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VANISHING CENTRAL DIFFERENCES

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Given a sequence $\{a_n\}_{n=0}^{\infty}$ of complex numbers, a number of theorems have been proved concerning implications of the vanishing of certain differences $\Delta^n a_0$ if the given sequence satisfies some growth restriction. The first such result, proved by Agnew [1], states that if $\{a_n\}$ is bounded and $\Delta^{2^n} a_0 = 0$ for all n , then $a_n = 0$ for all n . If all the odd differences are zero, the sequence is constant. Fuchs [6] proved the following: Let $a_n = o(n^k)$ for some positive number k , and let n_j be a subsequence of the positive integers such that if $n(R)$ is the number of $n_j < R$, then $n(R) \geq R/2$ for $R > R_0$. If $\Delta^{n_j} a_0 = 0$ for all n_j , then $a_n = p(n)$ where $p(x)$ is a polynomial of degree less than k . Buck [4] assumed only that $\limsup |a_n|^{1/n} < 1$ and $\Delta^n a_0 = 0$ for all n belonging to a set of positive integers of density $d > \frac{1}{3}$ and proved there is a function f of exponential type whose growth function $h(\theta)$ satisfies $h(\pm \pi/2) < \pi$ such that $f(n) = a_n$ for all n . In this paper, we show that if the given sequence is extended to $\{a_n\}_{n=-\infty}^{\infty}$ by letting $a_{-n} = a_n$, then the vanishing of certain of the even central differences $\Delta^{2^n} a_{-n}$ has similar implications. Or, letting $a_{-n} = -a_{n-1}$, vanishing of odd differences $\Delta^{2^n-1} b_{-n}$ gives similar results.

If G is a connected set, let $K[G]$ denote the class of all entire functions of exponential type whose conjugate indicator diagrams $D(f)$ are contained in G . If G is the rectangle $\{x+iy \mid |x| \leq a; |y| \leq c\}$, then $K[a, c]$ will be used for $K[G]$. Let $C_{z,n}$ denote the polynomial $z(z-1) \cdots (z-n+1)/n!$.

Certain results concerning the sequence $\{\mathcal{E}_n\}$ of Stirling functionals given by $\mathcal{E}_n(f) = \Delta^n f(-n/2)$ will be needed. These functionals

Presented to the Society, January 24, 1961, under the title, *A theorem on vanishing differences*; received by the editors November 24, 1961 and, in revised form, December 12, 1961.

have the representation

$$\Delta^n f(-n/2) = \frac{1}{2\pi i} \int_{\Gamma} (e^{\zeta/2} - e^{\bar{\zeta}/2})^n F(\zeta) d\zeta$$

where F is the Borel (Laplace) transform of f and Γ is any simple contour enclosing the conjugate indicator diagram $D(f)$. Let B be the set of all ζ satisfying $|e^{\zeta/2} - e^{\bar{\zeta}/2}| < 2$. Then B is a convex, lens-shaped region, symmetric about the origin whose boundary has vertices at $\pm\pi i$ and crosses the real axis at $\pm\log(3+2\sqrt{2})$. For f in $K[B]$, Buck [3] showed that

$$f(z) = \sum_{n=0}^{\infty} \Delta^n f(-n/2) (z/n) C_{z+n/2-1, n-1},$$

convergent for all z . The author [5] showed that for a given sequence $\{c_n\}$ of complex numbers, there is a function f in $K[B]$ such that $\Delta^n f(-n/2) = c_n$; $n=0, 1, 2, \dots$ if and only if $\limsup |c_n|^{1/n} < 2$. If we let $G(t) = \sum c_n t^n$, then f has the representation

$$(1) \quad f(z) = \frac{1}{2\pi i} \int_E \frac{G(t)}{t} \exp[2z \sinh^{-1} 1/(2t)] dt$$

where E is a simple contour contained in the region of regularity of G and enclosing the disk $|t| \leq \frac{1}{2}$. Then the conjugate indicator diagram $D(f)$ is contained in the convex hull of the image of E under the map $\zeta = 2 \sinh^{-1} 1/(2t)$.

THEOREM 1. *Let $\{b_n\}_{n=-\infty}^{\infty}$ be an even sequence of complex numbers such that $\limsup |b_n|^{1/n} \leq 1$. Suppose there is a set A of positive integers of density $d > 0$ such that for all n in A , $\Delta^{2n} b_{-n} = 0$. Then $\sum \Delta^{2n} b_{-n} (z/2n) C_{z+n-1, n-1}$ converges to an even function f in $K[B]$ and $f(n) = b_n$; $n=0, \pm 1, \pm 2, \dots$.*

We need the following lemma.

LEMMA. *For a sequence $\{b_n\}_{n=-\infty}^{\infty}$, define $Q(t)$ formally by*

$$Q(t) = \sum_{n=0}^{\infty} \sum_{k=0}^n C_{n,k} b_{-n+2k} t^n$$

and define $P(z)$ by $P(z) = (1+2z)^{-1} Q(z/(1+2z))$. Then formally $P(z) = \sum \Delta^{2n} b_{-n} z^n$.

