Norms on Direct Sums and Tensor Products

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Abstract. We first consider the construction of a norm on a direct sum of normed linear spaces and call a norm absolute if it depends only on the norms of the component spaces. Several characterizations are given of absolute norms. Absolute norms are then used to construct norms on tensor products of normed linear spaces and on tensor products of operators on normed linear spaces.

1. Introduction. In this paper, we consider the construction of norms on composite linear spaces formed from direct sums and tensor products of normed linear spaces and we consider properties of norms of operators on these spaces. The notion of an absolute norm is introduced as a natural generalization of the relatively familiar idea of an absolute vector norm on the space C_n of ordered *n*-tuples of complex numbers. Such norms on C_n correspond to the "coordinatewise symmetric" gauge functions as described by Ostrowski [3], and it is shown that our absolute norms on composite spaces correspond in a one-to-one fashion with the absolute vector norms on C_n .

We are particularly interested in operator norms for which, in an appropriate sense to be detailed later.

$$||A \otimes B|| = ||A|| ||B||$$

where A, B are linear operators on linear spaces and \otimes denotes the tensor product of linear operators.

In Sections 2 and 3, we introduce absolute norms on direct sums of normed linear spaces and obtain several characterizations of them. In Section 4, we discuss norms on tensor products of linear spaces and exploit the "absolute" norm idea. In essence, we are looking for a definition of a "natural" norm in a space L which is the tensor product of normed linear spaces X and Y. One desirable property is that the operator norms induced from those on X, Y and L should have the property displayed above which defines a *crossnorm* (for a vector or operator norm). In Section 4, we make connections between absolute norms and crossnorms.

Norms of tensor products of operators are discussed in Section 5 and, in Section 6, we illustrate our results with applications to complex matrices.

2. Absolute Norms. In this paper, all linear spaces are over the complex numbers C. We frequently need to consider the supremum of sets of real numbers formed from quotients. In such cases, it is tacitly assumed that the supremum is restricted to a set for which the denominator is nonzero.

Let
$$X_1, X_2, \dots, X_n$$
 be normed linear spaces and let X denote the direct sum $X = X_1 \oplus X_2 \oplus \dots \oplus X_n = \sum_i \oplus X_i$. If $x, y \in X$ and $x = (x_1, x_2, \dots, x_n)$,

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 $y = (y_1, y_2, \dots, y_n)$, we say that a norm on X is absolute if $||x_i|| = ||y_i||$, $j = 1, 2, \dots, n$, implies ||x|| = ||y||.

A norm on X is monotonic if $||x_i|| \le ||y_i||$, $j = 1, 2, \dots, n$, implies $||x|| \le ||y||$.

Our first results generalize theorems of Bauer, Stoer, and Witzgall [1], which may be interpreted as the special cases of our results in which the given normed linear spaces are one-dimensional.

THEOREM 1. A norm on X is absolute iff it is monotonic.

Proof. Suppose first that the norm is monotonic. Then $||x_i|| = ||y_i||$, $j = 1, 2, \dots, n$, implies $||x|| \le ||y||$ and $||y|| \le ||x||$, whence ||x|| = ||y|| and the norm is absolute.

Conversely, if the norm is absolute, we have

$$||(0, x_{2}, \dots, x_{n})|| = ||\frac{1}{2}(x_{1}, x_{2}, \dots, x_{n}) + \frac{1}{2}(-x_{1}, x_{2}, \dots, x_{n})||$$

$$\leq \frac{1}{2} ||(x_{1}, \dots, x_{n})|| + \frac{1}{2} ||(-x_{1}, x_{2}, \dots, x_{n})||$$

$$= ||(x_{1}, x_{2}, \dots, x_{n})||.$$

Now, suppose that $\lambda = ||x_1||/||x_1'|| \le 1$. Then,

$$\begin{aligned} ||(x_1, x_2, \cdots, x_n)|| &= ||(\lambda x_1', x_2, \cdots, x_n)|| \\ &= ||\lambda(x_1', x_2, \cdots, x_n) + (1 - \lambda)(0, x_2, \cdots, x_n)|| \\ &\leq \lambda ||(x_1', x_2, \cdots, x_n)|| + (1 - \lambda)||(0, x_2, \cdots, x_n)|| \\ &\leq \lambda ||(x_1', x_2, \cdots, x_n)|| + (1 - \lambda)||(x_1', x_2, \cdots, x_n)|| \end{aligned}$$

using inequality (1). It follows that $||x_1|| \le ||x_1'||$ implies

$$||(x_1, x_2, \dots, x_n)|| \leq ||(x'_1, x_2, \dots, x_n)||.$$

Since a similar argument applies to each position of x, it follows that the norm on X is monotonic. \Box

Suppose that, for $j=1, 2, \dots, n$, A_i is a linear operator on X_i . Then, a linear operator $A=A_1 \oplus \cdots \oplus A_n$ on $X=\sum_i \oplus X_i$ is defined by

$$(A_1 \bigoplus A_2 \bigoplus \cdots \bigoplus A_n)(x_1, x_2, \cdots, x_n) = (A_1x_1, A_2x_2, \cdots, A_nx_n)$$

for all $x_i \in X_i$, $j = 1, 2, \dots$, n. We consider now the so-called "bound" norm for operators; that is, the norm induced by the norm of the space on which the operator acts. Thus, $||A|| = \sup ||Ax||/||x||$.

With the above notations, we say that a norm on $X = \sum_i \bigoplus X_i$ has the *maximum* property if, for any linear operator $A = \sum_i \bigoplus A_i$, we have $||A|| = \max_i ||A_i||$. We are going to show that the norm on X is absolute iff the induced operator norm has the maximum property.

LEMMA. Let X be a normed linear space and $x, x' \in X$ with ||x|| = ||x'||. Then, there exists a linear operator A on X such that Ax = x' and ||A|| = 1.

Proof. Let X^* be the space of continuous linear functionals on X with the usual norm. We shall call X^* the *dual* of X. It is well-known (Dunford and Schwartz [2] II.3.14) that, given a *nonzero* $x \in X$, there is an $x^* \in X^*$ for which $x^*x = ||x||$ and $||x^*|| = 1$. We define the linear operator A on X by

$$Ay = (x^*(y)/||x||)x'$$

for all $y \in X$. Then, we obviously have Ax = x' and, since ||x|| = ||x'||,

$$||A|| = \sup_{y \in X} \frac{||Ay||}{||y||} = \sup_{y} \frac{||x^*(y)x'||}{||x|| ||y||} = \sup_{y} \frac{|x^*(y)|}{||y||} = ||x^*|| = 1.$$

In the case ||x|| = ||x'|| = 0, we simply choose A = I. \square

THEOREM 2. A norm on X is absolute iff it has the maximum property.

