WHEN DOES THE SUBADDITIVITY THEOREM
FOR MULTIPLIER IDEALS HOLD?

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Abstract. Demailly, Ein and Lazarsfeld proved the subadditivity theorem for multiplier ideals on nonsingular varieties, which states the multiplier ideal of the product of ideals is contained in the product of the individual multiplier ideals. We prove that, in the two-dimensional case, the subadditivity theorem holds on log terminal singularities. However, in the higher dimensional case, we have several counterexamples. We consider the subadditivity theorem for monomial ideals on toric rings and construct a counterexample on a three-dimensional toric ring.

Introduction

Multiplier ideals were first introduced in the complex analytic context in the work of Demailly, Nadel, Siu and others, and they proved a Kodaira-type vanishing theorem involving these ideals. Multiplier ideals can be reformulated in a purely algebro-geometric setting in terms of resolution of singularities and discrepancy divisors, and nowadays this notion has become a fundamental tool in birational geometry.

Demailly, Ein and Lazarsfeld proved the subadditivity theorem for multiplier ideals on nonsingular varieties, which states the multiplier ideal of the product of ideals is contained in the product of the individual multiplier ideals. This theorem itself is miraculous for commutative algebraists, and, moreover, it has several interesting applications to commutative algebra and algebraic geometry. For example, the problem concerning the growth of symbolic powers of ideals in regular local rings (see [ELS]), Fujita's approximation theorem which asserts that most of the volume of a big divisor can be accounted for by the volume of an ample Q-divisor on a modification (see [Fu] and [La]), etc. However, their proof of the subadditivity theorem works only on nonsingular varieties over a field of characteristic zero, because their proof needs the Kawamata-Viehweg vanishing theorem and the fact that the diagonal embedding is a complete intersection.

Hence we investigate when the subadditivity theorem holds on singular varieties which have a resolution of singularities. The multiplier ideal associated to the unit ideal defines the locus of non-log-terminal points. Therefore, on non-log-terminal
singularities, the subadditivity theorem fails. Conversely, in the two-dimensional case, using a characterization of integrally closed ideals in terms of anti-nef cycles, we show that the subadditivity theorem holds on log terminal singularities which are not necessarily essentially of finite type over a field of characteristic zero.

**Theorem 2.2.** Let \((A, m)\) be a two-dimensional \(\mathbb{Q}\)-Gorenstein normal local ring. Then \(A\) is log terminal if and only if the subadditivity theorem holds, that is, for any two ideals \(a, b \subseteq A\),

\[
\mathcal{J}(ab) \subseteq \mathcal{J}(a) \mathcal{J}(b).
\]

However, in the higher dimensional case, we have several counterexamples to Theorem 2.2 (See Example 3.1). Hence we investigate the subadditivity theorem for monomial ideals. The multiplier ideal associated to a monomial ideal is characterized by the Newton polygon (see [HY] and [How]) and it is easy to calculate this ideal. We expected that the subadditivity theorem for monomial ideals might hold on all toric rings. However, unfortunately, we found a counterexample on a three-dimensional toric ring (see Example 3.2).

### 1. Multiplier ideals

**Notation.** Throughout this paper, let \((A, m)\) be an excellent normal \(\mathbb{Q}\)-Gorenstein local ring satisfying one of the following conditions:

- \((A, m)\) is two-dimensional.
- \((A, m)\) is essentially of finite type over a field of characteristic zero.

First we recall the definition of multiplier ideals. Refer to [La] for the general theory of multiplier ideals.

**Definition 1.1.** Let \(a\) be an ideal in \(A\). By [Hi], [Li1] and [Li2], there exists a resolution of singularities \(f : X \rightarrow \text{Spec} A\) such that the ideal sheaf \(a\mathcal{O}_X = \mathcal{O}_X(-F)\) is invertible and \(\text{Exc}(f) \cup \text{Supp} F\) is a simple normal crossing divisor, where \(\text{Exc}(f)\) is the exceptional locus of \(f\). Fix a rational number \(c > 0\). Then the multiplier ideal \(\mathcal{J}(a^c) = \mathcal{J}(A, a^c) = H^0(X, \mathcal{O}_X([K_{X/\text{Spec} A} - cF])) \subseteq A\), where \(K_{X/\text{Spec} A}\) is the relative canonical divisor of \(f\). In particular, \(A\) is said to be a log terminal singularity if \(\mathcal{J}(A) = A\).

Similarly, we can also define the multiplier ideal \(\mathcal{J}(a^c b^d)\) associated to two ideals \(a, b\) in \(A\) and two rational numbers \(c, d > 0\): Let \(f : X \rightarrow \text{Spec} A\) be a resolution of singularities such that \(a\mathcal{O}_X = \mathcal{O}_X(-F_a)\) and \(b\mathcal{O}_X = \mathcal{O}_X(-F_b)\) are invertible and \(\text{Exc}(f) \cup \text{Supp} F_a \cup \text{Supp} F_b\) is a simple normal crossing divisor. Then

\[
\mathcal{J}(a^c b^d) = H^0(X, \mathcal{O}_X([K_{X/\text{Spec} A} - c F_a - d F_b])) \subseteq A.
\]

**Remark 1.2.** (1) Multiplier ideals are independent of the choice of a desingularization \(f : X \rightarrow \text{Spec} A\).

