

FAMILIES OF RIEMANN SURFACES, UNIFORMIZATION AND ARITHMETICITY

GABINO GONZÁLEZ-DIEZ AND SEBASTIÁN REYES-CAROCCA

ABSTRACT. A consequence of the results of Bers and Griffiths on the uniformization of complex algebraic varieties is that the universal cover of a family of Riemann surfaces, with base and fibers of finite hyperbolic type, is a contractible 2–dimensional domain that can be realized as the graph of a holomorphic motion of the unit disk.

In this paper we determine which holomorphic motions give rise to these uniformizing domains and characterize which among them correspond to arithmetic families (i.e. families defined over number fields). Then we apply these results to characterize the arithmeticity of complex surfaces of general type in terms of the biholomorphism class of the 2–dimensional domains that arise as universal covers of their Zariski open subsets. For the important class of Kodaira fibrations this criterion implies that arithmeticity can be read from the universal cover. All this is very much in contrast with the corresponding situation in complex dimension one, where the universal cover is always the unit disk.

1. INTRODUCTION AND STATEMENT OF THE MAIN RESULTS

Let $V \rightarrow C$ be a holomorphic family of Riemann surfaces over a Riemann surface C such that the base and the fibers are of finite hyperbolic type. Bers-Griffiths' Uniformization Theorem implies that the holomorphic universal cover (from now on, simply the universal cover) of V is a contractible bounded domain $\mathcal{B} \subset \mathbb{C}^2$ isomorphic to the graph of (the extension to the unit disk of) a holomorphic motion of the unit circle $\Delta \times \mathbb{S}^1 \rightarrow \mathbb{C}$. Domains of this kind are called Bergman domains in Bers' article [4, p. 284].

In this paper we characterize the domains \mathcal{B} that arise as universal covers of families of Riemann surfaces as above (Theorem 3). Moreover, we provide a criterion (Theorem 6) to recognize which among them correspond to arithmetic families, that is, families defined over a number field (see Subsection 1.3 for the precise definition).

While it is classically known that the universal cover of a Riemann surface is isomorphic to the Riemann sphere $\overline{\mathbb{C}}$, the complex plane \mathbb{C} or the unit disk Δ , there seems to be a huge number of possibilities for the universal cover of a complex surface. In the final part of this paper we apply the aforementioned results to show that the arithmeticity (that is, the property of being definable over a number field)

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of a minimal complex projective surface of general type S depends only on whether or not it contains a Zariski open subset $U \subset S$ whose universal cover is isomorphic to a contractible bounded domain of a certain biholomorphic type (Theorem 7).

When S is a Kodaira fibration, in Theorem 7 one can specify $U = S$. This fact allows us to characterize the arithmeticity of Kodaira fibrations in terms of the isomorphism class of their universal covers (Theorem 8). In turn, Theorem 8 strengthens the main result in [18], which says that two Kodaira fibrations with isomorphic universal covers are simultaneously arithmetic or non-arithmetic.

In conclusion we remark that in this respect projective surfaces differ radically from projective curves for which the biholomorphism class of the universal cover is a topological invariant.

1.1. The group sequence associated to a holomorphic motion of \mathbb{S}^1 . Let $E \subset \mathbb{C}$ be a subset of cardinality ≥ 3 . A *holomorphic motion* of E is a function $W : \Delta \times E \rightarrow \mathbb{C}$ satisfying the following properties:

- (a) $W(0, s) = s$ for all $s \in E$.
- (b) $t \mapsto W(t, s)$ is holomorphic for all $s \in E$.
- (c) $s \mapsto W_t(s) := W(t, s)$ is injective for all $t \in \Delta$.

Holomorphic motions were introduced by Mañé, Sad and Sullivan in [35]. They proved the first important result in the topic, the so-called λ -lemma, which says that W is actually a continuous map and that, moreover, the functions W_t are quasiconformal. Probably the deepest result in the subject is Slodkowski’s Theorem [46], which establishes that each holomorphic motion of E admits an extension to a holomorphic motion of the whole Riemann sphere. We refer to the survey articles [14] and [36] for more information on this topic.

We will be concerned with holomorphic motions of the unit circle $W : \Delta \times \mathbb{S}^1 \rightarrow \mathbb{C}$ and their extensions to the unit disk $W : \Delta \times \Delta \rightarrow \mathbb{C}$. An obvious consequence of the λ -lemma and Slodkowski’s Theorem is that a holomorphic motion W of Δ determines a unique holomorphic motion of \mathbb{S}^1 which we will still denote by W .

The *graph of W* is the domain

$$\mathcal{B}_W := \{(t, W(t, z)) : t, z \in \Delta\}.$$

We observe that each of the *quasidisks*

$$D_t := \{W(t, z) : z \in \Delta\}$$

is independent of the choice of the extension of W to Δ and therefore so must the domain \mathcal{B}_W . Now property (b) and the λ -lemma together imply that the projection to the first coordinate $\mathcal{B}_W \rightarrow \Delta$ makes of \mathcal{B}_W a family of quasidisks (see the precise definition in Subsection 1.2 below) whose fiber over each $t \in \Delta$ is precisely D_t .

If $\pi : \mathcal{B} \rightarrow \Delta$ (also written (\mathcal{B}, π)) is a family of quasidisks we denote by $\text{Aut}(\mathcal{B})$ the group of biholomorphic automorphisms of \mathcal{B} and by $\text{Aut}_\pi(\mathcal{B})$ the subgroup consisting of the fiber preserving ones (i.e. those that map each D_t into another fiber $D_{t'}$). Each $\varphi \in \text{Aut}_\pi(\mathcal{B})$ induces an automorphism $\hat{\varphi}$ of the unit disk given by the rule $D_t \mapsto D_{\hat{\varphi}(t)} := \varphi(D_t)$. The correspondence $\varphi \mapsto \hat{\varphi}$ defines a homomorphism $\Theta : \text{Aut}_\pi(\mathcal{B}) \rightarrow \text{Aut}(\Delta)$ which induces an obvious exact sequence of groups

$$1 \longrightarrow \mathbb{K}_\pi \longrightarrow \text{Aut}_\pi(\mathcal{B}) \xrightarrow{\Theta} \Gamma_\pi \longrightarrow 1.$$

The restriction of \mathbb{K}_π to each fiber D_t induces a group homomorphism

$$(1.1) \quad \Phi_t : \mathbb{K}_\pi \rightarrow \text{Aut}(D_t)$$

which by a result of Earle and Marden [12, Corollary 5.1] is injective. We shall denote by K_π^t the image of Φ_t . For the particular case $t = 0$, the monomorphism

$$\Phi_0 : \mathbb{K}_\pi \rightarrow \text{Aut}(D_0)$$

maps \mathbb{K}_π isomorphically onto a subgroup K_π^0 of $\text{Aut}(\Delta)$ which we shall denote simply by K_π . Obviously this fact allows us to rewrite the above group sequence in the equivalent form

$$1 \longrightarrow K_\pi \longrightarrow \text{Aut}_\pi(\mathcal{B}) \xrightarrow{\Theta} \Gamma_\pi \longrightarrow 1.$$

In the case in which (\mathcal{B}, π) is the family induced by a holomorphic motion W we put K_W^t instead of K_π^t and write the previous sequence as

$$1 \longrightarrow K_W \longrightarrow \text{Aut}_\pi(\mathcal{B}_W) \xrightarrow{\Theta} \Gamma_W \longrightarrow 1.$$

We refer to this sequence as the *sequence associated* to the holomorphic motion W and to the subgroups K_W and Γ_W of $\text{Aut}(\Delta)$ as the *base* and the *fiber groups associated* to W .

We will say that a holomorphic motion W is *trivial* if \mathcal{B}_W is isomorphic to the bidisk $\Delta \times \Delta$.

In order to state our results we will also need to bring in the concept of equivariancy. Let K be a group of Möbius transformations that leaves \mathbb{S}^1 invariant. A holomorphic motion $W : \Delta \times \mathbb{S}^1 \rightarrow \overline{\mathbb{C}}$ is called *K-equivariant* if for each $t \in \Delta$ there is a group homomorphism

$$X_t : K \rightarrow \text{PSL}(2, \mathbb{C})$$

such that for every $\kappa \in K$ and every $s \in \mathbb{S}^1$ the following identity is satisfied:

$$W(t, \kappa(s)) = X_t(\kappa)(W(t, s)).$$

For later use we record here the fact, proved by Earle, Kra and Krushkal (see [11, Theorem 1]), that if a holomorphic motion is K -equivariant, then there exists such an extension to $\overline{\mathbb{C}}$ which is K -equivariant as well.

1.2. Holomorphic motions and families of Riemann surfaces. Let V be a complex surface (that is, a two-dimensional complex analytic manifold) and C be a Riemann surface. Following Hubbard ([24, Section 6.2]; see also [12]) a holomorphic map $f : V \rightarrow C$ will be called a *holomorphic family of Riemann surfaces* if the following conditions are satisfied:

- (a) f is everywhere of maximal rank, so that the fibers $V_c := f^{-1}(c), c \in C$, are Riemann surfaces.
- (b) f locally admits *horizontally holomorphic trivializations*.