Proof. Suppose first that the norm on X has the maximum property and let $x, y \in X$ with $||x_i|| = ||y_i||$, $j = 1, 2, \dots, n$. We are to prove ||x|| = ||y||. By the lemma, there exist linear operators A_i on X_i such that $A_i x_i = y_i$ and $||A_i|| = 1$ for each j. Then, using the maximum property,

$$||(y_1, y_2, \dots, y_n)|| = ||(A_1x_1, A_2x_2, \dots, A_nx_n)||$$

$$= ||(A_1 \bigoplus \dots \bigoplus A_n)(x_1, x_2, \dots, x_n)||$$

$$\leq ||(x_1, x_2, \dots, x_n)|| \max_{i} ||A_i|| = ||(x_1, x_2, \dots, x_n)||.$$

Thus, $||y|| \le ||x||$. However, reversing the roles of x and y we can also prove $||x|| \le ||y||$ and, hence, ||x|| = ||y||.

Conversely, suppose it given that the norm on X is absolute and let $A = \sum_{i} \bigoplus A_{i}$ be a direct sum of linear operators on the spaces X_{i} . If $\mu = \max_{i} ||A_{i}||$, we are to prove that $||A|| = \mu$. Now, for each $j = 1, 2, \dots, n$,

$$||A_ix_i|| \le ||A_i|| ||x_i|| \le \mu ||x_i|| = ||\mu x_i||$$

and, since an absolute norm is monotonic,

$$||Ax|| = ||(A_1x_1, \dots, A_nx_n)|| \le ||(\mu x_1, \dots, \mu x_n)|| = \mu ||x||.$$

It follows immediately that $||A|| \leq \mu$.

To prove the reverse inequality, we have, for $x_1 \in X_1$, $x_1 \neq 0$,

$$||A|| \ge \frac{||A(x_1, 0, \dots, 0)||}{||(x_1, 0, \dots, 0)||} = \frac{||(A_1x_1, 0, \dots, 0)||}{||(x_1, 0, \dots, 0)||}.$$

If $\mu(x_1) = ||A_1x_1||/||x_1||$, then $||A_1x_1|| = ||\mu(x_1)x_1||$ and, since the norm is absolute, $||A|| \ge ||(\mu(x_1)x_1, 0, \dots, 0)||/||(x_1, 0, \dots, 0)|| = \mu(x_1).$

Taking the supremum over all nonzero $x_1 \in X_1$, we have $||A|| \ge ||A_1||$. In a similar way, we obtain $||A|| \ge ||A_i||$, $j = 1, 2, \dots, n$, and we have $||A|| \ge \mu$. \square

3. Connection with Norms on C_n . If C denotes the linear space of the complex numbers with the absolute value norm, we write $C_n = C \oplus C \oplus \cdots \oplus C$ (n times). Then, a norm on C_n is absolute iff it is a function of the absolute values of the components of the members of C_n . Suppose once more that X_1, \dots, X_n are normed linear spaces and that we have an absolute norm on $X = \sum_i \bigoplus X_i$. Define a function h on C_n by

(2)
$$h(\xi_1, \xi_2, \dots, \xi_n) = ||(x_1, x_2, \dots, x_n)||,$$

where $(\xi_1, \dots, \xi_n) \in C_n$, $x_i \in X_i$, and $||x_i|| = |\xi_i|$, $j = 1, 2, \dots, n$. If $u_i \in X_i$ and $||u_i|| = 1$, then $||\xi_i u_i|| = |\xi_i|$ and we may write

(3)
$$h(\xi_1, \, \xi_2, \, \cdots, \, \xi_n) = ||(\xi_1 u_1, \, \xi_2 u_2, \, \cdots, \, \xi_n u_n)||.$$

THEOREM 3. (a) Every absolute norm $||\cdot||$ on X defines, by (2), an absolute norm h on C_n . (b) Conversely, every absolute norm h on C_n defines, by (2) again, an absolute norm $||\cdot||$ on X.

Proof. (a) Using formula (3), we have

(i)
$$h(\xi_1, \xi_2, \dots, \xi_n) = 0 \Rightarrow ||(\xi_1 u_1, \dots, \xi_n u_n)|| = 0 \Rightarrow \xi_i u_i = 0 \Rightarrow \xi_i = 0$$

for $j = 1, 2, \dots, n$.

(ii)
$$h(\lambda \xi_{1}, \lambda \xi_{2}, \cdots, \lambda \xi_{n}) = ||(\lambda \xi_{1}u_{1}, \cdots, \lambda \xi_{n}u_{n})||$$

$$= |\lambda| ||(\xi_{1}u_{1}, \cdots, \xi_{n}u_{n})|| = |\lambda| |h(\xi_{1}, \xi_{2}, \cdots, \xi_{n}).$$

$$h((\xi_{1}, \cdots, \xi_{n}) + (\eta_{1}, \cdots, \eta_{n})) = h(\xi_{1} + \eta_{1}, \cdots, \xi_{n} + \eta_{n})$$

$$= ||((\xi_{1} + \eta_{1})u_{1}, \cdots, (\xi_{n} + \eta_{n})u_{n})||$$

$$= ||(\xi_{1}u_{1}, \cdots, \xi_{n}u_{n}) + (\eta_{1}u_{1}, \cdots, \eta_{n}u_{n})||$$

$$\leq ||(\xi_{1}u_{1}, \cdots, \xi_{n}u_{n})|| + ||(\eta_{1}u_{1}, \cdots, \eta_{n}u_{n})||$$

$$= h(\xi_{1}, \cdots, \xi_{n}) + h(\eta_{1}, \cdots, \eta_{n}).$$

This shows that h is a norm on C_n and it is clearly absolute.

