

# UNIVERSAL MINIMAL SETS<sup>1</sup>

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Let  $(X, T)$  and  $(Y, T)$  be transformation groups with the same phase group  $T$ . A *homomorphism*  $f: X \rightarrow Y$  is a continuous mapping such that  $(xt)f = (xf)t$  ( $x \in X, t \in T$ ). A transformation group  $(X, T)$  is called a *minimal set* if  $(xT)^- = \text{cls}[xt/t \in T] = X$  ( $x \in X$ ). In this note it will be proved that given any abstract group  $T$  there exists a minimal set  $(M, T)$  with compact phase space  $M$  such that any minimal set  $(X, T)$  with compact  $X$  is a homomorphic image of  $(M, T)$ . Furthermore this "universal minimal set" is unique up to an isomorphism, and given  $x \in M, t \in T$  with  $t \neq e$  then  $xt \neq x$ . For a more complete discussion of several notions involved above see [2] and [3].

**DEFINITION 1.** *The  $\beta$ -compactification as a transformation group.* Let  $T$  be a discrete group, let  $\beta T$  be the  $\beta$ -compactification of  $T$ , and let  $t \in T$ . Then the map  $s \rightarrow st$  of  $T$  into  $\beta T$  is continuous and so may be extended to a map of  $\beta T$  into  $\beta T$ . Thus each element of  $T$  may be identified with a homeomorphism of  $\beta T$  onto  $\beta T$ . Under this identification  $(\beta T, T)$  becomes a transformation group.

Henceforth all transformation groups  $(X, T)$  will be assumed to have compact phase spaces,  $X$ , and discrete phase group  $T$ .

**LEMMA 1.** *Let  $(X, T)$  be a transformation group, let  $x \in X$ . Then there exists a homomorphism  $f$  mapping  $(\beta T, T)$  onto  $((xT)^-, T)$ .*

**PROOF.** The mapping  $t \rightarrow xt$  ( $t \in T$ ) of  $T$  into  $X$  is uniformly continuous, since  $xT$  is totally bounded. Hence there exists a continuous function  $f$  mapping  $\beta T$  onto  $(xT)^-$  with  $tf = xt$  ( $t \in T$ ). Hence  $(ts)f = (tf)s$  ( $t, s \in T$ ) and so by continuity  $(ys)f = (yf)s$  ( $y \in \beta T, s \in T$ ). The proof is completed.

**COROLLARY 1.** *Let  $(X, T)$  be minimal, and let  $M$  be a minimal subset of  $\beta T$ . Then  $(X, T)$  is a homomorphic image of  $(M, T)$ .*

**DEFINITION 2.** *Universal minimal set associated with a group  $T$ .* A transformation group  $(M, T)$  will be called a *universal minimal set associated with  $T$*  if  $M$  is minimal and if any minimal set  $(X, T)$  is a homomorphic image of  $(M, T)$ .

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Corollary 1 shows that any minimal subset of  $(\beta T, T)$  is a universal minimal set associated with  $T$ .

In order to prove that all universal minimal sets associated with a given group  $T$  are isomorphic I must make use of the following theorem which is proved in [1, Lemma 5].

**THEOREM 1.** *Let  $(X, T)$  be a transformation group, let  $(I, T)$  be the transformation group associated with a minimal right ideal  $I$  of the enveloping semigroup  $E(X, T)$ , and let  $f$  be a homomorphism of  $I$  onto  $I$ . Then  $f$  is an isomorphism onto.*

**LEMMA 2.** *Let  $(M, T)$  be a universal minimal set, and let  $I$  be a minimal right ideal in  $E(M, T)$ . Then  $(M, T)$  and  $(I, T)$  are isomorphic.*

**PROOF.** Let  $x \in M$ . Then the map  $\pi_x: I \rightarrow M$  such that  $p\pi_x = xp$  ( $p \in I$ ) is a homomorphism onto. Since  $(M, T)$  is universal and  $(I, T)$  is minimal, there exists a homomorphism  $f$  of  $(M, T)$  onto  $(I, T)$ . Hence  $\pi_x f$  is a homomorphism of  $(I, T)$  onto  $(I, T)$ . By Theorem 1 this map is an isomorphism onto, whence  $\pi_x$  is one-one. The proof is completed.

**COROLLARY 1.** *Let  $(M, T)$  be a universal minimal set and let  $f$  be a homomorphism of  $M$  into  $M$ . Then  $f$  is an isomorphism onto.*

**PROOF.** The map  $f$  is onto since  $M$  is minimal. Now let  $I$  be a minimal right ideal in  $E(M, T)$  and let  $x \in M$ . Then by Lemma 2,  $\pi_x f \pi_x^{-1}$  is a well defined homomorphism of  $(I, T)$  onto  $(I, T)$ . By Theorem 1,  $\pi_x f \pi_x^{-1}$  is one-one, hence so is  $f$ .

**THEOREM 2.** *All universal minimal sets associated with the group  $T$  are isomorphic.*

**PROOF.** Let  $(M, T)$  and  $(N, T)$  be universal minimal sets. Then there exist homomorphisms  $f, g$  of  $M$  onto  $N$  and  $N$  onto  $M$  respectively. Hence  $fg$  is a homomorphism of  $M$  onto  $M$  which by Corollary 1 to Lemma 2 must be one-one. Hence  $f$  is one-one. The proof is completed.

**DEFINITION 3.** Let  $(X, T)$  be a transformation group. The action of  $T$  on  $X$  is said to be *strongly effective* if given  $x \in X$  and  $t \in T$  with  $t \neq e$ , then  $xt \neq x$ .

