

## STELLAR NEIGHBORHOODS IN POLYHEDRAL MANIFOLDS

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**Introduction.** It is the purpose of this paper to give some weaker analogues for polyhedral manifolds of well-known properties of combinatorial manifolds. This is now possible primarily because of the recent work of Mazur [3; 4] and M. Brown [1]. A crucial issue in this area is the extent to which these analogues may be improved for arbitrary triangulated manifolds.

We shall prove a theorem which will be applied later on, after making some preliminary definitions. The join of two spaces  $X$  and  $Y$  is represented by  $X \circ Y$ . A map  $f$  of  $X \circ Y - Y$  on itself is called *ray preserving* if for each ray  $p \circ q - q$ ,  $p$  in  $X$ ,  $q$  in  $Y$ ,  $f(p \circ q - q) \subseteq p \circ q - q$ . A subset  $K$  of a cone  $X \circ p$  is called *starlike* if  $p \in K$  and each segment  $p \circ x$ ,  $x \in X$ , meets  $K$  in a connected set;  $p$  is the *center* of  $K$ . Consider  $E^n$  to be the open cone over  $S^{n-1}$  from the origin  $p$ , in the usual way. We coordinatize  $E^n$  by  $(x, t)$ ,  $x \in S^{n-1}$ ,  $t$  a real number with  $0 \leq t < \infty$ ;  $(S^{n-1}, 0) = p$ . Let  $D^n$  be the unit  $n$ -ball  $p \circ (S^{n-1}, 1)$ .

**THEOREM 1.** *Let  $K$  be a compact starlike set with center  $p$ , lying in the interior of  $D^n$ . There is a ray preserving map  $f$  of  $E^n$  on itself such that  $f(K) = p$ ,  $f|E^n - K$  is one-to-one and  $f|E^n - D^n$  is the identity.*

**PROOF.** Let  $\rho$  be the usual euclidean metric for  $E^n$ . Choose  $0 < \epsilon < 1$  so that  $K \subseteq p \circ (S^{n-1}, \epsilon) = D_\epsilon$ . First we define  $f$  on  $D_\epsilon$ . If  $z = (x, t) \in D_\epsilon$ ,  $f(z) = (x, \rho(z, K))$ . It is clear that  $f$  is a ray preserving map and monotone nonincreasing with respect to the coordinate  $t$ , so  $f(D_\epsilon) \subseteq D_\epsilon$ ; furthermore  $f(K) = p$  and  $f|D_\epsilon - K$  is one-to-one. To see the last property suppose  $(x, t_1)$  and  $(x, t_2)$  are points of  $D_\epsilon - K$  with  $t_1 < t_2$ . Let  $y \in K$  so that  $\rho((x, t_2), y) = \rho((x, t_2), K)$ . Since  $y \circ p \subseteq K$  and  $\rho$  is the ordinary straight line distance, obviously  $\rho((x, t_1), y \circ p) < \rho((x, t_2), y)$  and accordingly  $f(x, t_1) \neq f(x, t_2)$ .

We next extend  $f$  to the annulus  $D^n - D_\epsilon$  so that  $f$  is fixed on  $\text{Bd } D^n$ . If  $z = (1 - \tau) \cdot (x, \epsilon) + \tau \cdot (x, 1)$ ,  $0 \leq \tau \leq 1$  and  $x \in S^{n-1}$ , let  $f(z) = (1 - \tau) \cdot f(x, \epsilon) + \tau \cdot (x, 1)$ . Finally let  $f$  be fixed outside of  $D^n$ . Now  $f$  has the

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Presented to the Society, October 2, 1961; received by the editors January 12, 1962.

<sup>1</sup> This research in its original form was supported by contract NSF G18869. Revisions including the addition of Theorem 9 were supported by contract Nonr (G)-00098-62.

desired properties and is also monotone nonincreasing in the  $t$ -coordinate. Clearly  $f$  is realizable as the end of a ray preserving pseudo-isotopy starting at the identity, which is fixed outside of  $D^n$  and is monotone nonincreasing in  $t$ .