(b) Now, suppose it given that h is an absolute norm on C_n and define a function $||\cdot||$ on X by means of (2). Then, if $||u_j|| = 1$, for $j = 1, 2, \dots, n$, it follows that

$$||(x_1, \dots, x_n)|| = ||(\xi_1 u_1, \dots, \xi_n u_n)||$$

where $|\xi_i| = ||x_i||$, $j = 1, 2, \dots, n$. Then, we have

(i)
$$||(x_1, \dots, x_n)|| = 0 \Rightarrow h(\xi_1, \dots, \xi_n) = 0 \quad \text{and} \quad |\xi_i| = ||x_i||$$
$$\Rightarrow \xi_i = 0 \Rightarrow x_i = 0.$$

(ii)
$$||\lambda(x_1, \dots, x_n)|| = ||(\lambda x_1, \dots, \lambda x_n)|| = h(|\lambda| \xi_1, \dots, |\lambda| \xi_n)$$

$$= |\lambda| h(\xi_1, \dots, \xi_n) = |\lambda| ||(x_1, \dots, x_n)||.$$

$$||(x_1, \dots, x_n) + (y_1, \dots, y_n)|| = ||(x_1 + y_1, \dots, x_n + y_n)||$$

$$= h(||x_1 + y_1||, \dots, ||x_n + y_n||)$$

$$\leq h(||x_1|| + ||y_1||, \dots, ||x_n|| + ||y_n||),$$

using the fact that an absolute norm is monotonic. Thus,

$$||(x_1, \dots, x_n) + (y_1, \dots, y_n)|| \le h((||x_1||, \dots, ||x_n||) + (||y_1||, \dots, ||y_n||))$$

$$\le h(||x_1||, \dots, ||x_n||) + h(||y_1||, \dots, ||y_n||)$$

$$= ||(x_1, \dots, x_n)|| + ||(y_1, \dots, y_n)||.$$

This completes the proof. \Box

There is a close analogy between the result of this theorem and Theorem 5.2 of Schatten [4], in which he identifies unitarily invariant crossnorms on a Hilbert space with symmetric gauge functions. In our next result, we show that the correspondence obtained in Theorem 3 extends to the norms on the dual spaces of X and C_n . First, we recall that, for any normed linear spaces X_1, X_2, \dots, X_n , the algebraic isomorphism between

$$X^* = (X_1 \bigoplus X_2 \bigoplus \cdots \bigoplus X_n)^*$$
 and $X_1^* \bigoplus X_2^* \bigoplus \cdots \bigoplus X_n^*$

allows us to identify these two spaces. Thus, if $z^* \in X^*$, we identify z^* with (x_1^*, \dots, x_n^*) and we have $z^*(x_1, \dots, x_n) = \sum_i x_i^* x_i$. We first need a lemma which parallels Theorem 1 of Bauer, Stoer and Witzgall [1].

LEMMA. If C_n has an absolute norm h, then the induced norm h^* on C_n^* is also absolute.

Proof. As above, we identify $C_n^* = (C \oplus \cdots \oplus C)^*$ with $C^* \oplus \cdots \oplus C^*$ and note that each element of C^* is a multiplication by a complex number with the absolute value of this number as norm.

Let $(\xi_1^*, \dots, \xi_n^*) \in C^* \oplus \dots \oplus C^*$ and let ξ_i^* be multiplication by the complex number $\alpha_i \exp(i\theta_i)$ where $\alpha_i \ge 0$. Then, taking a supremum over the set S of vectors $(\xi_1, \dots, \xi_n) \in C_n$ with unit norm,

$$h^*(\xi_1^*, \dots, \xi_n^*) = \sup |\xi_1^*(\xi_1) + \dots + \xi_n^*(\xi_n)|$$

=
$$\sup |\alpha_1 \xi_1 \exp(i\theta_1) + \dots + \alpha_n \xi_n \exp(i\theta_n)|.$$

Let $\eta_i = \xi_i \exp(i\theta_i)$ so that $h(\eta_1, \dots, \eta_n) = h(\xi_1, \dots, \xi_n)$ (since the norm on C_n is absolute) and take the supremum now over vectors $(\eta_1, \dots, \eta_n) \in S$ (obviously the whole of S):

$$h^*(\xi_1^*, \dots, \xi_n^*) = \sup |\alpha_1 \eta_1 + \dots + \alpha_n \eta_n| = h^*(\tilde{\alpha}_1, \dots, \tilde{\alpha}_n),$$

where $\tilde{\alpha}_i$ is multiplication (of C) by α_i . Thus,

$$h^*(\xi_1^*, \dots, \xi_n^*) = h^*(||\xi_1^*||, \dots, ||\xi_n^*||).$$

THEOREM 4. Given an absolute norm $||\cdot||$ on $X (= \sum_i \oplus X_i)$, let the associated norm on C_n (as in the lemma) be h. Then, the norm on X^* is absolute and is associated with the norm h^* on C_n^* . That is, if $(x_1^*, \dots, x_n^*) \in X^*$, $||(x_1^*, \dots, x_n^*)|| = h^*(\tau_1, \dots, \tau_n)$, where $\tau_i \in C^*$ and $||\tau_i|| = ||x_i^*||$.

Proof. Let $u_i \in X_i$ with $||u_i|| = 1$, $j = 1, 2, \dots, n$. These vectors determine a linear operator $\theta: C_n \to X$ by means of $\theta(\xi_1, \dots, \xi_n) = (\xi_1 u_1, \dots, \xi_n u_n)$ which (by (3)) is norm-preserving. Hence, θ has unit norm. Now, the composition $(x_1^*, \dots, x_n^*)\theta$ is a linear operator from C_n to C_n , so we have

(4)
$$h^*((x_1^*, \dots, x_n^*)\theta) \leq ||(x_1^*, \dots, x_n^*)|| ||\theta|| = ||(x_1^*, \dots, x_n^*)||$$

and, taking the following supremum over elements $(\xi_1, \dots, \xi_n) \in C_n$ of unit h-norm,

$$h^*((x_1^*, \dots, x_n^*)\theta) = \sup \left| \sum_i x_i^*(\xi_i u_i) \right| = \sup \left| \sum_i \xi_i x_i^*(u_i) \right|$$
$$= h^*(x_1^*(u_1)^{\tilde{}}, \dots, x_n^*(u_n)^{\tilde{}}),$$

where $x_i^*(u_i)$ is the linear functional corresponding to multiplication of C by $x_i^*(u_i)$. Thus, from (4), we have

$$||(x_1^*, \dots, x_n^*)|| \ge h^*(x_1^*(u_1)^*, \dots, x_n^*(u_n)^*),$$

whenever $||u_i|| = 1$, $j = 1, 2, \dots, n$, and, hence,

$$(4a) ||(x_1^*, \cdots, x_n^*)|| \ge s,$$

where $s = \sup_{\|u_i\|=1} h^*(|x_1^*(u_1)|^{\tilde{}}, \dots, |x_n^*(u_n)|^{\tilde{}})$ and we have used the fact that h^* is absolute. It follows from the definition of $\|x_i^*\|$ that, given $\epsilon > 0$, there exists

