# IMPLEMENTING THE ASYMPTOTICALLY FAST VERSION OF THE ELLIPTIC CURVE PRIMALITY PROVING ALGORITHM 

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#### Abstract

The elliptic curve primality proving (ECPP) algorithm is one of the current fastest practical algorithms for proving the primality of large numbers. Its running time currently cannot be proven rigorously, but heuristic arguments show that it should run in time $\tilde{O}\left((\log N)^{5}\right)$ to prove the primality of $N$. An asymptotically fast version of it, attributed to J. O. Shallit, is expected to run in time $\tilde{O}\left((\log N)^{4}\right)$. We describe this version in more detail, leading to actual implementations able to handle numbers with several thousands of decimal digits.


## 1. Introduction

From the work of Agrawal, Kayal and Saxena [2], determining the primality of an integer $N$ can be done in proven deterministic polynomial time $\tilde{O}\left((\log N)^{10.5}\right)$. $\underset{\sim}{\text { More recently, H.-W. Lenstra, Jr. and C. Pomerance have announced a version in }}$ $\tilde{O}\left((\log N)^{6}\right)$ (see 31]). Building on the work of P. Berrizbeitia [7, and reusing classical cyclotomic ideas that originated in the Jacobi sums test [1, 11], D. Bernstein 6] and P. Mihăilescu and R. Mocenigo [34, independently, have given improved probabilistic versions with a claim of proven complexity of $\tilde{O}\left((\log N)^{4}\right)$. For more on primality before AKS, we refer the reader to [14] (see also [36]). For recent developments, see [5].

All the known versions of the AKS algorithm are for the time being too slow to prove the primality of large explicit numbers. On the other hand, the elliptic curve primality proving algorithm [3] has been used for years to prove the primality of ever larger numbers ${ }^{1}$ It is sketched in 30 that

Conjecture 1.1. The cost of ECPP is $\tilde{O}\left((\log N)^{5}\right)$.
The same article describes an asymptotically fast version of ECPP, attributed to J. O. Shallit and that we will call fastECPP.
Conjecture 1.2. The cost of fastECPP is $\tilde{O}\left((\log N)^{4}\right)$.

[^0]The aim of the present paper is to describe fastECPP, give a heuristic analysis of it and describe its implementation.

Section 2 collects some well-known facts on imaginary quadratic fields, that can be found for instance in [13]. Section 3 presents the basic ECPP algorithm and analyzes it. In Section 4, the fast version is described and its complexity estimated. Section 5 explains the implementation, and Section 6 gives some actual timings on large numbers. All estimates make use of the $\tilde{O}$ notation. Remember that $\tilde{O}(f)$ means $O\left(f(\log f)^{O(1)}\right)$.

## 2. Quadratic fields

A discriminant $-D<0$ is said to be fundamental if and only if $D$ is free of odd square prime factors, and moreover $D \equiv 3 \bmod 4$ or $D \bmod 16 \in\{4,8\}$. The quantity

$$
\mathcal{D}(X)=\#\{D \leq X,-D \text { is fundamental }\}
$$

is easily seen to be $O(X)$.
A fundamental discriminant may be written uniquely (modulo permutations) as

$$
-D=\prod_{i=1}^{t} q_{i}^{*}
$$

where $q_{i}^{*}$ is either -4 or $\pm 8$, or $q_{i}^{*}=\left(-1 / q_{i}\right) q_{i}$ for any odd prime $q_{i}$. (We denote by $\left(\frac{a}{p}\right)$ the Legendre symbol.) The number of genera is $g(-D)=2^{t-1}$, and Gauss proved that this number divides the class number $h(-D)$ of $\mathbf{K}=\mathbb{Q}(\sqrt{-D})$. Moreover, Landau (see, e.g., [37, Thm 4.4, p. 143]) proved that $h=O\left(D^{1 / 2} \log D\right)$.

The rational prime $p$ is the norm of an integer in $\mathbf{K}$, or equivalently, $4 p=$ $U^{2}+D V^{2}$ in rational integers $U$ and $V$ if and only if the ideal $(p)$ splits completely in the Hilbert class field of $\mathbf{K}$, denoted $\mathbf{K}_{H}$, an extension of degree $h(-D)$ of $\mathbf{K}$. The probability that a prime $p$ splits in $\mathbf{K}_{H}$ is $1 /(2 h(-D))$.

