$  acts transitively and faithfully on each fiber, the covering  $X \rightarrow X/Z$  is Galois with cyclic Galois group  $Z$ , and this carries over to the extension  $D/D_+$ .

For a Galois module  $M$  with  $\text{GL}(2)$ -action, recall the notation  $M^{(\nu)}$  for the submodule on which  $gI$  acts as multiplication by  $g^\nu$ . By the elementary representation theory of finite abelian groups, we have a splitting  $M = \bigoplus_{\nu \bmod (p-1)} M^{(\nu)}$  as Galois modules, and  $M^{(1)} = \ker(g \cdot I - 1 \cdot (gI) : M \rightarrow M)$  (the element  $g \cdot I - 1 \cdot (gI)$  is in the group ring  $\mathbb{F}_p[Z]$ ). Since  $H^1$  is an additive functor, this implies the claim.  $\square$

Since  $X = E[p] \setminus \{0\}$  satisfies the assumptions in the preceding lemma, we can apply it to  $A$ . In particular,  $A_+$  denotes the subalgebra corresponding to  $\mathbb{P}(E[p])$ , the set of lines through the origin in the  $\mathbb{F}_p$ -vector space  $E[p]$ . If  $p = 3$ , this is simply the étale algebra corresponding to the 3-division polynomial of  $E$  (since the  $x$ -coordinate takes the same value on  $P$  and on  $-P = 2P$ , but distinct values on distinct pairs of inverse points). In general,  $A_+$  can be defined by a polynomial of degree  $p + 1$ .

**Corollary 5.3.**  *$H^1(K, E[p])$  embeds into  $\ker(g - \sigma_g : A^\times / (A^\times)^p \rightarrow A^\times / (A^\times)^p)$ , where  $g$  is a primitive root mod  $p$  and  $\sigma_g$  is the corresponding automorphism of  $A/A_+$ .*

*Proof.* Since  $E[p] = E[p]^{(1)}$ , the image of  $E[p]$  under  $w_p$  must be contained in  $\mu_p(\bar{A})^{(1)}$ . Hence the claim follows from Corollary 5.1 and Lemma 5.2.  $\square$

Note that in the interpretation of the elements of  $A^\times$  as functions on  $E[p]$ , the automorphism  $\sigma_g$  is given by  $(\sigma_g \varphi)(P) = \varphi(g \cdot P)$ .

Dokchitser independently proved that when  $A$  is a field, the image of  $E(K)$  in  $A^\times / (A^\times)^p$  is contained in the kernel of the norm to  $M^\times / (M^\times)^p$  for any proper subfield  $M$  of  $A$  (see [13, Cor. 6.5.2]).

The following lemma is an analogue of Corollary 4.1, but for a longer exact sequence.

**Lemma 5.4.** *Let*

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M_3 \longrightarrow M_4 \longrightarrow 0$$

*be an exact sequence of  $K$ -Galois modules with  $\mathrm{GL}(2)$ -action. Assume further that  $M_2 = M_2^{(1)}$ . Let  $W$  be a  $p$ -Sylow subgroup of  $\mathrm{GL}(2, \mathbb{F}_p)$  and suppose that*

- (i)  $H^1(W, M_1) \longrightarrow H^1(W, M_2)$  *is injective, and*
- (ii)  $H^0(W, M_3) \longrightarrow H^0(W, M_4)$  *is surjective.*

*Then the following sequence of Galois cohomology groups is exact:*

$$(5.1) \quad 0 \longrightarrow H^1(K, M_1) \longrightarrow H^1(K, M_2) \longrightarrow H^1(K, M_3).$$

*Proof.* By Corollary 4.1, assumption (i) implies that the sequence (5.1) is exact at  $H^1(K, M_1)$ .

Now let  $M$  be the image of  $M_2$  in  $M_3$ ; then we have two short exact sequences

$$0 \longrightarrow M_1 \longrightarrow M_2 \longrightarrow M \longrightarrow 0 \text{ and } 0 \longrightarrow M \longrightarrow M_3 \longrightarrow M_4 \longrightarrow 0.$$

The long exact sequence of group cohomology with respect to  $W$  then shows that assumption (ii) implies that  $H^1(W, M) \longrightarrow H^1(W, M_3)$  is injective. Corollary 4.1 again then tells us that  $H^1(K, M) \longrightarrow H^1(K, M_3)$  is injective, too. Hence the map  $H^1(K, M_2) \longrightarrow H^1(K, M) \longrightarrow H^1(K, M_3)$  is injective on the cokernel of  $H^1(K, M_1) \longrightarrow H^1(K, M_2)$ , and this means that the sequence (5.1) is also exact at  $H^1(K, M_2)$ . □

It is now clear what we have to do. We have to find a suitable Galois module  $M$  that makes the sequence

$$0 \longrightarrow E[p] \xrightarrow{w_p} \mu_p(\bar{A})^{(1)} \longrightarrow M$$

exact (and then we have to check that the sequence stays exact when we apply  $H^1(K, -)$ ). Now  $\mu_p(\bar{A})$  is the same as the module of  $\mu_p$ -valued functions on  $E[p]$  taking the value 1 at 0, whereas the image of  $w_p$  consists exactly of those functions that are homomorphisms. The submodule  $\mu_p(\bar{A})^{(1)}$  contains the functions  $\varphi$  that satisfy  $\varphi(\alpha P) = \varphi(P)^\alpha$ , but in order to be a homomorphism,  $\varphi$  has to satisfy more relations, namely that  $\varphi(P + Q) = \varphi(P)\varphi(Q)$  for all points  $P, Q \in E[p]$  such that  $P, Q, P + Q$  are non-zero. We can write this more symmetrically in the form

$$\varphi(P_1) \varphi(P_2) \varphi(P_3) = 1$$

for all  $P_1, P_2, P_3 \in E[p] \setminus \{0\}$  with  $P_1 + P_2 + P_3 = 0$ .

To carry through this approach would require considering the étale algebra corresponding to the set of all the unordered triples as above. This algebra splits into a direct product of the algebra corresponding to triples lying on a line through the origin in  $E[p]$  and the algebra corresponding to triples spanning  $E[p]$ . The first part is not really needed, since we have already restricted to  $\mu_p(\bar{A})^{(1)}$ . Since to each basis  $v, w$  of  $E[p]$ , we can associate the triple  $\{v, w, -v - w\}$ , and each triple is associated to six bases, the other factor of the algebra would have degree  $\frac{1}{6} \# \mathrm{GL}(2, \mathbb{F}_p) = \frac{1}{6}(p - 1)^2 p(p + 1)$ ; this is too large to be useful in practice, when  $p > 3$ .

But we can do better. In any  $\mathbb{F}_p$ -vector space (with  $p$  odd), the points on an affine line sum to zero. Hence every  $\varphi \in \mu_p(\bar{A})^{(1)}$  that is in the image of  $w_p$  must satisfy the conditions

$$\prod_{P \in \ell} \varphi(P) = 1$$

for all affine lines  $\ell$  in  $E[p] \cong \mathbb{F}_p^2$  missing the origin. We will see below that this is indeed sufficient.

