

# ON SOME MERCERIAN THEOREMS IN SUMMABILITY

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1. **Introduction.** Mercer's Theorem [10; 4, Theorem 51] and various extensions of it have been treated in many recent papers [1; 3; 7; 8] etc. It is the object of this paper to use functional analysis to prove Mercerian Theorems for ordinary and absolute summability; we prove also a few results on absolute summability that may have some independent interest. Functional analysis treatment of Mercerian theorems for ordinary summability has also been given by Širkov [13].<sup>1</sup>

2. **Some notations and lemmas.** We note the following definitions and lemmas, some of which are quite well known. We shall use the symbols  $(c_0)$ ,  $(c)$  and  $(m)$  to denote respectively the set of all sequences converging to zero, the set of all convergent sequences, and the set of all bounded sequences. The sequence-to-sequence transformation given by the equations

$$y_n = \sum_{k=0}^{\infty} a_{nk}x_k, \quad (n = 0, 1, 2, \dots)$$

will be written as a matrix equation  $y = Ax$  where  $y$  and  $x$  are column vectors,  $y = \{y_n\}$  and  $x = \{x_n\}$ . Let  $(\mathfrak{M})$  and  $(\mathfrak{N})$  be given sets of sequences. Then  $\Gamma(\mathfrak{M}, \mathfrak{N})$  will denote the set of matrices  $A$  such that  $x \in (\mathfrak{M})$  implies  $Ax \in (\mathfrak{N})$ . As we shall see, it will be convenient to work with this notation.

LEMMA 1 (Hille [5, p. 92]). *If  $\Gamma$  is any complex Banach algebra with unit element  $I$ , then for every element  $A \in \Gamma$  the element  $I + qA$  where  $q$  is any complex number such that  $|q| \cdot \|A\| < 1$ , has an inverse in  $\Gamma$ .*

LEMMA 2. *The sets of matrices  $\Gamma(c_0, c_0)$ ,  $\Gamma(c, c)$  and  $\Gamma(m, m)$  are all complex Banach algebras, with the norm in each case being defined by:  $\|A\| = \text{l.u.b.}_{0 \leq n < \infty} \sum_{k=0}^{\infty} |a_{nk}|$ .*

A proof for  $\Gamma(c, c)$  is found in the author's paper [11]; the other cases are similarly proved.

LEMMA 3. (Zeller [14]). *Let  $A \in \Gamma(\mathfrak{M}, \mathfrak{M})$ ,  $(\mathfrak{M}) = (c_0)$  or  $(c)$ . If  $Ax \in (\mathfrak{M})$  implies  $x \in (m)$ , then  $x \in (\mathfrak{M})$ .*

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LEMMA 4. Let  $A \in \Gamma(\mathfrak{M}, \mathfrak{M})$ ,  $(\mathfrak{M}) = (c_0)$  or  $(c)$ . If the matrix  $B$  is such that  $AB = BA = I$  and  $B \in \Gamma(m, m)$  then  $B \in \Gamma(\mathfrak{M}, \mathfrak{M})$ .

The case  $(\mathfrak{M}) = (c)$  is proved elsewhere [12]; the other case is proved similarly.

3. **Some Mercerian theorems.** We now give two theorems, the first of which includes, and slightly generalizes, Theorems 1, 2, 3 and 4 of Love [8], and is itself included in the second theorem the results of which were first proved by Agnew (see [1; 2]).

THEOREM 1. Let  $A \in \Gamma(\mathfrak{M}, \mathfrak{M})$  where  $(\mathfrak{M}) = (c_0)$ ,  $(c)$  or  $(m)$ . Then the conditions  $(I + qA)x \in (\mathfrak{M})$ ,

$$(1) \quad |q| \cdot \limsup_{n \rightarrow \infty} \sum_{k=0}^{\infty} |a_{nk}| < 1$$

imply that  $x \in (\mathfrak{M})$  provided that either (i)  $x \in (m)$  or (ii)  $A$  is a lower-semimatrix (i.e.,  $a_{nk} = 0$  for  $k > n$ ).