Using Gauss's theory of genera of forms, it is known that if $\left(\frac{q_{i}^{*}}{p}\right)=1$ for all $i$ (equivalently, $(p)$ splits in the genus field of $\mathbf{K}$ ), then the probability of $(p)$ splitting in $\mathbf{K}_{H}$ is $g(-D) / h(-D)$.

## 3. The basic ECPP algorithm

We present a rough sketch of the ECPP algorithm, enough for us to estimate its complexity. We do not insist on what happens if one of the steps fails, revealing the compositeness of $N$. More details can be found in [3]. Without loss of generality, $N$ is supposed to be greater than 3.
3.1. Elliptic curves over $\mathbb{Z} / N \mathbb{Z}$. For us, an elliptic curve $E$ modulo $N$ is an equation $Y^{2}=X^{3}+a X+b, a, b \in \mathbb{Z} / N \mathbb{Z}$ with $\operatorname{gcd}\left(4 a^{3}+27 b^{2}, N\right)=1$, and we will let

$$
E(\mathbb{Z} / N \mathbb{Z})=\left\{(x: y: z) \in \mathbb{P}^{2}(\mathbb{Z} / N \mathbb{Z}), y^{2} z \equiv x^{3}+a x z^{2}+b z^{3}\right\} \cup\left\{O_{E}=(0: 1: 0)\right\}
$$

which resembles the definition of an actual elliptic curve if $N$ is prime, $\mathbb{P}^{2}(\mathbb{Z} / N \mathbb{Z})$ being the projective plane over $\mathbb{Z} / N \mathbb{Z}$. The important point here is that if $p$ is a divisor of $N$, we can consider $E$ as a curve $E_{p}$ over $\mathbb{Z} / p \mathbb{Z}$ and reduce a point $P \in$ $E(\mathbb{Z} / N \mathbb{Z})$ to $P_{p} \in E_{p}(\mathbb{Z} / p \mathbb{Z})$. Moreover, we can define an operation on $E(\mathbb{Z} / N \mathbb{Z})$, called pseudo-addition, that adds two "points" $P$ and $Q$ with the usual chord-andtangent law. This operation either yields a point $R$ or a divisor of $N$ if any is
encountered when dividing. If $R$ exists, then it has the property that $R_{p}$ is the sum of $P_{p}$ and $Q_{p}$ on $E_{p}$ for all prime factors $p$ of $N$. Note also that $O_{E}$ reduces to the ordinary point at infinity on $E_{p}$.

We will need to exponentiate points in $E$. This is best defined using the division polynomials (see for instance [4] for many properties on these). Remember that over a field $K$ there exists polynomials $\phi_{m}(X, Y), \psi_{m}(X, Y), \omega_{m}(X, Y)$ such that, if $P=(X: Y: 1)$,

$$
\begin{equation*}
[m] P=\underbrace{P+\cdots+P}_{m \text { times }}=\left(\phi_{m}(X, Y) \psi_{m}(X, Y): \omega_{m}(X, Y): \psi_{m}^{3}(X, Y)\right) . \tag{1}
\end{equation*}
$$

All these polynomials can be computed via recurrence formulas, and there is an $O(\log m)$ algorithm for this task (a variant of the usual binary method for exponentiating). A fundamental property is the following.

Theorem 3.1. Let $\bar{K}$ stand for an algebraic closure of $K$. Let $m$ be an integer. If $P=(x: y: 1) \in E(\bar{K})$ is such that $[2] P \neq O_{E}$, then $P$ is of $m$ torsion if and only if $\psi_{m}(x, y)=0$.

For the sake of presenting the algorithm in a simplified setting, we prove (compare [27]):

Proposition 3.1. Let $N^{\prime}$ be a prime satisfying $(\sqrt{N}-1)^{2} \leq 2 N^{\prime} \leq(\sqrt{N}+1)^{2}$. Suppose that $E$ is a curve modulo $N$, that $P=(x: y: 1) \in E(\mathbb{Z} / N \mathbb{Z})$ is such that $\operatorname{gcd}(y, N)=1, \psi_{2 N^{\prime}}(x, y) \equiv 0 \bmod N$, but $\operatorname{gcd}\left(\psi_{N^{\prime}}(x, y), N\right)=1$. Then $N$ is prime.