PROOF.¹ Let E be an operator defined for a sequence $a = \{a_k\}$ by $E(a)(k) = a(k+1)$. Then $\Delta = E - 1$, and we have

¹ This proof was suggested by Professor R. C. Buck.

$$\begin{aligned}
Q(t) &= \sum_{n=0}^{\infty} \sum_{k=0}^n C_{n,k} b_{-n+2k} t^n \\
&= \sum_{n=0}^{\infty} t^n E^{-n} \sum_{k=0}^n C_{n,k} E^{2k} b_0 \\
&= \sum_{n=0}^{\infty} t^n (E + E^{-1})^n b_0 \\
&= \frac{1}{1 - t(E + E^{-1})} b_0. \\
Q(z/(1+2z)) &= (1+2z) \frac{1}{1 - z(E - 2 + E^{-1})} b_0 \\
&= (1+2z) \sum_{n=0}^{\infty} z^n (E - 2 + E^{-1})^n b_0 \\
&= (1+2z) \sum_{n=0}^{\infty} \Delta^{2n} b_{-n} z^n. \quad \text{Q.E.D.}
\end{aligned}$$

PROOF OF THEOREM 1. Since

$$\limsup |b_n|^{1/n} \leq 1, \quad \limsup \left| \sum_{k=0}^n C_{n,k} b_{-n+2k} \right| \leq 2.$$

Thus $Q(t)$ is regular in the disk $|t| < \frac{1}{2}$. Then from its definition $P(z)$ is regular for $|z/(1+2z)| < \frac{1}{2}$ or $|z| < |z + \frac{1}{2}|$ which is the set of all z whose real part is greater than $-\frac{1}{4}$. Let $G(z) = P(z^2) = \sum \Delta^{2n} b_{-n} z^{2n}$. Then G is regular for all z such that $\Re(z^2) > -\frac{1}{4}$; i.e., for z in the region containing the origin and bounded by the equilateral hyperbola $y^2 - x^2 = \frac{1}{4}$ where $z = x + iy$. Let r_0 be the radius of convergence of $\sum \Delta^{2n} b_{-n} z^{2n}$. Then $r_0 \geq \frac{1}{2}$. Since G is even, $G(z) = \sum c_n z^n$ where $c_{2n+1} = 0$ and $c_{2n} = \Delta^{2n} b_{-n}$. Then from the hypothesis, $c_n = 0$ for all n belonging to a set of density $d' > \frac{1}{2}$. Thus, by Pólya's density theorem [7], G has a singularity on every arc of $|z| = r_0$ of opening $2\pi(1-d)$ and this is less than π . But if $r_0 = \frac{1}{2}$, the only possible singularities are at $i/2$ or $-i/2$; so $r_0 > \frac{1}{2}$. Then, by the results on Stirling functionals quoted earlier, there is a function f in $K[B]$ such that $\Delta^{2nf}(-n) = \Delta^{2n} b_{-n}$ for all n , and $f(z) = \sum \Delta^{2n} b_{-n} (z/2n) C_{z+n-1, n-1}$ convergent for all z . Since $(z/2n) C_{z+n-1, n-1}$ is even for each n , f is even. It can be shown by induction that $f(n) = b_n$; $n = 0, \pm 1, \pm 2, \dots$, using the fact that $\Delta^{2nf}(-n) = \Delta^{2n} b_{-n}$ for each n . Q.E.D.

THEOREM 2. In Theorem 1, if $d \geq \frac{1}{2}$, then f is of zero type.

PROOF. If $d \geq \frac{1}{2}$, then $G(z) = \sum \Delta^{2n} b_{-n} z^{2n}$ has zero coefficients for

all n belonging to a set of positive integers of density at least $\frac{3}{4}$. Then, by Pólya's density theorem, G has a singularity on every arc of its circle of convergence of opening $\pi/2$. But G is regular for all $z=re^{i\theta}$ with $\theta \leq \pi/4$, so G is entire. Then in representation (1) of f , the contour E can be taken as a circle of arbitrarily large radius, so that its image under the map $\zeta = 2 \sinh^{-1} 1/(2t)$ can be made to lie in an arbitrarily small disk about the origin. Therefore $D(f)$ is the origin, i.e., f is of zero type. Q.E.D.

COROLLARY 3. *In Theorem 1, if $d \geq \frac{1}{2}$ and $b_n = o(n^k)$ as $n \rightarrow \infty$ for some $k > 0$, then f is a polynomial of degree less than k .*

PROOF. The function f is of zero type and since f is even, $f(n) = o(|n|^k)$ as $n \rightarrow \pm \infty$; so f is a polynomial of degree less than k [2, p. 183].

COROLLARY 4. *In Corollary 3, if $\{b_n\}$ is bounded then it is a constant sequence.*

Thus we have obtained theorems analogous to those of Buck, Fuchs, and Agnew referred to at the beginning.

Since, for an odd sequence $\{c_n\}_{n=-\infty}^{\infty}$, $\Delta^{2n}c_{-n} = 0$ for all n , we have the following:

COROLLARY 5. *If any bounded sequence $\{b_n\}_{n=-\infty}^{\infty}$ has $b_0 = 0$ and $\Delta^{2n}b_{-n} = 0$ for all n belonging to a set of positive integers of density $d \geq \frac{1}{2}$, then $\{b_n\}$ is an odd sequence.*

For a sequence $\{b_n\}_{n=-\infty}^{\infty}$ such that $b_{-n} = -b_{n-1}$; $n = 1, 2, 3, \dots$, we obtain theorems identical with those above except that the even differences are replaced by odd differences $\Delta^{2n-1}b_{-n}$ and the interpolating function is an odd function. The proofs of these theorems are almost the same as the proofs of the above theorems.

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