THEOREM 2. Let  $A \in \Gamma(\mathfrak{M}, \mathfrak{M})$ ,  $(\mathfrak{M}) = (c_0)$  or  $(c)$  or  $(m)$  and let

$$(2) \quad \liminf_{n \rightarrow \infty} \left\{ |a_{nn}| - \sum_{k \neq n} |a_{nk}| \right\} > \lambda > 0;$$

then  $Ax \in (\mathfrak{M})$  implies that  $x \in (\mathfrak{M})$ , provided that either (i)  $x \in (m)$  or (ii)  $A$  is a lower-semimatrix.

PROOF. We may assume without loss of generality that  $|a_{nn}| - \sum_{k \neq n} |a_{nk}| > \lambda > 0$  for all  $n$ , for we may alter a finite number of rows of the matrix  $A$  without affecting its summability properties. Define the matrix  $B$  by  $I + B \equiv (a_{nk}/a_{nn})$ . Since  $\|B\| < 1$  by (2), we have by Lemmas 1 and 2 that  $C = (I + B)^{-1} \in \Gamma(\mathfrak{M}, \mathfrak{M})$ . Also  $I + B = PA$  where  $P = (p_{nk})$  is a diagonal matrix with  $p_{nn} = 1/a_{nn}$  for all  $n$ . Since  $\|A\| \geq |a_{nn}| > \lambda > 0$ , both  $P$  and  $P^{-1}$  belong to  $\Gamma(m, m)$ . Since further  $PAC = CPA = I = P^{-1}(PAC)P = ACP$  we see that  $A^{-1} = CP \in \Gamma(m, m)$ . Therefore, if  $A$  is a lower-semimatrix then  $Ax \in (\mathfrak{M})$  implies  $x \in (m)$ , and it follows from either of Lemmas 3, 4 that  $x \in (\mathfrak{M})$ . This proves part (ii) of the theorem.

If  $x \in (m)$  and  $Ax \in (\mathfrak{M})$ , then by what has been proved above and Lemma 4, we see that  $A^{-1} \in \Gamma(\mathfrak{M}, \mathfrak{M})$  and part (i) of the theorem is also proved.

4. **Absolute summability.** Corresponding to the Mercerian theorems for ordinary summability, there are also analogues for absolute summability—where convergence is replaced by absolute convergence. The sequence  $x = \{x_n\}$  is said to be absolutely convergent if

and only if the series  $\sum_{n=0}^{\infty} (x_n - x_{n-1})$  is absolutely convergent ( $x_{-1}$  is taken to be zero). We shall denote the set of all absolutely convergent sequences by  $(|c|)$ , and the set of all sequences  $x = \{x_n\}$  such that  $\sum_{n=0}^{\infty} |x_n| < \infty$ , by the symbol  $(l_1)$ . Theorem 3 below is the analogue of Lemma 2 for absolute summability; we shall prove it by a somewhat indirect method by a number of easy steps, proving a few lemmas which may also be considered to be of some independent interest.

LEMMA 5. (See Mears [9]). *The matrix  $P = (p_{nk})$ ,  $(n, k = 0, 1, 2, \dots)$  belongs to  $\Gamma(|c|, |c|)$ , that is,  $Px \in (|c|)$  for every  $x \in (|c|)$  if and only if*

$$(3) \quad \|P\|^* \equiv \text{l.u.b.}_{0 \leq k < \infty} \left| \sum_{n=0}^{\infty} \left| \sum_{k=h}^{\infty} (p_{nk} - p_{n-1,k}) \right| \right| < \infty$$

where  $p_{-1,k} = 0$  and

$$g_n = \sum_{k=0}^{\infty} p_{nk} \quad \text{exists for each } n = 0, 1, 2, \dots$$

LEMMA 6. (See Knopp and Lorentz [6]). *The matrix  $A = (a_{nk})$  belongs to  $\Gamma(l_1, l_1)$  if and only if*

$$(4) \quad \|A'\| \equiv \text{l.u.b.}_{0 \leq k < \infty} \sum_{n=0}^{\infty} |a_{nk}| < \infty$$

(or equivalently, if and only if  $A' \in \Gamma(m, m)$  where  $A'$  is the transpose of the matrix  $A$ , so that obviously  $\|A\|' = \|A'\|$ ).

