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PARTIALLY BOUNDED CONTINUED FRACTIONS

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For each complex number sequence a , $f(a)$ denotes the continued fraction

$$\frac{1}{1 + \frac{a_1}{1 + \frac{a_2}{1 + \frac{a_3}{1 + \dots}}}}$$

The statement that $f(a)$ is *partially bounded*¹ means that the sequence a has a bounded infinite subsequence. If $f(a)$ is partially bounded, the series $\sum |b_p|$ diverges, where $b_1 = 1$, $a_p = 1/b_p b_{p+1}$, $p = 1, 2, \dots$, —a necessary condition for convergence of $f(a)$.

Any continued fraction $f(a)$ such that $\sum |b_p|$ diverges is convergent provided its even and odd parts are absolutely convergent,² i.e. provided the series $\sum |f_{2p+2} - f_{2p}|$ and $\sum |f_{2p+1} - f_{2p-1}|$ are convergent, where $\{f_p\}_{p=1}^\infty$ is the sequence of approximants. The *simple* convergence of the even and odd parts of $f(a)$, together with the divergence of $\sum |b_p|$, is *not* sufficient for the convergence of $f(a)$, (Theorem 3). However, the simple convergence of the even and odd parts of the partially bounded continued fraction $f(a)$ is sufficient for the convergence of $f(a)$. In fact, we have this theorem:

THEOREM 1. *Suppose there is a positive integer k such that the sub-*

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¹ $f(a)$ is called *bounded* if the sequence a is bounded—a condition equivalent to the boundedness of a certain infinite matrix. Cf. H. S. Wall, *Analytic theory of continued fractions*, 1948, p. 110. (Referred to later on as AT.)

² Trans. Amer. Math. Soc. vol. 67 (1949) pp. 368–380.

sequence $\{f_p\}_{p=k}^{\infty}$ of the sequence of approximants of the partially bounded continued fraction $f(a)$ is bounded. If the even (odd) part of $f(a)$ converges and has the value v , then there is an infinite subsequence of the sequence of approximants of the odd (even) part of $f(a)$ which converges to v .

PROOF. Let A_p and B_p be the p th numerator and denominator of $f(a)$, so that $A_0=0, A_1=1, B_0=1, B_1=1$,

$$\begin{aligned} A_{p+1} &= A_p + a_p A_{p-1}, \\ B_{p+1} &= B_p + a_p B_{p-1}, \end{aligned} \quad p = 1, 2, \dots,$$

and

$$\begin{aligned} A_p B_{p+1} - A_{p+1} B_p &= A_p B_{p+2} - A_{p+2} B_p \\ &= (-1)^{p+1} a_1 a_2 \cdots a_p, \end{aligned} \quad p = 1, 2, \dots.$$

Therefore, if $p \geq k$,

$$f_p - f_{p+1} = \frac{(-1)^{p+1} a_1 a_2 \cdots a_p}{B_p B_{p+1}}, \quad f_p - f_{p+2} = \frac{(-1)^{p+1} a_1 a_2 \cdots a_p}{B_p B_{p+2}},$$

and consequently³

$$-a_{p+2}(f_p - f_{p+2})(f_{p+1} - f_{p+3}) = (f_p - f_{p+1})(f_{p+2} - f_{p+3}),$$

or

$$|a_{p+2}(f_p - f_{p+2})(f_{p+1} - f_{p+3})| = |(f_p - f_{p+1})(f_{p+2} - f_{p+3})|.$$

Suppose the even (odd) part of $f(a)$ converges to v and that no infinite subsequence of the sequence of approximants of the odd (even) part of $f(a)$ converges to v . Then, there exists a positive number c and a positive integer m greater than k such that if p is a positive integer greater than m , $|(f_p - f_{p+1})(f_{p+2} - f_{p+3})| \geq c$. Let M be a number such that, if $p \geq k$, $|f_p - f_{p+2}| \leq M$, and let L be a number such that, for each positive integer r there exists a positive integer s greater than r for which $|a_{s+2}| \leq L$. There exists a positive integer N greater than m such that if p is an integer greater than N , $|f_p - f_{p+2}| < c/ML$ or $|f_{p+1} - f_{p+3}| < c/ML$. Hence, there exists a positive integer s greater than N such that $c \leq |a_{s+2}(f_s - f_{s+2})(f_{s+1} - f_{s+3})| \leq LM \cdot (c/LM) = c$. This contradiction proves our supposition false and establishes the theorem.

³ This formula expresses the fact that a_{p+2} is a cross-ratio of four successive approximants of $f(a)$. Cf. Wall, Bull. Amer. Math. Soc. vol. 40 (1934) pp. 578-592.

THEOREM 2. *If the even and odd parts of the partially bounded continued fraction $f(a)$ converge, then $f(a)$ converges.*

This is an immediate corollary to Theorem 1.

