

## ALMOST COMPLEX MANIFOLDS AND CARTAN'S UNIQUENESS THEOREM

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ABSTRACT. We present a generalization of Cartan's uniqueness theorem to the almost complex manifolds.

### 1. INTRODUCTION

The primary goal of this article is to present a generalization *to the almost complex manifolds* of the following celebrated theorem of H. Cartan, which is usually called Cartan's uniqueness theorem (see p. 66, [13]).

**Theorem 1.1** (H. Cartan). *Let  $\Omega$  be a bounded domain in  $\mathbb{C}^n$ . If a holomorphic mapping  $f : \Omega \rightarrow \Omega$  satisfies that  $f(p) = p$  and  $df_p = \text{Id}$  for some  $p \in \Omega$ , then  $f$  is the identity mapping.*

In order to state the main theorem of this article, we shall introduce the necessary terminology and concepts.

A pair  $(M, J)$  is called an *almost complex manifold* if  $M$  is a  $C^\infty$ -smooth real manifold and  $J$  is a field of endomorphisms of the tangent bundle  $TM$  with  $J^2 = -\text{Id}$ , i.e. for each  $p \in M$ ,  $J_p : T_pM \rightarrow T_pM$  is an endomorphism with  $J_p^2 = -\text{Id}$ . We call  $J$  an almost complex structure on  $M$ . Throughout this paper, by a smooth almost complex manifold we mean a manifold with a  $C^\infty$ -smooth almost complex structure.

Given two almost complex manifolds  $(M, J)$  and  $(M', J')$ , a  $C^1$  mapping  $f$  from  $M$  to  $M'$  is said to be  $(J, J')$ -holomorphic (or simply *pseudo-holomorphic*, so there is no danger of confusion) if its differential  $df : TM \rightarrow TM'$  satisfies

$$(1.1) \quad df \circ J = J' \circ df$$

on  $TM$ . If  $(M, J)$  is a Riemann surface,  $f$  is called a pseudo-holomorphic curve. In the case  $(M, J)$  is the unit disc  $\mathbf{D}$  in  $\mathbb{C}$  with the standard complex structure  $J_{st}$ , we call  $f$  a pseudo-holomorphic disc. We denote by  $\mathcal{O}_{(J, J')}(M, M')$  the space of  $(J, J')$ -holomorphic mappings from  $M$  to  $M'$ .

By the existence theorem of pseudo-holomorphic discs (Nijenhuis and Woolf [15]), we can define the Kobayashi pseudo-distance ([8]) and the Kobayashi-Royden pseudo-metric ([16]) for the almost complex manifolds.

Let  $(M, J)$  be an almost complex manifold. Given two points  $p$  and  $q$  in  $M$ , a finite sequence of pseudo-holomorphic discs  $c = \{\phi_j\}_{j=1, \dots, k} \subset \mathcal{O}_{(J_{st}, J)}(\mathbf{D}, M)$

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is called a chain of pseudo-holomorphic discs from  $p$  to  $q$  if there are points  $p = p_0, p_1, \dots, p_k = q$  in  $M$  and  $a_1, a_2, \dots, a_k$  in  $\mathbf{D}$  such that

$$\phi_j(0) = p_{j-1} \quad \text{and} \quad \phi_j(a_j) = p_j$$

for  $j = 1, \dots, k$ . For this chain, we define its length  $\ell(c)$  by

$$\ell(c) = \log \frac{1 + |a_1|}{1 - |a_1|} + \dots + \log \frac{1 + |a_k|}{1 - |a_k|}.$$

Note that  $\log \frac{1+|z|}{1-|z|}$  is the Poincaré distance from 0 to  $z$  in  $\mathbf{D}$ . The *Kobayashi pseudo-distance*  $d_{(M,J)}$  on  $(M, J)$  is then defined by

$$d_{(M,J)}(p, q) = \inf \ell(c),$$

where the infimum is taken over all chains of pseudo-holomorphic discs from  $p$  to  $q$ . The *Kobayashi-Royden pseudo-metric*  $F_{(M,J)}$  is the infinitesimal version of the Kobayashi pseudo-distance defined by

$$F_{(M,J)}(p, v) = \inf \left\{ \frac{1}{|a|} : \phi \in \mathcal{O}_{(J_{st}, J)}(\mathbf{D}, M) \text{ with } \phi(0) = p, d\phi(\mathbf{e}) = av \right\},$$

where  $\mathbf{e}$  is the unit vector in  $T_0\mathbf{D}$  and  $p \in M$  and  $v \in T_pM$ . We exploit from [10] and [11] the following properties that are exactly the same as in the integrable case ([8] and [16]):

- (a)  $F_{(M,J)}$  is upper semi-continuous and

$$d_{(M,J)}(p, q) = \inf \int_0^1 F_{(M,J)}(\gamma(t), \gamma'(t)) dt,$$

where the infimum is taken over all piecewise smooth paths  $\gamma : [0, 1] \rightarrow M$  with  $\gamma(0) = p$  and  $\gamma(1) = q$ .

- (b) Let  $f : (M, J) \rightarrow (M', J')$  be a pseudo-holomorphic mapping. For any points  $p$  and  $q$  in  $M$  and tangent vector  $v \in T_pM$ , we have

$$d_{(M',J')}(f(p), f(q)) \leq d_{(M,J)}(p, q)$$

and

$$F_{(M',J')}(f(p), df_p(v)) \leq F_{(M,J)}(p, v).$$

- (c) The Kobayashi pseudo-distance  $d_{(M,J)}$  is finite and continuous on  $M \times M$ .

- (d) If  $d_{(M,J)}$  is a distance, it induces the standard topology on  $M$ .

We say that  $(M, J)$  is (*Kobayashi*) *hyperbolic* if  $d_{(M,J)}$  is a proper distance. Note that for any neighborhood  $U$  of  $p \in M$ , there is a constant  $r > 0$  such that the Kobayashi ball  $\mathbf{B}_{(M,J)}(p, r) = \{q \in M : d_{(M,J)}(p, q) < r\}$  is contained in  $U$  when  $(M, J)$  is hyperbolic.

Now we state our main theorem.

**Theorem 1.2.** *Let  $(M, J)$  be a  $C^\infty$ -smooth almost complex manifold. Moreover,  $M$  is connected and Kobayashi hyperbolic. Suppose that there is a pseudo-holomorphic mapping  $f : M \rightarrow M$  with  $f(p) = p$  and  $df_p = \text{Id}$ . Then  $f$  is the identity mapping.*

The proof of this theorem appears in Section 5. Sections 2, 3 and 4 contain a regularity theorem and derivative estimates for pseudo-holomorphic mappings which will be used in the proof of Theorem 1.2.

