THE 1,2-COLOURED HOMFLY-PT LINK HOMOLOGY

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ABSTRACT. In this paper we define the 1,2-coloured HOMFLY-PT triply graded link homology and prove that it is a link invariant. We also conjecture on how to generalize our construction for arbitrary colours.

1. INTRODUCTION

In this paper we define the coloured HOMFLY-PT triply graded link homology for links whose components are labelled 1 or 2. The point of this paper is to generalize Khovanov’s [6] construction of the HOMFLY-PT link homology, originally due to Khovanov and Rozansky [8]. The Euler characteristic of our triply graded link homology is a 2-variable polynomial which generalizes the HOMFLY-PT polynomial. We did not develop a complete recursive combinatorial calculus for it, as in [5] and [9]. However, in Section 2 we will indicate some relations which should hold in such a calculus and which are closely related to the ones in [9].

The 1,2-coloured HOMFLY-PT link homology is a triply graded link homology, just as the ordinary HOMFLY-PT link homology due to Khovanov and Rozansky [8]. In [4] such a link homology was conjectured to exist from the physics point of view. We follow the approach using bimodules and Hochschild homology, as was done for the ordinary HOMFLY-PT link homology by Khovanov in [6].

With Rasmussen’s results for the ordinary HOMFLY-PT homology in mind, we conjecture that, in a certain sense, our link homology is the limit of as yet undefined 1,2-coloured \( sl(N) \) link homologies, when \( N \) goes to infinity. Note that the graded Euler characteristic of these 1,2-coloured \( sl(N) \) link homologies will be the Reshetikhin-Turaev polynomials for these colorings.

Although these \( sl(N) \) link homologies have not yet been defined, there has been some progress made towards their definition in [15] [16]. In those papers the matrix factorization approach is followed. One should also be able to define the 1,2-coloured HOMFLY-PT link homology using matrix factorizations, and in some sense this should be equivalent to our approach. For technical reasons the bimodule approach is slightly easier, which is why we have not used matrix factorizations. As for the
ordinary HOMFLY-PT link homology, we use braid presentations of the links and prove invariance under the braided Reidemeister moves and the Markov moves.

In the last section of this paper we have sketched how to define the coloured HOMFLY-PT link homology for arbitrary colours and how to prove its invariance. The underlying ideas are the same, but the actual calculations are much harder. One needs a different technique to handle those calculations for arbitrary colours. In the meantime, Webster and Williamson [14] have defined the general coloured HOMFLY-PT link homology using geometric techniques and have confirmed our conjectures. In a future paper we hope to give algebraic proofs of our conjectures.

To compute the 1,2-coloured HOMFLY-PT link homology is very hard. In [4] there is a conjecture for the Hopf link, which we confirm by our calculations in Section 7, but we have not done more complicated calculations. One road to follow would be the one Rasmussen showed for the ordinary HOMFLY-PT link homology [10]. Once the \( sl(N) \)-link homologies have been defined, there should be spectral sequences from the coloured HOMFLY-PT link homologies to the \( sl(N) \)-link homologies. For “small” knots these spectral sequences should collapse for low values of \( N \), which might make them computable. Another road to follow would be the one initiated by Webster and Williamson [14]. This approach might give some results for certain classes of knots, such as the torus knots.

Finally, let us briefly sketch an outline of our paper. In Section 2 we recall some basic facts from [9] about the combinatorial calculus of the 1,2-coloured HOMFLY-PT polynomial and define one version of part of it that we shall categorify. In Section 3 we categorify this part of the calculus by using bimodules. In Section 4 we define the 1,2-coloured HOMFLY-PT link homology. As stated before, we use a braid presentation of the link. In Section 5 we prove invariance of the 1,2-coloured HOMFLY-PT link homology under the braidlike Reidemeister moves II and III. In Section 6 we prove its invariance under the Markov moves. In Section 7 we have included the calculation of the 1,2-coloured HOMFLY-PT homology of the Hopf link. In Section 8 we sketch the definition of the coloured HOMFLY-PT link homology for arbitrary colours and conjecture its invariance under the second and third Reidemeister moves and the Markov moves.

Although we have tried to write a fairly self-contained paper, some familiarity with [6, 7, 8, 5, 9] will probably help the reader in understanding this paper.

2. The MOY calculus

In this section we explain part of the MOY calculus for the 1,2-coloured HOMFLY-PT link polynomial. The reader might want to compare our relations to the analogous ones in [9]. As already remarked in the introduction this part of the calculus probably does not give a complete recursive calculus for the polynomial invariant. At least we do not know any proof of such a fact. We have simply picked those relations that are necessary for proving the invariance of the polynomial. As it turns out, their categorifications prove the invariance of the related link homology. Before we go on, let us remark that this section is merely motivational. It is meant to help the reader understand why we set up things as we did. No part of the construction of the link homology or the proof of its invariance depends on this section. As a matter of fact, we could have put this section at the end of our paper as a consequence of the construction of the link homology, i.e. we can obtain the...
1,2-coloured HOMFLY-PT polynomial as the graded Euler characteristic of our link homology.

The calculus uses labelled trivalent graphs, which we call MOY webs, and is similar to the MOY calculus from [9] and generalizes the calculus used by Khovanov and Rozansky in [8] to define triply graded link homology. The resolutions of link diagrams consist of MOY webs whose edges are labelled by positive integers, such that at each trivalent vertex the sum of the labels of the outgoing edges equals the sum of the labels of ingoing edges. Although the theory can be extended to allow for general labellings, in this paper we shall only consider the ones where the labellings of the edges are from the set \{1, 2, 3, 4\}.

First we introduce the calculus for such graphs. This is an extension of the one with labellings being only 1 and 2 (see [8]), and a variant of the one from [9]. We require the following axioms to hold:

\begin{align*}
(A1) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{circle}\end{array} &= \prod_{i=1}^{k} \frac{1 + t^{-1}q^{2i-1}}{1 - q^{2i}}, \\
(A2) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle}\end{array} &= \prod_{i=1}^{j} \frac{1 + t^{-1}q^{2i+2j-1}}{1 - q^{2j}}, \\
(A3) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle2}\end{array} &= \left[ \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle2}\end{array} \right] + j, \\
(A4) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle3}\end{array} &= \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle3}\end{array}, \\
(A5) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle4}\end{array} &= \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle4}\end{array}, \\
(A6) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle5}\end{array} &= \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle5}\end{array}, \\
(A7) \quad \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle6}\end{array} &= \begin{array}{c}
\includegraphics[width=0.1\textwidth]{triangle6}\end{array}.
\end{align*}

In this paper we use the following (non-standard) convention for the quantum integers:

\[ [n] = 1 + q^2 + \ldots + q^{2(n-1)} = \frac{1 - q^{2n}}{1 - q^2}. \]
We define the quantum factorial and the binomial coefficients in the standard way:

\[ n! = [1][2] \cdots [n], \quad \binom{n}{m} = \frac{[n]!}{[m]![n-m]!}. \]

Although we have defined the axioms (A1)-(A3) for arbitrary \( i, j \) and \( k \), in this paper we shall only need them in the cases where the indices \( i, j \) and \( k \) are from the set \( \{1, 2\} \).

Since this section is only motivational, we also include a sketch of the 2-variable 1,2-coloured HOMFLY-PT link polynomial based on the above diagrammatic calculus, although the definition is necessarily incomplete. The polynomial would be defined for link projections which have the form of the closure of a braid. All positive and negative crossings between strands labelled 2 would be resolved in three different resolutions. The bracket would be defined using the following relations:

\[
\begin{align*}
q^6 &= \quad -q^2 \quad + \\
q^{-8} &= \quad -q^{-8} \quad + q^{-6} \quad - q^{-4}
\end{align*}
\]

The resolutions of the positive and negative crossings between strands labelled 1 and 2 would be given by

\[
\begin{align*}
q^2 &= \quad -q^2 \quad + \\
q^{-4} &= \quad -q^{-4} \quad + \quad - q^{-2}
\end{align*}
\]

One would obtain the resolutions, when the labels 1 and 2 are swapped, by rotation around the y-axis.

Finally, the case of the crossings when both strands are labelled 1 would be the same as in \([8]\):

\[
\begin{align*}
q^2 &= \quad -q^2 \quad + \\
q^{-2} &= \quad -q^{-2} \quad + \quad - q^{-2}
\end{align*}
\]
Assuming that axioms (A1)-(A7) could be extended such that any MOY web can be evaluated, we could define a polynomial \((D)\) for each link diagram \(D\) with components labelled by 1 and 2, using the resolutions in (2.1), (2.2) and (2.3). Analogously as in [9], it can be shown that it would be invariant under the second and the third Reidemeister moves and would have the following simple behaviour under the first Reidemeister move:

\[
\begin{align*}
\text{when the strand is labelled 2 and} & \quad \mathcal{A}_2 = t^{-2}q^{-2} \\
\text{when the strand is labelled 1.} & \quad \mathcal{A}_1 = -t^{-1}q^{-1}
\end{align*}
\]

To obtain a genuine knot invariant, we would therefore have to multiply the bracket by the following overall factor:

\[
I(D) = (-tq)^{-n_+ + n_- + s_1(D) - 2n_+^2 + 2n_-^2 + 2s_2(D)} (D),
\]

where \(n_+\) and \(n_-\) denote the number of positive and negative crossings, respectively, between two strands labelled \(i\) and where \(s_i(D)\) denotes the number of strands labelled \(i\) for \(i = 1, 2, 3.\)

3. THE CATEGORIZATION OF THE MOY CALCULUS

In this section we show which bimodule to associate to a web and that these bimodules satisfy axioms (A3)-(A7) up to isomorphism. The proof that (A1) and (A2) are also satisfied will be given in Section 6 after we have explained the Hochschild homology. We only explain the general idea and work out the bits which involve edges with higher labels and which have not been explained by Khovanov in [6].