Condition (b) means that for every $c_0 \in C$ there is a neighborhood B of c_0 and a homeomorphism $\theta : B \times V_{c_0} \rightarrow f^{-1}(B)$ commuting with the projection to B , such that for every $x \in V_{c_0}$ the map $c \rightarrow \theta(c, x)$ is holomorphic. This condition may look unnatural at first sight, but note that it rules out unwanted families such as the one whose fiber over each $z \in \Delta$ is $\mathbb{C} \setminus \{0, 1, -1, -1 + \bar{z}\}$ ([24, Example 6.2.10]).

It should be observed that if the fibers V_c are compact, condition (b) is automatically satisfied and that if the fibers are Riemann surfaces of finite type (g, n) ,

i.e. with genus g and n punctures, then $f : V \rightarrow C$ is the restriction of a family $V^+ \rightarrow C$ with compact fibers to the complement of the images of n disjoint holomorphic sections $s_1, \dots, s_n : C \rightarrow V^+$ ([24, Example 6.2.10]; cf. [40, p. 346]).

We will reserve the expression *algebraic family of Riemann surfaces* for families of Riemann surfaces of finite hyperbolic type (i.e. such that $2g - 2 + n > 0$) whose base C is also of finite hyperbolic type. An algebraic family is called *isotrivial* if all its fibers are isomorphic Riemann surfaces. We anticipate that algebraic families give rise to algebraic surfaces (see Section 2).

We observe that the graph of a holomorphic motion W of \mathbb{S}^1 endowed with the natural projection to the first coordinate is a family of Riemann surfaces whose fibers are quasidisks (from now on, simply *a family of quasidisks*), with the required horizontally holomorphic trivializations being provided by W .

We now make the following definitions.

Definition 1. A family of quasidisks (\mathcal{B}, π) will be said to be the *the universal cover of an algebraic family of Riemann surfaces* $f : V \rightarrow C$ if there is a commutative diagram

$$\begin{array}{ccc} \mathcal{B} & \longrightarrow & V \\ \pi \downarrow & & \downarrow f \\ \Delta & \longrightarrow & C \end{array}$$

such that the horizontal arrows are universal covering maps.

Definition 2. By a *Bers-Griffiths domain* we will refer to a domain $\mathcal{B} \subset \mathbb{C}^2$ which is the graph of a non-trivial holomorphic motion W that satisfies the following properties:

- (a) The base and fiber groups K_W and Γ_W are Fuchsian groups of finite hyperbolic type.
- (b) W is K -equivariant for some finite index subgroup K of K_W .

Accordingly, by a *Bers-Griffiths family* (of quasidisks) we will refer to a Bers-Griffiths domain endowed with the projection to the first coordinate.

Theorem 3. *A family of quasidisks $\pi : \mathcal{B} \rightarrow \Delta$ is the universal cover of a non-trivial algebraic family of Riemann surfaces if and only if it is isomorphic to a Bers-Griffiths family.*

This theorem will be proved in Section 4. The proof uses the λ -lemma, Bers-Griffiths' Uniformization Theorem and a powerful result by Shabat on the automorphism groups of the universal cover of families of Riemann surfaces. Shabat's Theorem is stated at the end of Section 3. In that section we will also prove that the holomorphic motion of which a Bers-Griffiths domain \mathcal{B} is the graph is uniquely determined by \mathcal{B} (Proposition 10). This uniqueness property, whose proof will be an easy consequence of work of Earle and Fowler [10], will allow us to easily produce examples of holomorphic motions whose graphs are not Bers-Griffiths domains (Example 11).

1.3. Holomorphic motions and arithmetic families of Riemann surfaces.

Let us denote by $\text{Gal}(\mathbb{C})$ the group of field automorphisms of \mathbb{C} . Let $X \subset \mathbb{P}^n$ be a projective variety and $\sigma \in \text{Gal}(\mathbb{C})$. We shall denote by X^σ the projective variety defined by the polynomials obtained after applying σ to the coefficients of the homogeneous polynomials which define X .

Let k be a subfield of \mathbb{C} and $\text{Gal}(\mathbb{C}/k)$ be the subgroup of $\text{Gal}(\mathbb{C})$ consisting of those automorphisms which fix the elements in k . We shall say that X is defined over k if $X = X^\sigma$ for all $\sigma \in \text{Gal}(\mathbb{C}/k)$. We shall say that X can be defined over k (or that k is a field of definition for X) if there exists an isomorphism $\Phi : X \rightarrow Y$ into a projective variety $Y \subset \mathbb{P}^m$ which is defined over k . Let U be a Zariski open subset of X . We will say that the quasiprojective subvariety $U \subset X$ is defined over k if both X and the Zariski closed set $X \setminus U$ are defined over k . We will say that U can be defined over k if there exists an isomorphism $\Phi : X \rightarrow Y$ into a projective variety Y in such a way that $\Phi(U)$ is a Zariski open subset of Y defined over k .

Likewise, we shall say that a regular map f between quasiprojective varieties is defined over k if $f^\sigma = f$ for all $\sigma \in \text{Gal}(\mathbb{C}/k)$ and that f can be defined over k if it is equivalent to a regular map defined over k . Here again f^σ is the regular map obtained after applying σ to the polynomials which locally define f (see for example [45, p. 34]).

In this paper we are primarily interested in quasiprojective varieties and morphisms which can be defined over number fields or, equivalently, over the algebraic closure $\overline{\mathbb{Q}}$ of the field of the rational numbers.

Definition 4.

- (a) A quasiprojective variety V (resp. a morphism between quasiprojective varieties f) will be called *arithmetic* if V (resp. f) can be defined over a number field.
- (b) Let V be a quasiprojective surface. An algebraic family of Riemann surfaces $f : V \rightarrow C$ will be called *arithmetic* provided that V, C and f are arithmetic.

We anticipate that, as a consequence of Shabat’s Theorem, the quotient space Δ/Γ_W corresponding to any holomorphic motion W whose graph is a Bers-Griffiths domain has structure of a Riemann orbifold of finite type. We will employ the notation

$$\mathcal{O}_W = (R; q_1, \dots, q_m)$$

to refer to this structure; meaning that its underlying Riemann surface is isomorphic to R and that the universal covering map $\Delta \rightarrow R$ ramifies over a set of conic points $\{q_1, \dots, q_m\}$. We will say that \mathcal{O}_W is an *arithmetic orbifold* if both R and the set of conic points are arithmetic. We recall that, by definition, R is arithmetic if both its compactification \overline{R} and the finite subset $\overline{R} \setminus R$ are.

Definition 5. We will say that a Bers-Griffiths domain (and its canonically associated family of quasidisks) is of *arithmetic type* if, in addition to properties (a) and (b) in Definition 2, the defining graph W satisfies the following condition:

- (c) \mathcal{O}_W is an arithmetic orbifold.

Theorem 6. *A family of quasidisks (\mathcal{B}, π) is the universal cover of an arithmetic family of Riemann surfaces if and only if it is isomorphic to a Bers-Griffiths family of arithmetic type.*

The typical case in which condition (c) is satisfied occurs when Γ_W is commensurable to a hyperbolic triangle group (Corollary 16).

1.4. Arithmetic complex surfaces. Let S be a non-singular minimal projective surface of general type. It is easy to prove (see for example [17]) that if S is

arithmetic, then there is a rational map $f : S \dashrightarrow \mathbb{P}^1$ with base locus $B \subset S$ and critical values $\text{crit}(f) \subset \mathbb{P}^1$ such that the induced map

$$f : U := S \setminus B \setminus f^{-1}(\text{crit}(f)) \rightarrow \mathbb{P}^1 \setminus \text{crit}(f)$$

is an arithmetic family of Riemann surfaces. Hence the universal cover of the Zariski open subset $U \subset S$ is a Bers-Griffiths domain of arithmetic type. The following theorem asserts that the converse also holds.

Theorem 7. *Let S be a non-singular minimal projective surface of general type. Then, the following statements are equivalent:*

- (a) *S is an arithmetic complex surface.*
- (b) *Among all Zariski open subsets of S there is one whose universal cover is isomorphic to a Bers-Griffiths domain of arithmetic type.*

We recall that any non-singular projective surface S admits a minimal model S_{\min} from which S is obtained by a finite sequence of blow-ups and that S is arithmetic if and only if S_{\min} and the finite set of points of S_{\min} at which these blow-ups are centered are arithmetic (see [17]).

A Kodaira fibration $S \rightarrow C$ is a non-isotrivial holomorphic family of compact Riemann surfaces over a compact Riemann surface C . Such complex surfaces were studied by Kodaira [33], Atiyah [2], Hirzebruch [22] and Kas [29] as examples of differentiable fiber bundles whose signatures are not multiplicative. It is known that the base and the fibers of such families must be of genus at least two and three, respectively, and that S must be a minimal projective surface of general type.

We will observe that for Kodaira fibrations, the Zariski open subset in the previous theorem can be taken to be S itself. This way we obtain the following strengthening of the main theorem obtained by the authors in [18].