COROLLARY.  $f$  is a homeomorphism of  $E^n - K$  onto  $E^n - p$ .

THEOREM 2. Suppose  $X$  is a compact metric finite dimensional space and  $K$  is a compact starlike set in  $X \circ p - X$ . There is a ray preserving map  $f$  of  $X \circ p$  on itself so that  $f(K) = p$ ,  $f$  is a homeomorphism on  $X \circ p - K$  and  $f$  is fixed on a neighborhood of  $X$ .<sup>2</sup>

PROOF. Suppose  $X$  is imbedded in  $(S^{n-1}, 1) \subseteq E^n$ . This is extended in the obvious way to an imbedding of  $X \circ p$  in  $D^n$ .  $K$  is now starlike in  $\text{Int } D^n$  so we apply Theorem 1 here. Since the map we then get is ray preserving and maps  $D^n$  on itself, clearly it maps  $X \circ p$  on itself. The restriction of this map to  $X \circ p$  satisfies the necessary conditions except possibly the last, however a slight alteration can be made in the proof of Theorem 1 so that the map constructed therein is also fixed on some neighborhood of  $\text{Bd } D^n$ .

1. **A theorem due to Mazur.** In [3] Mazur indicated that Theorem 4 of this section would follow from the form of the Generalized Schoenflies Theorem later proved by M. Brown [1] and Morse [6]; he also gave a proof of Theorem 5 in [4]. In [7] we indicated a simpler proof of the latter, using Theorem 4. For completeness we include our own proofs.

THEOREM 3 (MOISE-ALEXANDER). Let  $M$  be a compact Hausdorff space which is the union of two open sets, each of which is homeomorphic to  $E^n$ . Then  $M$  is homeomorphic to  $S^n$ .

This was conjectured by Alexander for  $n=3$  and proved by Moise in that case [5]. Many people, including the author, have independently noticed that the proof for general  $n$  follows from [1] in a manner quite similar to Moise's proof.

THEOREM 4 (MAZUR). If  $Y \circ p$  is locally  $n$ -euclidean at  $p$ , then the suspension  $S(Y)$  is homeomorphic to  $S^n$ .

PROOF. Consider  $S(Y)$  as  $Y \times [-1, 1]$  with  $Y_{-1}$  and  $Y_1$  identified as distinct points  $p'$  and  $p$ , respectively. Suppose  $U$  is a euclidean neighborhood of  $p$  in  $S(Y)$  so that  $\bar{U}$  is compact. For some  $t_0$ ,  $-1 < t_0 < 1$ ,  $U(Y_t: t_0 \leq t \leq 1) \subseteq U$ .  $Y_{t_0}$  is closed in  $S(Y)$ , so  $Y$ , hence

<sup>2</sup> A more general theorem can be proved but this is sufficient for our applications.

$S(Y)$ , is compact. Let  $h$  be a homeomorphism of  $S(Y)$  on itself, fixed on  $Y_{t_0}$ , which takes  $U(Y_t: t_0 \leq t)$  onto  $U(Y_t: t \leq t_0)$ .  $U$  and  $h(U)$  are two euclidean neighborhoods which cover  $S(Y)$ .

**COROLLARY.**  $Y \circ p - Y$  is homeomorphic to  $E^n$ .

**PROOF.** There is a simple homeomorphism of  $Y \circ p - Y$  onto  $U(Y_t: 0 < t)$  in  $S(Y)$  and a homeomorphism of the second set onto  $S(Y) - p'$ .

**THEOREM 5 (MAZUR).** Let  $v$  be a vertex of a triangulated  $n$ -manifold  $M$ . The open star of  $v$  in  $M$  is homeomorphic to  $E^n$ .