Proof. Suppose that $N$ is composite and that $p \leq \sqrt{N}$ is one of its prime factors. Let us look at what happens modulo $p$. Since $p \nmid y, P_{p}$ is not a 2-torsion point on $E_{p}$. Since $\psi_{N^{\prime}}(x, y)$ is invertible modulo $p$, then $\left[N^{\prime}\right]\left(P_{p}\right) \neq O_{E_{p}}$, and therefore $P_{p}$ has order $2 N^{\prime}$ on $E_{p}$. This is impossible, since $2 N^{\prime} \geq(\sqrt{N}-1)^{2} \geq(p-1)^{2}>$ $(\sqrt{p}+1)^{2} \geq \# E_{p}$ by Hasse's theorem.
3.2. Presentation of the algorithm. We want to prove that $N$ is prime. The algorithm runs as follows:
[Step 1.] Repeat the following: Find an imaginary quadratic field $\mathbf{K}=\mathbb{Q}(\sqrt{-D})$ of discriminant $-D, D>0$, such that

$$
\begin{equation*}
4 N=U^{2}+D V^{2} \tag{2}
\end{equation*}
$$

in rational integers $U$ and $V$. For all solutions $U$ of (2), compute $m=N+1-U$; if one of these numbers is twice a probable prime $N^{\prime}$, go to Step 2.
[Step 2.] Build an elliptic curve $\bar{E}$ over $\overline{\mathbb{Q}}$ having complex multiplication by the ring of integers $\mathcal{O}_{K}$ of $\mathbf{K}$.
[Step 3.] Assuming $N$ is prime, it splits completely in $\mathbf{K}_{H}$. Reduce $\bar{E}$ modulo a prime divisor of $(N)$ in $\mathbf{K}_{H}$, to get a curve modulo $N$.
[Step 4.] Find $P=(x: y: 1), \operatorname{gcd}(y, N)=1$ on $E$ such that $\psi_{2 N^{\prime}}(x, y)=0$, but $\operatorname{gcd}\left(\psi_{N^{\prime}}(x, y), N\right)=1$. If this cannot be done, then $N$ is composite, otherwise, it is prime by Proposition 3.1.
[Step 5.] Set $N=N^{\prime}$ and go back to Step 1.
3.3. Analyzing ECPP. We will now analyze all steps of the above algorithm and give complexity estimates using the parameter $L=\log N$. One basic unit of time will be the time needed to multiply two integers of size bounded by $L$, namely $O\left(L^{1+\mu}\right)$, where $0 \leq \mu \leq 1$ ( $\mu=1$ for ordinary multiplication, or any $\epsilon>0$ for fast multiplication).

Clearly, we go through Step $5 O(L)$ times for proving the primality of $N$. We consider all steps, one at a time, with the easier steps first.
3.4. Analysis of Step 4. Finding a point $P$ can be done by a simple algorithm that looks for the smallest $x$ such that $x^{3}+a x+b$ is a nonzero square modulo $N$ and then extracting a squareroot modulo $N$, for a cost of $O\left(L^{2+\mu}\right)$, using for instance the Tonelli-Shanks algorithm (or Lehmer's algorithm when $N-1$ is divisible by a large power of 2). Using the trick described in [3, §8.6.3], we do not need the modular square root, though.

Computing $\psi_{N^{\prime}}(x, y)$ costs $O\left(L^{2+\mu}\right)$, and we need $O(1)$ points on average, so this steps amounts for $O\left(L^{2+\mu}\right)$.
3.5. Analyzing Step 2. The original version is to realize $\mathbf{K}_{H} / \mathbf{K}$ via the computation of the minimal polynomial $H_{D}(X)$ of the special values of the classical $j$-invariant at quadratic integers. More precisely, we can view the class group $C l(-D)$ of $\mathbf{K}$ as a set of $h$ primitive reduced quadratic forms of discriminant $-D$. If $(A, B, C)$ is such a form, with $B^{2}-4 A C=-D$, then

$$
H_{D}(X)=\prod_{(A, B, C) \in C l(-D)}(X-j((-B+\sqrt{-D}) /(2 A)))
$$

It is known that $H_{D}(X) \in \mathbb{Z}[X]$. In [16, 15], it is argued that the logarithmic height of this polynomial (logarithm of the sup norm) is well approximated by the quantity $\pi \sqrt{D} S(D)$, where

$$
S(D)=\sum_{[A, B, C] \in C l(-D)} \frac{1}{A} .
$$

It can be shown that $S(D)=O\left((\log h)^{2}\right)$.
Evaluating the complex roots of $H_{D}(X)$ and building this polynomial can be done in $\tilde{O}(D)$ operations (see [15]). Note that this step does not require computations modulo $N$.