Theorem 8. *Let $S \rightarrow C$ be a Kodaira fibration. Then, the following statements are equivalent:*

- (a) *S is an arithmetic complex surface.*
- (b) *The universal cover of S is isomorphic to a Bers-Griffiths domain of arithmetic type.*

In particular, the arithmeticity, and in fact the (algebraic closure of the) field of definition (see the remark below) of a Kodaira fibration, depends only on the biholomorphic class of its universal cover.

Remark 9. It is worth observing that, as in [18], Theorems 6, 7 and 8 can be stated in a slightly more general form. As a matter of fact, we can replace the word “arithmetic” by “can be defined over k ” where k is any algebraically closed subfield of \mathbb{C} .

The paper is organized as follows. In Section 2 we will briefly review the facts of Teichmüller and Moduli theory that will be needed throughout the paper. In Section 3 we include results concerning the relationship between holomorphic motions, their graphs and the universal covers of families of Riemann surfaces. Sections 4, 5 and 6 will be devoted to proving Theorems 3, 6 and 7 and 8 respectively.

2. TEICHMÜLLER AND MODULI THEORY

Let G be a Fuchsian group. Let us denote by $L_1^\infty(\Delta, G)$ the space of measurable functions $\mu : \Delta \rightarrow \mathbb{C}$ with L^∞ norm less than one that are G -invariant in the

sense that for all $\gamma \in G$ the equality $(\mu \circ \gamma) \cdot \overline{\gamma'} / \gamma' = \mu$ holds almost everywhere; its elements are called *Beltrami coefficients* of G . By Ahlfors-Bers' Existence Theorem (see for example [40, p. 34]), for each $\mu \in L_1^\infty(\Delta, G)$ there exists a unique quasiconformal homeomorphism w^μ of the Riemann sphere fixing $-1, 1, i$ whose complex dilatation $\bar{\partial}w^\mu / \partial w^\mu$ agrees with μ in Δ , vanishes in $|z| > 1$ and is G -compatible in the sense that $G^\mu := w^\mu G (w^\mu)^{-1}$ is a group of Möbius transformations. Two Beltrami coefficients μ and ν are equivalent if the corresponding maps w^μ and w^ν agree on $\partial\Delta = \mathbb{S}^1$. The *Teichmüller space* $T(G)$ of G is the set of classes $[\mu]$ of Beltrami coefficients of G . The *extended mapping class group* $\text{mod}(G)$ of G is the group of quasiconformal homeomorphisms of Δ which normalize G modulo the subgroup consisting of those which extend to the boundary as the identity map. The *mapping class group* $\text{Mod}(G)$ of G is the quotient group $\text{mod}(G)/G$.

Let us now assume that G is a torsion free Fuchsian group such that the quotient Δ/G is a Riemann surface of finite hyperbolic type (g, n) . We shall employ the notation $T_{g,n}, \text{Mod}_{g,n}$ and $\text{mod}_{g,n}$ to refer to $T(G), \text{Mod}(G)$ and $\text{mod}(G)$ respectively. We recall (see, for example, the third chapter in [40]) that, by Bers' Embedding Theorem, $T_{g,n}$ acquires a structure of a finite dimensional complex analytic manifold on which $\text{Mod}_{g,n}$ acts properly discontinuously as a group of biholomorphic automorphisms; the quotient space $\mathcal{M}_{g,n} := T_{g,n}/\text{Mod}_{g,n}$ is the *moduli space* of Riemann surfaces of finite type (g, n) whose points correspond bijectively to the set of isomorphism classes of Riemann surfaces (or equivalently, algebraic curves) of finite type (g, n) . This correspondence is performed in such a way that the Beltrami coefficient μ represents the Riemann surface $w^\mu(\Delta)/G^\mu$.

Bers also constructed a fiber space $F_{g,n} \rightarrow T_{g,n}$ such that the fiber over a point $[\mu]$ is the quasidisk $w^\mu(\Delta)$. More precisely,

$$(2.1) \quad F(G) = F_{g,n} = \{([\mu], z) \in T_{g,n} \times \mathbb{C} : \mu \in L_1^\infty(\Delta, G) \text{ and } z \in w^\mu(\Delta)\}.$$

Moreover, the action of $\text{Mod}_{g,n}$ on $T_{g,n}$ can be lifted to an action of $\text{mod}_{g,n}$ (hence of G) on $F_{g,n}$ giving rise to two important fiber spaces.

The first one is the so-called *universal family* (or *Teichmüller curve*) of finite type (g, n) :

$$p_{g,n} : V(G) = V_{g,n} := F_{g,n}/G \rightarrow T_{g,n}.$$

This is a holomorphic fiber space with the property that the fiber over $[\mu]$ is the Riemann surface of finite type (g, n) given by the quotient $w^\mu(\Delta)/G^\mu$.

The second one is a quotient of the first one, namely

$$\pi_{g,n} : \mathcal{C}_{g,n} := F_{g,n}/\text{mod}_{g,n} = V_{g,n}/\text{Mod}_{g,n} \rightarrow \mathcal{M}_{g,n},$$

and is usually called the *universal curve* of type (g, n) . This is a normal analytic space with the property that the fiber over a point representing a Riemann surface X is a Riemann surface isomorphic to the quotient $X/\text{Aut}(X)$.

Loosely speaking, one can “fill in the n punctures” of the fibers of $p_{g,n}$ and $\pi_{g,n}$ (see, e.g., [40, p. 323]) so as to obtain fiber spaces

$$p_{g,n}^+ : V_{g,n}^+ \rightarrow T_{g,n} \quad \text{and} \quad \pi_{g,n}^+ : \mathcal{C}_{g,n}^+ \rightarrow \mathcal{M}_{g,n}$$

whose fibers are the closures of the corresponding fibers of $p_{g,n}$ and $\pi_{g,n}$. These projections come equipped with n disjoint holomorphic global sections given by the position of the n punctures. We shall denote those of $\pi_{g,n}^+$ by

$$s_i^+ : \mathcal{M}_{g,n} \rightarrow \mathcal{C}_{g,n}^+.$$

Now let $f : V \rightarrow C$ be an algebraic family of Riemann surfaces of finite type (g, n) . Let $p : \Delta \rightarrow C$ be the universal covering map of C and $h : p^*V \rightarrow \Delta$ be the corresponding pull-back family, so that we have a commutative diagram as follows:

$$\begin{array}{ccc} p^*V & \longrightarrow & V \\ h \downarrow & & \downarrow f \\ \Delta & \xrightarrow{p} & C. \end{array}$$

It is easy to check that $h : p^*V \rightarrow \Delta$ is again a family of Riemann surfaces (see, e.g., [12, Section 2.2]). Since Δ is contractible, the Universal Property of the Teichmüller curve (see for example [40, p. 349] and [10, p. 250]) ensures that there is a holomorphic map, the so-called *classifying map*,

$$(2.2) \quad \Phi : \Delta \rightarrow T_{g,n} \quad \text{such that} \quad p^*V \cong \Phi^*V_{g,n}.$$

Clearly, Φ induces a well-defined (also called) classifying map $\Phi_f : C \rightarrow \mathcal{M}_{g,n}$ of f defined by sending a point $x \in C$ to the point $[f^{-1}(x)] \in \mathcal{M}_{g,n}$ representing the isomorphism class of the fiber of f over x .

We shall denote by $\text{Mod}_{g,n}^{[d]}$ the *level d mapping class group*. This is the finite index normal subgroup of $\text{Mod}_{g,n}$ consisting of those elements which act trivially on the homology group $H_1(\Delta/G, \mathbb{Z}/d\mathbb{Z})$. We notice that by a theorem of Serre (see for example [13, p. 275]), if $d \geq 3$, then $\text{Mod}_{g,n}^{[d]}$ does not contain non-trivial elements of finite order. Thereby, quotienting by this group yields a holomorphic fibration of non-singular complex curves

$$\pi_{g,n}^{[d]} : \mathcal{C}_{g,n}^{[d]} := V_{g,n}/\text{Mod}_{g,n}^{[d]} \rightarrow \mathcal{M}_{g,n}^{[d]} := T_{g,n}/\text{Mod}_{g,n}^{[d]}$$

called the *level d universal curve* of finite type (g, n) . The advantage of this fibration over the standard universal curve $\pi_{g,n} : \mathcal{C}_{g,n} \rightarrow \mathcal{M}_{g,n}$ is that now the fiber over a point representing a Riemann surface X is a Riemann surface isomorphic to X (instead of a quotient of it). In the same way as above, one can construct a fibration

$$\pi_{g,n}^{+[d]} : \mathcal{C}_{g,n}^{+[d]} \rightarrow \mathcal{M}_{g,n}^{[d]}$$

whose fibers are the closures of the fibers of $\pi_{g,n}^{[d]} : \mathcal{C}_{g,n}^{[d]} \rightarrow \mathcal{M}_{g,n}^{[d]}$. We shall refer to this fibration as the *level d n -pointed universal curve* of genus g . As before, this fibration possesses n holomorphic (and, in fact, algebraic; see further down in this section) disjoint global sections

$$s_i^{[d]} : \mathcal{M}_{g,n}^{[d]} \rightarrow \mathcal{C}_{g,n}^{+[d]},$$

one for each of the punctures. For the sake of explicitness, from now on, we will let d be equal to three.