**PROOF.** Let  $B$  be the link of  $v$  in  $M$ . Since  $B \circ v - B$  is a neighborhood of  $v$  in  $M$ , by Theorem 4  $S(B) \approx S^n$ . (We use the notation  $X \approx Y$  if  $X$  and  $Y$  are homeomorphic spaces.) Therefore by the corollary,  $B \circ v - B \approx E^n$ .

**2. Some analogues to combinatorial theorems.** M. Brown has called a subset of an  $n$ -manifold *cellular* if it is the intersection of a sequence  $(C_n)$  of topological  $n$ -cells where  $C_{n+1} \subseteq \text{Int } C_n$ . Let  $Q$  be a subpolyhedron of a polyhedron  $P$ . The *stellar neighborhood of  $Q$  in  $P$*  is the union of all open simplexes of  $P$  which have vertices lying in  $Q$ . *Star  $Q$*  is the union of all closed simplexes of  $P$  which meet  $Q$ .  $Q$  is said to be *full* in  $P$  if it contains each simplex of  $P$ , all of whose vertices lie in  $Q$ .

Henceforth all simplexes will be considered closed. If  $\sigma$  is a simplex of  $P$ , by  $\sigma^*$  we mean the union of all simplexes of  $P$  which contain  $\sigma$ , or equivalently  $\sigma^* = \sigma \circ \text{Lk } \sigma$ —where  $\text{Lk } \sigma$  is the link of  $\sigma$  in  $P$ ;  $\sigma^*$  is called the *cluster* of  $\sigma$ .  $\dot{\sigma}$  is the union of all proper faces of  $\sigma$ ;  $\sigma^b = \sigma - \dot{\sigma}$ . Finally  $\beta(\sigma)$  will be the barycenter of  $\sigma$ .

**THEOREM 6.** Let  $K$  be a full finite subpolyhedron of a polyhedral  $n$ -manifold  $M$ . Then  $K$  is cellular in  $M$  if and only if its stellar neighborhood is homeomorphic to  $E^n$ .

**PROOF.** (a) Assume  $K$  is cellular. Let  $V$  be the stellar neighborhood of  $K$ ,  $B = \text{Bd } V$  and  $N = \text{Star } K = \bar{V}$ . Clearly  $B \neq \emptyset$ . Let  $X$  be the set of all midpoints of straight segments joining points of  $B$  to points of  $K$ . We shall show that the decomposition space of  $V$  with  $K$  identified to a point is homeomorphic to the open cone over  $X$ . For since  $K$  is full, each simplex of  $N$  can be represented as a join  $\sigma \circ \tau$ ,  $\sigma \subseteq K$ ,  $\tau \subseteq B$ . When  $K$  is identified to a point,  $\sigma \circ \tau - \sigma$  becomes simply the open cone over the set of all midpoints of segments from  $\tau$  to  $\sigma$ ; the vertex of the cone is the image of  $K$  in the decomposition space. Since

such a representation holds for each maximal simplex in  $N$ , the assertion is easily established.

Now let  $C$  be a topological  $n$ -cell lying in  $V$  with  $K \subseteq \text{Int } C$ . By Theorem 1 of [1] there is a map  $f$  of  $V$  on itself fixed outside of  $C$  such that  $f(K)$  is a point and  $f|V-K$  is one-to-one. Hence the existence of the map  $f$  shows that  $V$  is homeomorphic to the open cone over  $X$ , with vertex  $f(K)$ . By Theorem 4 and its corollary,  $V \approx E^n$ .

