

# ON A QUADRATIC DIOPHANTINE INEQUALITY

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**1. Introduction.** Let  $C$  be an  $n$ -cube and  $S$  the  $n$ -sphere circumscribed about  $C$ . Keeping  $C$  fixed let  $S$  be moved so that its center falls at some point  $P$  inside or on  $C$ . We pose the problem: How can vertices of  $C$  falling inside or on  $S_P$  (the subscript denotes the center) be selected?

Analytically expressed, let  $C$  be the unit  $n$ -cube the coordinates of whose vertices are zeros or ones (on a Cartesian coordinate system in  $E_n$ ). Let  $P$  be the point  $(x_1, \dots, x_n)$  with  $0 \leq x_i \leq 1$  ( $i = 1, \dots, n$ ).  $S_P$  is of diameter  $n^{1/2}$ . We seek lattice points  $(y_1, \dots, y_n)$   $y_i = 0$  or  $1$  ( $i = 1, \dots, n$ ) satisfying

$$(1.1) \quad \sum_{i=1}^n (x_i - y_i)^2 \leq n/4.$$

Thus, trivially, one point  $(y_1, \dots, y_n)$  may always be obtained if we let  $y_i = 0$  if  $x_i \leq 1/2$  and  $y_i = 1$  if  $x_i > 1/2$ .

Of course, one obvious method would be to substitute (the coordinates of) the vertices of  $C$  into (1.1) and to select those which satisfy it; however, except for small  $n$  this is a prohibitive operation (even with mechanical aid). The problem therefore is one of *minimizing the number of operations* in obtaining solutions of the desired type.

In this paper we obtain a process for *immediately associating* with any  $(x_1, \dots, x_n)$  ( $0 \leq x_i \leq 1$ ,  $i = 1, \dots, n$ ,  $n \geq 4$ ) a class of lattice points  $(y_1, \dots, y_n)$   $y_i = 0$  or  $1$  ( $i = 1, \dots, n$ ) satisfying (1.1).

We note that a lemma to a theorem of D. Warncke and the author<sup>1</sup> establishes the following class of solutions for the case  $n=4$ : Let  $(x_{i_1}, \dots, x_{i_4})$  be a rearrangement  $G$  of  $(x_1, \dots, x_4)$  for which

$$|x_{i_1} - 1/2| \leq |x_{i_2} - 1/2| \leq |x_{i_3} - 1/2| \leq |x_{i_4} - 1/2|.$$

Let  $y'_{i_1} = 0$  and  $y''_{i_1} = 1$ , and

$$y'_{i_j} = y''_{i_j} = \begin{cases} 0 & \text{if } x_{i_j} \leq 1/2 \\ 1 & \text{if } x_{i_j} > 1/2 \end{cases}$$

for  $j=2, 3, 4$ . Applying  $G^{-1}$  to  $(y'_{i_1}, \dots, y'_{i_4})$  and  $(y''_{i_1}, \dots, y''_{i_4})$  we obtain lattice points  $(y'_1, \dots, y'_4)$  and  $(y''_1, \dots, y''_4)$  respectively (with coordinates zeros or ones) satisfying (1.1).

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<sup>1</sup> D. Warncke and F. Supnick, *On the covering of  $E_n$  by spheres*, Proc. Amer. Math. Soc. vol. 8 (1957) pp. 299-303, 1160. See §2.

2. **Some definitions and statement of results.** An ordered set of integers  $(a_1, \dots, a_r)$  ( $1 \leq a_1 < a_2 < \dots < a_r$ ,  $1 \leq r \leq [n/r]$ ,  $n \geq 4$ ) will be called a *primary set of order  $r$*  if

$$(2.1) \quad a_i \leq (n - 3) - 4(r - i) \quad (i = 1, \dots, r).$$

Let  $(x_1, \dots, x_n)$  ( $0 \leq x_i \leq 1$ ,  $i = 1, \dots, n$ ;  $n \geq 4$ ) be arbitrarily chosen (but held fixed in the following argument). Let  $(x_{i_1}, \dots, x_{i_n})$  be a rearrangement  $H$  of  $(x_1, \dots, x_n)$  for which

$$(2.2) \quad \left| x_{i_1} - \frac{1}{2} \right| \leq \left| x_{i_2} - \frac{1}{2} \right| \leq \dots \leq \left| x_{i_n} - \frac{1}{2} \right|.$$

Let  $z_1, \dots, z_n$  denote  $x_{i_1}, \dots, x_{i_n}$  respectively.