(2) Log terminal singularities are rational singularities.

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\(1\) Lipman [Li3] calls this ideal the “adjoint ideal”. However, Lazarsfeld [La] uses the term “adjoint ideal” in a different sense. To avoid confusion, we adopt the term “multiplier ideal” in this paper.
The following basic properties of multiplier ideals immediately follow.

**Proposition 1.3.** Let \( a \) and \( b \) be ideals in \( A \), and \( c > 0 \) be a rational number.

(i) If \( a \subseteq b \), then \( \mathcal{J}(a^c) \subseteq \mathcal{J}(b^c) \).

(ii) \( \mathcal{J}(a^c) \) is integrally closed. Moreover, \( \mathcal{J}(a^c) = \mathcal{J}(\overline{a}) \), where we denote by \( \overline{a} \) the integral closure of \( a \).

(iii) Suppose that \( A \) is a log terminal singularity. Then \( a \subseteq \mathcal{J}(a) \). Furthermore, if \( a \) is an ideal of pure height one, then \( \mathcal{J}(a) = a \).

**Proof.** We will show only (iii). Let \( f : X \to \text{Spec} A \) be a resolution of singularities such that \( a \mathcal{O}_X = \mathcal{O}_X(-Z) \) is invertible and \( \text{Exc}(f) \cup \text{Supp} Z \) is a simple normal crossing divisor. Since \( A \) is log terminal,

\[
\mathcal{J}(a) = H^0(X, \mathcal{O}_X([K_X/\text{Spec} A - Z])) \supseteq H^0(X, \mathcal{O}_X(-Z)) = \overline{a}.
\]

Since \( \text{codim}_A(\text{Supp} (\mathcal{J}(a)/\overline{a})) \geq 2 \), we have \( a = \overline{a} = \mathcal{J}(a) \) when \( a \) is divisorial. \( \square \)

Demailly, Ein and Lazarsfeld proved the following theorem, which is called the subadditivity theorem.

**Theorem 1.4 ([DE])**. Let \( A \) be a regular local ring essentially of finite type over a field of characteristic zero, and let \( a \) and \( b \) be any two ideals in \( A \). Fix any rational numbers \( c, d > 0 \). Then

\[
\mathcal{J}(a^c b^d) \subseteq \mathcal{J}(a^c) \mathcal{J}(b^d).
\]

**Remark 1.5.** Demailly, Ein and Lazarsfeld use the Kawamata-Viehweg vanishing theorem, hence the condition that \( A \) is essentially of finite type over a field of characteristic zero is necessary for their proof.

In this paper, we say that the subadditivity theorem holds if \( \mathcal{J}(ab) \subseteq \mathcal{J}(a) \mathcal{J}(b) \) for any ideals \( a, b \subseteq A \), and the strong subadditivity theorem holds if \( \mathcal{J}(a^c b^d) \subseteq \mathcal{J}(a^c) \mathcal{J}(b^d) \) for any \( a, b \) and any rational numbers \( c, d > 0 \).

2. Two-dimensional case

In this section, we investigate when the subadditivity theorem holds in the two-dimensional case. The following characterization of integrally closed ideals is quite useful.

**Theorem 2.1 ([Li1], [Gi]).** Let \( (A, \mathfrak{m}) \) be a two-dimensional rational singularity and fix a resolution of singularities \( f : X \to \text{Spec} A \) with \( E \) the exceptional locus on \( X \). Let \( E_1, \ldots, E_r \) be all the irreducible components of \( E \). Then there is a one-to-one correspondence between the set of integrally closed ideals \( I \) in \( A \) such that \( I \mathcal{O}_X \) is invertible and the set of effective \( f \)-anti-nef cycles \( Z \) on \( X \) (i.e. \( Z \geq 0 \) and \( Z \cdot E_i \leq 0 \) for all \( 1 \leq i \leq r \)). The correspondence is given by \( I \mathcal{O}_X = \mathcal{O}_X(-Z) \) and \( I = H^0(X, \mathcal{O}_X(-Z)) \).

Using the above theorem, we obtain necessary and sufficient conditions for the subadditivity theorem to hold.

**Theorem 2.2.** Let \( (A, \mathfrak{m}) \) be a two-dimensional \( \mathbb{Q} \)-Gorenstein normal local ring. Then \( A \) is a log terminal singularity if and only if the subadditivity theorem holds, that is, for any two ideals \( a, b \subseteq A \),

\[
\mathcal{J}(ab) \subseteq \mathcal{J}(a) \mathcal{J}(b).
\]
Proof. If the subadditivity theorem holds, then \( \mathcal{J}(A) \subseteq \mathcal{J}(A)^2 \). Thus \( \mathcal{J}(A) = A \), namely \( A \) is log terminal. Hence we will show the converse implication, that is, we will prove that for any two ideals \( a, b \subseteq A \), \( \mathcal{J}(ab) \subseteq \mathcal{J}(a)\mathcal{J}(b) \) when \( A \) is a log terminal singularity. By Proposition 1.3 (ii), we may assume that \( a \) and \( b \) are integrally closed. Let \( f : X \to \text{Spec } A \) be a resolution of singularities such that \( a\mathcal{O}_X = \mathcal{O}_X(-F_a) \) and \( b\mathcal{O}_X = \mathcal{O}_X(-F_b) \) are invertible and \( \text{Exc}(f) \cup \text{Supp } F_a \cup \text{Supp } F_b \) is a simple normal crossing divisor. By Theorem 2.1 \( F_a \) and \( F_b \) are \( f \)-anti-nef cycles on \( X \), which are not necessarily supported on the exceptional locus of \( f \).