**Lemma 5.5.** *The set of affine lines in  $E[p]$  missing the origin is in natural correspondence with the points in  $E[p]^\vee \setminus \{0\}$ , where  $E[p]^\vee = \text{Hom}(E[p], \mathbb{Z}/p\mathbb{Z})$ . The bijection is given by*

$$\ell \longleftrightarrow \phi \iff \ell = \{P \in E[p] \mid \phi(P) = 1\}.$$

*Proof.* Easy. □

So let us take the étale algebra  $B$  over  $K$  that corresponds to the  $G_K$ -set with  $\text{GL}(2)$ -action consisting of the lines as above, or equivalently, of the points in  $E[p]^\vee \setminus \{0\}$ . Note that  $B$  has the same degree as  $A$ , namely  $p^2 - 1$ . Note also that  $E[p]^\vee = (E[p]^\vee)^{(-1)}$ . We will use the same convention for  $B$  as we use for  $A$ , i.e., we identify

$$\bar{B}^\times = \{\phi : E[p]^\vee \rightarrow \bar{K}^\times \mid \phi(0) = 1\}.$$

**Lemma 5.6.** *The following is an exact sequence of Galois modules with  $\text{GL}(2)$ -action:*

$$0 \longrightarrow E[p] \xrightarrow{w_p} \mu_p(\bar{A})^{(1)} \xrightarrow{u} \mu_p(\bar{B})^{(1)} \xrightarrow{w_p^\vee} E[p]^\vee \otimes \mu_p \longrightarrow 0.$$

The map  $u$  is given by

$$\varphi \longmapsto (\ell \mapsto \prod_{P \in \ell} \varphi(P)),$$

and the map  $w_p^\vee$  is given by

$$\phi \longmapsto \sum_{\ell: \phi(\ell)=\zeta} \ell \otimes \zeta = \sum_{\langle \ell \rangle \in \mathbb{P}(E[p]^\vee)} \ell \otimes \phi(\ell),$$

where  $\zeta \in \mu_p$  is some generator. In the second sum,  $\ell$  runs through a set of representatives of the lines through the origin in  $E[p]^\vee$ .

Note that since  $E[p]^\vee = (E[p]^\vee)^{(-1)}$  and  $\phi \in \mu_p(\bar{B})^{(1)}$ , the element  $\ell \otimes \phi(\ell)$  does not depend on the representative chosen. The image  $w_p^\vee(\phi)$  can also be written as an element of  $\text{Hom}(E[p], \mu_p)$  as follows:

$$P \longmapsto \prod_{\ell: P \in \ell} \phi(\ell).$$

Note also that  $\text{Hom}(E[p], \mu_p) \cong E[p]$  by the Weil pairing.

*Proof.* We know that  $w_p$  is injective and that  $u \circ w_p = 0$ . It is easy to see that  $w_p^\vee \circ u = 0$ , too, as follows. Let  $\varphi \in \mu_p(\bar{A})^{(1)}$ . Then  $w_p^\vee(u(\varphi)) \in \text{Hom}(E[p], \mu_p)$  maps a point  $P$  to  $\prod_{\ell: P \in \ell} \prod_{Q \in \ell} \varphi(Q)$ . In this product, the value  $\varphi(P)$  occurs  $p$  times (once for every line  $\ell$  through  $P$  that misses the origin), and no other multiple of  $P$  shows up. On the other hand, for each  $Q \in E[p] \setminus \langle P \rangle$ , we get  $\varphi(Q)$  exactly once. In total, we have  $w_p^\vee(u(\varphi))(P) = \prod_{Q \in E[p] \setminus \langle P \rangle} \varphi(Q) = 1$ , since  $\prod_{R \in \langle Q \rangle \setminus \{0\}} \varphi(R) = 1$  for all  $Q$ .

Furthermore,  $w_p^\vee$  is surjective. In order to get  $\ell \otimes \zeta$  in the image, we take  $\ell$  as the representative of  $\langle \ell \rangle$  and choose  $\phi$  to map  $\ell$  to  $\zeta$  and to map all elements in  $E[p]^\vee \setminus \langle \ell \rangle$  to 1.

So we only have to show that the kernel of  $u$  is contained in the image of  $w_p$ . Abstractly, this means that any map  $\varphi : \mathbb{F}_p^2 \rightarrow \mathbb{F}_p$  that satisfies the following two

conditions is a homomorphism:

- (i)  $\varphi(\alpha v) = \alpha\varphi(v)$  for all  $v \in \mathbb{F}_p^2$ ,  $\alpha \in \mathbb{F}_p$ .
- (ii)  $\sum_{v \in \ell} \varphi(v) = 0$  for all affine lines  $\ell$  contained in  $\mathbb{F}_p^2 \setminus \{0\}$ . (For the lines containing the origin, this follows already from (i).)

This is shown in Lemma 5.7 below.  $\square$

Our first proof of the following result was fairly involved. During a conference in Oberwolfach in July 1999, we asked for a better one. The proof given below has evolved from ideas that emerged from discussions between Bjorn Poonen, Harold Stark, Don Zagier and the second author.

**Lemma 5.7.** *Let  $p$  be an odd prime, and let  $\varphi : \mathbb{F}_p^2 \rightarrow \mathbb{F}_p$  be a map. Then  $\varphi$  is linear if and only if it satisfies the following two conditions:*

- (i)  $\varphi$  is homogeneous of degree 1;
- (ii)  $\sum_{v \in \ell} \varphi(v) = 0$  for all affine lines  $\ell \subset \mathbb{F}_p^2 \setminus \{0\}$ .

*Proof.* Note first that  $\varphi$  can be written in a unique way as a polynomial in two variables of degree at most  $p - 1$  in each of the variables,

$$\varphi(x, y) = \sum_{j, k=0}^{p-1} a_{jk} x^j y^k.$$

Our first claim is that  $\varphi$  satisfies condition (i) if and only if  $a_{jk} = 0$  for all  $(j, k)$  with  $j + k \not\equiv 1 \pmod{p-1}$ . This is easily seen by comparing coefficients in  $\varphi(\alpha x, \alpha y) = \alpha\varphi(x, y)$  and by noting that  $\alpha^m = \alpha^n$  for all  $\alpha \in \mathbb{F}_p^\times$  if and only if  $n \equiv m \pmod{p-1}$ .