## 2. REGULARITY OF PSEUDO-HOLOMORPHIC MAPPINGS

We now study the smoothness of pseudo-holomorphic mappings. Since the problem is local, we assume that our manifold is a domain in a Euclidean space. Let  $(\Omega, J) \subset \mathbb{R}^{2n}$  and  $(\Omega', J') \subset \mathbb{R}^{2m}$  be domains with almost complex structures  $J \in C^\infty(\overline{\Omega})$  and  $J' \in C^\infty(\overline{\Omega'})$ . (If the underlying space of an almost complex manifold is a domain in a Euclidean space, we will call it the *almost complex domain*.) Assume that  $\Omega$  is bounded and has smooth boundary. Regard  $J$  and  $J'$  as matrix-valued functions on  $\Omega$  and  $\Omega'$ , respectively. In this section  $j, k, l, \dots = 1, 2, \dots, 2n$  and  $\alpha, \beta, \gamma, \dots = 1, 2, \dots, 2m$ .

Let  $f : \Omega \rightarrow \Omega'$  be a pseudo-holomorphic mapping of class  $C^1(\overline{\Omega})$ . Then  $J'_f = J' \circ f$  is  $2m \times 2m$  matrix-valued function defined on  $\Omega$  of class  $C^1(\overline{\Omega})$ . We will fix  $f$  and simply denote  $J'_f$  by  $J'$  for the rest of this section. Let  $J = (a_j^k)$  and  $J' = (b_\beta^\alpha)$ , where  $a_j^k \in C^\infty(\overline{\Omega})$  and  $b_\beta^\alpha \in C^1(\overline{\Omega})$ .

Denote by  $L^2(\Omega, \mathbb{R}^{2m})$  (resp.  $L^2(\Omega, M_{2m \times 2n}(\mathbb{R}))$ ) the space of  $\mathbb{R}^{2m}$ -valued (resp.  $2m \times 2n$  matrix-valued) square integrable functions. For  $g \in L^2(\Omega, \mathbb{R}^{2m})$  and  $\varphi \in L^2(\Omega, M_{2m \times 2n}(\mathbb{R}))$ , we write  $g = (g_\alpha)$  and  $\varphi = (\varphi_j^\alpha)$ . Define the inner products of  $L^2(\Omega, \mathbb{R}^{2m})$  and  $L^2(\Omega, M_{2m \times 2n}(\mathbb{R}))$  by

$$\begin{aligned} (g, h) &= \int_{\Omega} \left( \sum_{\alpha} g_{\alpha} h_{\alpha} \right), \\ (\varphi, \psi) &= \int_{\Omega} \text{trace}(\varphi^t \psi + (J' \varphi)^t J' \psi) \\ &= \int_{\Omega} \left( \sum_{\alpha, j} \varphi_j^{\alpha} \psi_j^{\alpha} + \sum_{\alpha, \beta, \gamma, j} \varphi_j^{\alpha} b_{\beta}^{\alpha} b_{\gamma}^{\beta} \psi_j^{\gamma} \right), \end{aligned}$$

where  $g, h \in L^2(\Omega, \mathbb{R}^{2m})$  and  $\varphi, \psi \in L^2(\Omega, M_{2m \times 2n}(\mathbb{R}))$ .

For fixed  $f$ , we can define the densely defined linear differential operator  $\overline{\partial} : L^2(\Omega, \mathbb{R}^{2m}) \rightarrow L^2(\Omega, M_{2m \times 2n}(\mathbb{R}))$  by

$$\overline{\partial} g = dg + J' dg J,$$

where  $dg$  denotes the Jacobian matrix of  $g$ . Since  $f$  satisfies equation (1.1), it follows that  $\overline{\partial} f = 0$ . The  $(\alpha, j)$ -th entry of  $\overline{\partial} g$  can be expressed by

$$(2.1) \quad (\overline{\partial} g)_j^{\alpha} = \frac{\partial g_{\alpha}}{\partial x_j} + \sum_{\beta, k} b_{\beta}^{\alpha} \frac{\partial g_{\beta}}{\partial x_k} a_j^k.$$

We consider the following linear differential operator  $\vartheta : L^2(\Omega, M_{2m \times 2n}(\mathbb{R})) \rightarrow L^2(\Omega, \mathbb{R}^{2m})$  by

$$(\vartheta \varphi)_{\alpha} = - \sum_j \frac{\partial \varphi_j^{\alpha}}{\partial x_j} + \sum_{\beta, j, k} b_{\beta}^{\alpha} a_j^k \frac{\partial \varphi_j^{\beta}}{\partial x_k}.$$

In fact, the principal part of the formal adjoint operator of  $\overline{\partial}$  is of the form  $(I + J'^t J') \vartheta$ . Replacing  $\varphi$  by  $\overline{\partial} g$ , we have

$$(\vartheta \overline{\partial} g)_{\alpha} = - \sum_j \frac{\partial}{\partial x_j} (\overline{\partial} g)_j^{\alpha} + \sum_{\beta, j, k} b_{\beta}^{\alpha} a_j^k \frac{\partial}{\partial x_k} (\overline{\partial} g)_j^{\beta}.$$

Applying equation (2.1), we have that

$$\begin{aligned}
 (\vartheta \bar{\partial} g)_\alpha &= - \sum_j \frac{\partial^2 g_\alpha}{\partial x_j \partial x_j} \\
 &\quad - \sum_{\beta, j, k} b_\beta^\alpha a_j^k \left( \frac{\partial^2 g_\beta}{\partial x_j \partial x_k} - \frac{\partial^2 g_\beta}{\partial x_k \partial x_j} \right) \\
 &\quad + \sum_{\beta, \gamma, j, k, l} b_\beta^\alpha b_\gamma^\beta a_j^k a_j^l \frac{\partial^2 g_\gamma}{\partial x_k \partial x_l} \\
 &\quad + (Cg)_\alpha,
 \end{aligned}$$

where  $(Cg)_\alpha$  is part of  $(\vartheta \bar{\partial} g)_\alpha$  of lower order given by

$$\begin{aligned}
 (Cg)_\alpha &= - \sum_{\beta, j, k} \frac{\partial g_\beta}{\partial x_k} \frac{\partial}{\partial x_j} (b_\beta^\alpha a_j^k) \\
 &\quad + \sum_{\beta, \gamma, j, k, l} b_\beta^\alpha a_j^k \frac{\partial g_\gamma}{\partial x_l} \frac{\partial}{\partial x_k} (b_\gamma^\beta a_j^l).
 \end{aligned}$$

*Remark 2.1.* Since  $a_j^k, b_\beta^\alpha$  and its first derivatives are continuous on  $\bar{\Omega}$ , it follows that  $(Cg)_\alpha \in L^2(\Omega)$  if  $g \in W^{1,2}(\Omega, \mathbb{R}^{2m}) = \bigoplus^{2m} W^{1,2}(\Omega)$ . In particular,  $(Cf)_\alpha \in L^p(\Omega)$  for any  $p \geq 1$ .