By the definition of multiplier ideals, denoting by \( \text{Nef}(X) \) the nef cycles on \( X \), we can assume that the residue field \( A = \mathcal{O}(\text{Spec } A) \) is a rational singularity, the product of integrally closed ideals of \( A \) is \( f \)-anti-nef if and only if \( J = \text{Supp } F_a \) is \( f \)-anti-nef. Namely the minimal \( \mathcal{J}(a)\mathcal{J}(b) \) is \( f \)-anti-nef cycle among all cycles on \( X \).

In order to prove this, we prepare some notation. We can assume that the residue field \( A/m \) is algebraically closed. Then the morphism \( f \) can be factorized as

\[
X := X_n \xrightarrow{f_n} X_{n-1} \xrightarrow{f_{n-1}} \cdots \xrightarrow{f_1} X_0 \xrightarrow{f_0} \text{Spec } A,
\]

where \( f_i : X_i \to X_{i-1} \) is a contraction of a \((-1)\)-curve \( E_i \) on \( X_i \) for every \( i = 1, \ldots, n \) and \( f_0 : X_0 \to \text{Spec } A \) is the minimal resolution of \( \text{Spec } A \). We denote by \( \pi_i : X \to X_i \) the composite of \( f_i+1, \ldots, f_n \) for \( i = 0, 1, \ldots, n-1 \) and by \( \pi_{i,j} : X_i \to X_j \) the composite of \( f_{i+1}, \ldots, f_j \) for \( i > j \). Then the relation \( > \) on \( f \)-exceptional divisors \( E_1, \ldots, E_n \) is defined as follows: \( E_i > E_j \) if and only if the intersection number \( \pi_i^*E_i \cdot \pi_j^{-1}E_j \) is positive, where \( \pi_j^{-1}E_j \) is the strict transform of \( E_j \) on \( X \). Since there are no order relations, we denote by \( > \) the order relation generated by \( > \).

Let \( P \) be the proximity matrix of \( f \), that is, the matrix \( P := (p_{ij})_{1 \leq i,j \leq n} \) given by

\[
p_{ij} = \begin{cases} 1 & \text{ if } i = j, \\ -1 & \text{ if } E_j > E_i, \\ 0 & \text{ otherwise} \end{cases}
\]

(see \[DV\] and \[Li4\]).

Claim 1. Let \( Z \) be a cycle on \( X \) and we write

\[
Z = \pi_0^* \pi_0 Z + \sum_{i=1}^n d_i \pi_i^* E_i.
\]

Then \( Z \) is \( f \)-anti-nef if and only if \( \pi_0 Z \) is an \( f_0 \)-anti-nef cycle on \( X_0 \) and every component of \( \mathcal{P}d \) is a nonnegative integer, where \( d = (d_1, \ldots, d_n) \). In particular, \( f \)-anti-nef, then \( d_i \geq 0 \) for every \( i \).
Then we investigate a process for computation of the anti-nef closure of $Z_d$ nonnegative for all $d$. Let $d_1$ be a subset of $d$ when

$$Z_d \pi^{-1} E_d = \sum_{i=1}^n d_i \pi_i^* E_i = -e_j P_d,$$

where $e_j$ is the $n$-tuple (row) vector such that the $j$-th component is one and the other components are zero. On the other hand, for each $f_0$-exceptional curve $F$, we have $Z_d \pi_0^* F = \pi_0^* Z_d F$. Therefore, $Z_d$ is $f$-anti-nef if and only if $e_j P_d$ is nonnegative for all $j = 1, \ldots, n$ and $\pi_0^* Z_d$ is $f_0$-anti-nef. □

Fix any effective $f$-anti-nef cycle $Z$ on $X$ and write $Z = \pi_0^* \pi_0^* Z + \sum_{i=1}^n d_i \pi_i^* E_i$. Then we investigate a process for computation of the anti-nef closure of $Z - [K]$. Let $A$ be a subset of $\{1, 2, \ldots, n\}$ such that $[K] = \sum_{i \in A} \pi_i^* E_i$. Then define $Z^{(k)} := \pi_0^* \pi_0^* Z + \sum_{i=1}^n d_i^{(k)} \pi_i^* E_i$ inductively as follows: Let

$$d_i^{(0)} = \begin{cases} d_i - 1 & \text{if } i \in A \text{ and } d_i > 0, \\ d_i & \text{otherwise} \end{cases}$$

for all $i = 1, \ldots, n$. If $Z^{(1)}, \ldots, Z^{(k-1)}$ are defined and if $e_j P_d^{(k-1)}$ is negative for some $1 \leq j \leq n$ where $d^{(k-1)} = (d_1^{(k-1)}, \ldots, d_n^{(k-1)})$, then choose one of such $j$ (we denote this by the same letter $j$) and set

$$d_i^{(k)} = \begin{cases} d_i^{(k-1)} + 1 & \text{if } i = j, \\ d_i^{(k-1)} - 1 & \text{if } E_i \succ E_j \text{ and } d_i^{(k-1)} > 0, \\ d_i^{(k-1)} & \text{otherwise}. \end{cases}$$

Claim 2. This process stops after finitely many steps. When the process stops at $Z^{(k_0)}$, the cycle $Z^{(k_0)}$ is the $f$-anti-nef closure of $Z - [K]$.