Our second claim is that  $\varphi$  satisfies condition (ii) if and only if  $a_{jk} = 0$  for all  $(j, k)$  with  $j + k \geq p - 1$ . Obviously, the two claims together prove the lemma. Let us prove the second claim. Take any line  $\ell$  as in condition (ii). It can be defined by an equation  $ax + by = 1$  with  $(a, b) \in \mathbb{F}_p^2 \setminus \{0\}$ . Let  $\phi_\varphi(a, b) = \sum_{v \in \ell} \varphi(v)$  and set  $\phi_\varphi(0, 0) = 0$ . Then the map  $\varphi \mapsto \phi_\varphi$  is an endomorphism of the space of maps from  $\mathbb{F}_p^2$  to  $\mathbb{F}_p$ . Let us see what a monomial  $x^j y^k$  maps to. Assume that  $b \neq 0$ , so  $y = b^{-1}(1 - ax)$  on  $\ell$ . Unless we have  $j = k = p - 1$ , we get

$$\begin{aligned} \sum_{(x, y) \in \ell} x^j y^k &= \sum_{x \in \mathbb{F}_p} x^j (b^{-1}(1 - ax))^k \\ &= b^{-k} \sum_x \sum_{h=0}^k \binom{k}{h} (-a)^h x^{j+h} \\ &= b^{-k} \sum_{h=0}^{p-1} \binom{k}{h} (-a)^h \sum_x x^{j+h} \\ &= -b^{-k} \binom{k}{p-1-j} (-a)^{p-1-j} \\ &= (-1)^{j+1} \binom{k}{p-1-j} a^{p-1-j} b^{p-1-k}. \end{aligned}$$

This is because  $\sum_x x^m$  is non-zero if and only if  $m$  is a positive multiple of  $(p - 1)$ , when the sum equals  $-1$ . When  $b = 0$ , we must have  $a \neq 0$ , and we get the same result. (Note that  $(-1)^{j+1} \binom{k}{p-1-j} = (-1)^{k+1} \binom{j}{p-1-k}$  in  $\mathbb{F}_p$ .) When  $j = k = p - 1$ , the result is  $1 - 2a^{p-1} - 2b^{p-1} + (ab)^{p-1}$  by direct calculation. Since the binomial

coefficient vanishes precisely when  $j + k < p - 1$ , the kernel of the map  $\varphi \mapsto \phi_\varphi$  contains the monomials  $x^j y^k$  with  $j + k < p - 1$ . Since the images of the other monomials are linearly independent, the claim follows.  $\square$

Now we know that we have an exact sequence

$$0 \longrightarrow E[p] \longrightarrow \mu_p(\bar{A})^{(1)} \longrightarrow \mu_p(\bar{B})^{(1)}$$

as required. It remains to show that the induced sequence on  $H^1$  is also exact.

**Proposition 5.8.** *The sequence*

$$0 \longrightarrow H^1(K, E[p]) \xrightarrow{\bar{w}_p} H^1(K, \mu_p(\bar{A})^{(1)}) \xrightarrow{\bar{u}} H^1(K, \mu_p(\bar{B})^{(1)})$$

is exact.

*Proof.* By Lemmas 5.6 and 5.4, it suffices to show that

$$H^1(W, E[p]) \xrightarrow{\bar{w}_p} H^1(W, \mu_p(\bar{A})^{(1)})$$

is injective and that

$$H^0(W, \mu_p(\bar{B})^{(1)}) \xrightarrow{\bar{w}_p^\vee} H^0(W, E[p]^\vee \otimes \mu_p)$$

is surjective. The first condition was already dealt with in Corollary 5.1. The second condition is also easily checked.  $\square$

Now we have found the description of  $H^1(K, E[p])$ .

**Corollary 5.9.** *We have*

$$H^1(K, E[p]) \cong \ker(g - \sigma_g : A^\times / (A^\times)^p \longrightarrow A^\times / (A^\times)^p) \cap \ker \bar{u},$$

where  $\bar{u}$  is the map induced by  $u$  on  $H^1$ ,

$$A^\times / (A^\times)^p = H^1(K, \mu_p(\bar{A})) \longrightarrow H^1(K, \mu_p(\bar{B})) = B^\times / (B^\times)^p.$$

With this identification, we have  $H^1(K, E[p]; S) = H^1(K, E[p]) \cap A(S, p)$ .

In order to make this completely explicit, we still need a good description of  $\bar{u} : A^\times / (A^\times)^p \longrightarrow B^\times / (B^\times)^p$ . This can be obtained in the following way. Let  $Y$  denote the  $G_K$ -set consisting of all pairs  $(P, \ell) \in (E[p] \setminus \{0\}) \times (E[p]^\vee \setminus \{0\})$  such that  $P \in \ell$ , and let  $D$  be the étale algebra corresponding to  $Y$ . The two projections give us canonical maps  $\pi_1 : Y \longrightarrow E[p] \setminus \{0\}$  and  $\pi_2 : Y \longrightarrow E[p]^\vee \setminus \{0\}$  and corresponding inclusions  $i_{D/A} : A \longrightarrow D$  and  $B \longrightarrow D$ . The effect of  $u$  is to take a function  $\varphi$  on  $E[p] \setminus \{0\}$ , pull it back to a function  $\varphi \circ \pi_1$  on  $Y$ , and to produce a function on  $E[p]^\vee \setminus \{0\}$  by multiplying over the fibers of  $\pi_2$ . This last step corresponds exactly to taking the norm  $N_{D/B}$ . Hence we have proved the following result.

**Proposition 5.10.** *The map  $\bar{u} : A^\times / (A^\times)^p \longrightarrow B^\times / (B^\times)^p$  is induced by the composition  $N_{D/B} \circ i_{D/A} : A \longrightarrow B$ .*

In practice, we choose a basis of  $D$  over  $B$  and express the multiplication-by- $\alpha$  map of  $D$  as a  $p$ -by- $p$  matrix  $M_\alpha$  over  $B$ , where  $\alpha$  is (the image in  $D$  of) a generator of  $A$ . Any given element of  $A$  can be written as a polynomial  $h(\alpha)$ , and then we have  $\bar{u}(h(\alpha)) = \det(h(M_\alpha))$ . See Section 7 for an example. In any case, we can now claim condition (ii) of Section 3 to hold.

In [12], the authors were not able to determine the image of  $H^1(K, E[p])$  in  $A^\times/(A^\times)^p$  explicitly. Therefore their algorithm was only able to find the following group  $Z$ ,<sup>2</sup> which was shown to contain the Selmer group:

$$Z = \{\xi \in A^\times/(A^\times)^p \mid \text{res}_v(\xi) \in F_v(E(K_v)/pE(K_v)) \text{ for all } v\}.$$

Our characterization of the image of  $H^1(K, E[p])$  in  $A^\times/(A^\times)^p$  now implies the following result, which gives some justification for the algorithm in [12].