Let \(R = \mathbb{C}[x_1, \ldots, x_n]\) be the ring of complex polynomials in \(n\) variables. We introduce a grading on \(R\) by defining \(\deg x_i = 2\) for every \(i = 1, \ldots, n\). This grading is called the \(q\)-grading. For any partition \(i_1, \ldots, i_k\) of \(n\), let \(R_{i_1 \cdots i_k}\) denote the subring of \(R\) of complex polynomials which are invariant under the product of the symmetric groups \(S_{i_1} \times \cdots \times S_{i_k}\). For starters we associate a bimodule to each MOY-web (see Section 2). Suppose \(\Gamma\) is a MOY web with \(k\) bottom ends labelled by \(i_1, \ldots, i_k\) and \(m\) top ends labelled by \(j_1, \ldots, j_m\). Recall that \(i_1 + \cdots + i_k = j_1 + \cdots + j_m\) holds and let \(R\) have exactly that number of variables. We associate an \(R_{j_1 \cdots j_m} - R_{i_1 \cdots i_k}\)-bimodule to \(\Gamma\). We read \(\Gamma\) from bottom to top. To the bottom edges we associate the bimodule \(R_{i_1 \cdots i_k}\). When we move up in the web we encounter a \(\gamma\)-shaped or a \(\lambda\)-shaped bifurcation. The \(\gamma\)-shape will always correspond to induction and the \(\lambda\) to restriction; e.g. if we first encounter a \(\gamma\)-shaped bifurcation which splits \(i_1\) into \(i_1'\) and \(i_1''\), then we tensor \(R_{i_1 \cdots i_k}\) on the left with \(R_{i_1' \cdots i_k} \otimes R_{i_1'' \cdots i_k}\) over \(R_{i_1 \cdots i_k}\). We will write this tensor product as

\[
R_{i_1' \cdots i_k} \otimes R_{i_1 \cdots i_k}.
\]

If we first encounter a \(\lambda\)-shaped bifurcation with joints \(i_1\) and \(i_2\), then we restrict the left action on \(R_{i_1 \cdots i_k}\) to \(R_{i_1+i_2 \cdots i_k}\). Note that the latter action goes unnoticed.
until tensoring again at some next $\Upsilon$-shaped bifurcation, in which case we tensor over the smaller ring, or until taking the Hochschild homology in a later stage. As we go up in the web use either induction or restriction at each bifurcation. This way an $R_{j_1 \ldots j_m} - R_{i_1 \ldots i_k}$-bimodule associated to $\Gamma$ is obtained, which we denote by $\hat{\Gamma}$. Note that if we have two MOY-webs, $\Gamma$ and $\Gamma'$, such that the bottom of $\Gamma'$ (labelled by $i_1, \ldots, i_k$) can be glued onto the top of $\Gamma$, then

$$\hat{\Gamma} \Gamma \cong \hat{\Gamma'} \otimes_{R_{i_1 \ldots i_k}} \hat{\Gamma}.$$  

The identity web in Figure 1 whose edges are labelled by $i_1, \ldots, i_k$ will always be denoted by $\check{1}_{i_1 \ldots i_k}$ and $\check{1}_{i_1 \ldots i_k} = R_{i_1 \ldots i_k}$. The dumbbell web in Figure 2 whose outer edges are labelled $i_1$ and $i_2$ will always be denoted by $X_{i_1 i_2}$ and $\check{X}_{i_1 i_2} = R_{i_1 i_2} \otimes_{i_1 + i_2} R_{i_1 i_2}$. Since the bimodules that we use are graded, we can apply a grading shift. In the text and when using small symbols we denote a positive shift of $k$ values applied to a bimodule $M$ by $M\{k\}$. When we use MOY-type pictures we denote the same shift by $q^k$. Note that we also do not put a hat on top of these pictures to avoid too much notation and unnecessarily large figures.

Note that for our construction we first need to choose a height function on the web. However, it is easy to see that the bimodule does not depend on the choice of this height function.

Now that we know which bimodule to associate to a MOY web, we will show some direct sum decompositions for bimodules associated to certain MOY webs. These decompositions are necessary to show that our bimodules indeed categorify the MOY calculus and also to show that the link homology is invariant, up to isomorphism, under the Reidemeister moves.

**Lemma 3.1.** Let $\hat{\delta}_{ij}$ be the digon in (A3). Then we have

$$\hat{\delta}_{ij} \cong \begin{bmatrix} i + j \\ i \end{bmatrix} \check{1}_{i+j}.$$  

Note that the quantum binomial can be written as a sum of powers of $q$. By

$$\begin{bmatrix} i + j \\ i \end{bmatrix} \check{1}_{i+j}$$
we mean the corresponding direct sum of copies of \( \hat{1}_{i+j} \), where each copy is shifted by the correct power of \( q \).

**Proof.** Note that \( R_{ij} \) as an \( R_{i+j} \)-module is isomorphic to \( H^*_U(i+j)(G(i,i+j)) \), the \( U(i+j) \) equivariant cohomology of the complex Grassmannian \( G(i,i+j) \). Therefore the Schur polynomials \( \pi_{k_1} \cdots k \) in the first \( i \) variables, for \( 0 \leq k_1 \leq \cdots \leq k_i \leq j \), form a basis of \( R_{ij} \) as an \( R_{i+j} \)-module (see [3], for example). Alternatively we can use \( G(j,i+j) \) and obtain that the Schur polynomials \( \pi'_{\ell_1} \cdots \ell_j \) in the last \( j \) variables form a basis of \( R_{ij} \) as an \( R_{i+j} \)-module for \( 0 \leq \ell_j \leq \cdots \leq \ell_1 \leq i \). This shows that \( R_{ij} \cong \bigoplus_{i+j} \hat{1}_{i+j} \) holds. The proof of this lemma follows, since \( \hat{1}_{ij} \cong R_{ij} \otimes_{i+j} R_{i+j} \) and \( \hat{1}_{i+j} \cong R_{i+j} \).

Before we continue with square decompositions we define the following bimodule maps:

**Definition 3.2.** We define the \( R_{1k} \)-bimodule maps \( \mu_{1k} : \overset{k}{1}_{1k} \rightarrow \overset{1}{1}_{1k} \) and \( \Delta_{1k} : \overset{1}{1}_{1k} \rightarrow \overset{k}{1}_{1k} \) by

\[
\mu_{1k}(a \otimes b) = ab \quad \text{and} \quad \Delta_{1k}(1) = \sum_{j=0}^{k} (-1)^j e_j \big( \sum_{i=0}^{k-j} x_i^{k-j-i} \otimes x_i' \big).
\]

The elements \( e_i \) are the elementary symmetric polynomials in \( k+1 \) variables.

The formula for \( \Delta_{1k} \) can also be written as

\[
\Delta_{1k}(1) = \sum_{j=0}^{k} (-1)^j x_1^{k-j} \otimes e_j',
\]

where \( e_j' \) is the \( j \)-th elementary symmetric polynomial in the last \( k \) variables \( x_2, \ldots, x_{k+1} \). Note that \( \mu_{1k} \) has degree 0 and \( \Delta_{1k} \) has degree 2\( k \).

It is not hard to see that \( \mu \) and \( \Delta \) are indeed \( R_{1k} \) bimodule maps. One can check this by direct computation or, as above, note that \( R_{1k} \) is isomorphic to \( H^*_U(k+1)(G(1,k)) \) as an \( R_{k+1} \)-module. The maps above are well known (it is an immediate consequence of exercise 1.1 of lecture 4 in [3], for example) to be the multiplication and comultiplication in this commutative Frobenius extension with respect to the trace defined by \( \text{tr}(x_1^r) = 1 \). The observation now follows from the fact that the multiplication and comultiplication in a commutative Frobenius extension \( A \) are always \( A \)-bimodule maps.

When it is not immediately clear to which variables one applies a multiplication or comultiplication, we will indicate them in a superscript. When there is no confusion possible, we will write \( ab \) for \( \mu_{1,k}(a \otimes b) \).

**Definition 3.3.** We define the \( R_{22} \)-bimodule maps \( \mu_{22} : \overset{2}{2}_{22} \rightarrow \overset{1}{1}_{22} \) and \( \Delta_{22} : \overset{1}{1}_{22} \rightarrow \overset{2}{2}_{22} \) by

\[
\mu_{22}(a \otimes b) = ab
\]
Let $\Delta_{22}$ be defined (A5). The following decomposition holds: 
$$\Delta_{22} = \pi_{22} \otimes 1 - \pi_{21} \cdot \pi'_{10} + \pi_{20} \otimes \pi'_{11} + \pi_{11} \cdot \pi'_{20} - \pi_{10} \cdot \pi'_{21} + 1 \cdot \pi'_{22} + \leftrightarrow .$$

The $\pi_{ij}$ and $\pi'_{ij}$ are the Schur polynomials in $x_1, x_2$ and $x_3, x_4$, respectively. The $\leftrightarrow$ indicates the terms which are obtained from all the previous terms by interchanging the $\pi_{ij}$ and the $\pi'_{ij}$ so that $\Delta$ becomes cocommutative.

One easily checks that $\mu_{22}$ and $\Delta_{22}$ are $R_{22}$-bimodule maps by direct computation. They have degree 0 and 8, respectively. The map $\Delta_{22}$ is the comultiplication in $H^*_U(4)(G(2,4))$ with respect to the trace defined by $\text{tr} (\pi_{22}) = 1$. Sometimes it will be useful to rewrite the formula of $\Delta_{22}$ entirely in terms of the $\pi'_{ij}$ and elements of $R_4$. To do so, use the following relations:

$$\begin{align*}
\pi_{10} &= \pi_{1000} - \pi'_{10}, \\
\pi_{11} &= \pi_{1100} - \pi'_{10} \pi_{1000} + \pi'_{20}, \\
\pi_{20} &= \pi_{2000} - \pi'_{10} e_1 + \pi'_{11}, \\
\pi_{21} &= \pi_{2100} - \pi'_{10} (\pi_{2000} + \pi_{1100}) + \pi'_{20} \pi_{1000} + \pi'_{11} \pi_{1000} - \pi'_{21}, \\
\pi_{22} &= \pi_{2200} - \pi'_{10} \pi_{2100} + \pi'_{11} \pi_{2000} + \pi'_{20} \pi_{1100} - \pi'_{21} \pi_{1000} + \pi'_{22}.
\end{align*}$$

We can now prove some square decompositions.

**Lemma 3.4.** Let $\chi_{1112}$ be the square in (A5). The following decomposition holds:
$$\chi_{1112} \cong \chi_{21} \oplus \chi_{21} \{2\}.$$ 

**Proof.** Note that
$$\chi_{1112} = R_{111} \otimes_2 R_{111} \otimes_2 R_{21} \quad \text{and} \quad \chi_{21} = R_{21} \otimes_3 R_{21}. $$

Let $\Gamma_i$ be the webs in Figure 3 for $i = 1, 2$. Then we have

$\Gamma_1 = R_{111} \otimes_2 R_{12} \otimes_3 R_{111} \otimes_2 R_{21} \cong R_{111} \otimes_2 R_{21} \otimes_3 R_{111} \otimes_2 R_{21} \cong \Gamma_2.$

The map $f: \chi_{1112} \to \chi_{21}$ is the composite of
$$\chi_{1112} \xrightarrow{f_1} \chi_{111} \{4\} \cong \chi_{21} \{4\} \xrightarrow{f_2} \chi_{21}.$$

We define $f_1$ by $f_1 (a \otimes b \otimes c) = a \otimes \Delta_{12} (b) \otimes c,$

where $\Delta_{12}$ is defined as in Definition 3.2. The map $f_2$ is defined by applying to both digons the map $R_{111} \to R_{21} \{2\}$ which corresponds to the projection onto the second summand in the decomposition $R_{111} \cong R_{21} \oplus x_1 R_{21}$; e.g. we have

$x_1 \mapsto 1$ and $x_2 = (x_1 + x_2) - x_1 \mapsto -1$ and $x_1^2 = (x_1 + x_2) x_1 - x_1 x_2 \mapsto x_1 + x_2.$
Similarly define $g: \tilde{\mathcal{X}}_{21} \to \tilde{\mathcal{X}}_{1112}$ as the composite of
\[
\tilde{\mathcal{X}}_{21} \xrightarrow{g_1} \tilde{\Gamma}_2 \cong \tilde{\Gamma}_1 \xrightarrow{g_2} \tilde{\mathcal{X}}_{1112}.
\]
We define $g_1$ by twice applying the inclusion map $R_{21} \hookrightarrow R_{111}$ to create the digons. The map $g_2$ is defined by
\[
g_2(a \otimes b \otimes c \otimes d) = a \otimes b \otimes c \otimes d,
\]
where $c$ is mapped to $R_{12}$ by the inclusion map before applying $\mu_{12}$. One easily verifies by direct computation that $fg = \text{id}$, so $\tilde{\mathcal{X}}_{21}$ is a direct summand of $\tilde{\mathcal{X}}_{1112}$.