Alternatively, we could use the method of [12, 8 , for computing the class polynomial and getting a proven running time of $\tilde{O}\left(h^{2}\right)$, but assuming a suitable Riemann hypothesis.
3.6. Analyzing Step 3. To get $E$ modulo $N$, we need a root of $H_{D}(X)$ modulo $N$. This can be done with the Cantor-Zassenhaus algorithm (see [23, §14.3] for instance). Briefly, we split recursively $H_{D}(X)$ by computing

$$
\operatorname{gcd}\left((X+a)^{(N-1) / 2}-1, H_{D}(X)\right) \bmod N
$$

for random $a$ 's.
Computing $(X+a)^{(N-1) / 2} \bmod \left(N, H_{D}(X)\right) \operatorname{costs} O(L \mathrm{M}(N, h))$, where $\mathrm{M}(N, d)$ is the time needed to multiply two degree $d$ polynomials modulo $N$. A gcd of two degree $d$ polynomials costs $\mathrm{M}(N, d) \log d$ (see [23, Ch. 11]).

We expect a splitting after $O(1)$ trials; after each splitting, we carry on with the factor of lowest degree. Since the degree is divided by at least 2 after each splitting,
we get a root after $O(\log h)$ steps, but the overall cost is dominated by the first step, for a cost of

$$
O(\mathrm{M}(N, h)(L+\log h))
$$

We can assume that $\mathrm{M}(N, d)=O\left(d^{1+\nu} L^{1+\mu}\right)$, where again $0 \leq \nu \leq 1$.
3.7. Analysis of Step 1. This is the crucial step. Given $D$, testing whether (2) is satisfied involves the reduction of the ideal $\left(N, \frac{r-\sqrt{-D}}{2}\right)$ that lies above $(N)$ in $\mathbf{K}$, where $r^{2} \equiv-D \bmod (4 N)$ (if $N$ is prime...). This requires the computation of $\sqrt{-D} \bmod N$ as in Step 4, i.e., an $O\left(L^{2+\mu}\right)$ time. Then it proceeds with Cornacchia's algorithm, akin to a half gcd (see 5.2), for a cost of $O\left(L^{1+\mu}\right)$.

In the event that (2) is solvable, then we need to check that $m=2 N^{\prime}$ and test $N^{\prime}$ for probable primality, which again costs some $O\left(L^{2+\mu}\right)$.

The heuristic probability of $m$ being of the given form is $O(1 / L)$. Though quite realistic, this estimate is out of reach of current techniques in analytical number theory. Using this heuristic, we expect to need $O(L)$ discriminants $D$. Let us take all discriminants less than $D_{\max }$. Since $N$ splits completely in $\mathbf{K}_{H}$ with probability $1 /(2 h(-D))$, we expect to get a useful $m$ provided

$$
\sum_{D \leq D_{\max }} \frac{1}{2 h(-D)} \gg L
$$

The left-hand side dominates

$$
\sum_{D \leq D_{\max }} \frac{1}{\sqrt{D} \log D} \gg \frac{\sqrt{D_{\max }}}{\log D_{\max }}
$$

so $D_{\max }=O\left((L \log L)^{2}\right)=\tilde{O}\left(L^{2}\right)$ should suffice.
Turning to complexity, Step 1 then solves $\tilde{O}\left(L^{2}\right)$ equations of type (2), followed by $\tilde{O}(L)$ probable primality tests:

$$
\tilde{O}(L^{2}(\underbrace{L^{2+\mu}}_{\sqrt{-D} \bmod N}+\underbrace{L^{1+\mu}}_{\text {reduction }}))+\tilde{O}(L \cdot \underbrace{L^{2+\mu}}_{\text {probable primality }}),
$$

which is dominated by the first cost, namely $\tilde{O}\left(L^{4+\mu}\right)$.
3.8. Adding everything together. Taking $D=\tilde{O}\left(L^{2}\right)$ readily implies $h=\tilde{O}(L)$. The cost of Step 2 is $\tilde{O}\left(L^{2}\right)$, and that of Step 3 is $\tilde{O}\left(L^{3+\mu+\nu}\right)$, which dominates Step 4. All in all, we get that ECPP has heuristic complexity $\tilde{O}\left(L^{4+\mu}\right)$ for one step, and therefore $\tilde{O}\left(L^{5+\mu}\right)$ in totality.
3.9. Remark. In practice, the dominant term of the complexity of Step 1 is $O\left(n_{D} L^{2+\mu}\right)$, where $n_{D}$ is the number of $D$ 's for which we solve (2). Depending on implementation parameters and the real size of $N$, this number $n_{D}$ can be quite small. This gives a very small apparent complexity to ECPP, somewhere in between $L^{3}$ and $L^{4}$, and explains why ECPP seems so fast in practice (see for instance [22]).