**Proposition 5.11.** *We have  $Z = \text{Sel}^{(p)}(K, E)$ .*

*Proof.* By the definitions of  $Z$  and of the Selmer group, we certainly must have that  $Z \cap H^1(K, E[p]) = \text{Sel}^{(p)}(K, E)$  (considering  $H^1(K, E[p])$  as a subgroup of  $A^\times/(A^\times)^p$ ). We therefore have to show that  $Z$  is contained in  $H^1(K, E[p]) = \ker(g - \sigma_g) \cap \ker \bar{u}$ . Now we certainly have that this holds locally, i.e., if  $\xi \in Z$ , then  $(g - \sigma_g)(\xi) \in (A_v^\times)^p$  and  $\bar{u}(\xi) \in (B_v^\times)^p$  for all places  $v$  of  $K$ . But an element that is a  $p$ th power everywhere locally must be a global  $p$ th power, hence  $\xi \in \ker(g - \sigma_g) \cap \ker \bar{u}$ , proving the claim.  $\square$

### 6. $p$ -DESCENT BY ISOGENY

When the elliptic curve has a  $K$ -rational subgroup of order  $p$ , we can perform a descent via  $p$ -isogeny. This can be done by essentially the same method as for a full  $p$ -descent, but is considerably simpler, both in theory and in practical computation. In this section, we describe this type of descent and relate it to the full  $p$ -descent discussed in the preceding sections. Descent by 3-isogeny has been well described and descents by 5- and 7-isogeny have also been described for the case of a rational 5- or 7-torsion point (see the Introduction for references). However, we will see that the generic case is not a straightforward generalization of these.

Let  $E$  be an elliptic curve over  $K$ , with a  $K$ -defined isogeny  $h$  of degree  $p$  onto the elliptic curve  $E'$  over  $K$ . Let  $h'$  be the dual isogeny, defined over  $K$ , from  $E'$  to  $E$ . Let  $C_2$  and  $C_1$  be the étale  $K$ -algebras corresponding to  $E[h] \setminus \{0\}$  and  $E'[h'] \setminus \{0\}$ , respectively. Note that  $C_1$  has degree  $p - 1$  over  $K$  and the dimension of  $\mu_p(C_1)$  is  $p - 1$ . The map  $w_h$  gives an isomorphism  $E[h] \xrightarrow{\cong} \mu_p(C_1)^{(1)}$ . Here,  $M^{(\nu)}$  (for  $\nu \in \mathbb{Z}/(p - 1)\mathbb{Z}$ ) is the subspace of  $M$  on which  $\alpha \in \mathbb{F}_p^\times$  acts as multiplication by  $\alpha^\nu$ . The composition of  $\bar{w}_h$  and the Kummer map induces an isomorphism of  $H^1(K, E[h])$  and  $\ker(g - \sigma_g : C_1^\times/(C_1^\times)^p \rightarrow C_1^\times/(C_1^\times)^p)$ , where  $g$  is a primitive root mod  $p$  and  $\sigma_g$  is the corresponding automorphism of  $C_1/K$ .

If  $C_1$  splits over  $K$ , then we can replace it by one of its factors. This amounts to replacing the set  $E'[h'] \setminus \{0\}$  by a smaller Galois-invariant subset  $X$ . Let  $C_1$  be this factor (all the factors are isomorphic since they are permuted by the automorphism  $\sigma_g$  of  $C_1/K$ ). Similarly, we let  $C_2$  be one of the factors of  $C_2$ . Note that both  $C_1$  and  $C_2$  are cyclic Galois extensions of  $K$ . This fact can sometimes be exploited if one wants to find the dimension of  $C_1(S, p)^{(1)}$  or  $C_2(S, p)^{(1)}$ ; compare example 8.3.

If  $\text{III}(K, E)[h] = 0$  and  $\text{III}(K, E')[h'] = 0$ , then  $\text{Sel}^{(h)}(K, E)$  and  $\text{Sel}^{(h')}(K, E')$  are isomorphic to  $E'(K)/hE(K)$  and  $E(K)/h'E'(K)$ , respectively. We can get  $E(K)/pE(K)$  from  $E'(K)/hE(K)$  and  $E(K)/h'E'(K)$  using the exact sequence

$$(6.1) \quad 0 \longrightarrow \frac{E'(K)[h']}{h(E(K)[p])} \longrightarrow \frac{E'(K)}{hE(K)} \xrightarrow{h'} \frac{E(K)}{pE(K)} \longrightarrow \frac{E(K)}{h'E'(K)} \longrightarrow 0$$

<sup>2</sup>Actually, they also require  $N_{A/K}(\xi)$  to be a  $p$ th power, but this leads to the same group, as Proposition 5.11 shows.

(see [30, p. 301]; a proof can be found in [28, Prop. 2.6]). Computing  $\text{Sel}^{(h)}(K, E)$  and  $\text{Sel}^{(h')}(K, E')$  typically involves working in two extensions of  $K$  of degree  $p - 1$ , whereas computing  $\text{Sel}^{(p)}(K, E)$  directly typically involves working in extensions of degrees  $p - 1$  and  $p^2 - p$ , which in this case would clearly be disadvantageous. However, in the case that  $\text{III}(K, E)[p] = 0$  and  $\text{III}(K, E')[h'] \neq 0$ , it may be necessary to compute  $\text{Sel}^{(p)}(K, E)$  in order to find  $E(K)/pE(K)$ .

We can compute the size of  $\text{Sel}^{(h')}(K, E')$  from the size of  $\text{Sel}^{(h)}(K, E)$  using a result of Cassels' in [6]. When  $K = \mathbb{Q}$ , this result is as follows. Let

$$y'^2 + a_1 x' y' + a_3 y' = x'^3 + a_2 x'^2 + a_4 x' + a_6$$

be a minimal Weierstrass equation for  $E$ , and let  $\Omega_E$  denote the integral over  $E(\mathbb{R})$  of  $|dx'/(2y' + a_1 x' + a_3)|$ . This is the real period if  $E(\mathbb{R})$  has one component and twice the real period otherwise. Recall that  $c_{E,q}$  denotes the Tamagawa number of  $E$  at the prime  $q$  (see Section 3). Then we have

$$(6.2) \quad \frac{\#\text{Sel}^{(h)}(\mathbb{Q}, E)}{\#\text{Sel}^{(h')}(\mathbb{Q}, E')} = \frac{\#E(\mathbb{Q})[h] \cdot \Omega_{E'} \cdot \prod_q c_{E',q}}{\#E'(\mathbb{Q})[h'] \cdot \Omega_E \cdot \prod_q c_{E,q}}.$$

Systems like PARI [23] or Magma [18] can compute all terms on the right-hand side. Using this to compute the size of the second Selmer group will often be easier than a direct computation. For an example, see Section 8.3.

There are maps between the three Selmer groups we are describing.