To show that $\tilde{\mathcal{X}}_{21}$ is a direct summand as well, we also use an intermediate web, denoted $\tilde{\Gamma}_3$ and shown in Figure 4. Note that $\tilde{\mathcal{X}}_{111} = R_{111} \otimes R_{21}$.

\[
\text{Figure 4. Intermediate web } \tilde{\Gamma}_3
\]

$h: \tilde{\mathcal{X}}_{1112} \to \tilde{\mathcal{X}}_{1122}$ as the composite of
\[
\tilde{\mathcal{X}}_{1112} \xrightarrow{h_1} \tilde{\mathcal{X}}_{111} \xrightarrow{h_2} \tilde{\mathcal{X}}_{1122}.
\]

In this case $h_1$ is given by
\[
h_1(a \otimes b \otimes c) = a \otimes b \otimes c
\]
and $h_2$ by applying the same projection $R_{111} \to R_{21}\{2\}$ as above. Inversely, we define $j: \tilde{\mathcal{X}}_{1122} \to \tilde{\mathcal{X}}_{1112}$ as the composite of
\[
\tilde{\mathcal{X}}_{1122} \xrightarrow{j_1} \tilde{\mathcal{X}}_{111} \xrightarrow{j_2} \tilde{\mathcal{X}}_{1112}.
\]

The first map is defined by the inclusion $R_{21} \to R_{111}$. The second map is defined by
\[
j_2(a \otimes b) = \Delta_{11}^{x_2} x_3(a) \otimes b.
\]

Again, by direct computation, it is straightforward to check that $kj = -2\text{id}$, so $\tilde{\mathcal{X}}_{1122}$ is also a direct summand of $\tilde{\mathcal{X}}_{1112}$.

Also by direct computation one easily checks that $hg = 0$ and $fj = 0$. Finally we have to show that $(g, j)$ is surjective. Since all maps involved are bimodule maps and $R_{111} \cong R_{21} \oplus x_2 R_{21}$ and $R_{111} \cong R_{12} \oplus x_3 R_{12}$, we only have to show that $1 \otimes 1 \otimes 1$ and $1 \otimes x_2 \otimes 1$ are in its image. We have $g(1 \otimes 1) = 1 \otimes 1 \otimes 1$ and $j(1) + g(x_3 \otimes 1) = 1 \otimes x_2 \otimes 1$.

This finishes the proof of the lemma. \hfill \Box

**Lemma 3.5.** Let $\tilde{\mathcal{X}}_{1122}$ be the square in (A6). Then we have

\[
\tilde{\mathcal{X}}_{1122} \cong \tilde{\mathcal{X}}_{31} \oplus \tilde{\mathcal{X}}_{31} \{2\} \oplus \tilde{\mathcal{X}}_{31} \{4\}.
\]

**Proof.** The arguments are analogous to the ones used in the proof of Lemma 3.4. To show that $\tilde{\mathcal{X}}_{22}$ is a direct summand of $\tilde{\mathcal{X}}_{1122}$, use the intermediate webs in Figure 5.

With the same notation as before, let
\[
f_1(a \otimes b \otimes c) = a \otimes \Delta_{22}(b) \otimes c,
\]
and for $f_2$ use for both digons the map $R_{211} \to R_{31} \{4\}$ which corresponds to the projection onto the third direct summand in the decomposition $R_{211} \cong R_{31} \oplus x_3 R_{31} \oplus x_3^2 R_{31}$.

For $g_1$ we use the inclusion $R_{31} \hookrightarrow R_{211}$ twice to create the digons and

$$g_2(a \otimes b \otimes c \otimes d) = a \otimes bc \otimes d.$$ 

To show that $\tilde{1}_{122} \{2\} \oplus \tilde{1}_{122} \{4\}$ is a direct summand of $\mathbb{H}_{1122}$, use the intermediate web in Figure 6.

$$\begin{array}{c}
\begin{array}{c}
2 \\
4 \\
3 \\
1 \\
\end{array}
\end{array}$$

**Figure 6.** Intermediate web

Define $h_1$ by

$$h_1(a \otimes b \otimes c) = ab \otimes c$$

and $h_2$ by applying the map $R_{211} \to R_{31} \{2\} \oplus R_{31} \{4\}$ corresponding to the projection on the last two direct summands in the decomposition of $R_{211}$ above.

For $j_1$ use the map $R_{31} \{2\} \oplus R_{31} \{4\} \to R_{211}$ defined by

$$(1, 0) \mapsto 1 \quad \text{and} \quad (0, 1) \mapsto x_3$$

to create the digon. Define $j_2$ by

$$j_2(a \otimes b) = \Delta_{11}^{x_3, x_4}(a) \otimes b.$$ 

An easy calculation shows that

$$hj = \begin{pmatrix} 1 & -x_4 \\ 0 & 1 \end{pmatrix},$$

which is invertible. This shows that $R_{31} \{2\} \oplus R_{31} \{4\}$ is a direct summand of $\mathbb{H}_{1122}$.

Using the rewriting rules for $\Delta_{22}$, which were given below its definition, one easily checks that $gf = 2id$. Therefore $\mathbb{H}_{31}$ is a direct summand of $\mathbb{H}_{1122}$, too.

Another easy calculation shows that $hg = 0$ and a slightly harder one that $fj = 0$. 

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It remains to show that \((g, j)\) is surjective. It suffices to show that \(1 \otimes 1 \otimes 1\), \(1 \otimes x_3 \otimes 1\) and \(1 \otimes x_3^2 \otimes 1\) are in its image. We have
\[
\begin{align*}
1 \otimes 1 \otimes 1 &= g(1 \otimes 1), \\
1 \otimes x_3 \otimes 1 &= j(1, 0) + g(x_4 \otimes 1), \\
1 \otimes x_3^2 \otimes 1 &= j(0, 1) + j(x_4, 0) + g(x_3^2 \otimes 1).
\end{align*}
\]

**Lemma 3.6.** Let \(X_{2113}\) be the square in (A7). We have
\[
X_{2113} \cong \bigoplus_{k=1}^{13} \Gamma_k \bigoplus \bigoplus_{k=1}^{22} \Gamma_k \{2\}.
\]

**Proof.** We first define the bimodule map
\[
\phi_1: \bigoplus_{k=1}^{13} \Gamma_k \to R_{121} \otimes_{31} R_{211} \otimes_{22} R_{22} = X_{2113}.
\]
We use the two intermediate bimodules \(\Gamma_1 = R_{121} \otimes_{13} R_{13} \otimes_{4} R_{211} \otimes_{22} R_{22}\) and \(\Gamma_2 = R_{121} \otimes_{31} R_{31} \otimes_{4} R_{211} \otimes_{22} R_{22}\) (see Figure 7). Then \(\phi_1\) is the composite

\[
\begin{array}{c}
\bigoplus_{k=1}^{13} \Gamma_k \\
\phi_1 \\
\bigoplus_{k=1}^{22} \Gamma_k \\
\phi_1 \\
\bigoplus_{k=1}^{22} \Gamma_k \{2\}
\end{array}
\]

with
\[
\begin{align*}
\phi_1^1(a \otimes b) &= 1 \otimes a \otimes 1 \otimes b, \\
\phi_1^2(a \otimes b \otimes c \otimes d) &= ab \otimes 1 \otimes c \otimes d, \\
\phi_1^3(a \otimes b \otimes c \otimes d) &= abc \otimes d.
\end{align*}
\]

Conversely, we define \(\psi_1\) as the composite

\[
\begin{array}{c}
X_{2113} \\
\psi_1 \\
\bigoplus_{k=1}^{22} \Gamma_k \{2\} \\
\psi_1 \\
\bigoplus_{k=1}^{22} \Gamma_k \\
\psi_1 \\
X_{2113}
\end{array}
\]

with
\[
\begin{align*}
\psi_1^1(a \otimes b \otimes c) &= a \otimes \Delta_{31}(b) \otimes c, \\
\psi_1^2(a \otimes b \otimes c \otimes d) &= ab \otimes 1 \otimes c \otimes d
\end{align*}
\]
and with \(\psi_1^3\) defined by applying the maps \(R_{121} \to R_{13}\{4\}\) and \(R_{211} \to R_{22}\{2\}\) which are the projections onto the last direct summands in the decompositions \(R_{121} \cong R_{13} \oplus x_4 R_{13} \oplus x_3^2 R_{13}\) and \(R_{211} \cong R_{22} \oplus x_3 R_{22}\). A short calculation shows that \(\psi_1 \phi_1 = -\text{id}\), which proves that \(X_{2113}\) is a direct summand of \(X_{2113}\).

Let us now define \(\phi_2: \bigoplus_{k=1}^{13} \Gamma_k \to X_{2113}\). Note that \(\bigoplus_{k=1}^{22} \Gamma_k \{2\} \to X_{2113}\). Again we use certain intermediate bimodules: \(\Lambda_1 = R_{1111} \otimes_{13} R_{1112} \otimes_{22} R_{22}\), \(\Lambda_2 = R_{1111} \otimes_{13} R_{2111} \otimes_{22} R_{22}\), \(\Lambda_3 = R_{1111} \otimes_{31} R_{2111} \otimes_{22} R_{22}\) and \(\Lambda_4 = R_{1111} \otimes_{121} R_{1211} \otimes_{31} R_{2111} \otimes_{22} R_{22}\) (see Figure 8).
We define $\phi_2$ as the composite
$$\overset\mathbb{13}_{22}\phi^1 \to \hat{\Lambda}_1 \overset{\phi^2}{\to} \hat{\Lambda}_2 \overset{\phi^3}{\to} \hat{\Lambda}_3 \overset{\phi^4}{\to} \hat{\Lambda}_4 \overset{\phi^5}{\to} \overset\mathbb{13}_{22}$$
with
$$\phi^1_1(a \otimes b) = 1 \otimes a \otimes b,$$
$$\phi^2_1(a \otimes b \otimes c) = ab \otimes 1 \otimes c,$$
$$\phi^3_1(a \otimes b \otimes c) = a \otimes \Delta_{21}^{x_1x_2x_3}(b) \otimes c,$$
$$\phi^4_1(a \otimes b \otimes c \otimes d) = ab \otimes 1 \otimes c \otimes d$$
and with $\phi^5_1$ defined by the map $R_{1111} \to R_{121}\{2\}$, which is the projection onto the second direct summand in the decomposition $R_{1111} \cong R_{121} \oplus x_2R_{121}$.

Conversely, we define $\psi_2$ as the composite
$$\overset\mathbb{13}_{22}\psi^1 \to \hat{\Lambda}_4 \overset{\psi^2}{\to} \hat{\Lambda}_3 \overset{\psi^3}{\to} \hat{\Lambda}_2 \overset{\psi^4}{\to} \hat{\Lambda}_1 \overset{\psi^5}{\to} \overset\mathbb{13}_{22}$$
with
$$\psi^1_1(a \otimes b \otimes c) = 1 \otimes a \otimes b \otimes c,$$
$$\psi^2_1(a \otimes b \otimes c \otimes d) = ab \otimes 1 \otimes c \otimes d,$$
$$\psi^3_1(a \otimes b \otimes c \otimes d) = ab \otimes c \otimes d,$$
$$\psi^4_1(a \otimes b \otimes c) = ab \otimes 1 \otimes c$$
and with $\psi^5_1$ defined by the map $R_{1111} \to R_{121}\{2\}$, which is the projection onto the second direct summand in the decomposition $R_{1111} \cong R_{112} \oplus x_3R_{121}$. A simple calculation shows that $\psi_2\phi_2 = \text{id}$.