(for each j) a $v_i \in X_i$ such that $||v_i|| = 1$ and $|x_i^*(v_i)| > ||x_i^*|| - \epsilon$. Using the monotonic property of h^* , we therefore have

$$h^*(||x_1^*||^{\tilde{}}, \cdots, ||x_n^*||^{\tilde{}}) \leq h^*(|x_1^*(v_1) + \epsilon)^{\tilde{}}, \cdots, (x_n^*(v_n) + \epsilon)^{\tilde{}})$$

$$\leq h^*(|x_1^*(v_1)|^{\tilde{}}, \cdots, |x_n^*(v_n)|^{\tilde{}}) + h^*(\epsilon^{\tilde{}}, \cdots, \epsilon^{\tilde{}}).$$

Thus,

$$h^*(||x_1^*||^{\tilde{}}, \cdots, ||x_n^*||^{\tilde{}}) \leq s + h^*(\tilde{\epsilon}, \cdots, \tilde{\epsilon})$$

and since the continuity of h^* implies that the last term can be made arbitrarily small, we have $s \ge h^*(||x_1^*||^{\tilde{}}, \cdots, ||x_n^*||^{\tilde{}})$, and we obtain from (4a)

(5)
$$||(x_1^*, \cdots, x_n^*)|| \ge h^*(||x_1^*||^{\tilde{}}, \cdots, ||x_n^*||^{\tilde{}}).$$

On the other hand, taking the following supremum over nonzero $(x_1, \dots, x_n) \in X$ and noting that $||(x_1, \dots, x_n)|| = h(||x_1||, \dots, ||x_n||)$,

(6)
$$||(x_1^*, \dots, x_n^*)|| = \sup \frac{|\sum x_j^*(x_j)|}{||(x_1, \dots, x_n)||} \le \sup \frac{\sum ||x_j^*|| ||x_j||}{||(x_1, \dots, x_n)||}$$

$$= \sup_{\alpha \ge 0} \frac{\sum \alpha_j ||x_j^*||}{h(\alpha_1, \dots, \alpha_n)} \le h^*(||x_1^*||^{\tilde{}}, \dots, ||x_n^*||^{\tilde{}}).$$

Combining this with (5), the theorem is proved. \Box

COROLLARY 1. (a) For any element $(x_1^*, \dots, x_n^*) \in X^*$,

$$||(x_1^*, \cdots, x_n^*)|| = \sup_{\alpha_i \geq 0} \frac{\sum_i \alpha_i ||x_i^*||}{h(\alpha_1, \cdots, \alpha_n)},$$

where h is the norm on C_n associated with the norm on X.

(b) For any $(x_1, \dots, x_n) \in X$,

$$||(x_1, \cdots, x_n)|| = \sup_{\alpha_i \geq 0} \frac{\sum_{i \geq 0} \alpha_i ||x_i||}{h^*(\alpha_1, \cdots, \alpha_n)},$$

where h^* is the norm on C_n^* associated with the norm on X^* .

Proof. (a) In the last steps of the proof of the theorem, we have equality at each stage and so the first result follows from (6).

(b) There is a norm-preserving isomorphism ϕ of X onto a subset \hat{X} of the second dual X^{**} (cf. Dunford and Schwartz [2] II.3.19) and, as above, we may identify X^{**} with $\sum_i \oplus X_i^{**}$. Thus, to each $z = (x_1, \dots, x_n) \in X$ corresponds $\hat{z} = \phi(z) \in X^{**}$ and, for all $x^* \in X^*$, $\hat{z}(x^*) = x^*(z)$ or,

$$(\hat{x}_1, \dots, \hat{x}_n)(x_1^*, \dots, x_n^*) = (x_1^*, \dots, x_n^*)(x_1, \dots, x_n).$$

Applying (a) to evaluate $||\hat{z}||$, we have

$$||z|| = ||\hat{z}|| = \sup_{\alpha_i \geq 0} \frac{\sum_{\alpha_i} \alpha_i ||\hat{x}_i||}{h^*(\alpha_1, \dots, \alpha_n)}$$

However, if ϕ_i is the norm-preserving isomorphism of X_i onto $\hat{X}_i \subseteq X_i^{**}$, then ϕ may be defined by

$$\phi(x_1, \cdots, x_n) = (\phi_1 x_1, \cdots, \phi_n x_n) = (\hat{x}_1, \cdots, \hat{x}_n).$$

Thus, if we identify z with \hat{z} , we may also identify x_i with \hat{x}_i for $j = 1, 2, \dots, n$, and we obtain

$$||z|| = \sup_{\alpha_i \ge 0} \frac{\sum_{\alpha_i} |\alpha_i| |x_i||}{h^*(\alpha_1, \dots, \alpha_n)} \cdot \square$$

In the next corollary, we present another characterization of absolute norms in terms of a generalized Hölder inequality.

COROLLARY 2. A norm on X is absolute iff

(7)
$$\sum_{i} ||x_{i}|| \, ||x_{i}^{*}|| \leq ||z|| \, ||z^{*}||$$

for all $z = (x_1, \dots, x_n) \in X$, $z^* = (x_1^*, \dots, x_n^*) \in X^*$.

Proof. For any norm on X, let $z=(x_1,\cdots,x_n)\in X$ and suppose that, under the natural embeddings, $z\to \hat{z}\in X^{**}$ and $x_i\to \hat{x}_i\in X_i^{**}$ for $j=1,2,\cdots,n$. Then,

$$||z|| = ||\hat{z}|| = \sup_{z^*} \frac{|\sum \hat{x}_i(x^*_i)|}{||z^*||} \le \sup_{z^*} \frac{\sum ||\hat{x}_i|| ||x^*_i||}{||z^*||} = \sup_{z^*} \frac{\sum ||x^*_i|| ||x_i||}{||z^*||}.$$

However, the generalized Hölder inequality (7) implies $||z|| \ge \sum (||x_i^*|| ||x_i||/||z^*||)$ for each nonzero $z^* \in X^*$ and so

$$||z|| = \sup_{z^*} \frac{\sum ||x_i^*|| ||x_i||}{||z^*||},$$

and we see at once that the norm on X is absolute.