Now, each primary set  $(a_1, \dots, a_r)$  induces a partition<sup>2</sup>

$$\{1, \dots, n\} = F + N$$

where

$$(2.3) \quad F = \{a_1, \dots, a_r\}, \quad N = \{1, \dots, n\} - \{a_1, \dots, a_r\}.$$

Let  $k$  range over  $\{1, \dots, n\}$ :

(i) if  $k \in F$ , let

$$(2.4) \quad p_k = \begin{cases} 0 & \text{if } z_k > 1/2, \\ 1 & \text{if } z_k \leq 1/2; \end{cases}$$

(ii) if  $k \in N$ , let

$$(2.5) \quad p_k = \begin{cases} 0 & \text{if } z_k \leq 1/2; \\ 1 & \text{if } z_k > 1/2. \end{cases}$$

Now, because of (2.1), with each element  $a_i$  of  $F$  (cf. (2.3)) may be associated integers  $b_i, c_i, d_i$  of  $N$  (cf. (2.3)) such that  $a_i < b_i < c_i < d_i$ , holds for  $(i = 1, \dots, r)$ , and such that (the intersection)  $\{a_j, b_j, c_j, d_j\} \cdot \{a_k, b_k, c_k, d_k\}$  is null for all pairs  $j, k \in \{1, \dots, r\}$  ( $j \neq k$ ). Recalling the solutions for the case  $n = 4$  (at the end of §1) we have,

$$(z_{a_i} - p_{a_i})^2 + (z_{b_i} - p_{b_i})^2 + (z_{c_i} - p_{c_i})^2 + (z_{d_i} - p_{d_i})^2 \leq 1$$

for  $(i = 1, \dots, r)$ . We note that  $(z_i - p_i)^2 \leq 1/4$  for each element  $i$  of

$$\{1, \dots, n\} - \sum_{i=1}^r \{a_i, b_i, c_i, d_i\}$$

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<sup>2</sup> We use the symbol  $\{ \}$  to denote "unordered set". The operations "+", "-", "." between unordered sets are those in common usage in set theory.

(if indeed there are such). Therefore  $\sum_{k=1}^n (z_k - p_k)^2 \leq n/4$ . Applying  $H^{-1}$  to  $(p_1, \dots, p_n)$  we obtain a lattice point  $(y_1, \dots, y_n)$  ( $y_i = 0$  or  $1$ ) satisfying (1.1). We call  $(y_1, \dots, y_n)$  an  $(H)$ -lattice-point (since it depends on  $H$ ) associated with the primary set  $(a_1, \dots, a_r)$ . The lattice point obtained by letting  $y_i = 0$  if  $x_i \leq 1/2$  and  $y_i = 1$  if  $x_i > 1/2$  ( $i = 1, \dots, n$ ) will be referred to as the  $(H)$ -lattice-point associated with the null set (which we here call the "primary set of order zero" for convenience of exposition).

STATEMENT OF RESULTS. Let  $A_{i,j}$  denote the element in the  $i$ th row and  $j$ th column of the double array:

$$\begin{array}{cccccccccccccccccccc}
 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & 1 & \dots \\
 0 & 0 & 0 & 0 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & 15 & 16 & \dots \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 22 & 30 & 39 & 49 & 60 & 72 & 85 & 99 & 114 & \dots \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 140 & 200 & 272 & 357 & 456 & \dots \\
 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 969 & \dots \\
 \dots & & & & & & & & & & & & & & & & & & \dots
 \end{array}
 \tag{2.6}$$

i.e., (i) if  $i = 1$ , then  $A_{i,j} = 1$  for all positive integers  $j$ , (ii) if  $i > 1$ , then  $A_{i,j} = 0$  for each positive integer  $j \leq 4(i - 1)$ , and

$$A_{i,j} = \sum_{k=4(i-2)+1}^{i-1} A_{i-1,k}
 \tag{2.7}$$

for each positive integer  $j > 4(i - 1)$ .