Let  $p > 2n$ . For any positive integer  $k$ , we have  $kp > 2n$ ; hence by Theorem 5.23 in [1],  $W^{k,p}(\Omega)$  is a Banach algebra, i.e.  $uv \in W^{k,p}(\Omega)$  for any  $u$  and  $v$  in  $W^{k,p}(\Omega)$ . Additionally, using the chain rule,  $b_\beta^\alpha \in W^{k,p}(\Omega)$  whenever  $f_\alpha \in W^{k,p}(\Omega)$  for each  $\alpha$ . Moreover,  $(Cf)_\alpha \in W^{k-1,p}(\Omega)$ .

For convenience, we let  $A_l^k = \sum_j a_j^k a_j^l \in C^\infty(\bar{\Omega})$ . In fact,  $A_l^k$  is the  $(k, l)$ -th entry of the matrix  $JJ^t$ . Since  $\sum_\beta b_\beta^\alpha b_\gamma^\beta = -\delta_{\alpha, \gamma}$ , it follows that

$$\begin{aligned}
 (\vartheta \bar{\partial} g)_\alpha &= - \sum_j \frac{\partial}{\partial x_j} (\bar{\partial} g)_j^\alpha + \sum_{\beta, j, k} b_\beta^\alpha a_j^k \frac{\partial}{\partial x_k} (\bar{\partial} g)_j^\beta \\
 &= - \sum_j \frac{\partial^2 g_\alpha}{\partial x_j \partial x_j} - \sum_{k, l} A_l^k \frac{\partial^2 g_\alpha}{\partial x_k \partial x_l} \\
 &\quad + (Cg)_\alpha
 \end{aligned}$$

when each  $g_\alpha$  is of class  $C^\infty$ . For any  $h \in C_0^1(\Omega)$ , we obtain

$$\begin{aligned}
 \int_\Omega (\vartheta \bar{\partial} g)_\alpha h &= \sum_j \int_\Omega (\bar{\partial} g)_j^\alpha \frac{\partial h}{\partial x_j} - \sum_{\beta, j, k} \int_\Omega (\bar{\partial} g)_j^\beta \frac{\partial}{\partial x_k} (b_\beta^\alpha a_j^k h) \\
 (2.2) \qquad &= \sum_j \int_\Omega \frac{\partial g_\alpha}{\partial x_j} \frac{\partial h}{\partial x_j} + \sum_{k, l} \int_\Omega \frac{\partial g_\alpha}{\partial x_l} \frac{\partial}{\partial x_k} (A_l^k h) \\
 &\quad + \int_\Omega (Cg)_\alpha h.
 \end{aligned}$$

Since  $C^\infty(\Omega)$  is dense in  $W^{1,2}(\Omega)$ , we take a sequence  $f^\nu$  in  $C^\infty(\Omega, \mathbb{R}^{2m})$  which converges to  $f$  in  $W^{1,2}(\Omega, \mathbb{R}^{2m})$ . Then  $(\bar{\partial} f^\nu)_j^\alpha, (Cf^\nu)_\alpha$  and all the remaining first derivatives of  $f^\nu$  converge to those of  $f$  in  $L^2(\Omega)$ . Since  $(\bar{\partial} f)_j^\alpha = 0$ , the sequence of

equations (2.2) for  $f^\nu$  converges to

$$(2.3) \quad - \sum_j \int_{\Omega} \frac{\partial f_\alpha}{\partial x_j} \frac{\partial h}{\partial x_j} - \sum_{k,l} \int_{\Omega} \frac{\partial f_\alpha}{\partial x_l} \frac{\partial}{\partial x_k} (A_l^k h) = \int_{\Omega} (Cf)_\alpha h$$

for any  $h \in C_0^1(\Omega)$ .

Take the linear partial differential operator  $H = \sum_j \frac{\partial^2}{\partial x_j \partial x_j} + \sum_{k,l} A_l^k \frac{\partial^2}{\partial x_k \partial x_l}$ . The symbol of  $H$  is  $\sum_j \zeta_j^2 + \sum_{k,l} \zeta_k A_l^k \zeta_l = |\zeta|^2 + |J\zeta|^2$ . So  $H$  is strictly elliptic on  $\Omega$  with smooth coefficients. Equation (2.3) means that

$$Hf_\alpha = (Cf)_\alpha$$

in the weak sense.

By our assumption, it follows that  $(Cf)_\alpha \in L^2(\Omega)$  for each  $\alpha$ . By the elliptic regularity theorem (Theorem 8.8 in [5]), we have  $f_\alpha \in W_{loc}^{2,2}(\Omega)$  for each  $\alpha$ .

Let  $p > 2n$ . Since  $(Cf)_\alpha \in L^p(\Omega)$ , by the uniqueness of solutions of the Dirichlet problem for the elliptic equation (Corollary 9.18 in [5]), it follows that  $f_\alpha \in W_{loc}^{2,p}(\Omega) \cap C^0(\bar{\Omega})$  for each  $\alpha$ . From Remark 2.1, we have  $(Cf)_\alpha \in W_{loc}^{1,p}(\Omega)$ ; hence Theorem 9.19 in [5] implies that  $f_\alpha \in W_{loc}^{3,p}(\Omega)$  for each  $\alpha$ . Simultaneously,  $(Cf)_\alpha \in W_{loc}^{2,p}(\Omega)$ . Repeating our argument, we show that  $f_\alpha \in W_{loc}^{k,p}(\Omega)$  for each positive integer  $k$ . By the Sobolev imbedding theorem, we have

**Proposition 2.2.** *Let  $(M^{2n}, J)$  and  $(M'^{2m}, J')$  be  $C^\infty$ -smooth almost complex manifolds. Any  $C^1$  pseudo-holomorphic mapping from  $M$  to  $M'$  is of class  $C^\infty$ .*

For the regularity of pseudo-holomorphic curves ( $n = 1$ ), see Theorem 3.2.2 in [12] and Theorem 2.2.1 in [17].

### 3. FIRST ORDER ESTIMATE OF PSEUDO-HOLOMORPHIC MAPPINGS

In this section, we derive the Cauchy estimate for pseudo-holomorphic mappings. For the first order estimate, it suffices to treat the case of pseudo-holomorphic discs.