Conversely, if we are given an absolute norm on X, then part (b) of Corollary 1 and Theorem 3 give

$$||z|| = \sup_{\alpha_i > 0} \frac{\sum_{\alpha_i} \alpha_i ||x_i||}{h^*(\alpha_1, \dots, \alpha_n)} = \sup_{z^*} \frac{\sum_{\alpha_i} ||x^*_i|| ||x_i||}{||z^*||}$$

and the inequality (7) follows.

4. Norms on Tensor Products. We now confine our attention to finite-dimensional normed linear spaces X and Y and consider the construction of norms on the tensor product $X \otimes Y$. If $E = \{e_1, e_2, \dots, e_m\}$ and $F = \{f_1, f_2, \dots, f_n\}$ are bases for X, Y, respectively, then $\{e_i \otimes f_k: 1 \leq j \leq m, 1 \leq k \leq n\}$ is a basis for $X \otimes Y$. Furthermore, every element z of $X \otimes Y$ has a unique representation in the form $z = \sum_i e_i \otimes y_i$ where $y_1, \dots, y_m \in Y$ and, similarly, in the form $z = \sum_k x_k \otimes f_k$. An element of $X \otimes Y$ is decomposable if it is expressible in the form $x \otimes y$ where $x \in X$, $y \in Y$. By means of the isomorphism $\lambda \otimes x \leftrightarrow \lambda x$, we shall subsequently identify $C \otimes X$ with X.

If a norm on $X \otimes Y$ has the property $||x \otimes y|| = ||x|| ||y||$ for all decomposable elements of $X \otimes Y$, it is called a *crossnorm*. Such norms (and operator norms, in particular) are of special interest. The prime example is the absolute value norm on the complex numbers.

Now let $x^* \in X^*$, $y^* \in Y^*$, then $x^* \otimes y^* \in X^* \otimes Y^*$ but may also be interpreted as a linear functional on $X \otimes Y$ which is characterized by

$$(8) (x^* \bigotimes y^*)(x \bigotimes y) = x^*(x)y^*(y).$$

Let $E^* = \{e_1^*, \dots, e_n^*\}$ and $F^* = \{f_1^*, \dots, f_n^*\}$ be dual bases for E and F, respectively,

so that $e_i^*(e_i) = \delta_{ij}$, $1 \le i, j \le m$ and $f_k^*(f_l) = \delta_{kl}$, $1 \le k, l \le n$. Then, $\{e_i^* \otimes f_i^*\}$ $1 \le j \le m, 1 \le k \le n$ is a basis for $X^* \otimes Y^*$. But this is also the dual of the basis $\{e_i \otimes f_k\}$ for $X \otimes Y$ for, by (8),

$$(e_i^* \bigotimes f_k^*)(e_i \bigotimes f_l) = e_i^*(e_i)f_k^*(f_l) = \delta_{ij}\delta_{kl} = \delta_{(i,k),(j,l)}.$$

Thus, functionals of the form $x^* \otimes y^*$ span $(X \otimes Y)^*$ which may therefore be identified with $X^* \otimes Y^*$ and, without ambiguity, $x^* \otimes y^*$ may represent either the tensor product of functionals $x^* \in X^*$ and $y^* \in Y^*$, or a decomposable element of $X^* \otimes Y^*$.

If $z^* = \sum_i e_i^* \otimes y_i^* \in X^* \otimes Y^*$ and $z = \sum_i e_i \otimes y_i$ is a typical member of $X \otimes Y$, we may write

$$||z^*|| = \sup_{z} \frac{|z^*z|}{||z||} = \sup_{z} \frac{|\sum e_i^* \otimes y_i^* \sum e_i \otimes y_i|}{||z||} = \sup_{z} \frac{|\sum y_i^*y_i|}{||z||}.$$

If X, Y, U, V are linear spaces and A, B are linear operators A: $X \to U$ and B: $Y \to V$, then the tensor product $A \otimes B$ may be defined as a linear operator from $X \otimes Y$ into $U \otimes V$ by

$$(A \otimes B) \sum_{i} e_{i} \otimes y_{i} = \sum_{i} (Ae_{i}) \otimes (By_{i}).$$

LEMMA. If U, V, X, Y are normed linear spaces, $A: X \to U$ and $B: Y \to V$ are linear operators, and if the norms on $X \otimes Y$ and $U \otimes V$ are crossnorms, then $||A \otimes B|| \geq ||A|| ||B||$.

Proof. Let D be the set of nonzero decomposable elements of $X \otimes Y$ and note first that, if $x \otimes y \in D$,

$$||(A \otimes B)(x \otimes y)|| = ||(Ax) \otimes (By)|| = ||Ax|| ||By||,$$

since the norm on $U \otimes V$ is also a crossnorm. Then, since the norm on $X \otimes Y$ is also a crossnorm,

$$||A \otimes B|| = \sup_{v \in X \otimes Y} \frac{||(A \otimes B)v||}{||v||} \ge \sup_{v \in D} \frac{||(A \otimes B)v||}{||v||}$$
$$= \sup_{x \otimes v \in D} \frac{||Ax||}{||x||} \cdot \frac{||By||}{||y||} = ||A|| \, ||B||. \quad \Box$$

In the case that U = V = C, we deduce that, if the norm on $X \otimes Y$ is a crossnorm, then $||x^* \otimes y^*|| \ge ||x^*|| ||y^*||$. Schatten [4] demonstrates a class of crossnorms on $X \otimes Y$ for which the strict inequality generally obtains in the dual spaces. We shall show how the concept of "absolute" norms can be used in this situation to obtain equality for the functionals of the dual spaces and, also, for the case U = X, V = Y.

We shall say that a norm on (a) X, or (b) $X \otimes Y$ is *E-absolute* if

(a)
$$|\xi_i| = |\eta_i|, j = 1, 2, \dots, m$$
, implies $||\sum_i \xi_i e_i|| = ||\sum_i \eta_i e_i||$, or

(a)
$$|\xi_i| = |\eta_i|, j = 1, 2, \dots, m$$
, implies $||\sum_i \xi_i e_i|| = ||\sum_i \eta_i e_i||$, or (b) $||y_i|| = ||a_i||, j = 1, 2, \dots, m$, and $y_i, a_i \in Y$ imply $||\sum_i e_i \otimes y_i|| = ||\sum_i e_i \otimes a_i||$, respectively.