Let Γ be the covering group of the universal cover $p : \Delta \rightarrow C$. By the *monodromy* of the family $f : V \rightarrow C$ we will understand the group homomorphism $\mathbf{M} : \Gamma \rightarrow \text{Mod}_{g,n}$ defined by

$$\Phi \circ \gamma = \mathbf{M}(\gamma) \circ \Phi \quad \text{for} \quad \gamma \in \Gamma.$$

Let Γ_3 be the preimage of $\text{Mod}_{g,n}^{[3]}$ under \mathbf{M} and C_3 be the respective quotient Riemann surface Δ/Γ_3 . We will denote by $p_3 : C_3 \rightarrow C$ the finite degree covering map induced by the inclusion $\Gamma_3 \leq \Gamma$ and by $f_3 : V_3 \rightarrow C_3$ the pull-back of $f : V \rightarrow C$ by p_3 . Then, the classifying map Φ induces a level three classifying map $\Phi_{f_3} : C_3 \rightarrow \mathcal{M}_{g,n}^{[3]}$ which permits us to recover the family $f_3 : V_3 \rightarrow C_3$ and

the family $f_3^+ : V_3^+ \rightarrow C_3$ obtained by filling in the punctures as the pull-back by Φ_{f_3} of the level three universal curves $\mathcal{C}_{g,n}^{[3]}$ and $\mathcal{C}_{g,n}^{+[3]}$ respectively. More precisely, there are commutative diagrams, as follows:

$$\begin{array}{ccc}
 V_3 \cong \Phi_{f_3}^* \mathcal{C}_{g,n}^{[3]} & \longrightarrow & \mathcal{C}_{g,n}^{[3]} \\
 f_3 \downarrow & \Phi_{f_3} & \downarrow \pi_{g,n}^{[3]} \\
 C_3 & \longrightarrow & \mathcal{M}_{g,n}^{[3]}
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 V_3^+ := \Phi_{f_3}^* \mathcal{C}_{g,n}^{+[3]} & \longrightarrow & \mathcal{C}_{g,n}^{+[3]} \\
 f_3^+ \downarrow & \Phi_{f_3} & \downarrow \pi_{g,n}^{+[3]} \\
 C_3 & \longrightarrow & \mathcal{M}_{g,n}^{[3]}.
 \end{array}$$

Note that each of the n sections $s_i^{[3]} : \mathcal{M}_{g,n}^{[3]} \rightarrow \mathcal{C}_{g,n}^{+[3]}$ induces a section

$$(2.3) \quad s_i := \Phi_{f_3}^* s_i^{[3]} : C_3 \rightarrow V_3^+$$

of the family $f_3^+ : V_3^+ \rightarrow C_3$ and that V_3 equals V_3^+ minus the images of these sections (cf. [24, Example 6.2.10]).

In order to compactify V_3^+ , hence V_3 , we need to consider the Deligne-Mumford compactification $\bar{\mathcal{M}}_{g,n}$ of $\mathcal{M}_{g,n}$ introduced by these authors in their foundational article [9]. We recall that $\mathcal{M}_{g,n}$ is an irreducible projective variety whose points correspond bijectively to the set of isomorphism classes of stable curves (or, equivalently, Riemann surfaces with nodes) of type (g, n) . As in the case of the standard universal curve there are *stable n -pointed universal curves*

$$\bar{\pi}_{g,n} : \bar{\mathcal{C}}_{g,n} \rightarrow \bar{\mathcal{M}}_{g,n} \quad \text{and} \quad \bar{\pi}_{g,n}^{[3]} : \bar{\mathcal{C}}_{g,n}^{[3]} \rightarrow \bar{\mathcal{M}}_{g,n}^{[3]}$$

extending the fibrations $\pi_{g,n}^+$ and $\pi_{g,n}^{+[3]}$.

We remark that although a Teichmüller theoretic approach to the construction of the compactified moduli spaces is also possible (see, e.g., [5] and [24]), moduli theory of algebraic curves was first built by Mumford and others within the framework of algebraic geometry. In particular these moduli spaces, as well as the sections $s_i^+ : \mathcal{M}_{g,n} \rightarrow \mathcal{C}_{g,n}^+$ and $s_i^{+[3]} : \mathcal{M}_{g,n}^{[3]} \rightarrow \mathcal{C}_{g,n}^{+[3]}$, are algebraic objects. Of crucial importance for us will be the fact that all these moduli spaces and universal curves, and in particular the algebraic varieties $\mathcal{C}_{g,n}^{[3]}$, $\mathcal{C}_{g,n}^{+[3]}$ and $\mathcal{M}_{g,n}^{[3]}$, are even defined over \mathbb{Q} (in fact, over $\mathbb{Z}[\frac{1}{3}]$). See [9] and [39].

We can now construct a suitable compactification of our level three family $f_3 : V_3 \rightarrow C_3$. Using a result of Kobayashi on extensions of holomorphic maps between complex analytic spaces, Imayoshi [25, p. 289] proved that classifying maps of algebraic families of Riemann surfaces can be holomorphically extended to the Deligne-Mumford compactification. In our case, the same arguments employed by Imayoshi ensure that Φ_{f_3} admits an extension to the compactification \bar{C}_3 of C_3 , still denoted

$$(2.4) \quad \Phi_{f_3} : \bar{C}_3 \rightarrow \bar{\mathcal{M}}_{g,n}^{[3]},$$

which is holomorphic and, by Chow's theorem (see, e.g., [38, Chapter 4]), even algebraic. Therefore, we can pull back the stable level three n -pointed universal curve to obtain a two-dimensional compact complex analytic space (and, in fact, a projective surface)

$$(2.5) \quad \bar{V}_3 := \Phi_{f_3}^* \bar{\mathcal{C}}_{g,n}^{[3]}$$

which comes equipped with a surjection

$$(2.6) \quad \bar{V}_3 \xrightarrow{\bar{f}_3} \bar{C}_3$$

that extends the surjection $f_3 : V_3 \rightarrow C_3$, and n sections

$$(2.7) \quad \bar{s}_i : \bar{C}_3 \rightarrow \bar{V}_3, \quad i = 1, \dots, n,$$

that extend the sections $s_i = C_3 \rightarrow V_3^+ \subset \bar{V}_3$ introduced above (see, e.g., [21, Chapter I, Proposition 6.8]). We note that V_3 is a Zariski open set of \bar{V}_3 whose complement is the union of the stable curves arising as fibers of \bar{f}_3 over the finite set $\bar{C}_3 \setminus C_3$ together with the images of the sections \bar{s}_i .

3. GRAPHS OF HOLOMORPHIC MOTIONS AND UNIFORMIZATION OF FAMILIES OF RIEMANN SURFACES

In this section we investigate the relationship between holomorphic motions of the unit circle and universal covers of families of Riemann surfaces. The connection is established via graphs of holomorphic motions.

Proposition 10. *A holomorphic motion of the unit circle W is uniquely determined by its graph.*

Proof. Let \mathcal{B}_1 and \mathcal{B}_2 be graphs of holomorphic motions of the circle W^1 and W^2 respectively. By the λ -lemma, the maps

$$\theta_i : \Delta \times \Delta \rightarrow \mathcal{B}_i \quad (t, z) \mapsto (t, W_t^i(z))$$

are strong global trivializations of the associated families of quasidisks $\mathcal{B}_i \rightarrow \Delta$ in the sense of Earle and Fowler (see [10, p. 252]).

Suppose now that the two graphs, hence the two associated families, agree. Then, using Lemma 7 in the same paper [10, p. 263], we deduce that the homotopy class of

$$\omega_t := (W_t^2)^{-1} \circ W_t^1$$

relative to \mathbb{S}^1 is independent of t . Thus, ω_t and $\omega_0 = (W_0^2)^{-1} \circ W_0^1 = id$ agree on \mathbb{S}^1 , and therefore $W_t^1 = W_t^2$ on \mathbb{S}^1 , for all $t \in \Delta$. The proof is done. \square

As the following example shows, Proposition 10 allows us to give plenty of examples of non-trivial holomorphic motions whose graphs are not Bers-Griffiths domains.

Example 11. The graph of the holomorphic motion

$$W(t, z) = z + t^2 \bar{z}$$

is not a Bers-Griffiths domain.

By Proposition 10 to check our claim it is enough to show that W is K -equivariant for no finite index subgroup K of K_W . But, in fact, it is easy to check that it is K -equivariant for no non-trivial group K of Möbius transformations.

Indeed, let $\gamma(z) = \lambda \frac{z-a}{1-\bar{a}z}$, with $|a| < 1$ and $|\lambda| = 1$. Then,

$$W_t \circ \gamma \circ W_t^{-1}(z) = \lambda \left[\frac{(z - t^2 \bar{z}) - a(1 - |t|^4)}{(1 - |t|^4) - \bar{a}(z - t^2 \bar{z})} + t^2 \frac{(\bar{z} - \bar{t}^2 z) - \bar{a}(1 - |t|^4)}{(1 - |t|^4) - a(\bar{z} - \bar{t}^2 z)} \right].$$

Thus, $\bar{\partial}(W_t \circ \gamma \circ W_t^{-1}) \equiv 0$ if and only if

$$[(1 - |t|^4) - a(\bar{z} - \bar{t}^2 z)]^2 - \bar{t}^2 [(1 - |t|^4) - \bar{a}(z - t^2 \bar{z})]^2 = 0$$

for all $t, z \in \Delta$. Now the claim follows from the observation that for $z = 0$ this expression equals $(1 - |t|^4)^2(1 - \bar{t}^2)$, which is always non-zero.