One can also easily check that $\psi_2\phi_1 = 0$ and $\psi_1\phi_2 = 0$. This shows that $(\phi_1, \phi_2)$ is injective. To show that $(\phi_1, \phi_2)$ is surjective note that both maps are left $R_{13}$-module maps and that the source and the target are both free $R_{13}$-modules of rank 9 with the same gradings.

4. THE LINK HOMOLOGY

Let us define the coloured HOMFLY-PT homology for links with components labelled 1 and 2. We use a similar setup to the one in [6]. To each braid diagram we associate a complex of bimodules (defined below) obtained from the categorified MOY calculus. This complex is invariant up to homotopy under the braidlike Reidemeister II and III moves. Then we take the Hochschild homology of each bimodule in the complex, which corresponds to the categorification of the Markov trace. This induces a complex of vector spaces whose homology is the one we are looking for. The latter is still invariant under the second and third Reidemeister
moves, because the Hochschild homology is a covariant functor, and also under the Markov moves, as we will show. Therefore we obtain a triply graded link homology. By taking the graded dimensions of the homology groups we get a triply graded link polynomial.

To define the complex of bimodules associated to a braid, it suffices to define it for a positive and for a negative crossing only. For an arbitrary braid one then tensors these complexes over all crossings. To each crossing with both strands labelled by 2, we associate a complex with three terms. For a positive, resp. negative, crossing between strands labelled 2, the terms in the complex are \( \mathcal{T}_{22} \{6\} \to \mathcal{T}_{3111} \{2\} \to \mathcal{O}_{22} \), resp. \( \mathcal{O}_{22} \{-8\} \to \mathcal{T}_{3111} \{-8\} \to \mathcal{T}_{22} \{-6\} \) (see Figures 9-10).

![Figure 9. The complex of a positive crossing](image)

\[ q^6 \xrightarrow{d^+_1} q^2 \xrightarrow{d^+_2} \]

![Figure 10. The complex of a negative crossing](image)

\[ q^{-8} \xrightarrow{d^-_1} q^{-8} \xrightarrow{d^-_2} q^{-6} \]

In both cases, the cohomological degree is fixed by putting the bimodule \( \mathcal{O}_{22} \) in cohomological degree 0.

To define the differentials we need the intermediate webs \( \Phi, \Psi \) and \( \Omega \) (see Figure 11). Note that \( \hat{\Psi} \cong \hat{\Omega} \).

![Figure 11. Intermediate webs \( \Phi, \Psi \) and \( \Omega \)](image)

The differential \( d^+_1 \) is the composite of

\[ \mathcal{T}_{22} \xrightarrow{d^+_1} \mathcal{O}_{3111} \{-4\}, \]

where \( d^+_1 \) is defined by the inclusion \( R_{22} \hookrightarrow R_{211} \) to create the digon and

\[ d^+_1(a \otimes b) = \Delta_{21}^{x_1, x_2, x_3}(a) \otimes b. \]

The differential \( d^+_2 \) is the composite of

\[ \mathcal{O}_{3111} \{-4\} \xrightarrow{d^+_2} \hat{\Psi} \{-10\} \cong \hat{\Omega} \{-10\} \xrightarrow{d^+_2} \mathcal{O}_{22} \{-6\}, \]
where $d_{21}^+$ is defined by $$d_{21}^+(a \otimes b \otimes c) = a \cdot \Delta_{31}(b) \otimes c$$ and $d_{22}^+$ by applying the map $R_{211} \to R_{22}\{2\}$ given by the projection onto the second direct summand in the decomposition $R_{211} = R_{22} \oplus x_3 R_{22}$. Direct computation shows that $d_{22}^+ d_{21}^+ = 0$.

The first differential in the complex associated to a negative crossing is the composite of $$\hat{\Omega}_{22} \xrightarrow{d_{11}} \hat{\Omega} \cong \hat{\Psi} \xrightarrow{d_{12}} \hat{\Omega}_{3111} ,$$ where $d_{11}$ is defined by twice applying the inclusion $R_{22} \hookrightarrow R_{211}$ to create the digons and $$d_{12}(a \otimes b \otimes c \otimes d) = a \otimes b \otimes c \otimes d.$$ The differential $d_2^-$ is the composite of $$\hat{\Omega}_{3111} \xrightarrow{d_{21}} \hat{\Phi} \xrightarrow{d_{22}} \hat{\Omega}_{22}\{2\} ,$$ where $d_{21}$ is defined by $$d_{21}(a \otimes b \otimes c) = ab \otimes c$$ and $d_{22}$ by applying the same projection $R_{211} \to R_{22}\{2\}$ as above. Again, direct computation shows that $d_2^- d_{21}^- = 0$. Note that this calculation is much easier than the one which shows $d_{22}^+ d_{21}^+ = 0$. The latter is a direct consequence of the former by the observation that all maps involved in the definition of $d_{21}^+ d_{21}^-$ are units and counits of the two biadjoint functors given by induction and restriction. As a matter of fact, whenever we use a unit in the definition of one, we use the corresponding counit in the definition of the other. Therefore the fact that $d_2^- d_{21}^- = 0$ implies $d_{22}^+ d_{21}^+ = 0$.

Next we define a complex of bimodules associated to a crossing of a strand labelled 1 and a strand labelled 2. To a positive crossing we associate the complex

![Diagram](image)

and to a negative one

![Diagram](image)

Again, in both cases, we put the bimodule $\bar{\Omega}_{12}^{12}$ in the cohomological degree 0. Note that $\bar{\Omega}_{12}^{12} = R_{111} \otimes_{21} R_{21}$ and $\bar{\Omega}_{21}^{12} = R_{111} \otimes_{12} R_{21}$. We use the intermediate bimodules $\hat{\Lambda}_1 = R_{111} \otimes_{21} R_{21} \otimes_{3} R_{21} \cong R_{111} \otimes_{12} R_{12} \otimes_{3} R_{21} = \hat{\Lambda}_2$ (see Figure [12]). Then $d^+$ is the composite of

$$\bar{\Omega}_{21}^{12} \xrightarrow{d_1^+} \hat{\Lambda}_1\{-4\} \xrightarrow{d_2^+} \hat{\Lambda}_2\{-4\} \xrightarrow{d_2^+} \bar{\Omega}_{21}^{12}\{-2\}$$

We thank Mikhail Khovanov for this observation.
with

$$d_1^+(a \otimes b) = a \otimes \Delta_{21}(b),$$
$$a \otimes b \otimes c \Rightarrow ab \otimes 1 \otimes c$$

and with $d_2^+$ defined by the map $R_{111} \to R_{12}(2)$ corresponding to the projection onto the second direct summand in the decomposition $R_{111} \cong R_{12} \oplus x_2 R_{12}$. It is easy to compute the image of $d^+$ on generators of the $R_{21} - R_{12}$ bimodule $R_{21} \otimes R_{111}$:

$$d^+(1 \otimes 1) = x_1 \otimes 1 - 1 \otimes x_3 \quad \text{and} \quad d^+(x_2 \otimes 1) = 1 \otimes x_1 x_2 - x_2 x_3 \otimes 1.$$

Similarly we define $d^-$ as the composite

$$\xymatrix{ \hat{\Lambda}_2 \ar[r]^{d^-} & \hat{\Lambda}_1 \ar[r]^{d^-} & \hat{\bigcirc}_{21}^{12} }$$

with

$$d_1^- (a \otimes b) = 1 \otimes a \otimes b,$$
$$a \otimes b \otimes c \Rightarrow ab \otimes 1 \otimes c,$$
$$d_2^- (a \otimes b \otimes c) = a \otimes bc.$$

Note that this yields $d^- (a \otimes b) = a \otimes b$.

We get similar complexes for the crossings with 1 and 2 swapped. The pictures can be obtained from the ones above by rotation around the y-axis, and the shifts are the same.

For a crossing with both strands labelled 1 we use the same complex of bimodules as Khovanov in $[6]$:

$$\begin{align*}
\xymatrix{ 
\bigotimes_{11}^{12} \ar[r]^{d^-} & \hat{\Lambda}_1 \ar[r]^{d^-} & \hat{\bigcirc}_{21}^{12} 
} \\
\xymatrix{ 
\bigotimes_{11}^{12} \ar[r]^{d^{-}q^2} & \hat{\Lambda}_1 \ar[r]^{d^{-}q^2} & \hat{\bigcirc}_{21}^{12} 
}
\end{align*}$$

with $\chi_{11}$ in cohomological degree 0.
Let $HHH(D)$ denote the triply graded homology we defined above. Then to obtain the 1,2-coloured HOMFLY-PT homology $H_{1,2}(D)$ we have to apply some overall shifts. We define

**Definition 4.1.**

$$H_{1,2}(D) = HHH(D)\left\{\frac{n_1^+ - n_1^- - s_1(D) + 2n_2^+ - 2n_2^- - 2s_2(D)}{2}, \frac{-n_1^+ + n_1^- + s_1(D) - 2n_2^+ + 2n_2^- + 2s_2(D)}{2}, \frac{-n_1^+ + n_1^- + s_1(D) - 2n_2^+ + 2n_2^- + 2s_2(D)}{2}\right\}.$$  

The definitions of $n_i^+$, $n_i^-$ and $s_i(D)$ were given in Section 2, $\langle j \rangle$ is an upward shift by $j$ in the homological degree and $\{k,l\}$ denotes an upward shift by $k$ in the Hochschild degree and by $l$ in the $q$-degree.

Finally in the next two sections we prove the following.

**Theorem 4.2.** For a given link $L$, $H_{1,2}(D)$ is independent of the chosen braid diagram $D$ which represents it. Hence, $H_{1,2}(L)$ is a link invariant.

5. **Invariance under the R2 and R3 moves**

The next thing to do is to prove invariance of the 1,2-coloured HOMFLY-PT homology under the second and third Reidemeister moves. If the strands involved are all labelled 1, we already have invariance by Khovanov’s [6] and Khovanov and Rozansky’s [8] results. If there are strands involved which are labelled 2, we will use a trick, inspired by the analogous trick in [9], which reduces to the case with all link components labelled 1. The argument is slightly tricky, so let us explain the general idea first.