THEOREM 3. *There exists a divergent continued fraction $f(a)$ whose even and odd parts converge for which the series $\sum |b_p|$ diverges.*

PROOF. Let a denote the sequence defined by $a_p = (-1)^p(p+1)^2$, $p = 1, 2, \dots$. Since $|b_p b_{p+1}|^{1/2} = 1/(p+1) \leq [|b_p| + |b_{p+1}|]/2$, the series $\sum |b_p|$ diverges. The even part of $f(a)$ is $-f(c)/3$, where c is the sequence defined by $c_p = p^2(2p+1)/(2p-1)$, $p = 1, 2, \dots$, so that the even part of $f(a)$ converges⁴ and its value is a negative number. The odd part of $f(a)$ is $1+2f(d)/3$, where d is the sequence defined by $d_p = (p+1)^2(2p+1)/(2p+3)$, $p = 1, 2, \dots$, so that the odd part of $f(a)$ converges and its value is a number greater than 1. Hence $f(a)$ diverges.

A corollary to Theorem 2 is the following theorem of Farinha.⁵ Let E denote a bounded closed set in the complex plane which does not contain 0. If the even and odd parts of $f(a)$ converge uniformly for a_i in E , then $f(a)$ converges uniformly for a_i in E .

As an application of Theorem 2, we shall prove the following extension of a theorem of Thron.⁶

THEOREM 4. *Suppose r is a positive number not greater than 1 and s a positive number less than $(1+r)^{-2}$. The continued fraction $f(a)$ such that $|a_{2p}| \leq r^2$ and $|1/a_{2p-1}| \leq (1+r)^{-2} - s$, $p = 1, 2, \dots$, is convergent.*

PROOF. Since $f(a)$ is partially bounded, it suffices to show that its even and odd parts converge.

The even part of $f(a)$ may be written as

$$\frac{i/a_1}{b_1 + is} - \frac{c_1^2}{b_2 + is} - \frac{c_2^2}{b_3 + is} - \dots,$$

where

$$b_p = i + \frac{i}{a_{2p-1}} + \frac{a_{2p-2}}{a_{2p-1}} - is, \quad c_p^2 = -\frac{a_{2p}}{a_{2p+1}}, \quad p = 1, 2, \dots, (a_0 = 0).$$

⁴ AT, pp. 58-59.

⁵ Rev. Fac. Ci. Univ. Coimbra vol. 23 (1954) pp. 17-20.

⁶ Duke Math. J. vol. 10 (1943) pp. 677-685, p. 680. Thron restricts r to be less than 1. Cf. Leighton and Wall, Amer. J. Math. vol. 58 (1936) pp. 267-281, p. 267, for the first theorem of this type.

For each positive integer p , $\beta_p = \text{Im } b_p \geq 2r(1+r)^{-2} + r^2s > 0$, and there exists a number θ_p and a number t_p such that

$$\left| c_p^2 \right| = \left(\frac{r}{1+r} \right)^2 \theta_p, \quad \text{Re } c_p^2 = \left(\frac{r}{1+r} \right)^2 \theta_p t_p,$$

$$0 \leq \theta_p \leq 1, \quad -1 \leq t_p \leq 1.$$

Then,

$$0 \leq \frac{\left| c_p^2 \right| - \text{Re } c_p^2}{2\beta_p\beta_{p+1}} \leq \frac{(1+r)^2\theta_p(1-t_p)}{2(2+r-r\theta_p t_p)(2+r-r\theta_{p-1}t_{p-1})}.$$

Since the derivative with respect to r of the last expression is non-negative, it does not exceed

$$\frac{2\theta_p(1-t_p)}{(3-\theta_p t_p)(3-\theta_{p-1}t_{p-1})},$$

so that, if $h_p = (1-t_p)/2$ and $g_p = [1+\theta_p h_p]^{-1}$, we have:

$$\left| c_p \right|^2 - \text{Re } c_p^2 \leq 2\beta_p\beta_{p+1}(1-g_p)g_{p+1},$$

$$0 < g_p \leq 1, \quad p = 1, 2, \dots$$

Thus, the even part of $f(a)$ is positive definite⁷ and, being bounded, is convergent.⁸

The odd part of $f(a)$ may be proved convergent in the same way, completing the proof of Theorem 4.

George Copp⁹ has improved Theorem 4 by showing that $f(a)$ converges if there is a positive number r not greater than 1 such that $|a_{2p}| \leq r^2$ and $|a_{2p-1}| \geq (1+r)^2$, $p=1, 2, \dots$. He also showed that $f(a)$ converges if there exists a positive number r less than 1 such that, for each positive integer p , $|a_{2p}| \leq r^2$ and $|a_{2p-1}| \leq (1-r)^2$ or $|a_{2p-1}| \geq (1+r)^2$.

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⁷ AT, p. 69.

⁸ AT, p. 112.

⁹ George Copp, *Some convergence regions for a continued fraction*, Dissertation, The University of Texas, 1950.