First an algorithm is given (cf. §4) for listing all primary sets of order  $r$  ( $1 \leq r \leq [n/4]$ ), and the following theorem concerning the "length" of a complete listing is established:

THEOREM 1. For a given  $n \geq 4$ , the total number of primary sets of orders  $0, 1, \dots, [n/4]$  is

$$\theta \equiv 1 + \sum_{j=1}^{n-3} \sum_{i=1}^{[n/4]} A_{i,j}.$$

THEOREM 2. (i) Each primary set (which may be the primary set of order zero) has one and only one associated  $(H)$ -lattice-point, and (ii) distinct primary sets have distinct associated  $(H)$ -lattice-points.

We thus have the following constructive process for obtaining vertices of  $C$  inside or on  $S_P$ :

STEP 1. Once  $n \geq 4$  is specified, list all  $\theta$  primary sets. This may be done by the algorithm of §4.

STEP 2. Once  $(x_1, \dots, x_n)$  is specified determine a rearrangement  $H$

yielding  $(z_1, \dots, z_n)$ . Fixing attention on each primary set in turn, apply (2.4) and (2.5) to  $(z_1, \dots, z_n)$ , thus obtaining  $(p_1, \dots, p_n)$ ; we then apply  $H^{-1}$  to  $(p_1, \dots, p_n)$  and obtain an  $(H)$ -lattice-point satisfying (1.1).

REMARK. If  $(x_1, \dots, x_n)$  is such that  $|x_i - 1/2| \neq |x_j - 1/2|$  for all pairs  $i, j$  ( $i \neq j$ ), then there is only one rearrangement  $H$  satisfying (2.2). If  $(x_1, \dots, x_n)$  is such that  $|x_i - 1/2| = |x_j - 1/2|$  for some pair  $i, j$  ( $i \neq j$ ), let

$$(2.8) \quad H_1: (x_{i_1}, \dots, x_{i_n}), \quad H_2: (x_{j_1}, \dots, x_{j_n}), \dots$$

be all the rearrangements of  $(x_1, \dots, x_n)$  such that

$$\begin{aligned} |x_{i_1} - 1/2| &\leq \dots \leq |x_{i_n} - 1/2|, \\ |x_{j_1} - 1/2| &\leq \dots \leq |x_{j_n} - 1/2|, \dots \end{aligned}$$

Let all  $\theta$   $(H_1)$ -lattice-points be obtained. To find those  $(H_2)$ -lattice-points which are not  $(H_1)$ -lattice-points, we need only consider primary sets  $(a_1, \dots, a_r)$  such that  $(j_{a_1}, \dots, j_{a_r}) \neq (i_{a_1}, \dots, i_{a_r})$ . Bearing this in mind at all times, we may obtain all other lattice points associated with each element of (2.8) without duplications.

3. **A lemma.** Let  $\Delta_r(n) (1 \leq r \leq [n/4])$  denote the matrix in the upper left-hand corner of (2.6) consisting of all elements  $A_{i,j}$  ( $i = 1, \dots, r$ ;  $j = 1, \dots, n - 3$ ). It will be convenient to introduce a new designation for an arbitrary element of  $\Delta_r(n)$ , say  $s_{i,k}$ , where  $i$  indicates the  $i$ th row from the top (as before), but  $k$  now indicates the  $k$ th column from the right; (thus  $A_{i,j} = s_{i,k}$  where  $k = n - 2 - j$  ( $j = 1, \dots, n - 3$ )).

LEMMA. Let  $s_{i,k}$  ( $i > 1$ ) be any nonzero element of  $\Delta_m(n)$  ( $m = [n/4]$ ) such that  $s_{i,k+1}$  is not zero. Then

$$(3.1) \quad s_{i,k} = s_{i,k+1} + s_{i-1,k+2} + s_{i-2,k+3} + \dots + 1.$$

PROOF. From (2.7) it follows that  $s_{i,k} = s_{i,k+1} + s_{i-1,k+1}$ . Since  $s_{i-1,k+1} = s_{i-1,k+2} + s_{i-2,k+2}$ , we obtain

$$(3.2) \quad s_{i,k} = s_{i,k+1} + s_{i-1,k+2} + s_{i-2,k+2}.$$

Repeating this argument on the last term of (3.2), etc., we finally obtain (3.1).