Suppose we have a braid $B$ with $n$ strands, all labelled 2. Create a digon $\delta_{11}$ on top of each strand. Our link homology complex corresponding to this braid with digons is “$(1 + q^2)^n$ times” our link homology complex corresponding to $B$, which means that the latter complex is the direct sum of $2^n$ copies of the former with grading shifts according to the powers of $q$ in the polynomial $(1 + q^2)^n$. We will prove in Lemma 5.2 that sliding the lower parts of the digons past the crossings is a grading preserving homotopy equivalence. After sliding this way the lower parts of all digons past all crossings (see Figure 13), we obtain a braided diagram $B'$ which is the 2-cable of $B$ with the two top endpoints, and the two bottom endpoints respectively, of each cable zipped together. The complex of bimodules associated to $B'$ is the tensor product of the HOMFLY-PT complex associated to the 2-cable of $B$ with two complexes, one associated to the top endpoints of the cables and one to the bottom endpoints. Performing a Reidemeister II or III move on $B$ corresponds to performing a series of Reidemeister II and III moves on its
2-cable. By Khovanov and Rozansky’s results we know that the complex of bimodules associated to the 2-cable is invariant up to homotopy equivalence under Reidemeister II and III moves. Therefore the complex of bimodules associated to $B'$ is invariant under the Reidemeister II and III moves up to homotopy equivalence. In the next paragraph we will show that the category $\mathcal{C}'$ whose objects are complexes of graded bimodules, such that its homogeneous summands are finite-dimensional for each degree and whose morphisms are graded maps of complexes modulo homotopy, is Krull-Schmidt, i.e. all objects have a unique decomposition into indecomposables. Therefore, the fact that $B'$ is invariant under the braidlike Reidemeister II and III moves implies that $B$ is invariant under the same moves, because the former is “$(1 + q^2)\cdot n$ times” the latter.

Let us now prove that $\mathcal{C}'$ is Krull-Schmidt. First of all, this category is additive with finite-dimensional hom-spaces, so it suffices to show that it is Karoubian, i.e. each idempotent splits. Note that the category $\mathcal{C}$ whose objects are complexes of graded bimodules, such that its homogeneous summands are finite-dimensional for each degree and whose morphisms are graded maps of complexes, is an Abelian category with finite-dimensional hom-spaces. Therefore it is Krull-Schmidt (Theorem 2.18 in [12]). Note also that any complex decomposes uniquely into a maximal contractible subcomplex and its complement. Now, let $M$ be an object in $\mathcal{C}'$ and $e \in A' = \text{End}_{\mathcal{C}'}(M)$ be an idempotent. By the above, we can assume that $M$ has no contractible summands in $\mathcal{C}$. Note that $A = \text{End}_{\mathcal{C}}(M)$ is Artinian, because it is finite-dimensional, so its Jacobson radical $J$ is nilpotent by Theorem 1.2.7 of [1]. Note also that the ideal $N \subset A$ of null-homotopic maps is contained in $J$. If $M$ is indecomposable, this is true because $A$ is local by Lemma 1.4.5 in [1]. If $M$ is a finite direct sum of indecomposables, then $A$ is the direct sum of Hom-spaces between the indecomposable summands. Write $J$ in terms of the Jacobson radicals of the endomorphism rings of the indecomposables using Proposition 1.2.5 of [1]. Again we see that $N \subset J$. Therefore, the nilpotency of $J$ implies that of $N$. By Theorem 1.7.3 in [11] this implies that we can lift $e$ from $A' = A/N$ to $A$. Since $\mathcal{C}$ is Krull-Schmidt, we can split $e$ in $\mathcal{C}$. Of course that splitting descends to a splitting in $\mathcal{C}'$, which is what we had to prove.

Alternatively, one could note that the Poincaré polynomial of the 1,2-coloured HOMFLY-PT homology of $B'$ is $(1 + q^2)\cdot n$ times that of $B$ and that therefore the invariance of the former under the braidlike Reidemeister II and III implies the invariance of the latter. Note that this alternative argument is really different from the one in the previous two paragraphs. The Poincaré polynomials of the 1,2-coloured HOMFLY-PT homology of $B$ and $B'$ can only be obtained after taking the Hochschild homology of $B$ and $B'$, whereas the argument of the previous two paragraphs applies to $B$ and $B'$ directly, before taking their Hochschild homology. Either way, note that this digon trick does not give a specific homotopy equivalence between the complexes before and after a Reidemeister move. It only shows that the corresponding Poincaré polynomials are equal. This would be a problem if we wanted to show functoriality under link cobordisms of the whole construction. However, even the ordinary HOMFLY-PT-homology by Khovanov and Rozansky has not been proven to be functorial and probably is not.

---

2 We thank Mikhail Khovanov for explaining the results in this paragraph to us.
Before we prove the crucial lemma we have to prove an auxiliary result. In the following lemma the top and the bottom of the diagram are complexes. The reader can easily check the following auxiliary result.

**Lemma 5.1.** The diagram below gives a homotopy equivalence between the top and the bottom complex.

![Diagram](image)

Using the lemma above we can now prove the following crucial lemma.

**Lemma 5.2.** We have the homotopy equivalence

\[(5.1) \cong \]

*Proof.* Note that the complex of bimodules \(C\) associated to the r.h.s. of Equation (5.1) is given by

\[\text{Complex } C: \quad q^4 \]

The complex \(C'\) associated to the l.h.s. is given by

\[\text{Complex } C': \quad q^6 \]

\[\text{Complex } C': \quad q^4 \]

\[\text{Complex } C': \quad q^4 \]
By Lemma 3.4 we have

\[ \sim \cong q^2 \oplus A \]

By Lemma 3.1 we have

\[ \sim \cong q^2 \oplus B' \]

and by Lemma 3.6 we have

\[ \sim \cong q^2 \oplus B' \]

Note that

\[ \sim \cong \]

Finally apply Lemma 5.1, which is justified because

1. \( d: A \rightarrow B \) is zero,
2. \( d: B' \rightarrow D \) is zero,
3. \( d: B \rightarrow B \) is the identity,
4. \( d: B' \rightarrow B' \) is minus the identity,

\[
\begin{align*}
q^2 & \quad \longrightarrow \quad q^{-2} \\
& \quad \longrightarrow \quad q^{-2} \\
& \quad = \quad q^2
\end{align*}
\]

and

\[
\begin{align*}
q^{-2} & \quad \longrightarrow \quad q^{-2} \\
& \quad \longrightarrow \quad q^{-2} \\
& \quad = \quad q^{-2}
\end{align*}
\]
All assertions follow from straightforward computations and can easily be checked. For the first assertion one only has to compute the image of \(1 \otimes 1\), which is indeed zero. For the second, third and fourth it suffices to compute the images of \(1 \otimes 1 \otimes 1\) and \(1 \otimes x_1 \otimes 1\). For the fifth it suffices to compare the images of \(1 \otimes 1\). For the sixth, one has to compare the images of \(1 \otimes 1 \otimes 1\), \(1 \otimes 1 \otimes x_3 \otimes 1\) and \(x_3 \otimes 1 \otimes 1 \otimes 1\).

Of course there are also homotopy equivalences analogous to the one in Lemma 5.2 for a negative crossing or an 11-splitting of the right top strand.

In the following lemma the top and bottom parts are complexes again.

**Lemma 5.3.** If \(sf = 0\), the diagram below defines a homotopy equivalence between the top and the bottom complex.

\[
\begin{array}{ccc}
A \oplus A' & \xrightarrow{(f, -1)} & C \oplus A' \\
\downarrow & & \downarrow \quad (id, 0)
\end{array}
\]

\[
\begin{array}{ccc}
A & \xrightarrow{(1, 0)} & B \\
\downarrow h & & \downarrow id
\end{array}
\]

\[
\begin{array}{ccc}
B & \xrightarrow{(g, f)} & C
\end{array}
\]

\[
\begin{array}{ccc}
D': 0 & \xrightarrow{q^2} & 0
\end{array}
\]

\[
\begin{array}{ccc}
D: & \xrightarrow{(1, 0)} & (0, 0, 0, -1)
\end{array}
\]

**Proof.** All maps are indicated in the figure, so the reader can check everything easily. Two identities are helpful: since the top of the figure is a complex, we have

\[-jh = r + sg \quad \text{and} \quad fh = g - u.\]

**Lemma 5.4.** We have the homotopy equivalence

\[
(5.2) \begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
\begin{array}{ccc}
1 & \xrightarrow{f^1} & 1 \\
1 & \xrightarrow{f^2} & 2
\end{array}
\end{array}
\end{array}
\end{array}
\end{array}
\end{array}
\end{array}
\end{array}
\end{array}
\]
We can apply Lemma 5.3 because
(1) $f$ is equal to the identity,
(2) $u$ is equal to minus the identity,
(3) $sf = 0$ and

(4) $q^{-2}$

Since we have given all the maps, the reader can check the claims by straightforward computations. Note that for the first two claims it suffices to compute the image of $1 \otimes 1$. For the last two claims one has to compute the images of $1 \otimes 1 \otimes 1$ and $1 \otimes x_2 \otimes 1$. □

Again, there are analogous homotopy equivalences for a negative crossing or if one swaps the 1- and 2-strands in the lemma above.

6. Invariance under the Markov moves

6.1. Hochschild homology of bimodules as the homology of a Koszul complex of polynomial rings. The Hochschild homology of a bimodule over the polynomial ring can be obtained as the homology of a corresponding Koszul complex of certain polynomial rings in many variables. This idea was explained and used by Khovanov in \cite{6}. Here we shall briefly describe how to extend it to our case.
First of all, we change our polynomial notation in this section. Namely, the polynomial ring \( R_{i_1 \ldots i_k} \) can be represented as the polynomial ring in the variables which are the \( i_1 \) elementary symmetric polynomials in the first \( i_1 \) variables, the \( i_2 \) elementary symmetric polynomials in the following \( i_2 \) variables, etc., and the \( i_k \) elementary symmetric polynomials in the last \( i_k \) variables. In this section we will always work with these “new” variables, i.e. the elementary symmetric polynomials, because it is more convenient for our purposes here. Thus to each strand labelled by \( k \) we associate the \( k \) variables \( x_1, \ldots, x_k \), such that the degree of \( x_i \) is equal to \( 2i \) for \( i = 1, \ldots, k \).

To begin with, we describe which polynomial ring to associate to a web. Take a web and choose a height function to separate the vertices according to height. This way chop up the web into several layers with only one vertex. To each layer we associate a new set of variables. To each vertex with incident edges labelled \( i, j \) and \( i + j \), we associate the \( i + j \) polynomials which are the differences of the \( k \)-th symmetric polynomials in the outgoing variables and the incoming variables for every \( k = 1, \ldots, i + j \). Moreover, if there are two different sets of variables \( x_1, \ldots, x_k \) and \( x'_1, \ldots, x'_k \) associated to a given edge labelled \( k \), we also associate the \( k \) polynomials \( x_i - x'_i \) for all \( i = 1, \ldots, k \). Finally, to the whole graph we associate the polynomial ring in all the variables modded out by the ideal generated by all the polynomials associated to the vertices and edges.

There is an isomorphism between these polynomial rings and the corresponding bimodules associated to the graph. Indeed, to the tensor product \( p(x) \otimes q(x) \) in variables \( x \) there corresponds the polynomial \( p(x)q(x) \), where the variables \( x \) are of the bottom layer and \( x' \) are of the top layer. Loosely speaking, the position of the factor in the tensor product corresponds to the same polynomial in the variables corresponding to the layer.