**Lemma 6.1.** *The following sequence is exact:*

$$\begin{array}{ccccccc} 0 & \longrightarrow & \frac{E'(K)[h']}{h(E(K)[p])} & \xrightarrow{\delta_h} & \text{Sel}^{(h)}(K, E) & \longrightarrow & \text{Sel}^{(p)}(K, E) \\ & & \xrightarrow{h} & \text{Sel}^{(h')}(K, E') & \longrightarrow & \frac{\text{III}(K, E')[h']}{h(\text{III}(K, E)[p])} & \longrightarrow & 0 \end{array}$$

*Proof.* This is a straightforward diagram chase. □

Now let us see what these maps between Selmer groups look like in the étale algebra interpretation. Let  $D$  be the étale  $K$ -algebra corresponding to  $E[p] \setminus E[h]$ . We have  $A \cong D \times C_2$ . Since there is the map  $h : E[p] \setminus E[h] \rightarrow E[h'] \setminus \{0\}$ , we can embed  $C_1$  in  $D$ . Let us describe the desired embedding and denote it  $\iota$ . For  $(x, y) \in E$ , let  $h(x, y) = (h_x(x, y), h_y(x, y))$ . Let  $\Psi(x)$  and  $\psi(x)$  be the polynomials whose roots are the  $x$ -coordinates of the points in  $E[p] \setminus E[h]$  and  $E'[h'] \setminus \{0\}$ , respectively. Let  $g_E(x, y)$  and  $g_{E'}(x, y)$  denote the polynomials of the form  $x^3 + ax + b - y^2$  (where  $a$  and  $b$  are in  $\mathcal{O}_K$ ) defining  $E$  and  $E'$ , respectively. We have  $D \cong K[U, V]/(\Psi(U), g_E(U, V))$  and  $C_1 \cong K[u, v]/(\psi(u), g_{E'}(u, v))$ . The embedding  $\iota$  from  $C_1$  to  $D$  maps a polynomial  $r(u, v)$  to  $r(h_x(U, V), h_y(U, V))$ .

We prefer to define these algebras in terms of a single variable. We have  $D \cong K[T]/(f_D(T))$ , where  $f_D(T) = \prod_{P \in E[p] \setminus E[h]} (T - \phi(P))$  and  $\phi$  is the  $K$ -defined function on  $E$  used to define  $A$ . The isomorphism of  $K[T]/(f_D(T))$  and  $K[U, V]/(\Psi(U), g_E(U, V))$  should be chosen so that  $T \mapsto \phi(U, V)$ . We can similarly use a  $K$ -defined function  $\phi'$  on  $E'$  to note that  $C_1 \cong K[t]/(f_{C_1}(t))$ , where  $f_{C_1}(t) = \prod_{P \in E'[h'] \setminus \{0\}} (t - \phi'(P))$ . Then the isomorphism of  $K[t]/(f_{C_1}(t))$  and  $K[u, v]/(\psi(u), g_{E'}(u, v))$  should be chosen so that  $t \mapsto \phi'(u, v)$ . To describe  $\iota$  from  $C_1$  to  $D$ , defined in terms of single variables, it suffices to find the image of  $t$  by

letting  $r(u, v) = \phi'(u, v)$ . This maps to  $\phi'(h_x(U, V), h_y(U, V))$ . Thus it is necessary to find the images of  $U$  and  $V$  in  $K[T]/(f_D(T))$ .

By abuse of notation, let  $\iota$  also denote the map  $C_1^\times/(C_1^\times)^p \rightarrow A^\times/(A^\times)^p \cong D^\times/(D^\times)^p \times C_2^\times/(C_2^\times)^p$  given by  $c \mapsto (\iota(c), 1)$ . Let  $\pi$  denote the projection map from  $A \cong D \times C_2$  to  $C_2$ . A straightforward diagram chase shows that the following is commutative:

$$\begin{array}{ccccccc} \frac{E'(K)[h']}{h(E(K)[p])} & \xrightarrow{\delta_h} & \text{Sel}^{(h)}(K, E) & \longrightarrow & \text{Sel}^{(p)}(K, E) & \xrightarrow{h} & \text{Sel}^{(h')}(K, E') \\ & \searrow F & \downarrow & & \downarrow & & \downarrow \\ & & C_1^\times/(C_1^\times)^p & \xrightarrow{\iota} & A^\times/(A^\times)^p & \xrightarrow{\pi} & C_2^\times/(C_2^\times)^p \end{array}$$

Note that the lower sequence is not exact unless we restrict to the images of the  $H^1$ 's.

### 7. EXPLICIT 3-DESCENT

In this section, we describe an explicit algorithm that computes the 3-Selmer group of an elliptic curve

$$E : y^2 = x^3 + ax + b$$

over  $\mathbb{Q}$ , where  $a$  and  $b$  are integers. We use the notations of Section 5.

**7.1. The algorithm for  $a \neq 0$ .** Let us first assume that  $a \neq 0$ . Then the polynomial that has as its roots the  $y$ -coordinates of the 3-torsion points on  $E$  is a separable polynomial of degree eight and therefore defines the étale algebra  $A$ . We let  $\Delta = -4a^3 - 27b^2$  be the discriminant of the right-hand side in the equation for  $E$ . Then the defining polynomial of  $A$  is given by

$$f(y) = y^8 + 8by^6 - \frac{2}{3}\Delta y^4 - \frac{1}{27}\Delta^2.$$

The algebra  $A_+$  is defined by the 3-division polynomial

$$\phi(x) = x^4 + 2ax^2 + 4bx - \frac{1}{3}a^2,$$

and  $y$  is related to  $x$  by the equation of  $E$ .

The algebra  $B$  corresponds to all lines in  $E[3] \setminus \{0\}$ ; by the geometric description of the group law on  $E$ , they correspond to all lines in the projective plane containing  $E$  that intersect  $E$  in three distinct 3-torsion points. There are 8 such lines. If (as we still assume)  $a \neq 0$ , then the slopes of these lines are all distinct, and so we can use them to get a defining polynomial for  $B$ . The polynomial we get is as follows:

$$s(m) = m^8 + 2am^4 - 4bm^2 - \frac{1}{3}a^2.$$

From this it is obvious that  $B_+ \cong A_+$  as abstract algebras and that the relation is simply  $m^2 = -x$ . The reason behind this is the fact that when we have a line of slope  $m$  joining three distinct 3-torsion points on  $E$  with coordinates  $(x_j, y_j)$  ( $j = 1, 2, 3$ ), then

$$(7.1) \quad \phi(x) = (x - x_1)(x - x_2)(x - x_3)(x + m^2).$$

The algebra  $D$  can be described as  $A[m] = B[y]$ , and we have to bear in mind that  $-m^2$  is a zero of  $\phi$  different from the  $x$ -coordinate of the generic 3-torsion point  $(x, y)$ . (This means that  $A_+$  and  $B_+$  are not the same as subalgebras of  $D$ .) We take  $y$  to be the generator of  $A$  and want to find the characteristic polynomial of  $y \in D$  over  $B$ . So we take a line of slope  $m$ . It contains the three 3-torsion points

$(x_j, y_j)$  ( $j = 1, 2, 3$ ), and the characteristic polynomial of  $y$  has coefficients given by the elementary symmetric polynomials in the  $y_j$ . From relation (7.1), we can extract expressions for the elementary symmetric polynomials in the  $x_j$ , namely,

$$(7.2) \quad \begin{aligned} x_1 + x_2 + x_3 &= m^2, \\ x_1x_2 + x_2x_3 + x_3x_1 &= m^4 + 2a, \\ x_1x_2x_3 &= m^6 + 2am^2 - 4b = a^2/(3m^2). \end{aligned}$$