4. **An algorithm.** Let  $S_r$  denote the sum of the elements of the  $r$ th row of  $\Delta_r(n) (1 \leq r \leq [n/4], n \geq 4)$ . Let  $q_0$  be an integer satisfying  $1 \leq q_0 \leq S_r$ . We shall associate with  $q_0$  a primary set  $(j_0, j_1, \dots, j_{r-1})$  as follows:

(1) Determination of  $j_0$ . We notice that

$$(4.1) \quad S_r = s_{r,v_0} + s_{r,v_0-1} + \dots + s_{r,2} + s_{r,1}$$

where  $v_0 = (n-3) - (r-1)4$ ; there are  $(r-1)4$  zeros to the left of  $s_{r,v_0}$  in the last row of  $\Delta_r(n)$ . Then from (4.1) we see that there is one and only one integer  $j_0$  satisfying  $1 \leq j_0 \leq (n-3) - (r-1)4$  such that

$$(4.2) \quad \sum_{j=j_0+1}^{v_0} s_{r,j} < q_0 \leq \sum_{j=j_0}^{v_0} s_{r,j}$$

(here and below, expressions of the form  $\sum_{j=A}^B U_j$  where  $B < A$  are to be taken as zero).

(2) Determination of  $j_1$ . We notice that

$$(4.3) \quad s_{r,j_0} = s_{r-1,v_1} + s_{r-1,v_1-1} + \dots + s_{r-1,j_0+1}$$

where  $v_1 = (n-3) - (r-2)4$ ; there are  $(r-2)4$  zeros to the left of  $s_{r-1,v_1}$  in the  $(r-1)$ st row of  $\Delta_r(n)$ . Let

$$(4.4) \quad q_1 = q_0 - \sum_{j=j_0+1}^{v_0} s_{r,j};$$

then  $1 \leq q_1 \leq s_{r,j_0}$ . From (4.3) we see that there is one and only one integer  $j_1$  satisfying  $j_0 < j_1 \leq (n-3) - (r-2)4$  such that

$$(4.5) \quad \sum_{j=j_1+1}^{v_1} s_{r-1,j} < q_1 \leq \sum_{j=j_1}^{v_1} s_{r-1,j}.$$

(3) Let us suppose that integers  $j_0, j_1, \dots, j_{i-1}$  have been determined, each  $j_\theta$  ( $g \in \{1, 2, \dots, i-1\}$ ) being the only integer which satisfies

$$j_{\theta-1} < j_\theta \leq (n-3) - (r - (g+1))4 \equiv v_\theta$$

and

$$(4.6) \quad \sum_{j=j_\theta+1}^{v_\theta} s_{r-\theta,j} < q_\theta \leq \sum_{j=j_\theta}^{v_\theta} s_{r-\theta,j},$$

where

$$q_\theta = q_{\theta-1} - \sum_{j=j_{\theta-1}+1}^{v_{\theta-1}} s_{r-(\theta-1),j},$$

i.e.,

$$q_\theta = q_0 - \left( \sum_{j=j_0+1}^{v_0} s_{r,j} + \sum_{j=j_1+1}^{v_1} s_{r-1,j} + \dots + \sum_{j=j_{\theta-1}+1}^{v_{\theta-1}} s_{r-(\theta-1),j} \right).$$

We show how to determine  $j_i$ . We notice that (if  $r - (i - 1) \geq 2$ )

$$(4.7) \quad s_{r-(i-1),j_{i-1}} = s_{r-i,v_i} + s_{r-i,(v_i-1)} + \dots + s_{r-i,(j_{i-1}+1)},$$

where  $v_i = v_0 + 4i$ ; there are  $(r - (i + 1))4$  zeros to the left of  $s_{r-i,v_i}$  in the  $(r - i)$ th row of  $\Delta_r(n)$ . Let

$$(4.8) \quad q_i = q_{i-1} - \sum_{j=j_{i-1}+1}^{v_{i-1}} s_{r-(i-1),j}.$$

Then, letting  $g = i - 1$  in (4.6), and subtracting the left sum, we obtain  $1 \leq q_i \leq s_{r-(i-1),j_{i-1}}$ . From (4.7) we see that there is one and only one integer  $j_i$  satisfying

$$(4.9) \quad j_{i-1} < j_i \leq (n - 3) - (r - (i + 1))4 \equiv v_i$$

such that

$$(4.10) \quad \sum_{j=j_i+1}^{v_i} s_{r-i,j} < q_i \leq \sum_{j=j_i}^{v_i} s_{r-i,j}.$$

Repeatedly selecting the  $j_i$  as described in (3) (immediately above), we finally obtain the primary set  $(j_0, \dots, j_{r-1})$  which we associate with  $q_0$ .