Let us do an example:

**Example 6.1.** Consider the web \( \chi_{21} \):

![Web diagram](#)

The polynomial ring associated to this web is the ring

\[
P_{\chi_{21}} := \mathbb{C}[x_1, x_2, y_1, x'_1, x'_2, y'_1].
\]

The ideal \( I_{\chi_{21}} \) by which we have to quotient is generated by the differences of the symmetric polynomials in all top and bottom variables (since the middle edge is labelled by 3). There are three elementary symmetric polynomial in this case: \( \Sigma_1 = x_1 + y_1 \), \( \Sigma_2 = x_2 + x_1 y_1 \) and \( \Sigma_3 = x_2 y_1 \), and so

\[
I_{\chi_{21}} = \langle x_1 + y_1 - x'_1 - y'_1, x_2 + x_1 y_1 - x'_2 - x'_1 y'_1, x_2 y_1 - x'_2 y'_1 \rangle.
\]

Hence, the polynomial ring we associate to it is given by

\[
R_{\chi_{21}} = P_{\chi_{21}} / I_{\chi_{21}}.
\]
On the other hand, the bimodule $\hat{X}_{21}$ associated to the web $X_{21}$ is $R_{21} \otimes_R R_{21}$, and its elements are a linear combination of elements of the form $p(x_1, x_2, y_1) \otimes q(x_1, x_2, y_1)$ for some polynomials $p$ and $q$. Finally, the isomorphism between $\hat{X}_{21}$ and $R_{21}$ is given by

$$p(x_1, x_2, y_1) \otimes q(x_1, x_2, y_1) \leftrightarrow p(x_1', x_2', y_1')q(x_1, x_2, y_1).$$

In such a way we have obtained the bijective correspondence between the bimodules $\hat{R}$ and the polynomial rings $R_{\Gamma}$ that are associated to the open trivalent graph $\Gamma$. The closure of $\Gamma$ in the bimodule picture corresponds to taking the Hochschild homology of $\hat{R}$. In the polynomial ring picture this is isomorphic to the homology of the Koszul complex over $R_{\Gamma}$ which is the tensor product of the complexes

$$0 \rightarrow R_{\Gamma}\{-1, 2i - 1 \} \rightarrow R_{\Gamma} \rightarrow 0,$$

where the $x_i$'s are the bottom layer variables and the $x_i'$'s are the top layer variables. We put the right-hand side term in (co)homological degree zero. The first shift is in the Hochschild (homological) degree, and the second one is in the $q$-degree, so that the maps have bi-degree $(1, 1)$.

6.2. Invariance under the first Markov move. Essentially we have to show that the Hochschild homology of the tensor product $B_1 \otimes B_2$ of the bimodules $B_1$ and $B_2$ is isomorphic to the Hochschild homology of $B_2 \otimes B_1$, i.e.

$$HH(B_1 \otimes B_2) = HH(B_2 \otimes B_1).$$

We shall prove this by passing to the polynomial ring and Koszul complex description from above. Recall that to the open trivalent graph $\Gamma$ we have associated the polynomial algebra $P_{\Gamma}$ (the ring of polynomials in all variables) quotiented by the ideal $I_{\Gamma}$ generated by certain polynomials. Since for each layer we introduced new variables, the sequence of these polynomials is regular, and so we have that $R_{\Gamma} = P_{\Gamma}/I_{\Gamma}$ is the homology of the Koszul complex obtained by tensoring together all complexes of the form

$$0 \rightarrow P_{\Gamma} \rightarrow P_{\Gamma} \rightarrow 0$$

for $f \in I_{\Gamma}$. We call this the Koszul complex generated by $I_{\Gamma}$.

Finally, the object associated to the closure of the graph $\Gamma$ is given by the homology of the Koszul complex defined by (6.1), so it is isomorphic to the homology of the Koszul complex generated by the polynomials which define $I_{\Gamma}$ together with the polynomials $x_i - x_i'$ which come from the closure. If $\Gamma$ is the vertical glueing of $\Gamma_1$ and $\Gamma_2$, then in $I_{\Gamma}$ we have the polynomials $y_j - y_j'$ which correspond to the edges which are glued together. The Hochschild homology of $\hat{\Gamma}_1 \otimes \hat{\Gamma}_2$ is isomorphic to the homology generated by $I_{\Gamma_1}, I_{\Gamma_2}$ and the polynomials $x_i - x_i'$ and $y_j - y_j'$. Clearly the same holds for $\hat{\Gamma}_2 \otimes \hat{\Gamma}_1$, with the role of the $x_i - x_i'$ and $y_j - y_j'$ interchanged. Thus we have proved (6.2).

6.3. Invariance under the second Markov move. Invariance under the second Markov move corresponds to invariance under the Reidemeister move I. If the strand involved is labelled 1, the result was proved by Khovanov and Khovanov and Rozansky (see below).
It remains to show invariance if the strand is labelled 2. For both the positive and the negative crossing, we have the same three resolutions:

For each of these, we shall give its description in a polynomial language and compute the homology of the corresponding Koszul complex.

The resolution $\downarrow \uparrow$: Before closing the right strand, we have an open graph. To the bottom layer we associate variables $x_1$ and $x_2$ to the left strand, and $y_1$ and $y_2$ to the right strand, while to the top layer we associate variables $x'_1$ and $x'_2$ to the left strand, and $y'_1$ and $y'_2$ to the right strand. Then the ring $R_{\downarrow \uparrow}$, which we associate to it, is the ring of polynomials in all these variables modded out by the ideal generated by the polynomials

$$x'_1 - x_1, \quad x'_2 - x_2, \quad y'_1 - y_1, \quad y'_2 - y_2,$$

i.e. it is isomorphic to the ring

$$B := \mathbb{C}[x_1, x_2, y_1, y_2].$$

The resolution $\uparrow \downarrow$: The variables that we associate to the bottom and the top layers are the same as above. To the bottom middle strand we associate the variable $z_1$, to the top middle strand we associate $z'_1$ and to the right strand we associate the variable $t_1$. Then the corresponding ring $R_{\uparrow \downarrow}$ is the ring of polynomials in all these variables, modded out by the ideal generated by the polynomials

$$y_1 - z_1 - t_1, \quad y_2 - z_1 t_1, \quad y'_1 - z'_1 - t_1, \quad y'_2 - z'_1 t_1,$$

$$x_1 + z_1 - x'_1 - z'_1, \quad x_2 + x_1 z_1 - x'_2 - x'_1 z'_1, \quad x_2 z_1 - x'_2 z'_1.$$

From the first four relations, we can exclude $t_1$ and obtain the quadratic relations for $z_1$ and $z'_1$:

$$z_1^2 = y_1 z_1 - y_2 \quad \text{and} \quad z'_1^2 = y'_1 z'_1 - y'_2.$$

Hence, every element from $R_{\uparrow \downarrow}$ can be written as $a + b z_1 + c z'_1 + d z_1 z'_1$, where $a, b, c$ and $d$ are polynomials only in $x$'s and $y$'s (with or without primes).

The resolution $\downarrow \uparrow$: The variables we associate to the bottom and top layers are again the same. This time we obtain the ring $R_{\downarrow \uparrow}$ by quotienting by the ideal generated by the following four polynomials:

$$x_1 + y_1 - x'_1 - y'_1, \quad x_2 + y_2 + x_1 y_1 - x'_2 - y'_2 - x'_1 y'_1,$$

$$x_2 y_1 + x_1 y_2 - x'_2 y'_1 - x'_1 y'_2, \quad x_2 y_2 - x'_2 y'_2.$$

To the closure of the right strands of each of these graphs there corresponds the homology of the tensor product of the following two Koszul complexes:

$$0 \longrightarrow R_\Gamma \{ -1, 1 \} \xrightarrow{y_1 - y'_1} R_\Gamma \longrightarrow 0,$$

$$0 \longrightarrow R_\Gamma \{ -1, 3 \} \xrightarrow{y_2 - y'_2} R_\Gamma \longrightarrow 0.$$
Hence, in all three cases we can have homology in three homological gradings, 0, −1 and −2. We denote this homology by $HH^R(\Gamma)$.

In the case of 11 we have that both differentials are 0. Hence

$$HH^R_0(11) = B = \mathbb{C}[x_1, x_2, y_1, y_2],$$
$$HH^R_1(11) = B\{1\} \oplus B\{3\},$$
$$HH^R_2(11) = B\{4\}.$$

For the other two cases the computations are a bit more involved, and we want to explain the general idea first. The Hochschild homology is the homology of a complex which is the tensor product of complexes of the form

$$0 \longrightarrow P/I \xrightarrow{p} P/I \longrightarrow 0,$$

where $P$ is a polynomial ring, $I$ is an ideal and $p \in R$ is a polynomial. Let us explain how to compute the homology of one such complex.

The main part is the computation of the kernel and the cokernel of the map above. The cokernel is easily computed and is equal to the quotient ring $P/(I, p)$. Now, let us pass to the kernel. For any polynomial $q \in P$ to be in the kernel, i.e. to be a cocycle, we must have $pq \in I$. In other words, we have to compute the colon ideal $Q = (I : pP)$. In the cases we are interested in, $Q$ is always a principal ideal, i.e. $Q = qP$ for some $q \in P$. Then the kernel is given by $Q/I = qP/I$. However, this is isomorphic to $Q/(I, p)$, since $pq \in I$, which is the form in which we present the results below.

It is not hard to extend these calculations to a tensor product of complexes of the above form. In particular, all homologies are certain ideals, modded out by the ideal generated by $I_1$ and the polynomials $y_i - y'_i$, $i = 1, 2$, which in particular includes the polynomials $x_i - x'_i$, $i = 1, 2$, and also $z_1 - z'_1$ in the case of the web $\gamma$. Hence, in the homology, all variables with primes are equal to the corresponding variables without the primes.

In the case of $\gamma$ we have that $y_2 - y'_2 = t_1(z_1 - z'_1) = (y_1 - z_1)(y_1 - y'_1)$, and straightforward computations along the lines sketched above give

$$HH^R_0(\gamma) = A = \mathbb{C}[x_1, x_2, y_1, y_2, z_1] / (z_1^2 - y_1 z_1 + y_2),$$
$$HH^R_1(\gamma) = \{(y_1 - z_1)g + (x_2 - y_2 - z_1(x_1 - y_1))h, g, h \in A\} \subset A\{1\} \oplus A\{3\},$$
$$HH^R_2(\gamma) = (x_2 - y_2 - z_1(x_1 - y_1))A\{4\}.$$  

Note that we have $A \cong B \oplus z_1B$.

Finally, in the case of $\chi$, we obtain

$$HH^R_0(\chi) = B = \mathbb{C}[x_1, x_2, y_1, y_2],$$
$$HH^R_{-1}(\chi) = \{(-c(x_2 - y_2) + (cy_1 + dy_2)(x_1 - y_1), c(x_1 - y_1) + d(x_2 - y_2)) | c, d \in B\} \subset B\{1\} \oplus B\{3\},$$
$$HH^R_{-2}(\chi) = pB\{4\},$$

where $p = (x_2 - y_2)^2 + (x_1 - y_1)(x_1y_2 - x_2y_1)$.