LEMMA 7. *Let  $A = (a_{nk})$  and let  $G = (g_{nk})$  be defined by*

$$(5) \quad g_{nk} = a_{0k} + a_{1k} + \dots + a_{nk} \quad (n, k = 0, 1, 2, \dots)$$

*Then  $A \in \Gamma(l_1, l_1)$  if and only if  $G \in \Gamma(l_1, |c|)$ .*

The proof is immediate from the equation

$$\sum_{n=0}^{\infty} \left| \sum_{k=0}^{\infty} a_{nk} u_k \right| = \sum_{n=0}^{\infty} \left| \sum_{k=0}^{\infty} (g_{nk} - g_{n-1,k}) u_k \right|,$$

for the equation (5) is equivalent to the relation

$$(6) \quad a_{nk} = g_{nk} - g_{n-1,k} \quad (n, k = 0, 1, 2, \dots)$$

DEFINITION. The set of matrices  $A \in \Gamma(\mathfrak{M}, \mathfrak{N})$  such that  $\lim_{k \rightarrow \infty} a_{nk} = 0$  for each  $n = 0, 1, 2, \dots$  will be denoted by  $\Gamma_0(\mathfrak{M}, \mathfrak{N})$ .

LEMMA 8. *Let the matrices  $A$  and  $G$  be related as above. Then,  $A \in \Gamma_0(l_1, l_1)$  if and only if  $G \in \Gamma_0(l_1, |c|)$ .*

The proof is immediate from equations (5) and (6).

LEMMA 9. *The sets of matrices  $\Gamma(l_1, l_1)$  and  $\Gamma_0(l_1, l_1)$  are complex Banach algebras under the norm defined by (4).*

This is a consequence of the fact that  $\Gamma(l_1, l_1)$  and  $\Gamma_0(l_1, l_1)$  are, under the correspondence  $A \rightarrow A'$ , isometric with the Banach algebras (see Lemma 2)  $\Gamma(m, m)$  and  $\Gamma(c_0, c_0)$  respectively.

It is easily verified that the following result is true:

LEMMA 10. *Let  $P = (p_{nk}) \in \Gamma(|c|, |c|)$  and let*

$$(7) \quad g_{nk} = p_{nk} + p_{n,k+1} + \cdots + \cdots \quad (n, k = 0, 1, 2, \cdots).$$

*Then  $G = (g_{nk}) \in \Gamma_0(l_1, |c|)$  and*

$$(8) \quad p_{nk} = g_{nk} - g_{n,k+1} \quad (n, k = 0, 1, 2, \cdots).$$

*The converse is also true.*

REMARK. The statements  $A \in \Gamma_0(l_1, l_1)$ ,  $G \in \Gamma_0(l_1, |c|)$  and  $P \in \Gamma(|c|, |c|)$  are equivalent. For,  $s = \{s_n\} \in (l_1)$  if and only if  $\sigma = \{\sigma_n\} \in (|c|)$ , where  $\sigma_n = s_0 + s_1 + \cdots + s_n$ . It is easy to verify that if  $A \in \Gamma_0(l_1, l_1)$  and  $s \in (l_1)$ , then  $As = t = \{t_n\} \in (l_1)$ ,  $Gs = \tau \in (|c|)$  where  $\tau = \{\tau_n\}$ ,  $\tau_n = t_0 + t_1 + \cdots + t_n$  and further that  $P\sigma = \tau$ . The correspondence  $A \leftrightarrow G \leftrightarrow P$  is thus the "natural" one.