**Proposition 3.1** (Sikorav [17]). *Fix  $r, \eta \in (0, 1)$ . Let  $W$  be a bounded domain in  $\mathbb{C}^n$ . Then there exist positive constants  $\varepsilon$  and  $C$  with the following property:*

*If  $\phi : \mathbf{D} \rightarrow W$  is a differentiable mapping such that*

$$\frac{\partial \phi}{\partial \bar{z}} + q(\phi) \frac{\partial \phi}{\partial z} = 0,$$

*where  $q : W \rightarrow \text{End}_{\mathbb{R}}(\mathbb{C}^n)$  is of class  $C^r$  and  $\|q\|_{C^r} \leq \varepsilon$ , then  $\phi$  is of class  $C^{1+r}$  on  $\mathbf{D}(1 - \eta)$ . Moreover,*

$$\|\phi\|_{C^{1+r}(\mathbf{D}(1-\eta))} \leq C \|\phi\|_{L^\infty}.$$

The  $C^0$  and  $C^k$  norms for a  $C^k$  mapping  $f : U \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$  is usually defined by  $\|f\|_{C^0(U)} = \sum_{j=1}^m \sup_{x \in U} |f_j(x)|$  and  $\|f\|_{C^k(U)} = \sum_{j=1}^m \sum_{|\alpha| \leq k} \|D^\alpha f_j\|_{C^0(U)}$ , where  $|\cdot|$  is a standard Euclidean norm. For  $0 < r < 1$ , the  $C^{k+r}$  (Hölder) norm is defined by

$$\|f\|_{C^{k+r}(U)} = \|f\|_{C^k(U)} + \sum_{j=1}^m \sup_{|\alpha|=k} \sup_{\substack{x \neq y \\ x, y \in U}} \frac{|D^\alpha f_j(x) - D^\alpha f_j(y)|}{|x - y|^r}.$$

Note that for a  $C^1$  mapping  $f : U \subset \mathbb{R}^n \rightarrow \mathbb{R}^m$ ,  $\|f\|_{C^1(U)}$  is equivalent to

$$\|f\|_{C^0(U)} + \sup_{\substack{v \in TU \\ |v| \leq 1}} |df(v)|.$$

Now we present:

**Theorem 3.2.** *Let  $(\Omega, J) \subset \mathbb{R}^{2n}$  and  $(\Omega', J') \subset \mathbb{R}^{2m}$  be almost complex domains. For each point  $p \in \Omega'$ , there is a bounded neighborhood  $U$  of  $p$  in  $\Omega'$  such that  $\{\|f\|_{C^1(K)} : f \in \mathcal{O}_{(J,J')}(\Omega, U)\}$  is uniformly bounded for any compact subset  $K$  of  $\Omega$ .*

*Proof.* First, let us study the pseudo-holomorphic discs in  $\Omega'$ . Applying a linear change of coordinates and a translation of  $\mathbb{R}^{2m}$ , we may assume that  $p = 0$  and  $J'$  coincides with the canonical complex structure at 0, i.e.  $J'_0 = J_{st}$ . Take a neighborhood  $V$  of 0 such that  $J' + J_{st}$  is invertible on  $V$ .

Suppose that  $\phi : \mathbf{D} \rightarrow V \subset \Omega'$  is a pseudo-holomorphic disc. Then the following equation holds:

$$\frac{\partial \phi}{\partial y} = J'_\phi \frac{\partial \phi}{\partial x}.$$

Since  $\frac{\partial \phi}{\partial x} = \frac{\partial \phi}{\partial z} + \frac{\partial \phi}{\partial \bar{z}}$  and  $\frac{\partial \phi}{\partial y} = J_{st} \left( \frac{\partial \phi}{\partial z} - \frac{\partial \phi}{\partial \bar{z}} \right)$ , we have

$$(3.1) \quad (J'_\phi + J_{st}) \frac{\partial \phi}{\partial \bar{z}} = -(J'_\phi - J_{st}) \frac{\partial \phi}{\partial z}.$$

Defining the mapping  $q : V \rightarrow \text{End}_{\mathbb{R}}(\mathbb{C}^m)$  by  $q(a) = (J'_a + J_{st})^{-1}(J'_a - J_{st})$ , we see that (3.1) can be written as

$$\frac{\partial \phi}{\partial \bar{z}} + q(\phi) \frac{\partial \phi}{\partial z} = 0.$$

Since  $V$  is relatively compact in  $\Omega'$ ,  $q$  has the same (Hölder) regularity as that of  $J'$  on  $V$ .

Define the renormalization  $q_\beta$  of  $q$  by  $q_\beta : \beta^{-1}V = \{\beta^{-1}a : a \in V\} \rightarrow \text{End}_{\mathbb{R}}(\mathbb{C}^m)$  and  $q_\beta(a) = q(\beta a)$  for an arbitrary real number  $\beta > 0$ . Take a sufficiently small  $\beta$  such that  $B(0, 1) \subset \beta^{-1}V$ , equivalently  $B(0, \beta) \subset V$ . Then for fixed  $0 < r < 1$ , we have

$$\begin{aligned} \|q_\beta\|_{C^r(B(0,1))} &= \|q_\beta\|_{C^0(B(0,1))} + \sup_{\substack{x \neq y \\ x, y \in B(0,1)}} \frac{|q_\beta(x) - q_\beta(y)|}{|x - y|^r} \\ &= \|q\|_{C^0(B(0,\beta))} + \sup_{\substack{x \neq y \\ x, y \in B(0,1)}} \frac{|q(\beta x) - q(\beta y)|}{|\beta x - \beta y|^r} \beta^r \\ &\leq \|q\|_{C^0(B(0,\beta))} + \sup_{\substack{x \neq y \\ x, y \in V}} \frac{|q(x) - q(y)|}{|x - y|^r} \beta^r. \end{aligned}$$

Since  $q(0) = 0$ , it follows that  $\|q\|_{C^0(B(0,\beta))} \rightarrow 0$  as  $\beta \rightarrow 0$ . For a sufficiently small  $\beta$ , we have that  $\|q_\beta\|_{C^r(B(0,1))} < \varepsilon$ , where  $\varepsilon$  is in Proposition 3.1 for the case  $W = B(0, 1)$ . Now a new mapping  $\phi_\beta = \beta^{-1}\phi$  satisfies

$$\frac{\partial \phi_\beta}{\partial \bar{z}} + q_\beta(\phi_\beta) \frac{\partial \phi_\beta}{\partial z} = 0.$$

Let  $U = B(0, \beta)$ . By Proposition 3.1, we can deduce that

$$\begin{aligned} \|\phi\|_{C^1(\mathbf{D}(1-\eta))} &\leq \beta\|\phi_\beta\|_{C^{1+r}(\mathbf{D}(1-\eta))} \\ &\leq C\beta\|\phi_\beta\|_{L^\infty} \\ &\leq C\|\phi\|_{L^\infty} \end{aligned}$$

for any  $\phi \in \mathcal{O}_{(J_{st}, J')}(\mathbf{D}, U)$ .