(b) Now suppose  $V \approx E^n$ . Since  $K$  is full we can conclude from the argument in part (a) that  $V$  can be represented as an open mapping cylinder over  $K$  (from the space  $X$ ) using the segments from  $B$  to  $K$  minus their endpoints in  $B$ . Since  $K$  is compact there is a topological  $n$ -cell  $C_1$  in  $V$  so that  $K \subseteq \text{Int } C_1$ . Now let each segment be linearly parametrized from 0 to 1 with 0 the parameter of the endpoint in  $K$ . There is an  $\epsilon_1$ ,  $0 < \epsilon_1 < 1/2$ , so that on each segment the subsegment from 0 to  $\epsilon_1$  lies in  $\text{Int } C_1$ . Hence let  $h_1$  be a homeomorphism of  $V$  into  $\text{Int } C_1$ , fixed on  $K$ , which maps each ray from 0 to 1 linearly onto its subray from 0 to  $\epsilon_1$ . Thus there is a topological  $n$ -cell  $C_2$  in  $\text{Int } C_1$  with  $K \subseteq \text{Int } C_2$ . The process may be continued by induction to define a sequence  $(C_n)$  of  $n$ -cells having the properties that  $\bigcap_n C_n = K$  and  $C_{n+1} \subseteq \text{Int } C_n$ .

**COROLLARY.** *Let  $K$  be a finite subpolyhedron of a polyhedral  $n$ -manifold  $M$ . Then  $K$  is cellular if and only if its first barycentric stellar neighborhood in  $M$  is homeomorphic to  $E^n$ .*

**PROOF.** The first barycentric subdivision of  $K$  is full in the corresponding subdivision of  $M$  by Lemma 9.4, p. 71 of [2].

It may be noted that Theorem 6 generalizes Theorem 5 since vertices are clearly cellular.

**THEOREM 7.** *Let  $\sigma$  be a simplex in a polyhedral  $n$ -manifold  $M$ . Then  $\text{Int } \sigma^*$  is homeomorphic to  $E^n$ .*

**PROOF** (*Added in proof*). Adapting the notation of Alexander in [8] (our manifolds may be noncompact) let  $M = \sigma \circ \text{Lk } \sigma + R$  and consider the simple subdivision  $M \rightarrow \beta(\sigma) \circ (\dot{\sigma} \circ \text{Lk } \sigma) + R$ . By Theorem 5,  $E^n$  is homeomorphic to  $\text{Int}(\beta(\sigma) \circ (\dot{\sigma} \circ \text{Lk } \sigma)) = \text{Int } \sigma^*$ . This method also tells us that, by Theorem 4,  $S(\dot{\sigma} \circ \text{Lk } \sigma) \approx S^n$ . Hence we have the

**COROLLARY** (*Added in proof*). *If  $\sigma$  is a  $k$ -dimensional simplex in a triangulated  $n$ -manifold then  $S^k \circ \text{Lk } \sigma \approx S^n$ .*

**PROOF** (*Added in proof*). For  $S(\dot{\sigma} \circ \text{Lk } \sigma) = S(\dot{\sigma}) \circ \text{Lk } \sigma$ .

**THEOREM 8.** *Let  $M$  be an  $n$ -manifold with triangulation  $T$ . Let  $\sigma$  be a simplex of the first barycentric subdivision  $T'$  of  $T$ . Then  $\sigma$  is cellular in  $M$ .*

**PROOF.** Let  $\tau$  be the lowest dimensional simplex of  $T$  for which  $\beta(\tau)$  is a vertex of  $\sigma$ . Obviously  $\tau^b \subseteq \text{Int } \sigma^*$ . We shall show that  $\text{Star } \sigma \subseteq \tau^*$ ,  $\text{Star } \sigma$  formed with respect to  $T'$  and  $\tau^*$ , with respect to  $T$ . For any other vertex  $\beta(\tau')$  of  $\sigma$ ,  $\tau' \supseteq \tau$ ; so if  $\tau^n$  is an  $n$ -dimensional simplex containing  $\beta(\tau')$ , we have  $\tau^n \supseteq \tau$  and hence  $\tau^n \subseteq \tau^*$ . It now may easily be seen that  $\sigma \subseteq \text{Int } \tau^*$ .

Again consider the simple subdivision  $M = \tau \circ \text{Lk } \tau + R \rightarrow \beta(\tau) \circ (\dot{\tau} \circ \text{Lk } \tau) + R$ . We can see that  $\sigma$  is even a subcone, thus a compact starlike set in  $\text{Int}(\beta(\tau) \circ (\dot{\tau} \circ \text{Lk } \tau)) = \text{Int } \tau^*$ . Since  $\text{Int } \tau^* \approx E^n$  and by Theorem 2  $\sigma$  is pointlike in  $\text{Int } \tau^*$ , it follows by Theorem 3 of [1] that  $\sigma$  is cellular.