We note that, according to Wang [47, p. 6], the graph \mathcal{B}_W of a holomorphic motion of the form $W(t, z) = z + a(t)\bar{z}$ is biholomorphic to Δ^2 only when $a \equiv 0$.

With the same notation as in Subsection 1.1 we have the following.

Proposition 12. *Let $W : \Delta \times \Delta \rightarrow \mathbb{C}$ be a holomorphic motion with associated group sequence*

$$1 \longrightarrow K_W \longrightarrow \text{Aut}_\pi(\mathcal{B}) \longrightarrow \Gamma_W \longrightarrow 1.$$

Suppose that W is K_W -equivariant; then $X_t(K_W)$ agrees with K_W^t for all $t \in \Delta$. In particular, the groups K_W^t are all quasiconformally conjugate to K_W by means of W_t .

Proof. As W is K_W -equivariant, for all pairs $(t, z) \in \Delta \times \Delta$ and all Möbius transformations $\kappa \in K_W$, one has $W(t, \kappa(z)) = X_t(\kappa)(W(t, z))$ where $X_t(\kappa)$ is a Möbius transformation; in particular, for each $t \in \Delta$ the map $z \mapsto W_t \kappa W_t^{-1}(z) = X_t(\kappa)(z)$ is holomorphic. We claim that so is the map $t \mapsto W_t \kappa W_t^{-1}(z)$ for each $z \in \Delta$. Indeed, following [11, p. 929], let us consider three distinct points z_1, z_2, z_3 in Δ and let

$$h_t = \begin{pmatrix} a_t & b_t \\ c_t & d_t \end{pmatrix}, \quad a_t d_t - b_t c_t = 1,$$

be the unique Möbius transformation satisfying the property $h_t(z_i) = W(t, z_i)$, for all $i = 1, 2, 3$, so that $W(t, \kappa(z_i)) = X_t(\kappa)(h_t(z_i))$. Then, as the maps $t \mapsto W(t, z_i)$ and $t \mapsto W(t, \kappa(z_i))$ are holomorphic, we see that $t \mapsto h_t$ and $t \mapsto X_t(\kappa) \circ h_t$ are holomorphic as well. Thereby, the rule $t \mapsto X_t(\kappa(z)) = W_t \kappa W_t^{-1}(z)$ defines a holomorphic map, as claimed.

We conclude that for all $\kappa \in K_W$ the rule $(t, z) \mapsto (t, W_t \kappa W_t^{-1}(z))$ defines a biholomorphism of \mathcal{B}_W lying in the kernel group \mathbb{K}_W . Now, as $\Phi_0 : \mathbb{K}_W \rightarrow K_W$ is an isomorphism, this biholomorphism must agree with $\Phi_0^{-1}(\kappa)$. It follows that each element of \mathbb{K}_W is of the form $(t, z) \mapsto (t, W_t \kappa W_t^{-1}(z))$ for some $\kappa \in K_W$ and therefore $X_t(K_W) = K_W^t$ for all $t \in \Delta$. The last statement follows from the λ -lemma. □

It is well known that there are only three simply connected Riemann surfaces. In contrast, understanding universal covers of higher dimensional complex analytic manifolds seems to be far more complicated. However, thanks to the work of Bers [4] and Griffiths [19] on uniformization of algebraic varieties, it is possible to describe the universal cover of an algebraic family of Riemann surfaces in the following very explicit form.

Proposition 13. *Let $f : V \rightarrow C$ be a non-isotrivial algebraic family of Riemann surfaces. Let $p : \Delta \rightarrow C$ be the universal covering map of C and let $h : p^*V \rightarrow \Delta$ be the corresponding pull-back family. Let K be the group uniformizing the central fiber $h^{-1}(0)$ and let $\Phi : \Delta \rightarrow T_{g,n} \equiv T(K)$ be the classifying map (2.2). Then, the universal cover of $f : V \rightarrow C$ is isomorphic to the Bers-Griffiths family $\mathcal{B}_W \rightarrow \Delta$ defined by the K -equivariant holomorphic motion W given by*

$$W : \Delta \times \Delta \rightarrow \mathbb{C} \quad (t, z) \mapsto W(t, z) := w^{\mu_t}(z)$$

where the map $t \in \Delta \rightarrow \mu_t \in L_1^\infty(\Delta, K)$ results from the composition of Φ followed by a fixed continuous section (e.g., the Douady-Earle section) of $L_1^\infty(\Delta, K) \rightarrow T(K)$.

Proof. This proposition is a consequence of Bers’ results on universal families and classifying maps. It is stated in this same form in Theorem 3.1 of [8], but it can be easily derived from the properties of the classifying map described in Section 2. Clearly, the universal cover of V agrees with the universal cover of $p^*V \cong \Phi^*V_{g,n}$ (see (2.2)), and the latter can be realized as the pull-back of the Bers fiber space, namely (see (2.1))

$$\Phi^*F_{g,n} = \{(t, z) : t \in \Delta, z \in w^{\mu_t}(\Delta)\}$$

with $[\mu_t] = \Phi(t)$. Note that we can choose $\mu_0 = 0$; thus $w^{\mu_0}(z) = z$ for all $z \in \Delta$. \square

We end this section by turning our attention to the automorphism group of Bers-Griffiths domains. Let $\mathbb{G} < \text{Aut}_\pi(\mathcal{B}_W)$ be the universal covering group of our algebraic family $f : V \rightarrow C$. If we restrict to \mathbb{G} the sequence associated to W we obtain a new exact sequence

$$1 \longrightarrow \mathbb{K} := \mathbb{K}_W \cap \mathbb{G} \longrightarrow \mathbb{G} \xrightarrow{\Theta} \Gamma := \Theta(\mathbb{G}) \longrightarrow 1$$

which shall be referred to as *the group sequence associated to the family $f : V \rightarrow C$* . We observe that the family can be completely recovered from its associated sequence as $\mathcal{B}/\mathbb{G} \rightarrow \Delta/\Gamma \equiv C$, the fiber over a point $[t]_\Gamma$ being the Riemann surface D_t/K^t , where $K^t := \Phi_t(\mathbb{K})$ is the restriction of the subgroup $\mathbb{K} < \mathbb{K}_W$ to the quasidisk D_t , which by Proposition 12 agrees with $W_t K^0 W_t^{-1}$. We note that the groups K^0 and Γ are both torsion free Fuchsian groups.

In [43] and [44] Shabat studied the automorphism group of the universal cover \mathcal{B} of an algebraic family of Riemann surfaces. He showed that except for the case in which \mathcal{B} is a bounded homogeneous domain in \mathbb{C}^2 , the group $\text{Aut}(\mathcal{B})$ is discrete. By a well-known result of Cartan there are only two such exceptional domains, namely the unit ball and the bidisk. However, the first one never occurs as the universal cover of an algebraic family of Riemann surfaces (see [26, Theorem 1]), and the latter arises only when the family is isotrivial (see [26, Theorem 2]). This being observed, Shabat’s results can be formulated as follows (see also [7] and [30] for more details).

Theorem (Shabat). *Let $f : V \rightarrow C$ be a non-isotrivial algebraic family of Riemann surfaces and \mathcal{B} the universal cover of V . Then:*

- (a) $\text{Aut}(\mathcal{B})$ acts properly discontinuously on \mathcal{B} .
- (b) The universal covering group of V has finite index in $\text{Aut}(\mathcal{B})$.

4. PROOF OF THEOREM 3

(1) Let (\mathcal{B}, π) be the universal cover of an algebraic family. Then, with the notation of Proposition 13, Shabat’s Theorem implies that the base and the fiber groups K_W and Γ_W of the holomorphic motion W contain the Fuchsian groups K and Γ as finite index subgroups; therefore K_W and Γ_W must be Fuchsian as well. The K -equivariancy was already established in the same proposition. This proves that (\mathcal{B}, π) is isomorphic to a Bers-Griffiths family.

(2) Conversely, let us suppose that $\mathcal{B}_W \rightarrow \Delta$ is a Bers-Griffiths family. This means that Γ_W and K_W are Fuchsian groups of finite hyperbolic type and that W is K -equivariant for some finite index subgroup K of K_W . In fact, by Lemma 14 below it can be assumed that $K = \mathbb{G} \cap K_W$ for some finite index subgroup $\mathbb{G} < \text{Aut}_\pi(\mathcal{B}_W)$. What we have to do is to construct an algebraic family of Riemann surfaces $V \rightarrow C$ of which $\mathcal{B}_W \rightarrow \Delta$ is the universal cover.

By the work of Earle, Kra and Krushkal (see [11, Theorem 1]) we can extend W to a K -equivariant holomorphic motion of Δ which we still denote by W .