Proof of Claim 2. This is similar to the computation of the fundamental cycle (see [Ah]). So we give only one remark here. Some readers may think that for the minimality of the anti-nef closure of $Z - [K]$, $d_i^{(0)}$ should be defined as follows:

$$d_i^{(0)} = \begin{cases} d_i - 1 & \text{if } i \in A \text{ and } d_i > 0, \\ d_i - 1 & \text{if } d_i > 0 \text{ and } d_j = 0 \text{ for some } j \in A \text{ such that } E_i \succ E_j, \\ d_i & \text{otherwise}. \end{cases}$$

However, this definition coincides with the one above. In fact, since $Z$ is an $f$-anti-nef cycle, once $d_j = 0$, we have $d_i = 0$ for all $i$ such that $E_i \succ E_j$. Therefore, when $d_i > 0$, there exists no such $E_i \succ E_j$ as $d_j = 0$. □

In this paper, we call such a sequence of cycles as $\{Z^{(0)}, \ldots, Z^{(k_0)}\}$ a computation sequence for $Z - [K]$ (there may be several computation sequences).

Claim 3. $d_i^{(0)} \leq d_i^{(k)} \leq d_i$ for every $i = 1, \ldots, n$ and $k = 0, 1, \ldots, k_0$. Therefore, $e_j P_d^{(k)} < 0$ if and only if $e_j P_d = 0$, $d_j^{(k)} = d_j - 1$ and $d_i^{(k)} = d_i$ for all $i$ such that $E_i \succ E_j$.

Proof of Claim 3. Since $Z$ is $f$-anti-nef, $\text{an}_f(Z - [K]) \leq Z$ and $d_i^{(k)} \leq d_i$. We will show that $d_i^{(k)} = d_i - 1$ by induction on $k$. When $k = 0$, the assertion is trivial. Hence we may assume that $k \geq 1$. By the induction hypothesis, $d_i^{(k-1)} \leq d_i^{(k-1)} \leq d_i$. If $d_i^{(k-1)} = d_i - 1$, then for every $E_j \ll E_i$, $e_j P_d^{(k-1)} \geq 0$. Therefore, $d_i^{(k)} \geq d_i - 1$. □
Now using this process, we will prove the inequality (2.1). Write

\[ F_a = \pi_0^* \pi_0^* F_a + \sum_{i=1}^{n} a_i \pi_i^* E_i, \]

\[ F_b = \pi_0^* \pi_0^* F_b + \sum_{i=1}^{n} b_i \pi_i^* E_i, \]

and denote \( a = (a_1, \ldots, a_n) \) and \( b = (b_1, \ldots, b_n) \).

Let \( F_c := F_a + F_b \) and apply the above process to \( F_c \). Then we get a computation sequence \( \{ F_c^{(0)}, \ldots, F_c^{(k_c)} \} \) (we denote \( F_c^{(k)} := \pi_0^* \pi_0^* (F_a + F_b) + \sum_{i=1}^{n} c_i^{(k)} \pi_i^* E_i \) for \( 0 \leq k \leq k_c \) for \( F_a + F_b - |K| \). We denote \( c_k = (c_1^{(k)}, \ldots, c_n^{(k)}) \) for all \( 0 \leq k \leq k_c \).

We define \( a^{(0)} \) and \( b^{(0)} \) as in (2.2).

By definition and Claim 3, \( e_j P_c^{(0)} < 0 \) if and only if \( e_j P(a + b) = 0, j \in \Lambda, a_j + b_j > 0 \) and \( a_j + b_j = 0 \) for every \( i \in \Lambda \) such that \( E_i \not\sim E_j \). Therefore, the condition \( e_j P_c^{(0)} < 0 \) implies \( e_j P_a^{(0)} < 0 \) and \( e_j P_b^{(0)} < 0 \), unless \( a_j \) or \( b_j \) is zero. If \( c_j^{(0)} = c_j^{(1)} + 1 \), then we define \( F_a^{(1)} := \pi_0^* \pi_0^* F_a + \sum_{i=1}^{n} c_i^{(1)} \pi_i^* E_i \) (resp. \( F_b^{(1)} := \pi_0^* \pi_0^* F_b + \sum_{i=1}^{n} c_i^{(1)} \pi_i^* E_i \)) as follows (cf. (2.3)): If \( a_j = 0 \) (resp. \( b_j = 0 \)), then set \( F_a^{(1)} = F_a^{(0)} \) (resp. \( F_b^{(1)} = F_b^{(0)} \)). If \( a_j > 0 \) (resp. \( b_j > 0 \)), then