From the manner in which  $(j_0, \dots, j_{r-1})$  was selected we may now show that

$$(4.11) \quad q_0 = 1 + \sum_{k=0}^{r-1} \sum_{j=j_k+1}^{v_k} s_{r-k,j}.$$

PROOF OF (4.11). Let

$$(4.12) \quad \beta_k = \sum_{j=j_k+1}^{v_k} s_{r-k,j}.$$

Then from (4.8)  $q_0 = q_1 + \beta_0$ ,  $q_1 = q_2 + \beta_1, \dots$ ; therefore

$$(4.13) \quad q_0 = q_{r-1} + \beta_{r-2} + \beta_{r-3} + \dots + \beta_0.$$

But from (4.10), since  $s_{1,j} = 1$

$$q_{r-1} = \sum_{j=j_{r-1}}^{v_{r-1}} s_{1,j} = 1 + \beta_{r-1},$$

and (4.13) becomes

$$(4.14) \quad q_0 = 1 + \sum_{k=0}^{r-1} \beta_k.$$

Substituting (4.12) into (4.14) we obtain (4.11).

5. **The number of primary sets**  $(a_1, \dots, a_r)$ . With any integer  $g_0$  ( $1 \leq g_0 \leq S_r$ ) we have associated a primary set  $(j_0, j_1, \dots, j_{r-1})$  determined as in §4.

We now show that any primary set  $(h_0, h_1, \dots, h_{r-1})$  is an associate of an integer  $I$  satisfying  $1 \leq I \leq S_r$ .

(A) Let

$$(5.1) \quad I \equiv 1 + \sum_{k=0}^{r-1} \sum_{j=h_{k+1}}^{v_k} S_{r-k,j}.$$

It is clear that  $I \geq 1$ . We first prove that

$$(5.2) \quad I \leq S_r.$$

PROOF OF (5.2). (i) Suppose there are at least two nonzero terms in the last row of  $\Delta_r(n)$ . Then

$$\begin{aligned} 1 + \sum_{k=0}^{r-1} \sum_{j=h_{k+1}}^{v_k} S_{r-k,j} &\leq 1 + \sum_{k=0}^{r-1} \sum_{j=k+2}^{v_k} S_{r-k,j} \\ &= 1 + \sum_{k=1}^{r-1} \sum_{j=k+2}^{v_k} S_{r-k,j} + \sum_{j=2}^{v_0} S_{r,j} \\ &= 1 + \sum_{k=1}^{r-1} S_{r-(k-1),k+1} + \sum_{j=2}^{v_0} S_{r,j} \\ &= S_{r,1} + \sum_{j=2}^{v_0} S_{r,j} = S_r. \end{aligned}$$

(ii) Suppose there is only one nonzero term in the last row of  $\Delta_r(n)$ . Then

$$\begin{aligned} 1 + \sum_{k=0}^{r-1} \sum_{j=h_{k+1}}^{v_k} S_{r-k,j} &\leq 1 + \sum_{k=0}^{r-1} \sum_{j=k+2}^{v_k} S_{r-k,j} \\ &= 1 + \sum_{k=1}^{r-1} \sum_{j=k+2}^{v_k} S_{r-k,j} \\ &= 1 + \sum_{k=2}^{r-1} \sum_{j=k+2}^{v_k} S_{r-k,j} + \sum_{j=3}^{v_1} S_{r-1,j} \\ &= 1 + \sum_{k=2}^{r-1} S_{r-(k-1),k+1} + \sum_{j=3}^{v_1} S_{r-1,j} \\ &= S_{r-1,2} + \sum_{j=3}^{v_1} S_{r-1,j} \\ &= S_{r,1} \equiv S_r. \end{aligned}$$

(B) We now show that the primary set  $(h_0, h_1, \dots, h_{r-1})$  is an associate of  $I$  (as defined by (5.1)) which we write as follows:

$$I = 1 + \sum_{j=h_0+1}^{v_0} s_{r,j} + \sum_{j=h_1+1}^{v_1} s_{r-1,j} + \dots + \sum_{j=h_{r-1}+1}^{v_{r-1}} s_{1,j}.$$