Let

$$1 \longrightarrow \mathbb{K} := \mathbb{K}_W \cap \mathbb{G} \longrightarrow \mathbb{G} \longrightarrow \Theta(\mathbb{G}) := \Gamma \longrightarrow 1$$

be the exact sequence obtained by restriction of $\Theta : \text{Aut}_\pi(\mathcal{B}_W) \rightarrow \Gamma_W$ to \mathbb{G} . By Selberg's lemma [41] there is a finite index normal subgroup Γ' of Γ which is torsion free. By further restriction of Θ to $\mathbb{G}' := \Theta^{-1}(\Gamma')$ one obtains a sequence

$$1 \longrightarrow \mathbb{K}' = \mathbb{K} \longrightarrow \mathbb{G}' \longrightarrow \Gamma' \longrightarrow 1$$

with the same kernel. We shall denote by K^t the image of \mathbb{K} under the monomorphism $\Phi_t : \mathbb{K}_W \rightarrow \text{Aut}(D_t)$ defined in (1.1). We remark that K^t is a finite index subgroup of K^t_W for all $t \in \Delta$ (note that $K^0 = K$).

Claim. \mathbb{G}' acts properly discontinuously on $\mathcal{B} = \mathcal{B}_W$.

Let (t', z') be an arbitrary point of \mathcal{B} . As Γ' is a torsion free Fuchsian group, there exists a neighborhood $U \subset \Delta$ of t' such that $\gamma(U) \cap U = \emptyset$ for each non-trivial element $\gamma \in \Gamma'$. Let z_0 be the point in Δ such that $W_{t'}(z_0) = z'$. As we are assuming that K_W is a Fuchsian group, the group K is Fuchsian as well. So, there exists a neighborhood $V_0 \subset \Delta$ of $(0, z_0)$ such that $\kappa(V_0) \cap V_0 \neq \emptyset$ for only finitely many elements $\kappa \in K$. Let us now consider the map

$$\phi_W : \Delta \times \Delta \rightarrow \Delta \times \mathbb{C} \quad (t, z) \mapsto (t, W_t(z))$$

whose image is \mathcal{B} . By the λ -lemma holomorphic motions are continuous; thus the map ϕ_W is a continuous injection. Hence, the Invariance of Domain Theorem allows us to assert that

$$\mathcal{U} := \phi_W(U \times V_0) = \{(t, z) : t \in U, z \in W_t(V_0)\} \subset \mathcal{B}$$

is a neighborhood of (t', z') homeomorphic to $U \times V_0$.

Now, let $g(t, z) = (\hat{g}(t), g_t(z))$ be an automorphism of \mathcal{B} in \mathbb{G}' such that $g(\mathcal{U}) \cap \mathcal{U} \neq \emptyset$. Then, there are points (t_1, z_1) and (t_2, z_2) in \mathcal{U} in such a way that

$$g(t_1, z_1) = (\hat{g}(t_1), g_{t_1}(z_1)) = (t_2, z_2).$$

As $\Theta(g) = \hat{g} \in \Gamma'$ the choice of \mathcal{U} implies that $\hat{g} = id$. We conclude that $g \in \mathbb{K}$, $t_1 = t_2$, and $g_{t_1} \in K^{t_1}$. Let z_0^i be the unique point in V_0 such that $W_{t_1}(z_0^i) = z_i$ ($i = 1, 2$). By invoking the same arguments used in the proof of Proposition 12, we see that $K^{t_1} = W_{t_1}KW_{t_1}^{-1}$. Thus, there is some $\kappa \in K$ such that $g_{t_1} \in W_{t_1}\kappa W_{t_1}^{-1}$. We observe that $\kappa(z_0^1) = z_0^2$, which means that $\kappa(V_0) \cap V_0 \neq \emptyset$, and then, by the choice of V_0 , there are only finitely many possibilities for κ . Consequently, there are also only finitely many possibilities for g_{t_1} , hence for g (see Subsection 1.1). This proves the claim.

Our claim implies that the sequence

$$1 \longrightarrow \mathbb{K}' = \mathbb{K} \longrightarrow \mathbb{G}' \longrightarrow \Gamma' \longrightarrow 1$$

yields a holomorphic map

$$V := \mathcal{B}/\mathbb{G}' \rightarrow C := \Delta/\Gamma'$$

between a two-dimensional complex analytic space V and a Riemann surface C of finite hyperbolic type, with fibers homeomorphic Δ/K . Now, if \mathbb{G}' acted freely on \mathcal{B} , then we could conclude that $V \rightarrow C$ is a non-isotrivial algebraic family of Riemann surfaces (with horizontally holomorphic trivializations provided by the

holomorphic motion W). In any case, as Γ' is torsion free, the elements of \mathbb{G}' that fix some point must belong to the kernel group \mathbb{K}' and be in bijection with the torsion elements of K .

Therefore there is only a finite number of conjugacy classes of them. Now, we apply a result due to Johnson [28, Theorem 3.6] which states that if $1 \rightarrow K \rightarrow G \rightarrow Q \rightarrow 1$ is an exact sequence in which K is a residually finite finitely generated group and Q is a torsion free Fuchsian group, then G is also residually finite, to conclude that our group \mathbb{G}' is residually finite (alternatively, see [20, Corollary 3.7]). It then follows that there exists a finite index normal subgroup \mathbb{G}'' of \mathbb{G}' which does not contain any non-trivial element fixing points. Now, to obtain the result, it only remains to replace \mathbb{G}' by \mathbb{G}'' . The proof of the theorem is now complete.

Lemma 14. *Let*

$$1 \longrightarrow K \longrightarrow \mathbb{A} \longrightarrow \Gamma \longrightarrow 1$$

be an exact sequence of abstract groups in which K and Γ are isomorphic to Fuchsian groups of finite type. Then, for every finite index subgroup N of K there is a finite index subgroup \mathbb{G} of \mathbb{A} such that the group $K \cap \mathbb{G}$ is a finite index subgroup of N .

Proof. The proof can be achieved through the following four steps:

- (1) Using Selberg’s lemma, as above, we can assume that Γ is torsion free, hence either free or a surface group. In particular Γ is good in the sense of Serre (see [42]).
- (2) Replacing N by the intersection of all subgroups of K of the same index as N we can assume that N is normal in \mathbb{A} .
- (3) Considering the exact sequence

$$1 \longrightarrow K/N \longrightarrow \mathbb{A}/N \longrightarrow \Gamma \longrightarrow 1$$

the problem is reduced to showing that there is a finite index subgroup \mathbb{G}/N of \mathbb{A}/N such that $(K/N) \cap (\mathbb{G}/N)$ is the trivial group, i.e. such that \mathbb{G}/N does not contain any of the non-trivial elements of the finite group K/N . This will of course be the case if the group \mathbb{A}/N is residually finite.

- (4) The desired conclusion is reached by applying the following result due to Grunewald, Jaikin-Zapirain and Zaleski ([20, Proposition 3.6]):

Let Γ be a residually finite good group and $\varphi : A \rightarrow \Gamma$ a surjective homomorphism with finite kernel. Then A is residually finite.

□

Remark 15. For later use, we observe that in proving the theorem, we have exhibited two ways to construct new families of Riemann surfaces of arbitrarily high base and fiber genus out of a given one. Namely, if the given family has associated sequence

$$1 \longrightarrow \mathbb{K} \longrightarrow \mathbb{G} \longrightarrow \Gamma \longrightarrow 1 ,$$

then, by considering its restriction to a finite index subgroup of Γ and/or \mathbb{G} we are able to obtain a new family whose base, and by Lemma 14 also its fibers, has higher genus. The importance of this trivial remark for us lies in the fact that all these families have the same universal covers.

5. PROOF OF THEOREM 6

(1) **(The only if part)**

Suppose that (\mathcal{B}, π) is the universal cover of an arithmetic family $f : V \rightarrow C$. By Theorem 3, the family (\mathcal{B}, π) is isomorphic to a Bers-Griffiths family $\mathcal{B}_W \rightarrow \Delta$. We must show that \mathcal{O}_W is an arithmetic orbifold.

Let $\mathbb{G} < \text{Aut}_\pi(\mathcal{B})$ be the universal cover of V and

$$1 \longrightarrow \mathbb{K} \longrightarrow \mathbb{G} \longrightarrow \Gamma \longrightarrow 1$$

be the group sequence associated to the family $f : V \rightarrow C$.

By Shabat's Theorem Γ is a finite index subgroup of Γ_W , and therefore the inclusion $\Gamma \leq \Gamma_W$ gives rise to a finite degree branched covering map between $C \cong \Delta/\Gamma$ and $R \cong \Delta/\Gamma_W$. We shall denote by $\beta : \overline{C} \rightarrow \overline{R}$ the induced covering map between the corresponding compact Riemann surfaces. We note that, being the image of a curve defined over $\overline{\mathbb{Q}}$ under a covering map, the algebraic curve \overline{R} is also defined over $\overline{\mathbb{Q}}$ [16, Theorem 4.4].