We have established above one-to-one correspondences between  $\Gamma_0(l_1, l_1)$  and  $\Gamma_0(l_1, |c|)$  on the one hand and between  $\Gamma_0(l_1, |c|)$  and  $\Gamma(|c|, |c|)$  on the other. Thus we have the

LEMMA 11. *The correspondences  $A \leftrightarrow G \leftrightarrow P$  where  $A \in \Gamma_0(l_1, l_1)$ ,  $G \in \Gamma_0(l_1, |c|)$  and  $P \in \Gamma(|c|, |c|)$ , as defined above, are one-to-one; and the correspondence  $A \leftrightarrow P$  is expressed by either of the equivalent formulae*

$$(9) \quad p_{nk} = \sum_{i=0}^{\infty} (a_{ik} - a_{i,k+1}) \quad (n, k = 0, 1, 2, \cdots),$$

$$a_{nk} = \sum_{i=k}^{\infty} (p_{ni} - p_{n-1,i}) \quad (n, k = 0, 1, 2, \cdots).$$

The equations giving  $(p_{nk})$  and  $(a_{nk})$  in terms of each other are verified by simple calculation.

LEMMA 12. *Let the matrix  $G$  be related to  $A \in \Gamma(l_1, l_1)$  by the equation (5) and let  $B \in \Gamma(l_1, l_1)$ . Then  $GB \in \Gamma(l_1, |c|)$  and is similarly related to  $AB \in \Gamma(l_1, l_1)$ .*

PROOF. The equations (4) and (6) give

$$|g_{ni}| \leq \sum_{k=0}^{\infty} |g_{ki} - g_{k-1,i}| \leq \|A\|' < \infty;$$

also,  $\sum_{i=0}^{\infty} |b_{ik}| \leq \|B\|' < \infty$ . Therefore the sums  $(GB)_{nk} \equiv \sum_{i=0}^{\infty} g_{ni}b_{ik}$  exist for  $n, k=0, 1, 2, \dots$ ; and hence the product  $GB$  also exists.

Now,  $(AB)_{nk} = \sum_{i=0}^{\infty} a_{ni}b_{ik} = \sum_{i=0}^{\infty} (g_{ni} - g_{n-1,i})b_{ik} = (GB)_{nk} - (GB)_{n-1,k}$  and the result follows from the equivalence of the relations (5) and (6).

LEMMA 13. *The one-to-one correspondence  $A \leftrightarrow P$  defined above between  $\Gamma_0(l_1, l_1)$  and  $\Gamma(|c|, |c|)$  is an isomorphism.*

PROOF. Let  $A, B \in \Gamma_0(l_1, l_1)$  and let the matrices corresponding to them in  $\Gamma_0(l_1, |c|)$ ,  $\Gamma(|c|, |c|)$  be  $G, H$  and  $P, Q$  respectively; that is,  $A \leftrightarrow G \leftrightarrow P, B \leftrightarrow H \leftrightarrow Q$  and  $G, H \in \Gamma_0(l_1, |c|); P, Q \in \Gamma(|c|, |c|)$ .

It is obvious that  $A + B \leftrightarrow P + Q \in \Gamma(|c|, |c|)$ ,  $\lambda A \leftrightarrow \lambda P$  where  $\lambda$  is any complex constant. [By Lemma 9 we have that  $A + B, \lambda A$  and  $AB$  all belong to  $\Gamma_0(l_1, l_1)$ .] We have to prove that  $PQ \in \Gamma(|c|, |c|)$  and that  $AB \leftrightarrow PQ$ .

In view of Lemmas 10, 11 and 12 it is enough to prove that the product  $PQ$  exists and that

$$(10) \quad (PQ)_{nk} = (GB)_{nk} - (GB)_{n,k+1} \quad (n, k = 0, 1, 2, \dots).$$

Now,

$$\begin{aligned} (GB)_{nk} - (GB)_{n,k+1} &= \sum_{i=0}^{\infty} g_{ni}(b_{ik} - b_{i,k+1}) \\ &= \sum_{i=0}^{\infty} g_{ni}(h_{ik} - h_{i-1,k} - h_{i,k+1} + h_{i-1,k+1}) \text{ by (6),} \\ &= \sum_{i=0}^{\infty} g_{ni}\{(h_{ik} - h_{i,k+1}) + (h_{i-1,k+1} - h_{i-1,k})\} \\ &= \sum_{i=0}^{\infty} (g_{ni} - g_{n,i+1})(h_{ik} - h_{i,k-1}) \\ &= \sum_{i=0}^{\infty} p_{ni}q_{ik}. \end{aligned}$$