## 4. The fast version of ECPP

4.1. Presentation. When dealing with large numbers, all the time is spent in finding the discriminants $D$, which means that a lot of square roots modulo $N$ must be computed. A first way to reduce the computations, alluded to in [3, §8.4.3], is to accumulate square roots, and reuse them, at the cost of some multiplications. For instance, if one has $\sqrt{-3}$ and $\sqrt{5}=\sqrt{-20} / \sqrt{-4}$, then we can build $\sqrt{-15}$, etc.

A better way that leads to the fast version consists in computing a basis of small square roots and build discriminants from this basis. From the analysis carried out above, we need $\tilde{O}\left(L^{2}\right)$ discriminants to find a good one. The basic version finds them by using all discriminants that are of size $\tilde{O}\left(L^{2}\right)$. As opposed to this, one can build those discriminants as $-D=(-p)(q)$, where $p$ and $q$ are taken from a pool of size $\tilde{O}(L)$ primes.

More formally, we replace Step 1 by Step $1^{\prime}$ as follows.
[Step 1'.]
1.1. Find the $r=\tilde{O}(L)$ smallest primes $q$ such that $\left(\frac{q^{*}}{N}\right)=1$, yielding $\mathcal{Q}=$ $\left\{q_{1}^{*}, q_{2}^{*}, \ldots, q_{r}^{*}\right\}$.
1.2. Compute all $\sqrt{q^{*}} \bmod N$ for $q^{*} \in \mathcal{Q}$.
1.3. For all pairs $\left(q_{i_{1}}^{*}, q_{i_{2}}^{*}\right)$ of $\mathcal{Q}$ for which $q_{i_{1}}^{*} q_{i_{2}}^{*}=-D<0$, solve (21).

The cost of this new Step 1 is that of computing $r=\tilde{O}(L)$ square roots modulo $N$, for a cost of $\tilde{O}\left(L \cdot L^{2+\mu}\right)$. Then, we still have $\tilde{O}\left(L^{2}\right)$ reductions. The new overall cost of this phase decreases now to

$$
\tilde{O}(L \cdot \underbrace{L^{2+\mu}}_{\text {square roots }})+\tilde{O}(L^{2} \cdot \underbrace{L^{1+\mu}}_{\text {reduction }})+\tilde{O}(L \cdot \underbrace{L^{2+\mu}}_{\text {probable primality }})=\tilde{O}\left(L^{3+\mu}\right) .
$$

Note here how the complexity decomposes as $3=1+2$ or $2+1$, depending on the sub-algorithms.

Putting everything together, we see that this time the dominant step in terms of complexity is that of Step 3, and therefore we end up with a total cost of $\tilde{O}\left(L^{4+\mu+\nu}\right)$ for this variant of ECPP and $\tilde{O}\left(L^{4}\right)$ asymptotically.

### 4.2. Remarks.

4.2.1. Complexity issues. We can reduce further the number of square root computations by using all subsets of $\mathcal{Q}$ and not only pairs of elements. This would call for $r=\tilde{O}(\log \log N)$, since then $2^{r}=L^{2}$ could be reached. Though useful in practice, this phase no longer dominates the cost of the algorithm.

Moreover, we can see that several phases of fastECPP have cost $\tilde{O}\left(L^{3}\right)$, which means that we would have to fight hard to decrease the overall complexity below $\tilde{O}\left(L^{4}\right)$.
4.2.2. A note on discriminants. Note that we use fundamental discriminants only, as nonfundamental discriminants lead to curves that do not bring anything new compared to fundamental ones. Indeed, if $\mathcal{D}=f^{2} D$, with $D$ fundamental, then there is a curve having CM by the order of discriminant $\mathcal{D}$. Writing $4 N=U^{2}+$ $D f^{2} V^{2}$, its cardinality is $N+1-U$, the same as the corresponding curve associated to $D$.
4.2.3. A note on class numbers. As soon as we use composite discriminants $-D$ of the form $q_{i_{1}}^{*} q_{i_{2}}^{*}$, Gauss's theorem tells us that the class number $h(-D)$ is even. This could introduce a bias in our estimation, but we conjecture that the effect is not important.