By 5.4a in [15], there is a constant  $R > 0$  such that for any vector  $v \in T\Omega$  based on  $K$  with  $|v| \leq R$ , there is a pseudo-holomorphic disc  $\phi : \mathbf{D} \rightarrow \Omega$  such that  $d\phi(\mathbf{e}) = v$ , where  $\mathbf{e}$  is a unit vector in  $T_0\mathbf{D}$ . For any  $f \in \mathcal{O}_{(J, J')}(\Omega, U)$ ,  $f \circ \phi : \mathbf{D} \rightarrow U$  is pseudo-holomorphic; hence it follows that  $|df(v)| = |d(f \circ \phi)(\mathbf{e})| \leq \|d(f \circ \phi)_0\| \leq \|f \circ \phi\|_{C^1(\mathbf{D}(1-\eta))} \leq C\|f \circ \phi\|_{L^\infty} \leq C\|f\|_{C^0}$ . Therefore we have

$$\begin{aligned} \|f\|_{C^1(K)} &\sim \|f\|_{C^0(K)} + \sup_{x \in K} \sup_{\substack{v \in T_x\Omega \\ |v| \leq R}} \frac{1}{R} |df_x(v)| \\ &\leq \|f\|_{C^0(\Omega)} + \frac{C}{R} \|f\|_{C^0(\Omega)} \\ &\leq \left(1 + \frac{C}{R}\right) \|f\|_{C^0(\Omega)}. \end{aligned}$$

This proves the theorem.  $\square$

#### 4. PSEUDO-HOLOMORPHIC JET BUNDLES

In order to prove Theorem 1.2, we need some information about the  $\infty$ -jet of a certain family of pseudo-holomorphic mappings at a given point. These can be obtained by jet bundles.

Gauduchon ([4]) has shown that there is a natural almost complex structure in a pseudo-holomorphic 1-jet bundle such that the lifting of the pseudo-holomorphic mapping is also pseudo-holomorphic. In the first two subsections, we follow Gauduchon's work (see chapter 4 in [2] and [4]).

**4.1. Horizontal distribution.** Let  $\pi : E \rightarrow M$  be a vector bundle with a linear connection  $\nabla$ . For any point  $u \in E_x = \pi^{-1}(x)$ , the vertical tangent space  $T_u^V E$  at  $u$  is a subspace of  $T_u E$  whose elements are tangent to  $E_x$ . Let  $T^V E = \bigcup_{u \in E} T_u^V E$ .

Fix any section  $\xi \in \Gamma(E)$  with  $\xi(x) = u$ . For each vector  $X \in T_x M$ , we define a lifting  $\tilde{X}_u$  in  $T_u E$  by

$$\tilde{X}_u = d\xi_x(X) - \nabla_X \xi,$$

where  $\nabla_X \xi \in E_x$  is considered as an element of  $T_u^V E$ . This definition of  $\tilde{X}_u$  is independent of the choices for  $\xi$ . Therefore, the horizontal subspace  $H_u^\nabla$  at  $u$  can be uniquely defined as a lifting subspace of  $T_x M$  in  $T_u E$  up to the linear connection  $\nabla$ . We call  $H^\nabla = \bigcup_{u \in E} H_u^\nabla$  the *horizontal distribution*. It is easy to check that  $H^\nabla$  is a smooth distribution and that the following properties hold:

- (a)  $T_u E = H_u^\nabla \oplus T_u^V E$  at each  $u \in E$ .
- (b) Let  $v^\nabla : H^\nabla \oplus T^V E \rightarrow T^V E$  be a natural projection (vertical projection). If  $Y \in T_u E$  with  $d\xi_x(X) = Y$  for some section  $\xi$ , then

$$v^\nabla(Y) = \nabla_X \xi.$$

- (c) The vertical projection  $v^\nabla$  is also smooth. This means that for any smooth vector field  $X$  of  $TE$ ,  $v^\nabla(X)$  is a smooth vector field of  $T^V E$ .

- (d) Given  $Y \in T_u E \setminus T_u^V E$ , there is a unique vector  $X \in T_x M$  such that  $d\xi_x(X) = Y$  for some section  $\xi$ . Therefore we have the natural projection from  $T_u E$  to  $T_x M$  and the canonical decomposition  $T_u E \simeq T_{\pi(u)} M \times T_u^V E$ .

**4.2. Pseudo-holomorphic 1-jet bundle and its almost complex structure.** Given two smooth ( $C^\infty$ ) almost complex manifolds  $(M^{2n}, J)$  and  $(M'^{2m}, J')$ , a  $(J, J')$ -holomorphic (or pseudo-holomorphic) 1-jet bundle over  $M \times M'$  is defined by

$$\mathcal{J}_{(J, J')}^1(M, M') = \bigcup_{(x, y) \in M \times M'} \text{Hom}_{(J_x, J'_y)}(T_x M, T_y M'),$$

where  $\text{Hom}_{(J_x, J'_y)}(T_x M, T_y M')$  is the space of  $(J_x, J'_y)$ -linear transformations from  $T_x M$  to  $T_y M'$ . Now  $\pi = \pi_1 \times \pi_2 : \mathcal{J}_{(J, J')}^1(M, M') \rightarrow M \times M'$  is a vector bundle of rank  $2nm$ . We will frequently use the notation  $\mathcal{J}^1(M, M')$  instead of  $\mathcal{J}_{(J, J')}^1(M, M')$  for simplicity.

Choose any linear connection  $\nabla$  on  $\mathcal{J}^1(M, M')$ . We have the canonical identification

$$\begin{aligned} T_u \mathcal{J}^1(M, M') &\simeq T_{\pi_1(u)} M \times T_{\pi_2(u)} M' \times T_u^V \mathcal{J}^1(M, M') \\ &\simeq T_{\pi_1(u)} M \times T_{\pi_2(u)} M' \times \text{Hom}_{(J, J')}(T_{\pi_1(u)} M, T_{\pi_2(u)} M'). \end{aligned}$$

By this, any tangent vector  $Y \in T_u \mathcal{J}^1(M, M')$  can be decomposed into

$$Y = (X_1, X_2, v^\nabla(Y)),$$

where:

- i)  $X_1$  and  $X_2$  are images of the natural projection of  $Y$  into  $T_{\pi_1(u)} M$  and  $T_{\pi_2(u)} M'$ , respectively,
- ii)  $v^\nabla(Y)$  is considered as an element in  $\text{Hom}_{(J, J')}(T_{\pi_1(u)} M, T_{\pi_2(u)} M')$ .

Now we can define an almost complex structure  $J^\nabla$  on  $\mathcal{J}^1(M, M')$  depending on  $\nabla$  by

$$(4.1) \quad J^\nabla(Y) = (J_{\pi_1(u)} X_1, J'_{\pi_2(u)} X_2, J'_{\pi_2(u)} \circ v^\nabla(Y)).$$

It is easy to see  $v^\nabla(J^\nabla(Y)) = J'_{\pi_2(u)} \circ v^\nabla(Y)$ ; hence  $J^\nabla$  is well defined. Furthermore,  $J^\nabla$  is a smooth almost complex structure. Hence  $(\mathcal{J}^1(M, M'), J^\nabla)$  is also a smooth almost complex manifold.