Now, we pass to the differentials. In our polynomial notation, in the case of the positive crossing, the maps are (up to a non-zero scalar)

$$R_{11} \rightarrow R_{\gamma}(-4) : 1 \mapsto (x_2 + x'_2 - y_2 - y'_2) + (y_1 - x'_1)z_1 + (y'_1 - x_1)z'_1.$$
In the case of the second map \((\mathcal{X} \rightarrow q^{-2}\mathcal{X})\), it is given by the coefficient of \(z_1z'_1\) after multiplication with
\[
-y_1^3 + 2y_1y_2 + x_1'(y_1^2 - y_2) - x_2y_1 - y_1^3 + 2y'_1y'_2 + x_1(y_1'^2 - y_2') - x_2y'_1 + z_1z'_1(x_1 + x'_1 - y_1 - y'_1).
\]
Recall that the elements of \(R_{s_2}\) are of the form \(a + bz_1 + cz'_1 + dz_1z'_1\), where \(a, b, c\) and \(d\) are the polynomials only in \(x\)'s and \(y\)'s, while \(z_2^2 = y_1z_1 - y_2\) and \(z'_1^2 = y_1'z'_1 - y_2'\).

For the negative crossing, the maps are the following:
\[
R_{s_2} \rightarrow R_{s_1} : 1 \mapsto 1
\]
and
\[
R_{s_2} \rightarrow R_{s_1}\{2\} : a + bz_1 + cz'_1 + dz_1z'_1 \mapsto b + c + dy_1.
\]

Since we are interested in the differentials in the case when the right strands are closed, in the homology all variables with primes are equal to the ones without the primes (as we explained above), and the differentials reduce to the following (again up to a non-zero scalar):
\[
\begin{align*}
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array}
\end{align*} : 1 \mapsto (x_2 - y_2) - (x_1 - y_1)z_1,
\]
\[
\begin{align*}
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array}
\end{align*} : a + b \mapsto a(x_1 - y_1) + b(x_2 - y_2),
\]
\[
\begin{align*}
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array}
\end{align*} : 1 \mapsto 1,
\]
\[
\begin{align*}
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array} \\
&\begin{array}{c}
\xymatrix{ & & & & & & & \ar@{-}[l]
\end{array}
\end{align*} : a + b \mapsto b,
\]
where \(a, b \in B\).

Now, by straightforward computation of the homology for both positive and negative crossing in each Hochschild degree, we obtain the following simple behaviour of \(\text{HHH}\) under the Reidemeister I move:
\[
\text{HHH} \left( \begin{array}{c}
\xymatrix{ & & & & & & \ar@{-}[l]
\end{array} \\
\end{array} \right) = \text{HHH} \left( \begin{array}{c}
\xymatrix{ & & & & & & \ar@{-}[l]
\end{array} \\
\end{array} \right),
\]
\[
\text{HHH} \left( \begin{array}{c}
\xymatrix{ & & & & & & \ar@{-}[l]
\end{array} \\
\end{array} \right) = \text{HHH} \left( \begin{array}{c}
\xymatrix{ & & & & & & \ar@{-}[l]
\end{array} \\
\end{array} \right)\{2\}\{−2,−2\}.
\]
We recall that \( \langle i \rangle \) denotes the upward shift by \( i \) in the homological degree, while \( \{ k, l \} \) denotes the upward shift by \( k \) in the Hochschild degree and by \( l \) in the \( q \)-degree.

For the Reidemeister I move involving a strand labelled 1, we get a similar shift (see \footnote{[9, 8]}, or apply the same methods as above):

\[
\begin{align*}
HHH & \left( \begin{array}{c}
\circ \\
\_1
\end{array} \right) = HHH \left( \begin{array}{c}
\circ \\
\_1
\end{array} \right), \\
HHH & \left( \begin{array}{c}
\circ \\
\_1
\end{array} \right) = HHH \left( \begin{array}{c}
\circ \\
\_1
\end{array} \right) \langle 1 \rangle \{ -1, -1 \}.
\end{align*}
\]

The overall shift in the definition of \( H_{1,2}(D) \) compensates this behaviour under the Reidemeister I moves, and we get a genuine link invariant.

6.4. Categorification of the axioms (A1) and (A2). In this subsection we shall show that our construction categorifies the axioms (A1) and (A2) of the MOY calculus, which include the closures of the strands. The powers of \( q \) in (A1) and (A2) will correspond to the internal \( q \)-grading (grading of the polynomial ring), while the powers of \( t \) will correspond to the Hochschild grading.

First we focus on the (A1) axiom for arbitrary \( k \). The circle is the closure of the single strand labelled with \( k \). Denote the graph that consists of this strand by \( \uparrow_k \) and the variables that we associate to it by \( x_1, \ldots, x_k \) (remember that in this section we assume that \( \deg x_i = 2i \)). Then to this \( \uparrow_k \) we associate the ring \( R_{\uparrow_k} = \mathbb{C}[x_1, \ldots, x_k] \), and to its closure the Hochschild homology \( HH(\uparrow_k) \) which is the homology of the Koszul complex obtained by tensoring

\[
0 \longrightarrow R_{\uparrow_k} \{ -1, 2i - 1 \} \longrightarrow 0
\]

for all \( i = 1, \ldots, k \). Hence we have

\[
HH(\uparrow_k) = \bigotimes_{i=1}^{k} (\mathbb{C}[x_1, \ldots, x_k] \oplus \mathbb{C}[x_1, \ldots, x_k]\{ -1, 2i - 1 \}),
\]

which categorifies axiom (A1).

Now we consider axiom (A2). The left-hand side of the axiom is the closure of the right strand of the graph, which we denoted by \( \chi_{ij} \). As we previously said, we are only interested in the cases when the indices \( i \) and \( j \) are from the set \{1, 2\}, and so we have four cases. Each of these cases we treat in the same way as the case of \( \chi_{22} \), which we dealt with in the previous subsection. We associate the variables \( x_1, \ldots, x_i \) and \( x'_1, \ldots, x'_i \) to the strands on the left-hand side and the variables \( y_1, \ldots, y_j \) and \( y'_1, \ldots, y'_j \) to the strands on the right-hand side, and compute the homology (that we denote by \( HH^R(\chi_{ij}) \)) of the tensor product of the Koszul complexes

\[
0 \longrightarrow R_{\chi_{ij}} \{ -1, 2l - 1 \} \longrightarrow 0
\]

for \( l = 1, \ldots, j \). In the remaining part of the graph, the variables \( y \) don’t appear again, while as before, in the \( HH^R(\chi_{ij}) \) we have that \( x_l = x'_l \), for all \( l = 1, \ldots, i \).

Finally, the right-hand side of axiom (A2) is \( \uparrow_i \), to which we associate the variables \( x_1, \ldots, x_i \), and consequently the ring \( R_{\uparrow_i} = \mathbb{C}[x_1, \ldots, x_i] \).
Now, in the four cases we are interested in, the homologies are the following:

\[ i = j = 1 : \] The corresponding ring in this case is \( R_{\mathcal{X}_{11}} = \mathbb{C}[x_1, x'_1, y_1, y'_1]/(x_1 + y_1 - x'_1 - y'_1, x_1y_1 - x'_1y'_1) \), while \( HH_{\mathcal{X}_{11}} \) is the homology of the complex

\[ 0 \to R_{\mathcal{X}_{11}} \{ -1, 1 \} \xrightarrow{y_1 - y'_1} R_{\mathcal{X}_{11}} \to 0. \]

Direct computation of this homology gives

\[
HH_0^{R}(\mathcal{X}_{11}) = \mathbb{C}[x_1, y_1], \\
HH_{-1}^{R}(\mathcal{X}_{11}) = (x_1 - y_1)\mathbb{C}[x_1, y_1]\{(1\},
\]

which categorifies \( \text{(A2)} \) in this case.

\[ i = 2, j = 1 : \] The corresponding ring in this case is

\[ R_{\mathcal{X}_{21}} = \mathbb{C}[x_1, x_2, x'_1, x'_2, y_1, y'_1]/(x_1 + y_1 - x'_1 - y'_1, x_1y_1 + x_2 - x'_1y'_1 - x'_2, x_2y_1 - x'_2y'_1), \]

while \( HH_{\mathcal{X}_{21}} \) is the homology of the complex

\[ 0 \to R_{\mathcal{X}_{21}} \{ -1, 1 \} \xrightarrow{y_1 - y'_1} R_{\mathcal{X}_{21}} \to 0. \]

Then we have

\[
HH_0^{R}(\mathcal{X}_{21}) = \mathbb{C}[x_1, x_2, y_1], \\
HH_{-1}^{R}(\mathcal{X}_{21}) = (x_2 - x_1y_1 + y_1^2)\mathbb{C}[x_1, x_2, y_1]\{(1\},
\]

as wanted.

\[ i = 1, j = 2 : \] The corresponding ring in this case is

\[ R_{\mathcal{X}_{12}} = \mathbb{C}[x_1, x'_1, y_1, y_2, y'_1, y'_2]/(x_1 + y_1 - x'_1 - y'_1, x_1y_1 + y_2 - x'_1y'_1 - y'_2, x_1y_2 - x'_1y'_2), \]

while \( HH_{\mathcal{X}_{12}} \) is the homology of the complex obtained by tensoring

\[ 0 \to R_{\mathcal{X}_{12}} \{ -1, 1 \} \xrightarrow{y_1 - y'_1} R_{\mathcal{X}_{12}} \to 0 \]

and

\[ 0 \to R_{\mathcal{X}_{12}} \{ -1, 3 \} \xrightarrow{y_2 - y'_2} R_{\mathcal{X}_{12}} \to 0. \]

Thus, we obtain

\[
HH_0^{R}(\mathcal{X}_{12}) = \mathbb{C}[x_1, y_1, y_2], \\
HH_{-1}^{R}(\mathcal{X}_{12}) = \{(c(x_1 - y_1) + dy_2, -c + dx_1)|c, d \in \mathbb{C}[x_1, y_1, y_2]\} \\
\cap \mathbb{C}[x_1, y_1, y_2]\{(1\} \oplus \mathbb{C}[x_1, y_1, y_2]\{(3\}, \\
HH_{-2}^{R}(\mathcal{X}_{12}) = (x_1^2 - x_1y_1 + y_2)\mathbb{C}[x_1, y_1, y_2]\{(4\),
\]

which categorifies \( \text{(A2)} \) in this case.

\[ i = j = 2 : \] We have already computed this case in the previous subsection in the formulas \([4.23] - [4.25]\), which gives the categorification of \( \text{(A2)} \) in this case.