Now, let $\gamma_1, \dots, \gamma_s$ be representatives of the cosets of Γ_W/Γ . Consider the normal core subgroup $\Gamma_0 := \bigcap_{i=1}^s \gamma_i \Gamma \gamma_i^{-1}$ of Γ . We notice that Γ_0 is a torsion free finite index normal subgroup of Γ_W . We denote by C_0 the Riemann surface Δ/Γ_0 and by $\pi_1 : C_0 \rightarrow C$ and $\pi_0 : C_0 \rightarrow R$ the covering maps induced by the inclusions $\Gamma_0 \leq \Gamma$ and $\Gamma_0 \leq \Gamma_W$. The maps π_1 and π_0 extend to covering maps $\overline{\pi}_1$ and $\overline{\pi}_0$ between the respective compactifications in such a way that the following diagram commutes:

$$\begin{array}{ccc}
 & \overline{C}_0 & \\
 \overline{\pi}_1 \swarrow & & \searrow \overline{\pi}_0 \\
 \overline{C} & \xrightarrow{\beta} & \overline{R}.
 \end{array}$$

As C is an arithmetic curve the branch values of $\overline{\pi}_1$, which are contained in $\overline{C} \setminus C$, are points defined over $\overline{\mathbb{Q}}$. It follows that both the curve \overline{C}_0 and the map $\overline{\pi}_1$ are defined over $\overline{\mathbb{Q}}$ [16, Theorem 4.1]. Moreover, since the arithmeticity of a hyperbolic algebraic curve implies that of its automorphisms [16, Corollary 3.4], it follows that the normal covering $\overline{\pi}_0 : \overline{C}_0 \rightarrow \overline{R}$ is arithmetic as well. Now, since by construction $\overline{R} \setminus R$ is the image under $\overline{\pi}_0$ of the preimage under $\overline{\pi}_1$ of the arithmetically defined set $\overline{C} \setminus C$, the points in $\overline{R} \setminus R$ are also defined over $\overline{\mathbb{Q}}$; hence R is arithmetic. As, in addition, each branch value of the universal covering map $\Delta \rightarrow R$ is a branch value of $\overline{\pi}_0$, we conclude that each conic point q_i of the orbifold \mathcal{O}_W is also defined over $\overline{\mathbb{Q}}$. This proves that \mathcal{O}_W is an arithmetic orbifold, as wanted.

(2) Before starting with the proof of the converse we need to establish a couple of facts. In Section 2, we introduced a covering $C_3 \rightarrow C$, a new family $f_3 : V_3 \rightarrow C_3$, with same universal cover as $f : V \rightarrow C$, and a compactification $\overline{f}_3 : \overline{V}_3 \rightarrow \overline{C}_3$ such that \overline{V}_3 is a projective surface that contains V_3 as a Zariski open set. The complement $\overline{V}_3 \setminus V_3$ consists of a finite number of stable curves arising as fibers of \overline{f}_3 over the points in $\overline{C}_3 \setminus C_3$ together with the images of n sections $\overline{s}_i : \overline{C}_3 \rightarrow \overline{V}_3$ (see (2.7)). Moreover, by Remark 15, we can assume that the base and the generic fiber of $\overline{f}_3 : \overline{V}_3 \rightarrow \overline{C}_3$ are compact Riemann surfaces of genus greater than or equal to two.

Claim 1. \overline{V}_3 is of general type.

Let us consider the morphism $T \rightarrow \overline{C}_3$ obtained by passing to a resolution of singularities T of \overline{V}_3 . Since this is a morphism between smooth projective varieties, the subadditivity of the Kodaira dimension (see, e.g., [31, Theorem 2]) implies that the projective surface T is of general type, and therefore so must \overline{V}_3 .

Claim 2. If $\sigma \in \text{Gal}(\mathbb{C})$ and $\Psi : \overline{\mathcal{M}}_{g,n}^{[3]} \rightarrow \overline{\mathcal{M}}_{g,n}$ is the finite degree holomorphic map given by forgetting the level structure, then

$$\Psi \circ \Phi_{f_3}^\sigma = \Psi \circ \Phi_{f_3^\sigma}$$

where here $\Phi_{f_3} : \overline{C}_3 \rightarrow \overline{\mathcal{M}}_{g,n}^{[3]}$ (see (2.4)) stands for the classifying map (or its closure) of the family $f_3 : V_3 \rightarrow C_3$. In particular, the map $\Phi_{f_3}^\sigma$ is determined by the map $\Phi_{f_3^\sigma}$ up to finitely many options.

If the equality holds in C_3^σ , then it will also hold in \overline{C}_3^σ by continuity. Now, if $y = \sigma(x) \in C_3^\sigma$, then

$$\Psi \circ (\Phi_{f_3}^\sigma)^\sigma(\sigma(x)) = [(\sigma \circ f_3^{-1}(x))^\sigma] = [(f_3^\sigma)^{-1}(\sigma(x))] = \Psi \circ \Phi_{f_3^\sigma}(\sigma(x)).$$

(3) **(The if part)**

We are in position to begin the proof of the converse. We are now assuming that (\mathcal{B}, π) is isomorphic to a Bers-Griffiths family $\mathcal{B}_W \rightarrow \Delta$ such that \mathcal{O}_W is an arithmetic orbifold. By Theorem 3 this implies that (\mathcal{B}, π) is the universal covering of an algebraic family $f : V \rightarrow C$ and hence of the associated three level family $f_3 : V_3 \rightarrow C_3$ mentioned above. What we have to prove is that, because \mathcal{O}_W is arithmetic, this family is arithmetic.

Now, the inclusion of the group Γ_3 that uniformizes the curve C_3 (see Section 2) in Γ_W yields a branched covering map between compact Riemann surfaces which we denote by $\beta : \overline{C}_3 \rightarrow \overline{R}$. Since our hypothesis implies that the algebraic curve \overline{R} , the branch values of β and the set $\overline{R} \setminus R$ are defined over $\overline{\mathbb{Q}}$, arguing as in part (1), we can assert that the covering $\beta : \overline{C}_3 \rightarrow \overline{R}$ and hence the points in $\overline{C}_3 \setminus C_3$ are also defined over $\overline{\mathbb{Q}}$. It follows that C_3 is arithmetic.

Therefore, the collection $\{C_3^\sigma\}, \sigma \in \text{Gal}(\mathbb{C})$ contains only finitely many isomorphism classes of curves. By Arakelov’s Finiteness Theorem (see [27, p. 207]) this implies that there are only finitely many non-isotrivial non-equivalent families of finite hyperbolic type (g, n) over C_3^σ for each $\sigma \in \text{Gal}(\mathbb{C})$. In particular, the set of isomorphism classes of algebraic families $\{f_3^\sigma : V_3^\sigma \rightarrow C_3^\sigma : \sigma \in \text{Gal}(\mathbb{C})\}$, and hence the set of maps $\{\Phi_{f_3^\sigma} : C_3 \rightarrow \overline{\mathcal{M}}_{g,n}; \sigma \in \text{Gal}(\mathbb{C})\}$, is finite.

Furthermore, as $\overline{\mathcal{C}}_{g,n}^{[3]}$ is a projective variety defined over \mathbb{Q} , we can write

$$(\overline{V}_3)^\sigma = (\Phi_{f_3}^* \overline{\mathcal{C}}_{g,n}^{[3]})^\sigma = (\Phi_{f_3}^\sigma)^*(\overline{\mathcal{C}}_{g,n}^{[3]})^\sigma = (\Phi_{f_3}^\sigma)^* \overline{\mathcal{C}}_{g,n}^{[3]}.$$

Now, Claim 2 allows us to state that the collection

$$(5.1) \quad \{(\overline{V}_3)^\sigma : \sigma \in \text{Gal}(\mathbb{C})\}$$

also contains only finitely many isomorphism classes.

Now let

$$\pi_3^{\text{nor}} : (\overline{V}_3)^{\text{nor}} \rightarrow \overline{V}_3$$

be the normalization of \overline{V}_3 (see [3, p. 25]) and

$$\pi_3^{\text{des}} : Z \rightarrow (\overline{V}_3)^{\text{nor}}$$

be the *minimal resolution of singularities* of $(\overline{V}_3)^{\text{nor}}$ (see [3, p. 86]). We recall that these two maps are uniquely determined up to isomorphism.

Now, since the families V_3 and V_3^+ (obtained from V_3 by filling in the punctures; see Section 2) are subvarieties of \overline{V}_3 containing no singular points, the composed map

$$\lambda := \pi_3^{\text{nor}} \circ \pi_3^{\text{des}} : Z \rightarrow \overline{V}_3$$

induces isomorphisms between V_3 and $U_3 := \lambda^{-1}(V_3)$ and between V_3^+ and $U_3^+ := \lambda^{-1}(V_3^+)$. In these terms what remains to be shown is that the family $f_3 \circ \lambda : U_3 \rightarrow C_3$ is arithmetic.

In order to do that we observe that the extended map

$$h := \overline{f}_3 \circ \lambda : Z \rightarrow \overline{C}_3$$

is a fibration whose generic fiber is a compact Riemann surface of genus at least two. Therefore, by Arakelov’s Theorem ([1]; see also [6, Theorem 3.1]), the collection

$$\{h^\sigma : Z^\sigma \rightarrow \overline{C}_3^\sigma : \sigma \in \text{Gal}(\mathbb{C})\}$$

contains finitely many isomorphism classes of fibrations. Hence, without loss of generality, we can suppose that Z, \overline{C}_3 and h are defined over $\overline{\mathbb{Q}}$ [16, Criterion 2.2]. Thus, our problem is reduced to proving that the Zariski closed subset $Z \setminus U_3$ is defined over $\overline{\mathbb{Q}}$.