**Theorem 4.1** (Gauduchon [4]). *There is a linear connection  $\nabla$  on  $\mathcal{J}^1(M, M')$  with following property:*

*For any pseudo-holomorphic mapping  $f : M \rightarrow M'$ , its lifting  $L(f) : (M, J) \rightarrow (\mathcal{J}^1(M, M'), J^\nabla)$  is also pseudo-holomorphic.*

**4.3. Higher order jet bundles.** We can define the  $k$ -jet bundles over  $M \times M'$  inductively. But we need only the local information, so we shall consider the Euclidean case.

Let  $(\Omega, J) \subset \mathbb{R}^{2n}$  and  $(\Omega', J') \subset \mathbb{R}^{2m}$  be smooth almost complex domains. Let  $(x_1, \dots, x_{2n})$  and  $(w_1, \dots, w_{2m})$  be the standard coordinate systems for  $\mathbb{R}^{2n}$  and  $\mathbb{R}^{2m}$ , respectively. Assume that

$$(*) \quad \{\partial/\partial x_1, \dots, \partial/\partial x_n\} \text{ is a complex basis of } T_x \Omega \text{ for each } x \in \Omega.$$

Condition  $(*)$  means that  $\{\partial/\partial x_1, \dots, \partial/\partial x_n\}$  and its images under  $J_x$  form a real basis of  $T_x \Omega$ .

By (\*) a  $(J, J')$ -linear mapping from  $T_x\Omega$  to  $T_y\Omega'$  is completely determined by the images of  $\{\partial/\partial x_1, \dots, \partial/\partial x_n\}$ ; hence  $\mathcal{J}^1(\Omega, \Omega')$  is a trivial bundle. From now on, we consider  $\mathcal{J}^1(\Omega, \Omega')$  as an open set  $\Omega \times \Omega' \times \mathbb{R}^{2nm}$  in  $\mathbb{R}^{2(n+m+nm)}$ . More precisely, a coordinate mapping is given by

$$(4.2) \quad \tau = \left( \pi_1(\tau), \pi_2(\tau), \left[ dw_\alpha \left( \tau \left( \frac{\partial}{\partial x_j} \right) \right) \right]_{\substack{\alpha=1, \dots, 2m \\ j=1, \dots, n}} \right).$$

The lifting  $L(f)$  of a pseudo-holomorphic mapping  $f$  is parameterized by

$$(4.3) \quad L(f)(x) = \left( x_1, \dots, x_{2n}, f_1(x), \dots, f_{2m}(x), \left[ \frac{\partial f_\alpha}{\partial x_j}(x) \right]_{\substack{\alpha=1, \dots, 2m \\ j=1, \dots, n}} \right).$$

To compare  $\|f\|_{C^l}$  with  $\|L(f)\|_{C^{l-1}}$ , we have to consider the partial derivatives of  $f$  that are missing in the above expression of  $L(f)(x)$ . Solving the system of linear equations  $J'_f \circ df = df \circ J$  with respect to  $\{\partial f_\alpha / \partial x_j\}_{j>n}$ , we have

$$\frac{\partial f_\alpha}{\partial x_j}(x) = \sum_{\beta=1}^{2m} \sum_{k=1}^n A_{jk}^{\alpha\beta}(x, f(x)) \frac{\partial f_\beta}{\partial x_k}(x) \quad \text{on } \Omega$$

for  $j > n$ , where  $A_{jk}^{\alpha\beta}$  is a globally defined  $C^\infty$ -smooth function on  $\Omega \times \Omega'$ . Therefore, for each compact subset  $K$  in  $\Omega$  and any positive integer  $l$ , there is a suitable constant  $M_l$  depending on  $K$  with

$$\left\| \frac{\partial f_\alpha}{\partial x_j} \right\|_{C^l(K)} \leq M_l \sum_{\beta=1}^{2m} \sum_{k=1}^n \left\| \frac{\partial f_\beta}{\partial x_k} \right\|_{C^l(K)}$$

for  $j > n$ . We may deduce that

$$(4.4) \quad \|f\|_{C^l(K)} \lesssim \|L(f)\|_{C^{l-1}(K)}$$

uniformly for  $f \in \mathcal{O}_{(J, J')}(\Omega, \Omega')$ .

By the expression (4.3), we also obtain

**Proposition 4.2.** *Let  $f, g \in \mathcal{O}_{(J, J')}(\Omega, \Omega')$  and  $\nu \geq 1$ . If  $f$  and  $g$  share the same  $\nu$ -jet at  $p \in \Omega$ , then  $L(f)$  and  $L(g)$  share the same  $(\nu - 1)$ -jet at  $p$ .*

We now go to the 2-jet.

Take any linear connection  $\nabla_1$  on  $\mathcal{J}^1(\Omega, \Omega')$ . From our assumption (\*) about  $\Omega$ , the pseudo-holomorphic 2-jet bundle over  $\Omega \times \Omega'$  defined by

$$\mathcal{J}^2(\Omega, \Omega') = \mathcal{J}_{(J, J^{\nabla_1})}^1(\Omega, \mathcal{J}^1(\Omega, \Omega'))$$

is also trivial. Choosing  $\nabla_\nu$  inductively, we can define a pseudo-holomorphic  $(\nu + 1)$ -jet bundle by

$$\mathcal{J}^{\nu+1}(\Omega, \Omega') = \mathcal{J}_{(J, J^{\nabla_\nu})}^1(\Omega, \mathcal{J}^\nu(\Omega, \Omega')).$$

For any choice of  $\nabla_\nu$  at each step,  $\mathcal{J}^\nu(\Omega, \Omega')$  is always trivial.

From now on, we fix a suitable linear connection  $\nabla_\nu$  as in Theorem 4.1 at each step. Then for a pseudo-holomorphic mapping  $f : \Omega \rightarrow \Omega'$ , its lifting  $L^\nu(f) = L(L^{\nu-1}(f)) : \Omega \rightarrow \mathcal{J}^\nu(\Omega, \Omega')$  is always  $(J, J^{\nabla_\nu})$ -holomorphic.

Given  $f \in \mathcal{O}_{(J, J')}(\Omega, \Omega')$  and  $p \in \Omega$ , a family of mappings defined by

$$\mathcal{F}_p^\nu(f; \Omega, \Omega') = \{g \in \mathcal{O}_{(J, J')}(\Omega, \Omega') : g \text{ has the same } \nu\text{-jet with } f \text{ at } p\}$$

has the following property.