\[
\begin{align*}
a_i^{(1)} & = \begin{cases} a_i^{(0)} + 1 & \text{if } i = j, \\
a_i^{(0)} - 1 & \text{if } E_i \not\sim E_j \text{ and } a_i^{(0)} > 0, \\
a_i^{(0)} & \text{otherwise} \end{cases} \\
\text{resp. } b_i^{(1)} & = \begin{cases} b_i^{(0)} + 1 & \text{if } i = j, \\
b_i^{(0)} - 1 & \text{if } E_i \not\sim E_j \text{ and } b_i^{(0)} > 0, \\
b_i^{(0)} & \text{otherwise} \end{cases}
\end{align*}
\]

We can inductively define a cycle \( F_a^{(k)} \) (resp. \( F_b^{(k)} \)) for every \( k = 1, \ldots, k_c \) as above. When \( a_j \) and \( b_j \) are nonzero integers, \( e_j P_c^{(k)} < 0 \) if and only if \( e_j P_a^{(k)} < 0 \) and \( e_j P_b^{(k)} < 0 \) for each \( k = 0, 1, \ldots, k_c \). Hence, \( F_a^{(k_c)} \) (resp. \( F_b^{(k_c)} \)) is not necessarily \( f \)-anti-nef, but \( \{ F_a^{(1)}, \ldots, F_a^{(k_c)} \} \) (resp. \( \{ F_b^{(1)}, \ldots, F_b^{(k_c)} \} \)) can be extended to a computation sequence \( \{ F_a^{(1)}, \ldots, F_a^{(k_a)} \} \) (resp. \( \{ F_b^{(1)}, \ldots, F_b^{(k_b)} \} \)) for \( F_a - |K| \) (resp. \( F_b - |K| \)). Moreover, if \( a_i \) and \( b_i \) are nonzero, then by Claim 3, the triple \((a_i^{(k_a)}, b_i^{(k_b)}, c_i^{(k_c)})\) coincides with one of the following:

\[(a_i, b_i, a_i + b_i) = (a_i - 1, b_i - 1, a_i + b_i - 1).\]

Then we will show that \( a_i^{(k_a)} + b_i^{(k_b)} \leq c_i^{(k_c)}. \)

If \((a_i^{(k_a)}, b_i^{(k_b)}, c_i^{(k_c)}) = (a_i, b_i, a_i + b_i)\), then, by Claim 3, we have \( a_i^{(k_a)} + b_i^{(k_b)} \leq a_i + b_i = c_i^{(k_c)}. \)

If \( b_i = 0 \), then \( a_i^{(k_a)}, b_i^{(k_b)}, c_i^{(k_c)} = (a_i - 1, 0, a_i - 1) \). Then, we have \( b_j = 0 \) for all \( j \) such that \( E_j > E_i \), because \( F_b \) is \( f \)-anti-nef. Hence \( b_i^{(k_b)} = b_i = 0 \) for all \( k_c \leq k \leq k_b \) and \( a_i^{(k)} = c_i^{(k)} = a_i - 1 \) for every \( k_c \leq k \leq k_a \), in particular, \( a_i^{(k_c)} + b_i^{(k_b)} = a_i - 1 = c_i^{(k_c)}. \) The case where \( a_i = 0 \) is similar.

Thus we suppose that \( a_i^{(k_a)}, b_i^{(k_b)}, c_i^{(k_c)} = (a_i - 1, b_i - 1, a_i + b_i - 1) \). Then it suffices to prove that there exist no \( p, q \geq k_c \) as \( a_i^{(p)} = a_i \) and \( b_i^{(q)} = b_i. \)
Assume to the contrary that $a_1^{(p)} = a_1$ and $b_1^{(q)} = b_1$ for some $p, q \geq k_c$. Then among the index $j$’s for which $(a_j^{(k)}, b_j^{(k)}, c_j^{(k)}) = (a_j - 1, b_j - 1, a_j + b_j - 1)$, $a_j^{(p)} = a_j$ and $b_j^{(q)} = b_j$ for some $p, q \geq k_c$, take $j$ such that $E_j$ is maximal with respect to the relation $>$. If $e_j^p a_j^{(k)} < 0$ and $e_j^p b_j^{(k)} < 0$, then $e_j^p c_j^{(k)} < 0$, that is, $F_c^{(k)}$ is not $f$-anti-nef. Therefore if $e_j^p a_j^{(k)} < 0$, then $e_j^p b_j^{(k)} \geq 0$, which implies by Claim 3 that $e_j^p b_j > 0$ or there exists $E_h \triangleright E_j$ such that $a_h = 0$. Notice that, in the latter case, $b_h^{(k)} = b_h - 1$ for every $k \geq k_c$. Thus, in both cases, $e_j^p b_j^{(k)} \geq 0$ for all $k \geq k_c$, namely $b_j^{(k)} = b_j - 1$ for every $k \geq k_c$.