Let  $y = mx + t$  be the equation of the line. We can express  $t$  in terms of  $m$  if we first square this equation to get  $x_j^3 + ax_j + b = m^2x_j^2 + 2mtx_j + t^2$  for all  $j$ ; then we take differences and divide by  $x_i - x_j$ ; finally, we sum the three equations obtained in this way. This results in

$$t = -\frac{3m^7 + 7am^3 - 12bm}{2a} = -\frac{m^4 + a}{2m}.$$

Using  $y_j = mx_j + t$  and equations (7.2), we obtain

$$\begin{aligned} y_1 + y_2 + y_3 &= m^3 + 3t, \\ y_1y_2 + y_2y_3 + y_3y_1 &= m^2(m^4 + 2a) + 2m^3t + 3t^2, \\ y_1y_2y_3 &= \frac{1}{3}a^2m + m^2(m^4 + 2a)t + m^3t^2 + t^3. \end{aligned}$$

This gives us the characteristic polynomial of  $y$  over  $B$  and then also the matrix  $M_y$ .

We get the following algorithm for the computation of the 3-Selmer group of an elliptic curve  $E : y^2 = x^3 + ax + b$  over  $\mathbb{Q}$ , where  $a$  and  $b$  are integers with  $a \neq 0$ . We recall the notations  $A_q = A \otimes_{\mathbb{Q}} \mathbb{Q}_q$  and  $F_q : E(\mathbb{Q}_q) \rightarrow A_q^\times / (A_q^\times)^3$ .

1. Let  $S$  be the (finite) set of prime numbers  $q$  such that the Tamagawa number  $c_{E,q}$  is divisible by 3, together with  $q = 3$ .
2. Let  $\phi(x) = x^4 + 2ax^2 + 4bx - \frac{1}{3}a^2$ , and let  $A_+ = \mathbb{Q}[x]/(\phi(x))$  be the corresponding étale algebra.
3. Let  $f(y) = y^8 + 8by^6 + (\frac{8}{3}a^3 + 18b^2)y^4 - \frac{16}{27}a^6 - 8a^3b^2 - 27b^4$ , and let  $A$  be the étale algebra defined by  $f$ . Find its  $S$ -unit and  $S$ -class groups and construct the  $\mathbb{F}_3$ -vector space  $A(S, 3)$ .
4. Let  $T_1 \subset A(S, 3)$  be the subspace of elements  $\tau$  such that  $N_{A/A_+}(\tau)$  is a third power in  $A_+$  (or, equivalently, in  $A$ ).
5. For each  $q \in S$ , compute the local image  $F_q(E(\mathbb{Q}_q)) \subset A_q^\times / (A_q^\times)^3$  as described below.
6. Let  $T_2 \subset T_1$  be the subspace of elements mapping into  $F_q(E(\mathbb{Q}_q))$  under the ‘restriction map’  $A^\times / (A^\times)^3 \rightarrow A_q^\times / (A_q^\times)^3$  for all  $q \in S$ .
7. Let  $s(m) = \phi(-m^2)$ , and let  $B$  be the étale algebra defined by  $s$ . Find its unit and class groups and construct  $B(\emptyset, 3)$  if this is feasible.
8. Let  $T \subset T_2$  be the subspace of elements  $\tau$  such that  $\bar{u}(\tau)$  (as defined above) is a third power in  $B$ . (Note that  $\bar{u}(\tau)$  will be in  $B(\emptyset, 3)$ .)
9. Finally, the Selmer group  $\text{Sel}^{(3)}(\mathbb{Q}, E)$  is isomorphic to  $T$ .

The reason behind the parenthesized remark in step 8 is the following. Since  $\bar{u}$  commutes with the restriction map  $H^1(\mathbb{Q}, -) \rightarrow H^1(I_q, -)$  (where  $I_q \subset G_{\mathbb{Q}}$  is an inertia subgroup at  $q$  of the absolute Galois group of  $\mathbb{Q}$ ), it follows that elements unramified at some prime  $q$  are mapped to elements that are again unramified at  $q$ . Hence the image lies in  $B(S, 3)$ . But at a prime  $q \in S$ , we know that the elements considered map into the local image at  $q$ . Since in the cohomology sequence this

lands in  $H^1(\mathbb{Q}_q, E[3])$ , it must be in the kernel of  $\bar{u}_q : A_q^\times / (A_q^\times)^3 \rightarrow B_q^\times / (B_q^\times)^3$ . This means that the image is even trivial at  $q$ , and unramified in particular.

We remark that it is not strictly necessary to find the class and unit groups of  $B$  in step 7. It is possible to find the kernel of  $\bar{u}$  in step 8 by checking directly whether  $\bar{u}(\tau)$  is a cube in  $B$  or not. The advantage of having the class and unit group information is that we can construct  $B(\emptyset, 3)$  and reduce step 8 to linear algebra over  $\mathbb{F}_3$ .

We now give a more detailed description of how one can perform step 5. Let  $(\sigma, \tau) \in E(A)$  denote a generic 3-torsion point. By [12], the map  $F_q$  is then given by evaluating the function

$$F = 2\tau(y - \tau) - (3\sigma^2 + a)(x - \sigma) = 2\tau y - (3\sigma^2 + a)x + \sigma^3 - a\sigma - 2b \in A(E)$$

on a degree zero divisor  $D$  representing the given point  $P \in E(\mathbb{Q}_q)$  such that the support of  $D$  does not meet  $E[3]$ . In this way, we get a well-defined map

$$F_q : \text{Pic}(E)(\mathbb{Q}_q) \otimes_{\mathbb{Z}} \mathbb{Z}/3\mathbb{Z} \rightarrow A_q^\times / (A_q^\times)^3.$$

Let  $O \in E$  denote the 0-point. We want to find the image of the class of  $-O$ . This is the same as the image of the class of  $2O$ , and since  $2O \sim D$ , where  $D = (0, \sqrt{b}) + (0, -\sqrt{b})$ , it suffices to find the image of  $D$ . Now

$$\begin{aligned} F(D) &= (2\tau\sqrt{b} + \sigma^3 - a\sigma - 2b)(-2\tau\sqrt{b} + \sigma^3 - a\sigma - 2b) \\ &= (\sigma^3 - a\sigma - 2b)^2 - 4b\tau^2 \\ &= -12b\sigma^3 + \frac{28}{3}a^2\sigma^2 + 16ab\sigma - \frac{4}{3}a^3 \in A_+. \end{aligned}$$

Let  $c = F(D) \in A_+$ . If  $P \in E(\mathbb{Q}_q)$  is not a 3-torsion point, then

$$F_q(P) = F(P - O) = c \cdot F(x(P), y(P)) \pmod{(A_q^\times)^3}.$$

On the other hand, if  $P \in E(\mathbb{Q}_q)[3]$ , then  $A_q = \mathbb{Q}_q \times \mathbb{Q}_q \times A'_q$  splits, and the first two factors correspond to  $P$  and to  $-P$ . The image in the first factor is not defined if we just evaluate  $F$  on  $P$ , but we can use the condition that the product of the first two components must be a cube in  $\mathbb{Q}_q$ . Hence the image is

$$F_q(P) = ((c')^2 F'(x(P), y(P))^2, c' F'(x(P), y(P)), c'' F''(x(P), y(P))),$$

where  $F'$  is  $F$  with  $(\sigma, \tau) = (x(P), -y(P))$  and  $F''$  is  $F$  with  $(\sigma, \tau) =$  its image in  $A'_q$  (and analogously with  $c'$  and  $c''$ ).