**Theorem 4.3.** *Let  $(\Omega, J) \subset \mathbb{R}^{2n}$  and  $(\Omega', J') \subset \mathbb{R}^{2m}$  be hyperbolic almost complex domains. Assume that  $\Omega$  satisfies condition  $(*)$ . For any  $f \in \mathcal{O}_{(J, J')}(\Omega, \Omega')$ , there is a neighborhood  $V_\nu$  of  $p$  such that  $\{L^\nu(g) : g \in \mathcal{F}_p^{\nu-1}(f; \Omega, \Omega')\}$  is uniformly bounded on  $V_\nu$ . Moreover, we can find  $V_\nu$  such that  $V_{\nu+1} \subset\subset V_\nu$  for each  $\nu = 1, 2, \dots$*

*Proof.* Choose  $r > 0$  such that the Kobayashi ball  $U = \mathbf{B}_{(\Omega', J')}(f(p), r)$  is a bounded neighborhood of  $f(p)$  as in Theorem 3.2. Denote  $V = \mathbf{B}_{(\Omega, J)}(p, r)$ . Since  $\mathcal{F}_p^0(f; \Omega, \Omega') = \{g \in \mathcal{O}_{(J, J')}(\Omega, \Omega') : g(p) = f(p)\}$ , we have  $g(V) \subset U$  for any  $g \in \mathcal{F}_p^0(f; \Omega, \Omega')$ . Take any relatively compact neighborhood  $V_1$  of  $p$  in  $V$ . By Theorem 3.2,  $\{\|g\|_{C^1(V_1)} : g \in \mathcal{F}_p^0(f; \Omega, \Omega')\}$  is uniformly bounded so that  $\{L(g) : g \in \mathcal{F}_p^0(f; \Omega, \Omega')\}$  is uniformly bounded on  $V_1$ . This proves the case  $\nu = 1$ .

Since  $(V, J)$  and  $(U, J')$  are also Kobayashi hyperbolic, Theorem 3 in [11] implies that every bounded domain in  $\mathcal{J}_{(J, J')}^1(V, U)$  is hyperbolic with respect to  $J^{\nabla_1}$ . Therefore, we may assume that

$$\bigcup_{g \in \mathcal{F}_p^0(f; \Omega, \Omega')} L(g)(V_1) \subset \Omega_1,$$

where  $\Omega_1$  is a hyperbolic neighborhood of  $L(f)(p)$  in  $\mathcal{J}_{(J, J')}^1(V, U)$ .

Suppose that our theorem holds for the case  $\nu \leq \lambda$ . Since the pair  $(V_1, J)$  and  $(\Omega_1, J^{\nabla_1})$  satisfy the assumption of the theorem, there are neighborhoods  $V'_1, \dots, V'_\lambda$  of  $p$  in  $V_1$  such that  $\{L^\nu(h) : h \in \mathcal{F}_p^{\nu-1}(L(f); V_1, \Omega_1)\}$  is uniformly bounded on  $V'_\nu$  for  $\nu = 1, \dots, \lambda$ , and such that  $V'_\lambda \subset\subset V'_{\lambda-1} \subset\subset \dots \subset\subset V'_1$ . By Proposition 4.2, we have

$$L(\mathcal{F}_p^\nu(f; \Omega, \Omega')) \subset \mathcal{F}_p^{\nu-1}(L(f); V_1, \Omega_1)$$

for any  $\nu$ . Therefore  $L^{\nu+1}(g) = L^\nu(L(g))$  is uniformly bounded on  $V_{\nu+1} = V'_\nu$  for  $g \in \mathcal{F}_p^\nu(f; \Omega, \Omega')$  and for  $\nu = 1, \dots, \lambda$ . This proves the theorem by the induction hypothesis.  $\square$

For this sequence  $\{V_\nu\}$  of nested neighborhoods of  $p$ , we have

**Corollary 4.4.**  *$\{\|g\|_{C^\nu(V_\nu)} : g \in \mathcal{F}_p^{\nu-1}(f; \Omega, \Omega')\}$  is uniformly bounded.*

*Proof.* From (4.4), we have

$$\|g\|_{C^\nu(V_\nu)} \lesssim \|L(g)\|_{C^{\nu-1}(V_\nu)} \lesssim \dots \lesssim \|L^\nu(g)\|_{C^0(V_\nu)}$$

uniformly for  $g \in \mathcal{O}_{(J, J')}(\Omega, \Omega')$ . When  $g \in \mathcal{F}_p^{\nu-1}(f; \Omega, \Omega')$ , the last term of this inequality is bounded by Theorem 4.3.  $\square$

### 5. PROOF OF THEOREM 1.2

Let  $(M, J)$  be a connected hyperbolic almost complex manifold of class  $C^\infty$ . Suppose that there is a pseudo-holomorphic self-mapping  $f : M \rightarrow M$  with  $f(p) = p$  and  $df_p = \text{Id}$  for some  $p \in M$ . From Proposition 2.2,  $f$  is of class  $C^\infty$  and we can compare all partial derivatives of  $f$  with those of the identity mapping. To prove that  $f$  is the identity, we need the unique continuation property for pseudo-holomorphic mappings.

**Proposition 5.1.** *Let  $(M, J)$  and  $(M', J')$  be smooth almost complex manifolds. Moreover  $M$  is connected. Suppose that two pseudo-holomorphic mappings  $f, g : M \rightarrow M'$  share the same  $\infty$ -jet at some point in  $M$ . Then  $f \equiv g$  on  $M$ .*

*Proof.* It is sufficient to prove that  $A = \{p \in M : f \text{ and } g \text{ share the same } \infty\text{-jet at } p\}$  is open. Then our assertion follows, since  $A$  is open, closed and nonempty set.

Suppose that  $p \in A$ . There is a neighborhood  $U_p$  of  $p$  such that any point  $q$  in  $U_p$  can be joined to  $p$  by a single pseudo-holomorphic disc ([6] and [10]). Take any  $q$  in  $U_p$  and suppose that there is a pseudo-holomorphic disc  $\phi : \mathbf{D} \rightarrow M$  with  $\phi(0) = p$  and  $\phi(1/2) = q$ . Since  $p \in A$ , the two pseudo-holomorphic discs  $f \circ \phi, g \circ \phi : \mathbf{D} \rightarrow M'$  share the same  $\infty$ -jet at 0. By the unique continuation property of pseudo-holomorphic curves (see [3] and [12]), it holds that  $f \circ \phi \equiv g \circ \phi$ . Furthermore  $f(q) = g(q)$ . Since  $q$  is an arbitrary point in  $U_p$ , we have  $f|_{U_p} \equiv g|_{U_p}$ . Hence  $p \in U_p \subset A$ , and  $A$  is open. This proves the proposition.  $\square$

By Proposition 5.1, it is sufficient to prove that  $D^\alpha f_j(p) = 0$  for any  $j$  and any multi-indices  $|\alpha| \geq 2$ . Then  $f$  has the same  $\infty$ -jet with the identity mapping. Therefore  $f$  is the identity mapping.