This establishes that the product  $PQ$  exists and that it satisfies the relation (10). Since  $AB \leftrightarrow GB \in \Gamma_0(l_1, |c|)$  and  $GB \leftrightarrow PQ \in \Gamma(|c|, |c|)$ , the lemma is proved.

COROLLARY. The sets  $\Gamma(|c|, |c|)$  and  $\Gamma(c_0, c_0)$  are isomorphic under the correspondence  $P \leftrightarrow A'$  where  $A'$  is the transpose of  $A$ .

THEOREM 3. The set  $\Gamma(|c|, |c|)$  is a complex Banach algebra where the norm of  $P \in \Gamma(|c|, |c|)$  is defined by  $\|P\|^*$  given in (3).

PROOF. We have from the relation (3),  $\|P\|^* = \|A\|' = \|A'\|$ . Also, it is easily verified that the equation (3) defines a norm over  $\Gamma(|c|, |c|)$ . The theorem follows then from Lemma 2 and the corollary above, in view of the isometry.

Theorem 3 above leads us to the following generalization of Bosanquet's analogue [3], for absolute summability of Mercer's theorem; it is the analogue, for absolute summability, of Theorem 2.

THEOREM 4. If  $P \in \Gamma(|c|, |c|)$  is a lower-semimatrix and

$$|p_{nn}| - \sum_{k=n+1}^{\infty} \left| \sum_{i=n}^k (p_{ki} - p_{k-1,i}) \right| > \lambda > 0$$

for all  $n=0, 1, 2, \dots$ , then  $Px \in (|c|)$  implies  $x \in (|c|)$ .

PROOF. Let  $B = A' \in \Gamma(c_0, c_0)$  correspond to  $P$  under the isomorphism stated in the corollary to Lemma 13. Then it is easily verified that  $B$  satisfies the condition  $|b_{nn}| - \sum_{k=n+1}^{\infty} |b_{nk}| > \lambda > 0$  ( $n=0, 1, 2, \dots$ ) and hence, as seen in the proof of Theorem 2, we have that  $B^{-1} \in \Gamma(c_0, c_0)$ . It follows now from the isomorphism that  $P^{-1} \in \Gamma(|c|, |c|)$ . Also,  $P$  and  $P^{-1}$  are lower-semimatrices and therefore if  $Px \in (|c|)$  then  $x = (P^{-1}P)x = P^{-1}(Px) \in (|c|)$  and the theorem is proved.

It is easily seen that the Mercerian theorem for absolute summability given by Love [8, Theorem 5] is an immediate corollary of Theorem 4 proved above. It can also be proved that the following result for Hausdorff matrices, which includes and is more general than the results given by Love [8, Corollaries 4, 5], is also a corollary of our Theorem 4. We give an alternative short and interesting proof of the result.

THEOREM 5. If  $A \in \Gamma(c, c)$  is a Hausdorff matrix and satisfies the condition

$$(11) \quad |a_{nn}| - \sum_{k=0}^{n-1} |a_{nk}| > \lambda > 0 \quad (n = 0, 1, 2, \dots)$$

then  $Ax \in (|c|)$  implies that  $x \in (|c|)$ .

PROOF. As shown in the proof of Theorem 2, we have now

$A^{-1} \in \Gamma(c, c)$ , and since  $A$  is Hausdorff, so is  $A^{-1}$ . Now, Knopp and Lorentz [6, Theorem 3] have proved that any Hausdorff matrix belonging to  $\Gamma(c, c)$  belongs also to  $\Gamma(|c|, |c|)$ . Thus  $A^{-1} \in \Gamma(|c|, |c|)$  and the theorem is immediately proved.

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