Since the spaces X and $X \otimes Y$ are isomorphic to direct sums

(9)
$$Ce_1 \oplus \cdots \oplus Ce_m$$
 and $(e_1 \otimes Y) \oplus \cdots \oplus (e_m \otimes Y)$,

respectively, we may deduce from Theorem 1 corresponding monotonicity properties for E-absolute norms. We note also that, by Theorem 3, once the basis E is fixed, E-absolute norms (in either sense) correspond to absolute norms on C_n .

LEMMA. Let X be a normed linear space, let the norm on X be E-absolute and let $E^* = \{e_1^*, \dots, e_m^*\} \subset X^*$ be the coordinate functions determined by E. Then, the (induced) norm on X^* is E^* -absolute.

Proof. Using the first of the decompositions (9), the lemma is a consequence of Theorem 4.

THEOREM 5. Let X, Y be normed linear spaces with a basis $E = \{e_1, \dots, e_m\}$ for X and let a crossnorm be defined on $X \otimes Y$. If the norm on $X \otimes Y$ is E-absolute, then

- (a) the norm on X is E-absolute,
- (b) $||\sum e_i \otimes y_i|| = ||\sum ||y_i|| e_i||$, and
- (c) $||x^* \otimes y^*|| = ||x^*|| ||y^*||$. That is, the norm on $X^* \otimes Y^*$ is also a crossnorm.

Proof. (a) Choose $v \in Y$ such that ||v|| = 1. Then, if $x \in X$, we have

$$||x \otimes v|| = ||x|| \, ||v|| = ||x||.$$

Let $x = \sum_i \xi_i e_i$; then $||x|| = ||x \otimes v|| = ||\sum_i e_i \otimes \xi_i v||$ and, since the norm on $X \otimes Y$ is E-absolute,

$$||x|| = ||\sum e_i \otimes |\xi_i| |v|| = ||\sum |\xi_i| |e_i \otimes v|| = ||\sum |\xi_i| |e_i||.$$

(b) We again choose $v \in Y$ with ||v|| = 1 and, since the norm on $X \otimes Y$ is absolute, we have

$$||\sum e_i \otimes y_i|| = ||\sum e_i \otimes ||y_i|| ||v|| = ||\sum ||y_i|| ||e_i \otimes v|| = ||\sum ||y_i|| ||e_i||.$$

(c) If $x^* = \sum \xi_i e_i^* \in X^*$ then, by the lemma, the norm on X^* is E^* -absolute and, by part (b),

$$||x^* \otimes y^*|| = ||\sum e_i^* \otimes \xi_i y^*|| = ||\sum ||\xi_i y^*|| e_i^*||.$$

Thus, using part (a),

$$||x^* \otimes y^*|| = ||y^*|| ||\sum |\xi_i||e_i^*|| = ||y^*|| ||\sum \xi_i e_i^*|| = ||x^*|| ||y^*||.$$

These results suggest that the formula of part (b) may provide a useful class of norms of $X \otimes Y$. We have

THEOREM 6. Let X, Y be normed linear spaces, let $E = \{e_1, \dots, e_m\}$ be a basis for X and let the norm on X be E-absolute. Then, the function $||\cdot||_1$ defined on $X \otimes Y$ by

$$||\sum e_i \otimes y_i||_1 = ||\sum ||y_i|| e_i||$$

is a norm on $X \otimes Y$ which is E-absolute and is a crossnorm.

Proof. Using the isomorphisms (9), we may identify X with C_n and $X \otimes Y$ with $Y_m (= Y \oplus \cdots \oplus Y)$ as follows:

$$\sum_{i=1}^m \xi_i e_i \leftrightarrow (\xi_1, \dots, \xi_m) \quad \text{and} \quad \sum_{j=1}^m e_j \bigotimes y_j \leftrightarrow (y_1, \dots, y_m).$$

By Theorem 3, the *E*-absolute norm on *X* determines an underlying absolute norm *h* on C_n for which $||\sum ||y_i||e_i|| = h(||y_1||, \dots, ||y_m||)$ and, in its turn, *h* determines an absolute norm on $X \otimes Y$ for which

$$||\sum e_i \otimes y_i|| = h(||y_1||, \dots, ||y_m||) = ||\sum ||y_i|| e_i||.$$

But this norm is just the function $||\cdot||_1$ and so we obtain the first part of the theorem. To see that the resulting norm is a crossnorm, let $y \in Y$ and $x = \sum \xi_i e_i \in X$. Then,

$$||x \otimes y||_1 = ||\sum e_i \otimes \xi_i y||_1 = ||\sum ||\xi_i y|| ||e_i|| = ||\sum ||\xi_i ||e_i|| ||y|| = ||x|| ||y||,$$
 and the theorem is proved. \Box

We note that, by Theorem 5, the norm induced in $X^* \otimes Y^*$ by $||\cdot||_1$ is also a crossnorm.

COROLLARY. Let X, Y be normed linear spaces. Let $F = \{f_1, \dots, f_n\}$ be a basis for Y and let the norm on Y be F-absolute. Then, the function $||\cdot||_2$ defined on $X \otimes Y$ by

$$\left\| \sum_{k} x_{k} \otimes f_{k} \right\|_{2} = \left\| \sum_{k} ||x_{k}|| f_{k} \right\|$$

defines a norm on $X \otimes Y$ which is F-absolute and is a crossnorm.

The proof is the obvious parallel of that for Theorem 6.

5. Norms of Tensor Products of Operators. We now consider the definition of operator norms. If X is a linear space and L(X) is the linear space of bounded linear operators from X into itself, then we require the usual vector norm axioms for a norm on L(X) together with the *submultiplicative* property: $||AB|| \le ||A|| ||B||$ for all $A, B \in L(X)$. Then, L(X) is a normed algebra.

If X, Y are finite-dimensional linear spaces, we now are interested in the tensor product $L(X) \otimes L(Y)$. This is not only a linear space; it is an algebra in which

$$(10) \qquad (A_1 \otimes B_1)(A_2 \otimes B_2) = A_1 A_2 \otimes B_1 B_2$$

holds for all A_1 , $A_2 \in L(X)$ and B_1 , $B_2 \in L(Y)$. As such, $L(X) \otimes L(Y)$ may be identified with the algebra $L(X \otimes Y)$ in such a way that the element $A \otimes B$ of $L(X) \otimes L(Y)$ is identified with the "tensor product" $A \otimes B$ of the operators A, B.