Since we can determine the dimension of  $F_q(E(\mathbb{Q}_q))$  beforehand—we have

$$\dim_{\mathbb{F}_3} F_q(E(\mathbb{Q}_q)) = \begin{cases} \dim_{\mathbb{F}_3} E(\mathbb{Q}_q)[3] & \text{if } q \neq 3, \\ \dim_{\mathbb{F}_3} E(\mathbb{Q}_q)[3] + 1 & \text{if } q = 3, \end{cases}$$

we now simply find points in  $E(\mathbb{Q}_q)$  (in a random or systematic way, compare [33] for a description in the case of a 2-descent) until their images under  $F_q$  generate a space of the correct size.

**7.2. The algorithm for  $a = 0$ .** In [5], Cassels gives an algorithm for computing the 3-Selmer group over  $\mathbb{Q}(\zeta_3)$  for an elliptic curve of the form  $y^2 = x^3 + b$ , where  $b$  is a square. A description of the algorithm for general  $b$  over  $\mathbb{Q}$  can be obtained from the authors.

8. EXAMPLES

In this section we present three worked examples covering the various cases discussed in this paper. The first example shows a full 3-descent in the generic case where one has to deal with an octic number field. The second example shows a full 5-descent in the special case where the curve has CM by  $\mathbb{Z}[i]$  and so 5 splits in the endomorphism ring. This also leads to an octic number field. The last example shows a descent by 13-isogeny, where we can show that  $\text{III}[13]$  is trivial for two isogenous curves of rank one.

When dealing with concrete examples, it is often possible to exploit bounds like

$$\dim E(\mathbb{Q})[p] + \text{rank } E(\mathbb{Q}) \leq \dim \text{Sel}^{(p)}(\mathbb{Q}, E) \leq \dim A(S, p)^{(1)}.$$

If upper and lower bounds coincide, the dimension of the Selmer group is determined, and some of the computations (like finding local images or determining the kernel of  $\bar{u}$ ) can be avoided. This is demonstrated in some of the examples below.

**8.1. An example of a generic full 3-descent.** Let  $E$  be the elliptic curve over  $\mathbb{Q}$  given by the equation

$$y^2 = x^3 - 22x^2 + 21x + 1.$$

One easily finds the two independent points  $P = (0, 1)$  and  $Q = (1, 1)$ , so  $E$  has Mordell-Weil rank at least 2.

A 2-descent gives 4 as the 2-Selmer rank. The analytic rank is 2, and (assuming  $P, Q$  to be a basis of the Mordell-Weil group) the analytic size of the Shafarevich-Tate group is 4 (to many decimal digits; thanks to John Cremona for his help with the computation). So we conjecture that the rank is 2 and that  $\#\text{III}(\mathbb{Q}, E) = 4$ .

We will show (assuming GRH, as is usually done in practical computations like this) that the rank is indeed 2 and that  $\#\text{III}(\mathbb{Q}, E)[2] = 4$ . One could try to use a 4-descent to prove this, but we will use a 3-descent. The curve has no rational isogenies and is not CM, therefore we have to do a generic full 3-descent.

The conductor is  $1685192 = 2^3 \cdot 313 \cdot 673$ ; the Tamagawa numbers are  $c_2 = 2$ ,  $c_{313} = c_{673} = 1$ . This means that we can take  $S = \{3\}$ .

We find that  $A_+$  has signature  $(2, 1)$ , whereas  $A$  has signature  $(2, 3)$ . (This is always the case for elliptic curves over  $\mathbb{Q}$ .) Furthermore, all the primes above 3 in  $A$  are in  $A/A_+$  either ramified or inert. From this, we conclude for the  $S$ -units  $U_S$  of  $A$  that

$$\dim(U_S/U_S^3)^{(1)} = 2.$$

(This comes from the ‘new units’ in  $A/A_+$ ; the primes above  $S$  do not contribute, since they ‘come from  $A_+$ ’.)

Using KANT/KASH [17] or MAGMA [18], we find that the class group of  $A$  is cyclic of order 24, whereas the class group of  $A_+$  has order 2 (this part of the computation is not strictly proven to be correct, since it assumes GRH). This implies that  $\text{Cl}_S(A)^{(1)}$  is one-dimensional, and so

$$\dim A(S, 3)^{(1)} = 3.$$

We can find explicit generators by using KASH again.

We have  $E(\mathbb{Q}_3)[3] = 0$ , so the image of  $E(\mathbb{Q}_3)$  in  $H^1(\mathbb{Q}_3, E[3])$  is one-dimensional. We find that the restriction map

$$\text{res}_3 : A(S, 3)^{(1)} \longrightarrow A_3^\times / (A_3^\times)^3$$

has one-dimensional kernel. We now have

$$2 \leq \text{rank } E(\mathbb{Q}) \leq \dim \text{Sel}^{(3)}(\mathbb{Q}, E) \leq \dim \ker(\text{res}_3) + \dim \text{image}(\delta_3) = 1 + 1 = 2.$$

So we can conclude that the rank is indeed 2. Together with the result of the 2-descent, this then also shows that  $\#\text{III}(\mathbb{Q}, E)[2] = 4$  (and  $\text{III}(\mathbb{Q}, E)[3] = 0$ ).

**8.2. An example of a full 5-descent in a special case.** Let  $E$  be the elliptic curve given by

$$y^2 = x^3 - 1483x$$

over  $\mathbb{Q}$ . The endomorphism ring is isomorphic to  $\mathbb{Z}[i]$ . The prime 5 splits as  $5 = (2+i)(2-i)$  in the endomorphism ring. We have  $E(\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z}$ ; therefore, the two groups  $\text{Sel}^{(5)}(\mathbb{Q}, E)$  and  $\text{III}(\mathbb{Q}, E)[5]$  are isomorphic. We will show that they have dimension 2 over  $\mathbb{F}_5$ . Note that this is in accordance with the analytic size of  $\text{III}(\mathbb{Q}, E)$  predicted by the Birch and Swinnerton-Dyer conjecture, which is 25.

Since  $E$  has complex multiplication, our result (and much more) also follows from work of Coates and Wiles and of Rubin (see for example [25] and the references given there). We thank Karl Rubin for pointing this out to us. The reason for including this example here is to demonstrate the technique. Our approach is also applicable when the rank is at least two or when there is a Galois-conjugate pair of cyclic subgroups and the curve does not have CM.