## 5. Implementation

5.1. Computing class numbers. In order to make the search for $D \in \mathcal{D}$ efficient, it is better to control the class number beforehand. Tables can be made, but for larger computations, we need a fast way to compute $h(-D)$. Subexponential
methods exist, assuming the Generalized Riemann Hypothesis. From a practical point of view, our D's are of medium size. Enumerating all forms costs $O\left(h^{2}\right)$ with a small constant, and Shanks's baby-steps/giant-steps algorithm costs $O(\sqrt{h})$, but with a large constant. It is better here to use the explicit formula of Louboutin [32] that yields a practical method in $O(h)$ with a very small constant.
5.2. An improved Cornacchia algorithm. Step 1 needs square roots to be computed, then performs Cornacchia's algorithm. Briefly, the algorithm runs as follows (see 38):
procedure Cornacchia $(d, p, t)$
$\left\{t\right.$ is such that $\left.t^{2} \equiv-d \bmod p, p / 2<t<p\right\}$
a) $r_{-2}=p, r_{-1}=t ; w_{-2}=0, w_{-1}=1$;
b) for $i \geq 0$ while $r_{i-1}>\sqrt{p}$ do compute $\left(a_{i}, r_{i}\right)$ such that $r_{i-2}=a_{i} r_{i-1}+r_{i}, 0 \leq r_{i}<r_{i-1}$; let $w_{i}=w_{i-2}+a_{i} w_{i-1} \quad(*)$;
c) if $r_{i-1}^{2}+d w_{i-1}^{2}=p$, then return $\left(r_{i-1}, w_{i-1}\right)$ else return $\emptyset$.

We end the for loop once $r_{i-1} \leq \sqrt{p}<r_{i-2}$. As is well known, the $a_{i}$ 's are quite small, and we can guess their size by monitoring the number of bits of the $r_{i}$ 's, thus limiting the number of long divisions. One can use a fast variant for this half gcd if needed, in a way reminiscent of Knuth.

Moreover, we know that this algorithm almost always returns the empty set in step 2c), since the probability of success is $1 /(2 h(-d))$. Therefore, when $h$ is large, we can dispense of the multiprecision computations in equation (*). We replace it by single precision computations in base $2^{b}(b=32$ or $b=64)$ :

$$
w_{i}=w_{i-2}+a_{i} w_{i-1} \bmod 2^{b}
$$

and at the end, we test whether $r_{i-1}^{2}+d w_{i-1}^{2}=p \bmod 2^{b}$. If this is the case, then we recompute the $w_{i}$ 's and check again.
5.3. Factoring $m$. In practice, trying to factor $m=2 N^{\prime}$, for a probable prime $N^{\prime}$, is too restrictive; instead, we check whether $m=c N^{\prime}$ for some $B$-smooth number c. The parameter $B$ is critical.

As shown in [20, the number of probable prime tests we will have to perform is heuristically $t=O((\log N) /(\log B))$, and we will end up with $N^{\prime}$ such that $N / N^{\prime} \approx \log B$.

For small $N$, we can factor lots of $m$ doing the following. In a first step, we compute

$$
r_{i}=(N+1) \bmod p_{i}
$$

for all $p_{i} \leq B$, which costs $\pi(B) L \log B$, where $\pi(B)=O(B / \log B)$ is the number of primes below $B$ and the other term being the time needed to divide a multi-digit number by a single digit number.

Then, sieving both $m=N+1-U$ and $m^{\prime}=N+1+U$ is done by computing $u_{i}=U \bmod p_{i}$ and comparing it to $\pm r_{i}$ for primes $p_{i}$ such that $\left(-D / p_{i}\right) \neq-1$. See [3, 35] for more details and tricks.

The cost of this algorithm for $t$ values of $m$ is

$$
O(B L)+O(t \cdot B L)
$$

where the second term comes from the computation of $U \bmod p_{i}$, which is roughly twice as fast as that of $(N+1) \bmod p_{i}$, since $U$ is $O(\sqrt{N})$. Since we also need to
perform $t$ probable prime tests (say, a plain Fermat one), then the cost is

$$
O(t B L)+O\left(t L^{2+\mu}\right)=O\left(B L^{2}\right)+O\left(L^{3+\mu}\right)
$$

and therefore the optimal value for $B$ is $B=O(L)$.
When $N$ is large, it is better to use the stripping factor algorithm in [20], for a cost of $O\left(B(\log B)^{2}\right)$, the optimal value of $B$ being $B=O\left(L^{3}\right)$.
5.3.1. Remark. Suppose we have found $N^{\prime}$ and that $m=N+1-U=c N^{\prime}$. Then we will have to compute

$$
r_{i}^{\prime}=\left(N^{\prime}+1\right) \bmod p_{i}=\left(r_{i}-u_{i}\right) / c+1 \bmod p_{i} .
$$