If L(X), L(Y) are finite-dimensional normed algebras, we are to use the norms on L(X), L(Y) to define a norm on $L(X \otimes Y)$ which is submultiplicative and will be a crossnorm. That is, if M, $N \in L(X \otimes Y)$, then $||MN|| \le ||M|| ||N||$ and if $A \in L(X)$, $B \in L(Y)$, $||A \otimes B|| = ||A|| ||B||$.

The first suggestion is to apply Theorem 6 directly after picking out a basis for L(X). The results of Theorem 6 then guarantee all the required properties of the norm on $L(X \otimes Y)$ with the exception of the submultiplicative property. That is, $L(X \otimes Y)$ need not be a normed algebra. In the following case, we have the submultiplicative property.

Let X be the space $C_{m \times m}$ of $m \times m$ complex matrices and let $E_{ij} \in C_{m \times m}$ be the matrix with a one in the i, j position and zeros elsewhere. Then, $E = \{E_{ij}: 1 \le i, j \le m\}$ is a basis for $C_{m \times m}$. Our result applies to $m \times m$ matrices whose elements belong to a normed linear algebra, an algebra of bounded linear operators, for example.

THEOREM 7. Let Y be a normed linear algebra and suppose a norm is given on $C_{m \times m}$ which is submultiplicative. If $B \in C_{m \times m} \otimes Y$ let $B = \sum_{1 \le i,j \le m} E_{ij} \otimes B_{ij}$ and suppose further that the norm on $C_{m \times m}$ is E-absolute. Then, the function $||\cdot||_1$ defined on $C_{m \times m} \otimes Y$ by

$$||B||_1 = \left|\left|\sum_{i,j}||B_{ij}||E_{ij}|\right|$$

is a submultiplicative norm and is a crossnorm.

Proof. As noted above, we have only to prove the submultiplicative property. Let $A, B \in C_{m \times m} \otimes Y$ with

$$A = \sum_{i,j} E_{ij} \otimes A_{ij}, \quad B = \sum_{i,j} E_{ij} \otimes B_{ij}.$$

Then, since $E_{ik}E_{lj} = \delta_{kl}E_{ij}$, we obtain from (10) and the usual matrix multiplication

$$AB = (\sum E_{ik} \otimes A_{ik})(\sum E_{li} \otimes B_{li}) = \sum_{i,j} (E_{ij} \otimes \sum_{k} A_{ik}B_{ki}),$$

and

$$||AB||_1 = \left|\left|\sum_{i,j}\left|\left|\sum_{k}A_{ik}B_{kj}\right|\right|E_{ij}\right|\right|.$$

Now, the norm on $C_{m \times m}$ is monotonic (with respect to E) and the norm on Y is submultiplicative, so

$$||AB||_{1} \leq \left\| \left| \sum_{i,j} \left(\sum_{k} ||A_{ik}|| ||B_{kj}|| \right) E_{ij} \right\| \right\|$$

$$= \left\| \left| \sum_{i,j} \left(\sum_{k} ||A_{ik}|| ||E_{ik} \right) \left(\sum_{l} ||B_{lj}|| ||E_{lj} \right) \right\| \right\|$$

$$= \left\| \left(\sum_{i,k} ||A_{ik}|| ||E_{ik} \right) \left(\sum_{i,l} ||B_{lj}|| ||E_{lj} \right) \right\|.$$

But then the norm on $C_{m \times m}$ is submultiplicative so that

$$||AB||_1 \le ||\sum_{i,k} ||A_{ik}|| |E_{ik}|| \cdot ||\sum_{i,l} ||B_{li}|| |E_{li}|| = ||A||_1 ||B||_1.$$

We remark that, with the norm of this theorem, $||A||_1$ is equated to the norm (in $C_{m \times m}$) of the nonnegative matrix $[||A_{ij}||]$ which, by the classical Perron-Frobenius theorem, has a maximum nonnegative eigenvalue λ . If Y is an algebra of bounded linear operators so that $A_{ij} \in L(S)$ for some linear space S, then $A \in L(S_n)$ and the proof of a theorem of Ostrowski (Theorem 4 of [3]) can be used to show that the eigenvalues of A (if any) cannot exceed λ in absolute value.

We now turn our attention to the formulation of operator (bound) norms in the usual way from the norms on the underlying spaces. Thus, if $A: X \to X$, $||A|| = \sup_{x \in X} ||Ax||/||x||$.

We note first that if $A: X \to X$ and $B: Y \to Y$ are linear operators and if the norm on $X \otimes Y$ is a crossnorm, then by the first lemma of $\S 4$, $||A \otimes B|| \ge ||A||, ||B||$. Once again, we are interested in those norms for which equality obtains.

Consider the norms $||\cdot||_1$ and $||\cdot||_2$ defined on $X \otimes Y$ in Theorem 6 and its Corollary. We shall use the same subscripts for the norm defined on $L(X \otimes Y)$ by these vector norms. We denote the identity mappings on X and Y by I_x , I_Y respectively.

LEMMA. (i) Let the norm on X be E-absolute and $B \in L(Y)$, then

$$||I_X \bigotimes B||_1 = ||B||.$$

(ii) Let the norm on Y be F-absolute and $A \in L(X)$, then

$$||A \otimes I_Y||_2 = ||A||.$$

Proof. We shall only prove (i). Part (ii) is proved by a similar argument. Writing $z = \sum e_i \otimes y_i$ for a typical element of $X \otimes Y$,

$$||I_X \otimes B||_1 = \sup_{z} \frac{||\sum e_i \otimes B_{v_i}||}{||\sum e_i \otimes y_i||} = \sup_{v_i \in Y} \frac{||\sum ||B_{v_i}|| e_i||}{||\sum ||y_i|| e_i||}$$

and using the monotonic property of the norm on X, we obtain $||I_x \otimes B||_1 \le ||B||$. However, we have noted that, for a crossnorm on $X \otimes Y$, $||I_x \otimes B|| \ge ||I_x|| ||B|| = ||B||$. Part (i) is obtained. \square

THEOREM 8. Let X, Y be finite-dimensional normed linear spaces with bases E and F, respectively. If the norms on X, Y are E-absolute and F-absolute, respectively, and if the vector norms $||\cdot||_1$ and $||\cdot||_2$ of Theorem 6 coincide, then $||A \otimes B|| = ||A|| \, ||B||$ for the corresponding induced norms.