Hence, in order that $a_j^{(p)} = a_j$ and $b_j^{(q)} = b_j$ for some $p, q \geq k_c$, $e_j^p a_j^{(k)} \geq 0$, $e_j^p b_j^{(k)} \geq 0$ and $e_j^p c_j = e_j^p b_j = 0$. If there exists some $E_h \triangleright E_j$ such that $a_h = 0$ or $b_h = 0$, then it does not occur that $a_j^{(p)} = a_j$ and $b_j^{(q)} = b_j$ for some $p, q \geq k_c$.

Therefore, there exists $E_h \triangleright E_j$ such that

$$(a_h^{(k)}, b_h^{(k)}, c_h^{(k)}) = (a_h - 1, b_h - 1, a_h + b_h - 1),$$

and in order that $a_j^{(p)} = a_j$ and $b_j^{(q)} = b_j$ for $p, q \geq k_c$, it is necessary that $a_j^{(s)} = a_j$ and $b_j^{(t)} = b_j$ for some $p > s > k_c$ and $q > t > k_c$. However, this contradicts the maximality of the index $j$. Thus we have $a_j^{(k)} + b_j^{(k)} \leq a_i + b_i - 1 = c_i^{(k)}$. \(\square\)

**Remark 2.3.** (1) If $A$ is a two-dimensional Gorenstein log terminal singularity, then the relative canonical divisor $K$ is an integral divisor. In this case, the anti-nef closure of $Z - K$ for an $f$-anti-nef cycle $Z$ can simply be described as follows:

$$\an_f(Z - K) = Z - K + \sum_{\pi_i, Z; E_i = 0} \pi_i^* E_i.$$  

By this formula, one can see that there are many cases in which the equality in the subadditivity theorem fails (see Example 2.4 (1)). On the other hand, in the non-Gorenstein case, $\an_f(Z - [K])$ is more complicated and an analog of the above formula

$$\an_f(Z - [K]) = Z - [K] + \sum_{\pi_i, Z; E_i = 0} \pi_i^* E_i,$$

where $K_i$ is the relative canonical divisor of $f_i$, does not hold (see Example 2.4 (2)).

(2) Multiplier ideals can also be defined for divisors (refer to [La] for details), and Demailly, Ein and Lazarsfeld [DE] also proved the subadditivity theorem for divisors under the assumption that $A$ is regular. Some readers may expect that the subadditivity theorem for divisors also holds if $A$ is a two-dimensional log terminal singularity, but this is not true. For example, let $A = \mathbb{C}[[X, Y, Z]]/(XY - Z^2)$, $\mathcal{O}_{\Spec A}(-D_1) = (x, z)$ and $\mathcal{O}_{\Spec A}(-D_2) = (y, z)$, where $x, y, z$ are the images of $X, Y, Z$ in $A$, respectively. Then $\mathcal{J}(D_1) = (x, z)$ and $\mathcal{J}(D_2) = (y, z)$, but $\mathcal{J}(D_1 + D_2) \not\subseteq \mathcal{J}(D_1)\mathcal{J}(D_2)$.

**Example 2.4.** (1) ($A_1$-singularity) Let $A = \mathbb{C}[[X, Y, Z]]/(XY - Z^2)$ and $a = (x^2, y, z)$, where $x, y, z$ are the images of $X, Y, Z$ in $A$, respectively. Then

$$\mathcal{J}(a) = (x, y, z), \quad \mathcal{J}(a^2) = (x^3, y^2, z^2, xy, yz, zx).$$
Therefore, \( \mathcal{J}(a^2) \subseteq \mathcal{J}(a)^2 \). Indeed, let \( f_0 : X_0 \to \text{Spec } A \) be the minimal resolution with the irreducible exceptional curve \( F \) and \( f_1 : X \to X_0 \) the blowing-up of \( X_0 \) at a point on \( F \). Let \( f : X \to \text{Spec } A \) be the composite morphism of \( f_0 \) and \( f_1 \).

Then the relative canonical divisor \( K \) of \( f \) is \( E_1 \) and the ideal \( \mathfrak{a} \) corresponds to the \( f \)-anti-nef cycle \( F_a = 2E_1 + F' \), where \( E_1 \) is the unique \( f_1 \)-exceptional curve and \( F' \) is the strict transform of \( F \). Hence we have \( \text{an}_f(F_a - K) = E_1 + F' \) and \( \text{an}_f(2F_a - K) = 3E_1 + 2F' \).

Thus \( 2 \text{an}_f(F_a - K) \leq \text{an}_f(2F_a - K) \).

(2) Let \( A = \mathbb{C}[X^5, XY^3, X^2Y^2, Y^3] \subset \mathbb{C}[X,Y] \). We denote by \( F_1 \) and \( F_2 \) the exceptional curves of the minimal resolution \( f_0 : X_0 \to \text{Spec } A \) such that \( F_1^2 = -2 \) and \( F_2^2 = -3 \). Let \( f_1 : X_1 \to X_0 \) be the blowing-up at the intersection of \( F_1 \) and \( F_2 \) with the \( f_1 \)-exceptional curve \( E_1 \) and let \( f_2 : X \to X_1 \) be the blowing-up at the intersection of \( E_1 \) and the strict transform \( F_2' \) of \( F_2 \) with the \( f_2 \)-exceptional curve \( E_2 \). Let \( f : X \to \text{Spec } A \) be the composite morphism of \( f_0, f_1 \) and \( f_2 \). Then the graph of \( f \)-exceptional curves is the following:

\[
\begin{array}{c}
F_1' & E_1' & E_2 & F_2' \\
\_3 & -2 & -1 & -5
\end{array}
\]