We recall that  $h_k$  by definition satisfies  $1 \leq h_0 < h_1 < \dots < h_{r-1}$  and  $h_k \leq (n-3) - (r-(k+1))4 = v_k$ ; also, that in determining the primary set associated with a given integer  $g_0$  ( $1 \leq g_0 \leq S_r$ ) there is one and only one selection  $j_i$  possible at each step (cf. (1), (2), (3) of §4). Thus, if we can show that

$$(5.3) \quad \sum_{j=h_0+1}^{v_0} s_{r,j} < I \leq \sum_{j=h_0}^{v_0} s_{r,j}$$

then  $j_0 = h_0$ . And, if we can show that if  $j_0 = h_0, j_1 = h_1, \dots, j_{i-1} = h_{i-1}$  then

$$(5.4) \quad \sum_{j=h_{i+1}}^{v_i} s_{r-i,j} < q'_i \leq \sum_{j=h_i}^{v_i} s_{r-i,j}$$

where

$$(5.5) \quad q'_i = 1 + \sum_{j=h_{i+1}}^{v_i} s_{r-i,j} + \sum_{j=h_{i+1}+1}^{v_{i+1}} s_{r-(i+1),j} + \dots + \sum_{j=h_{r-1}+1}^{v_{r-1}} s_{1,j},$$

then  $j_i = h_i$  ( $i = 1, \dots, r-1$ ).

The left inequalities of (5.3) and (5.4) are obvious. The right inequalities of (5.3) and (5.4) will be true if we can show that

$$(5.6) \quad 1 + \sum_{j=h_{i+1}+1}^{v_{i+1}} s_{r-(i+1),j} + \sum_{j=h_{i+2}+1}^{v_{i+2}} s_{r-(i+2),j} + \dots + \sum_{j=h_{r-1}+1}^{v_{r-1}} s_{1,j} \leq s_{r-i, h_i},$$

for  $i \geq 0$  (and then add  $\sum_{j=h_{i+1}}^{v_i} s_{r-i,j}$  to both sides).

PROOF OF (5.6). CASE I. Suppose  $s_{r-i, h_{i+1}} \neq 0$ . Then

$$\begin{aligned} \sum_{j=h_{i+1}+1}^{v_{i+1}} s_{r-(i+1),j} &\leq \sum_{j=h_{i+2}}^{v_{i+1}} s_{r-(i+1),j} = s_{r-i, h_{i+1}} \\ \sum_{j=h_{i+2}+1}^{v_{i+2}} s_{r-(i+2),j} &\leq \sum_{j=h_{i+3}}^{v_{i+2}} s_{r-(i+2),j} = s_{r-(i+1), h_{i+2}} \\ &\dots \\ \sum_{j=h_{r-1}+1}^{v_{r-1}} s_{1,j} &\leq \sum_{j=h_{i+(r-i)}}^{v_{r-1}} s_{1,j} = s_{2, h_{i+(r-(i+1))}}. \end{aligned}$$



But by the lemma of §3,

$$s_{r-i, h_i} = s_{r-i, h_i+1} + s_{r-(i+1), h_i+2} + \dots + s_{2, h_i+r-(i+1)} + 1.$$

Therefore (5.6) is true (in this case) for  $i \geq 0$ .

CASE II. Suppose  $s_{r-i, h_i+1} = 0$ . Then, using the ideas appearing in the proof of Case I, the left side of (5.6) is less than or equal to

$$\begin{aligned} 1 + \left( \sum_{j=h_i+2}^{v_i+1} s_{r-(i+1), j} \right) + s_{r-(i+1), h_i+2} + s_{r-(i+2), h_i+3} + \dots + s_{2, h_i+r-(i+1)} \\ = \left( \sum_{j=h_i+2}^{v_i+1} s_{r-(i+1), j} \right) + s_{r-(i+1), h_i+1} = \sum_{j=h_i+1}^{v_i+1} s_{r-(i+1), j} = s_{r-i, h_i} \end{aligned}$$

for  $i \geq 0$ .