Proof. We observe that $A \otimes B = (A \otimes I_r)(I_x \otimes B)$ and, since an induced norm is necessarily submultiplicative,

$$||A \otimes B|| \leq ||A \otimes I_Y|| ||I_X \otimes B||.$$

The lemma then gives $||A \otimes B|| \le ||A|| ||B||$ and, since we have already proved $||A \otimes B|| \ge ||A|| ||B||$, the theorem is proved. \square

We note that it is always the case that $||x \otimes y||_1 = ||x \otimes y||_2$, since both norms are crossnorms. In the theorem, we suppose this true for all elements of $X \otimes Y$ and not just the decomposable elements.

6. Application to Vectors and Matrices. Let X, Y be linear spaces with $E = \{e_1, \dots, e_m\}$ a basis for X and $F = \{f_1, \dots, f_n\}$ a basis for Y. Then, linear operators $A: X \to X$ and $B: Y \to Y$ have matrix representations $A_M \in C_{m \times m}$, with respect to E, and $B_M \in C_{n \times n}$ with respect to F. We may choose as a basis for $X \otimes Y$ the vectors

$$e_1 \otimes f_1, e_1 \otimes f_2, \cdots, e_1 \otimes f_n, e_2 \otimes f_1, \cdots, e_2 \otimes f_n, \cdots, e_m \otimes f_1, \cdots, e_m \otimes f_n$$

in this order, and it is easily seen that the matrix representation of $A \otimes B$ with respect to this basis is the familiar *Kronecker*, or *direct* product of the matrices A_M , B_M , written $A_M \otimes B_M$.

The *unit* vectors e_k in the space C'_m of column vectors have a one in the kth place and zeros elsewhere. In the case $X = C'_m$, $Y = C'_n$, we may choose bases E and F of unit vectors and then the above basis for $C'_m \otimes C'_n = C'_{mn}$ is also of unit vectors. The norm of C'_m is E-absolute if, for all pairs $x, y \in C'_m$ with $|x_i| = |y_i|$ for j = 1, $2, \dots, m$, we have ||x|| = ||y||. This now coincides with an absolute vector norm in the usual matrix theoretic sense (Bauer, et al. [1]).

Let $a \in C'_n \otimes C'_n$. Then, there are complex numbers λ_{ik} for which

$$a = \sum_{k=1}^{n} \sum_{j=1}^{m} \lambda_{jk} (e_{j} \otimes f_{k})$$

and we may also write

$$a = \sum_{i=1}^{m} e_i \otimes y^{(i)} = \sum_{k=1}^{n} x^{(k)} \otimes f_k,$$

where

$$y^{(i)} = \sum_{k} \lambda_{ik} f_{k} = \begin{bmatrix} \lambda_{i1} \\ \lambda_{i2} \\ \vdots \\ \lambda_{in} \end{bmatrix}, \qquad x^{(k)} = \sum_{i} \lambda_{ik} e_{i} = \begin{bmatrix} \lambda_{1k} \\ \lambda_{2k} \\ \vdots \\ \lambda_{nk} \end{bmatrix}.$$

In the norms of Theorem 6 and its Corollary, we see that $||a||_1$ and $||a||_2$ are the X-norm (norm in C'_n) and Y-norm (norm in C'_n), respectively, of

$$\begin{bmatrix} ||y^{(1)}|| \\ ||y^{(2)}|| \\ \vdots \\ ||y^{(m)}|| \end{bmatrix} \text{ and } \begin{bmatrix} ||x^{(1)}|| \\ ||x^{(2)}|| \\ \vdots \\ ||x^{(n)}|| \end{bmatrix}.$$

In particular, if $d = x \otimes y$ is a decomposable member of $C'_m \otimes C'_n$, then $||d||_1 = ||d||_2 = ||x|| ||y||$, since both norms on $C'_m \otimes C'_n$ are crossnorms (Theorem 6).

As an application of Theorem 7, we take for the space Y the $n \times n$ complex matrices with an appropriate norm and the operator A is then an $mn \times mn$ partitioned matrix. The norm on $mn \times mn$ matrices is then constructed from the norms of the $n \times n$ blocks as indicated and, provided the norm on $C_{m \times m}$ depends only on the absolute values of matrix elements, the resulting norm on $C_{mn \times mn}$ is a crossnorm. A very special example is the case of a p-norm $(1 \le p \le 2)$ used in both spaces $C_{m \times m}$, $C_{n \times n}$ which yields the same p-norm in $C_{mn \times mn}$. That is, for a matrix $A \in C_{m \times m}$, for example,

$$||A|| = \left(\sum_{i=1}^{m} |a_{ij}|^{p}\right)^{1/p}.$$

It is a trivial matter to check the crossnorm property directly in this case.

To illustrate Theorem 8, suppose that C'_m , C'_n have the same p-norm imposed on them. Since these are merely vector norms, we may have $1 \le p \le \infty$ in this case. Then, $||\cdot||_1$ and $||\cdot||_2$ coincide and yield the same p-norm on $C'_{mn} = C'_m \otimes C'_n$. The operator norms in Theorem 8 are then those induced by the vector p-norms and are again crossnorms.

It is noteworthy that, for these norms and for $1 \le p \le 2$, Theorem 7 is not included in Theorem 6. To see this, we have only to show that a matrix norm induced by an absolute vector norm is not necessarily absolute (with respect to the basis $\{E_{ij}\}$ in $C_{m \times m}$). Consider the case p = 2 (the euclidean vector norm) and the matrices

$$A = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}, \qquad B = \begin{bmatrix} 1 & -1 \\ 1 & 1 \end{bmatrix}.$$

It is well known that the matrix norm induced by this vector norm is the spectral norm and, for any matrix A, is given by the square root of the largest eigenvalue of A*A (star denotes a conjugate transpose). The norms of matrices A and B with respect to an $\{E_{ij}\}$ -absolute norm are obviously equal. However, their spectral norms are 2 and $\sqrt{2}$, respectively.

This case may be contrasted with the cases of p = 1 and $p = \infty$ for the vector norm. It is well known that the induced matrix norms are $\{E_{ij}\}$ -absolute in these two cases.

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