Now $Z \setminus U_3$ agrees with the union of the preimage under h of the finite set $\overline{C}_3 \setminus C_3$ and the image of the n sections $s_i : C_3 \rightarrow U_3^+$ (see (2.3)) or rather of their extensions $\overline{s}_i : \overline{C}_3 \rightarrow Z$ (see, e.g., [21, Proposition 6.8]). The first of these two sets is defined over $\overline{\mathbb{Q}}$ because both the map h and the set $\overline{C}_3 \setminus C_3$ are defined over $\overline{\mathbb{Q}}$. We claim that the second one is also. Indeed, if $\sigma \in \text{Gal}(\mathbb{C}/\overline{\mathbb{Q}})$, then

$$h \circ s_i^\sigma = (h \circ s_i)^\sigma = id^\sigma = id.$$

This shows that all the maps $\{s_i^\sigma\}_\sigma$ are sections. But there are only finitely many of them [27]. We deduce that each of the sections s_i is defined over $\overline{\mathbb{Q}}$ [16, Criterion 2.2], which proves our claim. This brings the proof to an end.

A case in which Theorem 6 applies is the following one.

Corollary 16. *A Bers-Griffiths family $\mathcal{B}_W \rightarrow \Delta$ defined by a holomorphic motion whose associated base group Γ_W is commensurable to a hyperbolic triangle group must be of arithmetic type.*

Proof. Let us assume that Γ_W is commensurable to a hyperbolic triangle group Γ_{abc} . Let N be a common finite index subgroup of Γ_W and Γ_{abc} . By Selberg’s lemma [41] we can suppose that N is torsion free. Moreover, by passing to the normal core subgroup of N , we can suppose that N itself is a normal subgroup of Γ_W . Let us denote by C the Riemann surface Δ/N and by \overline{C} its compactification. Now, Δ/Γ_{abc} is isomorphic to $\mathbb{P}^1 \setminus \Sigma$ where Σ is a subset of $\{\infty, 0, 1\}$ whose cardinality agrees with the number of integers a, b, c that equal ∞ . Moreover, the inclusion $N < \Gamma_{abc}$ induces a Belyi function $\beta : \overline{C} \rightarrow \mathbb{P}^1$ such that $C = \overline{C} \setminus \beta^{-1}(\Sigma)$. Thus \overline{C} is an arithmetic curve (see for example [15]). As Belyi functions are arithmetic, we see that the points in $\overline{C} \setminus C$ are arithmetic as well. Now by considering the map induced by the inclusion $N < \Gamma_W$ we can argue similarly as done in the proof of Theorem 6 to conclude that \mathcal{O}_W is an arithmetic orbifold. \square

6. PROOF OF THEOREMS 7 AND 8

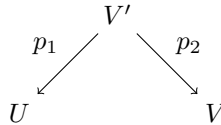
Let us suppose that S contains a Zariski open subset U whose universal cover \mathcal{B} is isomorphic to a Bers-Griffiths domain of arithmetic type. Then, by Theorem 6, there is a non-isotrivial arithmetic family $f : V \rightarrow C$ which has (\mathcal{B}, π) as its universal cover for some suitable projection map $\pi : \mathcal{B} \rightarrow \Delta$. Our task is to show that S is arithmetic.

Replacing $f : V \rightarrow C$ by the associated level three family $f_3 : V_3 \rightarrow C_3$ as we did in the proof of Theorem 6, we can assume that the base and the fibers of our family have genus at least two and that (the Zariski closure of) V is a surface of general type (see Claim 1 in the proof of Proposition 6).

Let

$$1 \longrightarrow \mathbb{K}_2 \longrightarrow \mathbb{G}_2 \xrightarrow{\Theta} \Gamma_2 \longrightarrow 1$$

be the sequence associated to f and let \mathbb{G}_1 be a subgroup of $\text{Aut}(\mathcal{B})$ such that $U \cong \mathcal{B}/\mathbb{G}_1$. We denote by \mathbb{G}_{12} the intersection group $\mathbb{G}_1 \cap \mathbb{G}_2$, by V' the respective quotient complex surface $\mathcal{B}/\mathbb{G}_{12}$ and by p_1 and p_2 the holomorphic maps induced by the inclusion $\mathbb{G}_{12} \leq \mathbb{G}_1$ and $\mathbb{G}_{12} \leq \mathbb{G}_2$ respectively. Thus, we have:



Claim. $p_1 : V' \rightarrow U$ and $p_2 : V' \rightarrow V$ are finite degree holomorphic maps between quasiprojective surfaces.

By Shabat’s Theorem, the group \mathbb{G}_2 has finite index in $\text{Aut}(\mathcal{B})$, and therefore \mathbb{G}_{12} has finite index in \mathbb{G}_1 . Thus, p_1 is a holomorphic map of finite degree whose image U is a quasiprojective surface. Therefore, Riemann’s Existence Theorem (see [37, p. 227]) allows us to conclude that V' has a unique structure of quasiprojective surface in such a way that p_1 is a morphism; this proves the claim for p_1 .

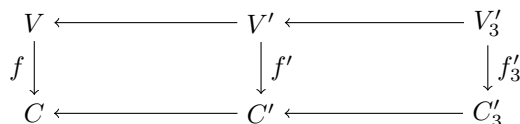
Furthermore, as V is of general type, a result of Kobayashi (see [32, p. 370]) implies that $p_2 : V' \rightarrow V$ can be seen as a meromorphic map between the Zariski closures of V' and V in their respective projective spaces. By Chow’s Theorem (see, e.g., [38, Chapter 4]) this in turn implies that $p_2 : V' \rightarrow V$ is a regular map, and therefore there is a Zariski open subset of V in which each point has a finite number of preimages (see, e.g., [38, p. 46]). This proves the claim.

Now, the restriction of the homomorphism $\Theta : \mathbb{G}_2 \rightarrow \Gamma_2$ to \mathbb{G}_{12} gives rise to a sequence

$$1 \longrightarrow \mathbb{K}_2 \cap \mathbb{G}_{12} \longrightarrow \mathbb{G}_{12} \longrightarrow \Theta(\mathbb{G}_{12}) \longrightarrow 1,$$

which induces a new non-isotrivial algebraic family of Riemann surfaces; we shall denote this family by $f' : V' \rightarrow C'$.

As we have done at the beginning of this proof, we now consider the level three family associated to the family $f' : V' \rightarrow C'$ and we denote it by $f'_3 : V'_3 \rightarrow C'_3$. This family fits into the following commutative diagram, where the horizontal rows are unbranched covering maps of finite degree:



We now denote by $\overline{f}'_3 : \overline{V}'_3 \rightarrow \overline{C}'_3$ the fibration that naturally compactifies the family $f'_3 : V'_3 \rightarrow C'_3$ (see (2.6) in Section 2). As we are assuming that C is an arithmetic curve, we can argue as in the third part of the proof of Theorem 6 to conclude that so are the curves C' and C'_3 and, ultimately, that the collection

$$\{(\overline{V}'_3)^\sigma : \sigma \in \text{Gal}(\mathbb{C})\}$$

contains only finitely many isomorphism classes (see (5.1)).

We now turn our attention to the holomorphic map

$$\overline{V}'_3 \supset V'_3 \rightarrow V' \rightarrow U \subset S.$$

As we are assuming that the projective surface S is of general type, the result by Kobayashi mentioned above tells us that this map is, in fact, the restriction of a rational map $\overline{V}'_3 \dashrightarrow S$ between projective surfaces.

Finally, by a result of Maehara [34, p. 102] the collection of birational classes of surfaces of general type that can arise as the image of a fixed projective variety by a rational map is finite. Hence, as $\{(\overline{V}'_3)^\sigma\}$, $\sigma \in \text{Gal}(\mathbb{C})$, contains finitely many isomorphism classes and S is of general type, the collection $\{S^\sigma\}$ also contains finitely many birational classes, and, as S is minimal, we conclude that $\{S^\sigma\}$ contains finitely many isomorphism classes; hence S is arithmetic [16, Criterion 2.1]. This ends the proof of Theorem 7.

To prove Theorem 8 we only need to show that if S is arithmetic, then the universal cover of the Kodaira family $S \rightarrow C$ is of arithmetic type. This can be accomplished as follows. By an extension to complex surfaces of the classical de Franchis-Severi' Theorem due to Howard and Sommese (see [23, Theorem 2]), the number of surjective morphisms from S to any Riemann surface of genus greater than or equal to 2 is finite. Therefore, if S is arithmetic, the criterion for arithmeticity we have been using throughout the paper implies that the family $S \rightarrow C$ is arithmetic, and hence that its universal cover is of arithmetic type.

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DEPARTAMENTO DE MATEMÁTICAS, UNIVERSIDAD AUTÓNOMA DE MADRID, MADRID, SPAIN
E-mail address: gabino.gonzalez@uam.es

DEPARTAMENTO DE MATEMÁTICA Y ESTADÍSTICA, UNIVERSIDAD DE LA FRONTERA, TEMUCO, CHILE
E-mail address: sebastian.reyes@ufrontera.cl