Fix an \( f \)-anti-nef cycle \( Z = F_1' + 3E_1' + 5E_2 + F_2' \) on \( X \). Since the relative canonical divisor \( K \) of \( f \) is \( -\frac{1}{5} F_1' + \frac{2}{5} E_1' + E_2 - \frac{2}{5} F_2' \), we have

\[
\text{an}_f(Z - [K]) = \text{an}_f(F_1' + 2E_1' + 4E_2 + F_2') = F_1' + 3E_1' + 4E_2 + F_2
\]

\[
= Z - [K] + E_1'
\]

\[
\neq Z - [K] + \sum_{i \leq j} \pi_{i^*}E_i
\]

On the other hand, in order that the strong subadditivity theorem holds, non-singularity is necessary.

**Proposition 2.5.** Let \((A, \mathfrak{m})\) be a two-dimensional \(\mathbb{Q}\)-Gorenstein normal local ring such that the residue field \( A/\mathfrak{m} \) is algebraically closed. If the strong subadditivity theorem holds, that is,

\[
\mathcal{J}(a^rb^d) \subseteq \mathcal{J}(a^r)\mathcal{J}(b^d)
\]

for any ideals \( a, b \subseteq A \) and any rational numbers \( c, d > 0 \), then \( A \) is regular. In particular, when \( A \) is essentially of finite type over a field of characteristic zero, \( A \) is regular if and only if the strong subadditivity theorem holds.

**Proof.** Assume that \( A \) is not regular. Let \( f : X \to \text{Spec } A \) be the minimal resolution, and then the exceptional locus \( \text{Exc}(f) \) of \( f \) is not trivial. In order that the strong subadditivity theorem hold, by Theorem \[22\] it is necessary that \( A \) is a log terminal singularity.

1. The case where \( \text{Exc}(f) \) is irreducible.

Let \( E \) be the unique irreducible \( f \)-exceptional curve. Then \( E^2 = -k \) for some integer \( k \geq 2 \). Let \( g : Y \to X \) be the blowing-up at a point on the curve \( E \) and \( h : Y \to \text{Spec } A \) the composite morphism of \( f \) and \( g \). We denote by \( E_1 \) the exceptional divisor of \( g \) and by \( E_2 \) the strict transform of \( E \). Then \( E_1^2 = -1, E_2^2 = -k - 1 \) and the relative canonical divisor \( K \) of \( h \) is equal to \( \frac{1}{k} E_1 - \frac{k-1}{k} E_2 \). Fix an \( h \)-anti-nef cycle \( Z := 2(k+1)E_1 + 2E_2 \).
on $Y$. Here, for every cycle $F$ on $Y$, we denote by $\text{an}_h(F)$ the $h$-anti-nef closure of $F$ as in the proof of Theorem 2.2. Then
\[
\text{an}_h(\lfloor \frac{1}{k+1} Z - K \rfloor) = \text{an}_h(E_1) = E_1 + E_2,
\]
\[
\text{an}_h(\lfloor \frac{2}{k+1} Z - K \rfloor) = \text{an}_h(3E_1 + E_2) = 3E_1 + E_2.
\]

Hence $\mathcal{J}(I^{\frac{1}{k+1}}) \not\subseteq \mathcal{J}(I^{\frac{1}{k+1}})^2$, where $I = H^0(Y, \mathcal{O}_Y(-Z)) \subset A$. This implies that the strong subadditivity theorem does not hold on $A$.

(2) The case where $\text{Exc}(f)$ is reducible.

Let $Z_f$ be the fundamental cycle of $f$. Since $Z_f$ is reducible, we can take an $f$-anti-nef cycle $Z$ such that $Z_f \leq Z < nZ_f$ and $\lfloor \frac{1}{n} Z \rfloor \neq 0$ for some integer $n \geq 2$. We denote by $K_0$ the relative canonical divisor of $f$. Since $A$ is log terminal and $-K_0$ is an effective divisor,
\[
\text{an}_f(\lfloor \frac{1}{n} Z - K_0 \rfloor) \geq \text{an}_f(\lfloor \frac{1}{n} Z \rfloor) = Z_f,
\]
\[
\text{an}_f(Z - K_0) = \text{an}_f(Z) = Z.
\]

Therefore, denoting the ideal $I = H^0(Y, \mathcal{O}_Y(-Z)) \subset A$, we have $\mathcal{J}(I) \not\subseteq \mathcal{J}(I^{\frac{1}{n}})$. Thus the strong subadditivity theorem does not hold on $A$.

\[\square\]

**Remark 2.6.** We believe that the strong subadditivity theorem also holds for any two-dimensional regular local ring which is not necessarily essentially of finite type over a field of characteristic zero, but we cannot prove this by an argument on anti-nef cycles such as the proof of Theorem 2.2.

### 3. Higher dimensional case

In the higher dimensional case, we have several counterexamples to Theorem 2.2.