In order to show that the action of  $T$  on its associated universal set is strongly effective I must make use of the identification of  $\beta T$  with the set of ultrafilters on  $T$ , see [4]. Let  $\mathfrak{U}$  be an ultrafilter on  $T$  and  $t \in T$ . Then the image of  $\mathfrak{U}$  under  $t$  is the ultrafilter  $\mathfrak{U}t = [Ut/U \in \mathfrak{U}]$ .

**THEOREM 3.** *Let  $(M, T)$  be the universal minimal set associated with  $T$ . Then the action of  $T$  on  $M$  is strongly effective.*

**PROOF.** Let  $t \in T$  with  $t \neq e$  and let  $\mathfrak{U}$  be an ultrafilter on  $T$ . I shall show that  $\mathfrak{U}t \neq \mathfrak{U}$ .

Let  $\mathfrak{F} = [F/F \subset T \text{ and } Ft \cap F = \phi]$ . Then  $[e] \in \mathfrak{F}$  implies that  $\mathfrak{F} \neq \phi$ . Furthermore if  $\mathfrak{F}$  is ordered by inclusion, it is inductive. Let  $F$  be a maximal element of  $\mathfrak{F}$ .

Now let  $x \in T$  and suppose  $x \in Ft \cup F$ . Then  $G = F \cup \{x\}$  is not in  $\mathfrak{F}$  and so  $Gt \cap G \neq \phi$ ; i.e.  $(Ft \cup \{xt\}) \cap (F \cup \{x\}) \neq \phi$ . Since  $F \in \mathfrak{F}$ , this means that  $xt \in F$ . Thus  $T = F \cup Ft \cup Ft^{-1}$ .

Since  $\mathfrak{U}$  is an ultrafilter on  $T$ , one of the sets  $F$ ,  $Ft$ ,  $Ft^{-1}$  must be in  $\mathfrak{U}$ . If  $\mathfrak{U}t$  were equal to  $\mathfrak{U}$ , then  $\mathfrak{U}t^{-1}$  would also be equal to  $\mathfrak{U}$ . This would imply that as soon as one of the sets  $F$ ,  $Ft$ ,  $Ft^{-1}$  were in  $\mathfrak{U}$  they would all be in  $\mathfrak{U}$ . This is impossible since  $F \cap Ft = \phi$ . The proof is completed.

Let  $B(T)$  be the set of functions on  $T$  to the unit interval provided with the topology of pointwise convergence. Let  $f \in B(T)$  and  $t \in T$ . Then one may define the element  $ft$  of  $B(T)$  in two ways; (1)  $s(ft) = (ts)f(s \in T)$  and (2)  $s(ft) = (st^{-1})f(s \in T)$ . In this way one obtains two transformation groups with phase group  $T$ . These will be denoted  $B_1(T)$ ,  $B_2(T)$ .

**THEOREM 4.** *Let  $t, s \in T$  with  $t \neq s$ . Then there exist functions  $f, g \in B(T)$  such that*

1.  $f(t) \neq f(s)$ ,  $g(t) \neq g(s)$ .
2.  $f$  is an almost periodic point of  $B_1(T)$  and  $g$  is an almost periodic point of  $B_2(T)$ .
3. The range of  $f = \text{range of } g = \text{the two element set } \{0, 1\}$ .

**PROOF.** Let  $(M \cdot T)$  be the universal minimal set associated with  $T$  and let  $x \in M$ . By Theorem 3  $xt \neq xs$ . Since  $M$  is totally disconnected [4], there exists an open-closed subset  $U$  of  $M$  such that  $xt \in U$  and  $xs \notin U$ . Let  $h$  be the characteristic function of  $U$  and set  $rf = (xr)h$  ( $r \in T$ ). Then  $f$  clearly satisfies 1 and 3.

To show that  $f$  satisfies 2, let  $\epsilon > 0$  and  $s_1, \dots, s_n$  be elements of  $T$ . Then

$$(f; s_1, \dots, s_n; \epsilon) = [u/u \in B(T) \text{ and } |s_i u - s_i f| < \epsilon \text{ for } i = 1, \dots, n]$$

is a typical neighborhood of  $f$ . Let  $\alpha$  be an index of  $M$  such that  $(a, b) \in \alpha$  implies  $|ah - bh| < \epsilon$ , and let  $\beta$  be an index on  $M$  such that  $(a, b) \in \beta$  implies that  $(as_i, bs_i) \in \alpha$  for  $i = 1, \dots, n$ . Finally let  $A = [r/r \in T \text{ and } (xr, x) \in \beta]$ . Then  $A$  is a syndetic [3] subset of  $T$ , and

$r \in A$  implies that  $|s_i(fr) - s_if| = |(rs_i)f - s_if| = |(xrs_i)h - (xs_i)h| < \epsilon, i = 1, \dots, n$  since  $(xr, x) \in \beta$ . Consequently  $fr \in (f; s_i, \dots, s_n; \epsilon)$  ( $r \in A$ ). This completes the proof for  $B_1(T)$ .

To obtain  $g$ , one replaces the minimal set  $M$  in the above argument by the universal minimal set associated with the group  $T^*$  opposite to  $T$  (i.e.  $T^*$  is  $T$  provided with the group operation "o" where  $s \circ t = ts$  ( $t, s \in T$ )).

Theorem 4 states that the points of any group  $T$  may be separated by almost periodic points of  $B(T)$ . This is in marked contrast to the situation which prevails if one demands that the points of  $T$  be separated by almost periodic functions in the sense of von Neumann. There exist groups  $T$  [5] on which the only almost periodic functions are the constants.

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