The categorification of axiom \( \text{(A2)} \) for general labellings is obtained in \([11]\).
7. Computation of the 1,2-coloured Hopf Link

Consider the following braid diagram labelled 1 and 2:

\[
\mathcal{K}_{2,1} = \begin{array}{c}
\cdot \\
\cdot \\
\end{array}
\]

The complex of bimodules associated to \( \mathcal{K}_{2,1} \) is

\[
\begin{align*}
q^4 & \rightarrow 1 \\
1 & \rightarrow q^2 \\
\end{align*}
\]

where \( d^+_{12} \) and \( d^+_{21} \) are as given in Section 4 and the rightmost term is in cohomological degree zero. The bimodules in cohomological degree \(-1\) are both isomorphic to \( \mathcal{X}_{21} \oplus \mathcal{Y}_{21}(2) \) shifted up by 2. Using the direct sum decompositions (A3) and (A5) of Lemmas 3.1 and 3.3 one finds that the complex associated to \( \mathcal{K}_{21} \) is homotopy-equivalent to

\[
(7.1) \quad q^6 \mathbb{C}[x_1, x_2, y_1] \xrightarrow{\Delta_{21}} q^2 R_{21} \oplus \mathbb{C}[x_3 \otimes 1 \otimes x_3] \xrightarrow{x_3 \otimes 1 \otimes x_2} R_{21} \oplus \mathbb{C}[x_1].
\]

or, in the language of bimodules,

\[
q^6 \mathbb{R}_{21} \xrightarrow{\Delta_{21}} q^2 R_{21} \otimes_3 R_{21} \xrightarrow{x_3 \otimes 1 \otimes x_3} R_{21} \otimes_3 R_{21}.
\]

Closing the strands of \( \mathcal{K}_{2,1} \) gives the 1,2-coloured Hopf link \( \otimes_{2,1} \). To obtain the HOMFLY-PT homology we have to take the Hochschild homology of the complex above. We use the notation and conventions of Section 6. More precisely, the bimodules \( R_{21} \) and \( R_{21} \otimes_3 R_{21} \) become the polynomial rings \( \mathbb{C}[x_1, x_2, y_1] \) and \( R_{\mathcal{X}_{21}} \), respectively (see Example 6.1). Then the complex becomes the following complex of polynomial rings:

\[
q^6 \mathbb{C}[x_1, x_2, y_1] \xrightarrow{\gamma^2 - x_1 y_1 + x_2} q^2 \mathbb{R}_{\mathcal{X}} \xrightarrow{y_1 - y'_1} R_{21} \otimes_3 R_{21}.
\]

The Hochschild homology groups can be computed as at the end of Section 6.
The complex in Hochschild degree $-3$ is
\[ q^{11} R_{21} \overset{1}{\rightarrow} q^{11} R_{21} \overset{0}{\rightarrow} q^9 R_{21} \]
and has homology $q^9 R_{21}$ in cohomological degree 0.

The complex in Hochschild degree $-2$ is
\[
\begin{pmatrix}
q^{10} R_{21} \\
q^9 R_{21} \\
q^{10} R_{21}
\end{pmatrix}
\xrightarrow{egin{pmatrix}
\Delta_{21} & 0 & 0 \\
0 & 1 & 0 \\
1 & 0 & 1
\end{pmatrix}}
\begin{pmatrix}
q^6 R_{21} \\
q^5 R_{21} \\
q^{10} R_{21}
\end{pmatrix}
\xrightarrow{0}
\begin{pmatrix}
q^4 R_{21} \\
q^3 R_{21} \\
q^{10} R_{21}
\end{pmatrix}
\]
and has homology $q^6 R_{21}/\Delta_{21}(1) \equiv q^6 R_{11}$ in cohomological degree $-1$, and $q^4 R_{21} \oplus q^5 R_{21} \oplus q^6 R_{21}$ in cohomological degree 0.

The complex in Hochschild degree $-1$ is
\[
\begin{pmatrix}
q^7 R_{21} \\
q^6 R_{21} \\
q^{10} R_{21}
\end{pmatrix}
\xrightarrow{egin{pmatrix}
\Delta_{21} & 0 & 0 \\
0 & \Delta_{21} & 0 \\
1 & 0 & 1
\end{pmatrix}}
\begin{pmatrix}
q^3 R_{21} \\
q^2 R_{21} \\
q^7 R_{21}
\end{pmatrix}
\xrightarrow{0}
\begin{pmatrix}
q R_{21} \\
q^2 R_{21} \\
q^7 R_{21}
\end{pmatrix}
\]
and has homology $q^3 R_{11} \oplus q^5 R_{11}$ in cohomological degree $-1$, and $q R_{21} \oplus q^3 R_{21} \oplus q^5 R_{21}$ in cohomological degree 0.

The complex in Hochschild degree 0 is
\[ q^6 R_{21} \xrightarrow{\Delta_{21}} q^2 R_{21} \xrightarrow{0} R_{21} \]
and has homology $q^2 R_{11}$ in cohomological degree $-1$, and $R_{21}$ in cohomological degree 0.

Therefore the Poincaré polynomial of $HHH(\bigotimes_{2,1})$ is
\[
P(\bigotimes_{2,1}) = \frac{u^{-3/2}q^{3/2}q^{3/2}}{1 - q^3}
\left(
u^{-1}(t^{-2}q^6 + t^{-1}(q^3 + q^5) + q^2)
\right.
\left.
+ \frac{t^{-3}q^9 + t^{-2}(q^4 + q^6 + q^8) + t^{-1}(q + q^3 + q^5) + 1}{1 - q^4}
\right),
\]
where the variables $t$ and $u$ correspond to the Hochschild and the cohomological degrees, respectively, and we have included the normalization defined at the end of Section 4.

In [4] Gukov, Iqbal, Kozcaz and Vafa (GIKV) conjectured the existence of a triply graded link homology for links with components coloured by arbitrary representations of $sl(N)$. This conjecture is motivated by the physics of topological strings. They established a map between the refined topological vertex and the $sl(N)$ homological invariants of the Hopf link. When the components of the Hopf link are coloured with the first and second fundamental representations of $sl(N)$, the GIKV’s superpolynomial is equal to
\[
P(\bigotimes_{\text{2,1}})(\bigotimes, q, a) = \frac{1}{(1 - q^2)^2}
\left(a^{-3} - a^{-1}(q^{-2} + 1) + aq^{-2}
\right.
\left.
+ \frac{a^{-3}q^6 - a^{-1}(q^2 + q^4 + q^6) + a(1 + q^2 + q^4) - a^3}{1 - q^2}
\right),
\]
where $\pi$ corresponds to the cohomological degree.
The change of variables

$$(a^{-2}, u^2) \rightarrow (-t^{-1}q, uq^{-3}t^{-1})$$

in $\mathcal{P}(\bigotimes)(\bar{u}, q, a)$ gives a multiple of $\mathcal{P}(\bigotimes_{2,1})$:

$$\mathcal{P}(\bigotimes)(u^{1/2}t^{-1/2}q^{-3/2}, \bar{u}, q, it^{-1/2}q^{1/2}) = iu^{5/2}t^{-2}q^{-6}\mathcal{P}(\bigotimes_{2,1})(u, q, t),$$

the difference being due to different normalizations and conventions (cf. the values for the unknot).

8. CONJECTURES ABOUT THE HIGHER FUNDAMENTAL REPRESENTATIONS

Ideas similar to the ones in this paper will probably work for link components labelled with arbitrary MOY labels.

First of all, to the open MOY web $\Gamma$ we associate the bimodule $\hat{\Gamma}$ in the same way. In order to define the resolutions for the crossings, in principal one only has to know which maps to associate to the zip, the unzip, the digon creation and the digon annihilation. For the zip and the unzip our candidates are given in the following definition.

**Definition 8.1.** We define the linear maps

$$\mu_{ij}: \bar{x}_{ij} \rightarrow \bar{\bar{f}}_{ij} \quad \text{and} \quad \Delta_{ij}: \bar{f}_{ij} \rightarrow \bar{x}_{ij}$$

by

$$\mu_{ij}(a \otimes b) = ab$$

and

$$\Delta_{ij}(1) = \sum_{\alpha = (a_1, \ldots, a_i) \, \beta \geq a_1 \geq \cdots \geq a_i \geq 0} (-1)^{\alpha} (\pi_\alpha \otimes \pi_{\alpha^*} + \pi_{\alpha^*} \otimes \pi_\alpha),$$

where $\alpha^*$ is the conjugate partition of the complementary partition $\alpha^* = (j - a_i, \ldots, j - a_1)$ and $\pi_\alpha$ (respectively, $\pi_{\alpha^*}$) is the Schur polynomial in the first $i$ variables (respectively, last $j$ variables). The conjugate (dual) partition of the partition $\beta = (\beta_1, \ldots, \beta_k)$ is the partition $\bar{\beta} = (\bar{\beta}_1, \bar{\beta}_2, \ldots)$, where $\bar{\beta}_j = \sharp\{i | \beta_i \geq j\}$. We also define $|\alpha| = \sum_{i=1}^k \alpha_i$.

The following lemma is proved in [11]:

**Lemma 8.2.** $\mu_{ij}$ and $\Delta_{ij}$ are $R_{ij}$-bimodule maps.

Note that the lemma above implies that $\Delta_{ij}$ is the coproduct in the commutative Frobenius extension $H_{U(i+j)}(G(i, i+j))$ with respect to the trace defined by $\text{tr}(\pi_{j, \ldots, j}) = 1$.

The digon creation and annihilation maps are deduced from Lemma 3.1.
Before we explain the complexes that we associate to the crossings, we call attention to the following isomorphisms:

\[
\begin{align*}
&\frac{a+c+d}{a+b-c-d} = \frac{a+b+c+d-2c}{a+b-c-d} \\
&\frac{a-c-d}{a+b-c-d} = \frac{a+b+c+d}{a+b-c-d} \\
&\frac{a-c}{a+b-c-d} = \frac{a+b+c+d-2c}{a+b-c-d} \\
\end{align*}
\]

To each positive crossing such that \(i \leq j\) we associate a complex of resolutions (see Figure 16)

\[
\hat{\Gamma}_0\{i(i+1)\} \xrightarrow{d_i^+} \hat{\Gamma}_1\{(i-1)i\} \xrightarrow{d_i^+} \hat{\Gamma}_2\{(i-2)(i-1)\} \xrightarrow{d_i^+} \cdots \xrightarrow{d_i^+} \hat{\Gamma}_i,
\]

where we put \(\hat{\Gamma}_i\) in the homological degree 0. Our candidates for the differentials are indicated in Figure 17. Note that we have used the isomorphisms above.

Figure 16. A positive crossing, the web \(\Gamma_k\), and a negative crossing.

To negative crossings with \(i \leq j\) we associate the following complex of bimodules:

\[
\hat{\Gamma}_i\{-2ij\} \xrightarrow{d_i^+} \hat{\Gamma}_{i-1}\{-2ij\} \xrightarrow{d_i^+} \hat{\Gamma}_{i-2}\{2-2ij\} \xrightarrow{d_i^+} \cdots \xrightarrow{d_i^+} \hat{\Gamma}_{0}\{(i-1)i-2ij\},
\]

where again we put \(\hat{\Gamma}_i\) in the homological degree 0. The differentials are obtained by inverting all arrows in Figure 17.

In the case when \(i < j\), by looking at the picture from the “other side of the paper” (i.e. by rotation around the \(y\)-axis), we obtain the crossing of the above form.

The following lemma is hard to prove directly, as one has to check the statement for a lot of generators. However, in a subsequent paper we will prove this conjecture using a different technique.

**Conjecture 8.3.** \(d_{k+1}^+d_k^+ = 0\) for all \(0 \leq k \leq i-1\).

The other tricks remain largely the same. The crucial conjecture to prove is

**Conjecture 8.4.** We have the homotopy equivalence

\[
\begin{align*}
&\frac{1}{i+1} \xrightarrow{1} \frac{1}{i} \\
&j \xrightarrow{1} i+1 \\
&j+1 \xrightarrow{1} i+1.
\end{align*}
\]
In the meantime Webster and Williamson [13] have obtained the same complex for the coloured HOMFLY-PT link homology as we propose in this section, using geometric methods. This proves Conjecture 8.3 but it would still be nice to have an algebraic proof of this result as well. They have also proved invariance. Since their method is different, it is not clear to us whether it proves our Conjecture 8.4. Anyway, it would be interesting to have an algebraic proof of that conjecture.

References


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