**Example 3.1.** Let $A = \mathbb{C}[[X, Y, Z, W]]/(X^2 + Y^4 + Z^4 + W^5)$ and $\mathfrak{m} = (x, y, z, w)$, where $x$, $y$, $z$, $w$ are the images of $X$, $Y$, $Z$, $W$ in $A$, respectively. Then $A$ is a Gorenstein log terminal singularity, but not a terminal singularity. Therefore, $\mathcal{J}(\mathfrak{m}) = \mathfrak{m}$. If $\mathcal{J}(\mathfrak{m}^{k+l}) \subseteq \mathcal{J}(\mathfrak{m}^k)\mathcal{J}(\mathfrak{m}^l)$ holds for all integers $k, l > 0$, then $\mathcal{J}(\mathfrak{m}^n) = \mathfrak{m}^n$ for any integer $n > 0$, in particular, $\mathfrak{m}^2$ should be integrally closed. However, $x \in \overline{\mathfrak{m}^2} \setminus \mathfrak{m}^2$, because $x^2 \in \overline{\mathfrak{m}^2}$. This is a contradiction, hence the subadditivity theorem fails on $A$.

Now we investigate the subadditivity theorem for monomial ideals. We expected that the subadditivity theorem for monomial ideals might hold on every toric ring, but unfortunately we found a counterexample on a three-dimensional toric ring.

**Example 3.2.** Let $M = \{ (x, y, z) \in \mathbb{Z}^3 \mid 35x + 28y + 20z \equiv 0 \mod 41 \}$ be a lattice, $\sigma^V = (\mathbb{Z}_{\geq 0})^{\mathbb{Z}^3} \subset M \otimes \mathbb{R}$ a cone and $A = k[M \cap \sigma^V] \subset k[x, y, z]$ the cyclic quotient singularity of type $1/41(35, 28, 20)$. We consider the monomial ideal

$I = (x^{410}, y^{410}, z^{410}, x^8 y z, x^4 y^6 z, x^4 y^8 z) \subset A$.

Then we will prove that $\mathcal{J}(I^2) \not\subseteq \mathcal{J}(I)^2$. 


First we will show that $x^{10}y^3z^7 \in \mathcal{J}(I^2)$. Let $P(I)$ be the Newton polygon of $I$, that is, the convex hull of

$$\{(410, 0, 0), (0, 410, 0), (0, 0, 410), (8, 1, 1), (4, 6, 1), (4, 1, 8)\}$$

in $M \otimes \mathbb{R} = \mathbb{R}^3$. Then note that for every positive integer $n$, by [HY, Theorem 4.8] and [How], $x^ay^bz^c \in \mathcal{J}(I^n)$ if and only if the point $(a + 1, b + 1, c + 1)$ is contained in the interior $\text{Int}(nP(I))$ of $nP(I)$. Since $(10 + 1, 3 + 1, 7 + 1) = \frac{245}{328}(8, 1, 1) + \frac{328}{328}(4, 6, 1) + \frac{328}{328}(4, 1, 8)$ and $\frac{328}{328} > 2$, by the above characterization of multiplier ideals associated to monomial ideals, $x^{10}y^3z^7$ is contained in $\mathcal{J}(I^2)$.

Next we will show that $x^{10}y^3z^7$ is not contained in $\mathcal{J}(I^2)$. If $x^{10}y^3z^7 \in \mathcal{J}(I^2)$, then there exist lattice points $(p, q, r), (s, t, u) \in M$ such that $(p, q, r) + (s, t, u) = (10, 3, 7)$ and $(p + 1, q + 1, r + 1) = (s + 1, t + 1, u + 1) \in \text{Int}(P(I))$. Since the three points $(8, 1, 1), (4, 6, 1), (4, 1, 8)$ lie on the plane $35x + 28y + 20z = 328$, by the condition that $(p + 1, q + 1, r + 1), (s + 1, t + 1, u + 1) \in \text{Int}(P(I))$, the lattice points $(p, q, r)$ and $(s, t, u)$ must satisfy that $35p + 28q + 20r > 328 - (35 + 28 + 20) = 245$ and $35s + 28t + 20u > 245$. Moreover, by the assumption $(p, q, r) + (s, t, u) = (10, 3, 7)$, we know that $(p, q, r)$ and $(s, t, u)$ are obliged to be $(8, 1, 1)$ and $(2, 2, 6)$. However, $(2 + 1, 2 + 1, 6 + 1) = (3, 3, 7) = (8, 1, 1) + \frac{33}{328}(4, 6, 1) + \frac{21}{328}(4, 1, 8)$ is not contained in $\text{Int}(P(I))$, because $2 + 1, 2 + 1, 6 + 1 = \frac{33}{328}(8, 1, 1) + \frac{21}{328}(4, 6, 1) + \frac{21}{328}(4, 1, 8)$. This is a contradiction. Thus $x^{10}y^3z^7 \not\in \mathcal{J}(I^2)$.

Question 3.3. Let $A$ be a Gorenstein toric ring and $a, b$ be monomial ideals of $A$. Then $$\mathcal{J}(ab) \subseteq \mathcal{J}(a)\mathcal{J}(b)$$

References


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