Let  $A_1$  be the étale algebra corresponding to  $(E[2+i] \cup E[2-i]) \setminus \{0\}$ ; the algebra  $A_1$  can be defined by

$$T^8 + 32626T^4 + 274911125.$$

Since  $E$  has complex multiplication, the Tamagawa numbers cannot be divisible by 5 so we can take  $S = \{5\}$ . Since the dimension of  $\mu_5(\bar{A}_1)^{(1)}$  is 2, like  $E[5]$ , it follows that the group  $H^1(\mathbb{Q}, E[5]; S)$  is then isomorphic to  $A_1(S, 5)^{(1)}$ .

Assuming GRH, KANT [17] computes the class group of  $A_1$  to be isomorphic to  $\mathbb{Z}/5\mathbb{Z} \oplus \mathbb{Z}/60\mathbb{Z}$ . Since the quartic subfield of  $A_1$  has class number prime to 5, we have

$$\text{Cl}(A_1)[5] \cong \text{Cl}(A_1)[5]^{(1)} \oplus \text{Cl}(A_1)[5]^{(3)},$$

and we find that both summands are one-dimensional. Since  $E(\mathbb{Q}_5)[5] = 0$ , we get from Theorem 8.1 below that  $\dim_{\mathbb{F}_5} A_1(\{5\}, 5)^{(1)} = 2$  and that the dimension of the Selmer group is either 1 or 2. With the help of Claus Fieker, we were able to use KASH to find explicit generators of  $A_1(\{5\}, 5)^{(1)}$ .

We now proceed to find the image of  $F_5$ . The group  $E(\mathbb{Q}_5)/5E(\mathbb{Q}_5)$  is generated by the divisor class  $[(50, y_1) - (1/25, y_2)]$ , where  $y_1 \equiv 10 \pmod{25}$  and  $y_2 \equiv 1/125 \pmod{5}$ .

We have the point

$$P = (-2/37075T^6 - 19/25T^2, 9/370750T^7 + 73/250T^3)$$

in  $(E[2+i] \cup E[2-i]) \setminus \{0\}$ . Following the algorithm in [12], we find a function  $F$ , over  $B$ , with divisor  $5P - 5O$ . Both generators of  $A_1(S, 5)^{(1)}$  map locally to the group generated by  $F(P)$ . Thus the groups  $A_1(S, 5)^{(1)}$ ,  $\text{Sel}^{(5)}(\mathbb{Q}, E)$  and  $\text{III}(\mathbb{Q}, E)[5]$  are all isomorphic, and each has  $\mathbb{F}_5$ -dimension 2.

A more careful analysis (which we will not give here) provides the following result, which is essentially the first part of Theorem 1 in [26] in the split case. (But note that we do not require  $E$  to have good reduction at  $p$ .)

**Theorem 8.1.** *Let  $E$  be an elliptic curve over  $\mathbb{Q}$  with complex multiplication by an order  $\mathcal{O}$  in the imaginary quadratic field  $K$ , and let  $p$  be an odd prime such that  $p$  is split in  $\mathcal{O}$  and does not divide any of the Tamagawa numbers  $c_{E,q}$  for  $q \neq p$  (this last condition is automatic for  $p \geq 5$ ).*

*Let  $A_1 = K(E[\mathfrak{p}]) = \mathbb{Q}(E[\mathfrak{p}] \cup E[\overline{\mathfrak{p}}])$ , where  $\mathfrak{p}$  is a prime in  $\mathcal{O}$  above  $p$ . Then  $A_{1,+} = K$ . Let  $r = \dim_{\mathbb{F}_p} \text{Cl}(A_1)[p]^{(1)}$  and  $t = \dim_{\mathbb{F}_p} E(\mathbb{Q}_p)[p] \in \{0, 1\}$ . Then we have*

$$r + 1 \leq \dim A_1(\{p\}, p)^{(1)} \leq r + t + 1$$

$$\text{and } r - t \leq \dim_{\mathbb{F}_p} \text{Sel}^{(p)}(\mathbb{Q}, E) \leq r + t + 1.$$

**8.3. An example of a 13-isogeny descent.** Let  $E$  and  $E'$  be the following elliptic curves over  $\mathbb{Q}$  (curves 441F1 and 441F2 in Cremona’s list, see [9]):

$$E : y^2 + y = x^3 - 21x + 40,$$

$$E' : y^2 + y = x^3 - 8211x - 286610.$$

From the list, we see that they are related by a 13-isogeny and that they both have Mordell-Weil rank 1. In fact it is easy to spot the point  $P = (1, 4)$  on  $E$  of infinite order. The analytic sizes of  $\text{III}(\mathbb{Q}, E)$  and of  $\text{III}(\mathbb{Q}, E')$  are both 1. We will show by a 13-isogeny descent that

$$\text{III}(\mathbb{Q}, E)[13] = \text{III}(\mathbb{Q}, E')[13] = 0.$$

All the Tamagawa numbers are prime to 13, so we take  $S = \{13\}$  for both Selmer group computations. Let us first consider  $\text{Sel}^{(h')}(\mathbb{Q}, E')$ . The factor of the 13-division polynomial of  $E$  corresponding to the kernel of  $h$  is

$$(x^3 - 21x - 7)(x^3 - 21x^2 + 84x - 91).$$

We see that the algebra  $C_2$  will split into two copies of a sextic field  $\mathcal{C}_2$ . We have (unconditionally)

$$\dim C_2(S, 13)^{(1)} = 1$$

and therefore

$$\dim \text{Sel}^{(h')}(\mathbb{Q}, E') \leq 1.$$

Now since  $\Omega_E = 13\Omega_{E'}$  (as computed by PARI), Cassels’ formula (6.2) tells us that

$$0 \leq \dim \text{Sel}^{(h)}(\mathbb{Q}, E) = \dim \text{Sel}^{(h')}(\mathbb{Q}, E') - 1 \leq 0,$$

so we must have equality throughout.

By Lemma 6.1, we now get the following inequalities (note that neither  $E$  nor  $E'$  have non-trivial rational torsion):

$$1 \leq \dim \text{Sel}^{(13)}(\mathbb{Q}, E) \leq \dim \text{Sel}^{(h)}(\mathbb{Q}, E) + \dim \text{Sel}^{(h')}(\mathbb{Q}, E') = 1,$$

$$1 \leq \dim \text{Sel}^{(13)}(\mathbb{Q}, E') \leq \dim \text{Sel}^{(h')}(\mathbb{Q}, E') + \dim \text{Sel}^{(h)}(\mathbb{Q}, E) = 1.$$

Hence  $\dim \text{Sel}^{(13)}(\mathbb{Q}, E) = \dim \text{Sel}^{(13)}(\mathbb{Q}, E') = 1$ , and since this equals the Mordell-Weil rank, we get

$$\text{III}(\mathbb{Q}, E)[13] = \text{III}(\mathbb{Q}, E')[13] = 0.$$

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