Computing the right-hand side is faster, since $c$ is ordinarily small compared to $N^{\prime}$.
5.3.2. Using an early abort strategy. This idea is presented in [20. We would like to go down as fast as possible. So why not impose $N / N^{\prime}$ greater than some given bound? Candidates $N^{\prime}$ need be tested for probable primality only if this bound is met. From what has been written above, we can insist on $N / N^{\prime} \approx \log B$. Our implementation introduces a parameter $\delta$ and imposes $N / N^{\prime} \geq 2^{\delta}$.
5.3.3. Using new invariants. Tackling larger and larger $N$ 's forces us to use larger and larger $D$ 's, leading to larger and larger polynomials $H_{D}$. For this to be doable, new invariants had to be used, minimizing the height of their minimal polynomials. This task was done using Schertz's formulation of Shimura's reciprocity law [39], with the invariants of [18, [16] (alternatively see [25, 24]). Note that replacing $j$ by other functions does not change the complexity of the algorithm, though it is crucial in practice.
5.3.4. Step 3 in practice. We already noted that this step is the theoretically dominating one in fastECPP, with a cost of $\tilde{O}\left(L^{3+\mu+\nu}\right)$. In practice, even for small values of $h$, we can assume $\nu \approx 0$ (using for instance the algorithm of 33] for polynomial multiplication).

One way to reduce the cost of this phase in practice is to use smooth values of $h$, and use Galois theory to factor $H_{D}(X)$ over a tower of extensions. Then, we replace the factorization of a degree $h$ polynomial by a list of smaller ones, the largest prime factor of $h$ being $\log h$. We already used that in ECPP, using [29, 17]. Typical values of $h$ are now routinely in the 10000 zone.

It could be argued that keeping only smooth class numbers is too restrictive. Note, however, that class numbers tend to be smoother than ordinary numbers 10.
5.4. fastECPP. Here we give the expanded algorithm corresponding to Step $1^{\prime}$. Using a smoothness bound $B$, we need approximately $t=\exp (-\gamma) \log N / \log B$ values of $m$ and therefore roughly $t / 2$ discriminants. The probability that $D$ is a splitting discriminant is $g(-D) / h(-D)$. Therefore we build discriminants until

$$
\sum_{D} g(-D) / h(-D) \approx t / 2
$$

One way of building these discriminants is the following: we let $r$ increase and build all or some of the subsets of $\left\{q_{1}^{*}, \ldots, q_{r}^{*}\right\}$ until the expected number of $D$ 's is reached. After this, we sort the discriminants with respect to $(h(-D) / g(-D), h(-D), D)$ and treat them in this order.

## 6. Benchmarks

First of all, it should be noted that ECPP is not a well-defined algorithm, as long as one does not give the list of discriminants that are used, or the principles that generate them.

Since the first phase of ECPP requires a tree search, testing on a single number does not reveal too much. Averaging on more than 20 numbers is a good idea.

Our current implementation uses GMP [26] for the basic arithmetic, which enables one to use mpfr [28] and mpc [19], thus leading to a complete program that can compute polynomial $H_{D}$ 's on the fly, contrary to the author's implementation of ECPP, prior to version 11.0.5. This turned out to be the key for the newborn program to compete with the old one.

We give below some timings obtained with our new implementation, after many trials. We used as prime candidates the first twenty primes with 1000,1500 , and 2000 decimal digits. Critical parameters are as follows: we used

$$
D \leq 10^{7}, \quad h \leq 1000, \quad \delta=12
$$

(see section 5.3.2). For 1000 and 1500 decimal digits (resp. 2000 digits), we limited the largest prime factor of $h$ to be $\leq 30$ (resp. 100). For the extraction of small prime factors (used in the algorithm described in 20 and denoted EXTRACT in the sequel), we used

$$
B=8 \cdot 10^{6}, 10^{7}, 3 \cdot 10^{7}
$$

for the three respective sizes.
SQRT refers to the computation of the $\sqrt{q_{i}^{*}}$, CORN to Cornacchia, PRP to probable primality tests; HD is the time for computing polynomials $H_{D}$ using the techniques described in 16, jmod the time to solve it modulo $p$; then 1st refers to the building phase (step 1), 2nd to the other ones; total is the total time, check the time to verify the certificate. Follow some data concering $D, h$ and the size of the certificates (in kbytes). All timings are cumulated CPU time on an AMD