Choose a local coordinate system  $\varphi : (V, 0) \rightarrow (M, p)$  about  $p$  with  $\varphi(V) \subset\subset M$ . Since the Kobayashi distance function  $d_{(M,J)}$  is continuous, we can take a positive real number  $r < \min_{q \in \partial\varphi(V)} d_{(M,J)}(p, q)$ . Then the Kobayashi ball  $\mathbf{B}_{(M,J)}(p, r)$  is contained in  $\varphi(V)$ . By the distance-decreasing property of the Kobayashi distance, we have  $f(\mathbf{B}_{(M,J)}(p, r)) \subset \mathbf{B}_{(M,J)}(p, r)$  for all  $r$ . Now we identify  $p = 0$ ,  $\varphi(V) = V$  is a bounded domain in  $\mathbb{R}^{2n}$  and  $J = \varphi^* J = (d\varphi)^{-1} \circ J \circ d\varphi$  is an induced almost complex structure on  $V$ . For sufficiently small  $r$  we may assume that  $(U = \varphi^{-1}(\mathbf{B}_{(M,J)}(p, r)), J)$  satisfies condition (\*) in Section 4.

Consider an iterated family  $\{f^m = f \circ f^{m-1}\}_{m=1,2,\dots}$  of  $f$ . Note that  $f|_U$  is in  $\mathcal{O}_{(J,J)}(U, U)$ , so is  $f^m|_U$ . Now we have

**Proposition 5.2.**  $(D^\alpha(f^m)_j)(0) = m(D^\alpha f_j)(0)$  for  $|\alpha| = 2$ .

Suppose that  $D^\alpha f_j(0) = 0$  for any  $2 \leq |\alpha| < \nu$  and  $j = 1, \dots, 2n$ . Then  $(D^\beta(f^m)_j)(0) = m(D^\beta f_j)(0)$  for each  $|\beta| = \nu$  and each  $j$ .

*Proof.* Since  $d(f^m)_0 = (df_0)^m = \text{Id}$ , we have

$$(5.1) \quad \frac{\partial(f^m)_j}{\partial x_k}(0) = \delta_{j,k}$$

for  $m = 1, 2, \dots$

Let  $D^\alpha = \frac{\partial^2}{\partial x_{\alpha_1} \partial x_{\alpha_2}}$ . Since  $(f^m)_j = f_j \circ f^{m-1}$ , we have

$$\begin{aligned} \frac{\partial^2}{\partial x_{\alpha_1} \partial x_{\alpha_2}}(f^m)_j(0) &= \frac{\partial}{\partial x_{\alpha_1}} \left( \sum_{k=1}^{2n} \frac{\partial f_j}{\partial x_k}(f^{m-1}(x)) \frac{\partial(f^{m-1})_k}{\partial x_{\alpha_2}}(x) \right) (0) \\ &= \sum_{k,l=1}^{2n} \frac{\partial^2 f_j}{\partial x_l \partial x_k}(f^{m-1}(0)) \frac{\partial(f^{m-1})_l}{\partial x_{\alpha_1}}(0) \frac{\partial(f^{m-1})_k}{\partial x_{\alpha_2}}(0) \\ &\quad + \sum_{k=1}^{2n} \frac{\partial f_j}{\partial x_k}(f^{m-1}(0)) \frac{\partial^2(f^{m-1})_k}{\partial x_{\alpha_1} \partial x_{\alpha_2}}(0) \\ &= \frac{\partial^2 f_j}{\partial x_{\alpha_1} \partial x_{\alpha_2}}(0) + \frac{\partial^2(f^{m-1})_j}{\partial x_{\alpha_1} \partial x_{\alpha_2}}(0), \end{aligned}$$

where the last equality follows by (5.1). This equation proves the case of  $|\alpha| = 2$  by induction.

Suppose that  $D^\alpha f_j(0) = 0$  for any  $2 \leq |\alpha| < \nu$  and  $j = 1, \dots, 2n$ . Let  $|\beta| = \nu$  and  $D^\beta = \frac{\partial^\nu}{\partial x_{\beta_1} \cdots \partial x_{\beta_\nu}}$ . From (5.1), we obtain

$$\begin{aligned} & D^\beta (f^m)_j(0) \\ &= \sum_{\gamma_1, \dots, \gamma_\nu=1}^{2n} \frac{\partial^\nu f_j}{\partial x_{\gamma_1} \cdots \partial x_{\gamma_\nu}}(f^{m-1}(0)) \frac{\partial (f^{m-1})_{\gamma_1}}{\partial x_{\beta_1}}(0) \cdots \frac{\partial (f^{m-1})_{\gamma_\nu}}{\partial x_{\beta_\nu}}(0) \\ &\quad + (\text{terms which contain } D^\alpha f_j \text{ for } 2 \leq |\alpha| < \nu) \\ &\quad + \sum_{k=1}^{2n} \frac{\partial f_j}{\partial x_k}(f^{m-1}(0)) \frac{\partial^\nu (f^{m-1})_k}{\partial x_{\beta_1} \cdots \partial x_{\beta_\nu}}(0) \\ &= \frac{\partial^\nu f_j}{\partial x_{\beta_1} \cdots \partial x_{\beta_\nu}}(0) + \frac{\partial^\nu (f^{m-1})_j}{\partial x_{\beta_1} \cdots \partial x_{\beta_\nu}}(0) \\ &= D^\beta f_j(0) + D^\beta (f^{m-1})_j(0). \end{aligned}$$

This proves the proposition.  $\square$

We are now ready to complete the proof of Theorem 1.2.

Suppose that  $D^\alpha f_j(0) \neq 0$  for some multi-index  $\alpha$  with  $|\alpha| = 2$  and some  $j$ . By Proposition 5.2, we have  $|(D^\alpha (f^m)_j)(0)| = m|(D^\alpha f_j)(0)| \rightarrow \infty$  as  $m \rightarrow \infty$ . Since  $f^m(0) = f(0) = 0$  and  $d(f^m)_0 = df_0 = \text{Id}$ , we have  $f^m \in \mathcal{F}_0^1(f; U, U)$  for each  $m$ . Corollary 4.4 implies that  $\{|(D^\alpha (f^m)_j)(0)|\}_{m=1,2,\dots}$  must be bounded. Therefore it follows that  $D^\alpha f_j(0) = 0$  for each  $|\alpha| = 2$  and  $j$ .

Inductively let us assume that  $D^\beta f_j(0) \neq 0$  and  $D^\alpha f_k(0) = 0$  for  $2 \leq |\alpha| < |\beta| = \nu$  and  $k = 1, \dots, 2n$ . Proposition 5.2 implies that  $(D^\alpha (f^m)_k)(0) = m(D^\alpha f_k)(0) = 0$  for  $2 \leq |\alpha| < \nu$  and  $k = 1, \dots, 2n$ . Hence it follows that  $f^m \in \mathcal{F}_0^{\nu-1}(f; U, U)$ . But Proposition 5.2 also means that  $|(D^\beta (f^m)_j)(0)| = m|(D^\beta f_j)(0)| \rightarrow \infty$  as  $m \rightarrow \infty$ . It is a contradiction to Corollary 4.4. Therefore we have  $D^\alpha f_j(0) = 0$  for any  $|\alpha| \geq 2$ .

Consequently  $f$  has same  $\infty$ -jet with the identity mapping at 0. This proves Theorem 1.2.  $\square$

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