**6. The number of primary sets  $(a_1, \dots, a_r)$  (continued).** In §4 we have associated with each integer  $g_0 (1 \leq g_0 \leq S_r)$  a primary set  $(j_0, j_1, \dots, j_{r-1})$ . In §5 we have shown that each primary set  $(h_0, h_1, \dots, h_{r-1})$  is the associate of an integer  $I (1 \leq I \leq S_r)$ . We show that *this correspondence is 1-1 reciprocal*:

(i) Each integer  $g_0 (1 \leq g_0 \leq S_r)$  has one and only one associated primary set  $(j_0, j_1, \dots, j_{r-1})$  because in selecting the  $j_i$ 's *one and only one*  $j_i$  can be selected at each step (cf. §4).

(ii) Integers  $g_0$  and  $g_1 (1 \leq g_0 \leq S_r, 1 \leq g_1 \leq S_r, g_0 \neq g_1)$  cannot be associated with the same primary set  $(j_0, j_1, \dots, j_{r-1})$ . For in the contrary case  $g_0$  and  $g_1$  would each be equal to the right side of (4.11), and therefore to each other, which is impossible.

Thus there are  $S_r$  primary sets of order  $r$ . If  $r$  now varies over the range  $1 \leq r \leq [n/4]$ , then there are as many primary sets of positive order as the sum of the terms of  $\Delta_m(n) (m = [n/4])$ . Adjoining the primary set of order zero we have Theorem 1 as stated.

**7. Proof of Theorem 2.** *Proof of (i).* We recall that  $(z_1, \dots, z_n)$  is fixed. Let a primary set  $(a_1, \dots, a_r)$  (which may be of order zero) be given. Then with each  $k (k \in \{1, \dots, n\})$  (2.4) or (2.5) associates one and only one integer  $p_k (= 0$  or  $1)$  accordingly as  $k$  belongs to  $F$  (which may be null) or to  $N$  (cf. (2.3)). Thus with each primary set is associated one and only one  $n$ -tuple  $(p_1, \dots, p_n)$ . Applying  $H^{-1}$  to  $(p_1, \dots, p_n)$  we obtain the unique lattice point associated with the primary set  $(a_1, \dots, a_r)$ .

PROOF OF (ii). Let distinct primary sets

$$(7.1) \quad (a_1, \dots, a_r) \quad \text{and} \quad (a'_1, \dots, a'_r)$$

be given ( $r \geq s$ ;  $(a'_1, \dots, a'_s)$  may be the null set). Then (2.4) and (2.5) associate with (7.1)

$$(7.2) \quad (p_1, \dots, p_n) \quad \text{and} \quad (p'_1, \dots, p'_n)$$

respectively. Since the primary set (7.1) are distinct, there must be an  $a_i$  such that  $a_i \notin \{a'_1, \dots, a'_s\}$ . The  $n$ -tuplets (7.2) will be distinct since  $p_{a_i} \neq p'_{a_i}$ . Therefore the lattice points associated with the primary sets (7.1) will be distinct.

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## SUBGROUPS OF THE UNIMODULAR GROUP<sup>1</sup>

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Following the notation of [3], we let  $\Gamma$  denote the proper unimodular group consisting of all  $2 \times 2$  matrices with rational integral elements and determinant  $+1$ . For  $m$  a positive integer, define the *principal congruence group*  $\Gamma(m)$  by

$$(1) \quad \Gamma(m) = \{X \in \Gamma: X \equiv I \pmod{m}\},$$

where  $I$  denotes the identity matrix in  $\Gamma$ , and where congruence of matrices is interpreted as elementwise congruence.

For  $p$  a prime, we know from [2] that  $\Gamma(p)$  is a free group with a finite set  $S$  of generators. If we define

$$(2) \quad T_m = \begin{pmatrix} 1 & m \\ 0 & 1 \end{pmatrix},$$

then  $S$  may be chosen to include  $T_p$ . For each fixed integer  $s$ , we may define a group  $\Omega(p, s)$  consisting of all power products of the generators in  $S$  for which the exponent sum for each generator is a multiple of  $s$ . In [3] it was shown that each  $\Omega(p, s)$  is a normal subgroup of  $\Gamma$  of finite index in  $\Gamma$ . Furthermore, if  $s > 1$  and  $(s, p) = 1$ , it was proved that  $\Omega(p, s)$  does not contain any principal congruence group.

Let  $\Delta(m)$  denote the normal subgroup of  $\Gamma$  which is generated by  $T_m$ . Obviously  $\Delta(m) \subset \Gamma(m)$ . Recently, Brenner [1] raised the following questions:

A. Does  $\Delta(m) = \Gamma(m)$  for all  $m$ ?

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