Table 1. 1000 decimal digits

|  | $\min$ | $\max$ | avg | std |
| :--- | ---: | ---: | ---: | ---: |
| SQRT | 19 | 34 | 25 | 3 |
| CORN | 10 | 24 | 17 | 4 |
| EXTRACT | 60 | 84 | 74 | 5 |
| PRP | 74 | 124 | 102 | 14 |
| HD | 0 | 7 | 2 | 2 |
| jmod | 42 | 99 | 61 | 11 |
| 1st | 178 | 276 | 234 | 27 |
| 2nd | 79 | 136 | 99 | 12 |
| total | 260 | 387 | 334 | 34 |
| check | 18 | 22 | 20 | 0 |
| nsteps | 124 | 156 | 143 | 7 |
| certif | 396 | 456 | 435 | 13 |
| $D$ |  | 8740947 | 120639 | 608050 |
| $h$ |  | 1000 | 31 | 87 |

Table 2. 1500 decimal digits

|  | $\min$ | $\max$ | avg | std |
| :--- | ---: | ---: | ---: | ---: |
| SQRT | 114 | 427 | 171 | 65 |
| CORN | 59 | 140 | 95 | 21 |
| EXTRACT | 195 | 282 | 230 | 20 |
| PRP | 472 | 903 | 664 | 99 |
| HD | 5 | 13 | 9 | 2 |
| jmod | 219 | 471 | 334 | 60 |
| 1st | 868 | 1590 | 1192 | 185 |
| 2nd | 368 | 649 | 508 | 70 |
| total | 1322 | 2240 | 1701 | 230 |
| check | 71 | 94 | 85 | 5 |
| nsteps | 183 | 209 | 198 | 7 |
| certif | 796 | 968 | 897 | 40 |
| $D$ |  | 9644776 | 201015 | 848112 |
| $h$ |  | 972 | 46 | 111 |

Table 3. 2000 decimal digits

|  | $\min$ | $\max$ | avg | std |
| :--- | ---: | ---: | ---: | ---: |
| SQRT | 384 | 820 | 516 | 120 |
| CORN | 181 | 390 | 260 | 55 |
| EXTRACT | 600 | 853 | 713 | 67 |
| PRP | 1761 | 2879 | 2227 | 306 |
| HD | 6 | 27 | 16 | 5 |
| jmod | 969 | 1539 | 1255 | 188 |
| 1st | 2974 | 4888 | 3778 | 528 |
| 2nd | 1398 | 2120 | 1777 | 221 |
| total | 4494 | 6795 | 5557 | 711 |
| check | 213 | 261 | 238 | 13 |
| nsteps | 236 | 262 | 248 | 7 |
| certif | 1420 | 1644 | 1539 | 64 |
| $D$ |  | 9760387 | 285217 | 1026529 |
| $h$ |  | 1000 | 63 | 130 |

Athlon $643400+$ running at 2.4 GHz . In the tables, avg stands for average and std for standard deviation.

Looking at the average total time, we see that it follows very closely the $\tilde{O}\left((\log N)^{4}\right)$ prediction. According to our analysis, the main costs are the square roots, the pseudoprimality test and finding a root of $H_{D}$, with the same $\tilde{O}\left(L^{4+\mu}\right)$ heuristic cost. Square roots seem to become comparatively less important as $L$ increases.

## 7. Conclusions

We have described in greater detail the fast version of ECPP. We have demonstrated its efficiency. As for ECPP, it is obvious that the computations can be distributed over a network of computers. We refer the reader to 20] for more details. Note that the current record of 15041 decimal digits (with the number $4405^{2638}+2638^{4405}$ see [21]) was settled using this approach. Many more numbers were proven prime using either the monoprocessor version or the distributed one, most of them from the tables of numbers of the form $x^{y}+y^{x}$ made by P. Leyland $2^{2}$

Cheng [9] has suggested using ECPP to help his improvement of the AKS algorithm, forcing $m=c N^{\prime}$ to have $N^{\prime}-1$ divisible by a given prime large prime of size $O\left((\log N)^{2}\right)$. The same idea can be used to speed up the Jacobi sums algorithm, and this will be detailed elsewhere.

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    ${ }^{1}$ See the web page of M. Martin, http://www.ellipsa.net/, or that of the author, http://www.lix.polytechnique.fr/Labo/Francois.Morain that also contains binaries of his program.

[^1]:    ${ }^{2}$ http://www.leyland.vispa.com/numth/primes/xyyx.htm.