**COROLLARY.** *The stellar neighborhood of  $\sigma$  with respect to  $T'$  is homeomorphic to  $E^n$ .*

**PROOF.**  $\sigma$  is full in  $T'$ .

**THEOREM 9** (*Added in proof*). *Let  $M$  be an  $n$ -manifold with triangulation  $T$ . If  $\sigma$  is a simplex of the second barycentric subdivision  $T''$  of  $T$  then  $\sigma^*$  is cellular in  $M$ .*

**PROOF** (*Added in proof*).  $T'$  will denote the first barycentric subdivision of  $T$ . Suppose  $\sigma$  is a simplex of  $T''$ . Let  $\tau$  be the lowest dimensional simplex of  $T'$  whose barycenter  $\beta(\tau)$  is a vertex of  $\sigma$ . As before, it follows that  $\sigma^* \subseteq \tau^*$ . It is also fairly easy to see that each vertex of  $\tau$  lies in  $\sigma^*$ .

Now let  $v$  be the minimal simplex of  $T$  whose barycenter  $\beta(v)$  is a vertex of  $\tau$ . We may conclude that  $v$  is the minimal simplex of  $T$  whose barycenter lies in  $\sigma^*$ . For if  $v_1 \in T$  and  $\beta(v_1) \in \sigma^*$ , denote by  $\sigma_1$  the simplex of  $T''$  which contains  $\sigma$  and  $\beta(v_1)$ . Since  $\sigma_1$  must be  $n$ -dimensional let  $\tau_1$  be the  $n$ -simplex of  $T'$  containing  $\sigma_1$ . Inasmuch as  $\sigma_1 \supseteq \sigma$ ,  $\tau_1 \supseteq \tau$  and  $\beta(\tau) \in \sigma$ , it may be seen that  $\beta(v_1) \in \tau$ . This proves that  $v \subseteq v_1$ .

Consider the simple subdivision  $M = v \circ \text{Lk } v + R \rightarrow \beta(v) \circ P + R$ , where  $P = \dot{v} \circ \text{Lk } v$ . Evidently  $\sigma^* \subseteq \beta(v) \circ P$ ; we shall prove that  $\sigma^* \subseteq \text{Int } \beta(v) \circ P$ , the latter, of course, being a homeomorph of  $E^n$ . Firstly, it may be established without too much difficulty, by a straightforward induction on  $n$ , that if  $\tau^n \subseteq \beta(v)^*$  with respect to  $T'$  then  $\tau^n \cap P \subseteq \dot{v}$ . Now suppose  $\sigma^n \in T''$  so that  $\sigma^n \supseteq \sigma$  and  $\sigma^n \cap \dot{v} \neq \emptyset$ . Let  $\tau^n \in T'$  with  $\sigma^n \subseteq \tau^n$  and  $\tau_1 = \tau^n \cap \dot{v}$ . Then  $\sigma^n$  contains  $\beta(\tau_0)$  for

some face  $\tau_0$  of  $\tau_1$ ; this in turn necessitates that  $\sigma^n$  contains a vertex  $v$  of  $\tau_0$ . The vertex  $v$  must be the barycenter of a face  $v_0$  of  $i$ . Since  $v \in \sigma^*$ , this contradicts the minimality condition on  $v$  proved above.

Finally we show that  $\sigma^*$  is starlike in  $\beta(v) \circ P$ . It will then be obvious from our previous arguments that  $\sigma^*$  is cellular in  $M$ . Let  $\tau^n$  be any  $n$ -simplex of  $T'$  which contains  $\sigma$ . By elementary analytic geometry it may be verified that  $\sigma^* \cap \tau^n$  is convex in  $\tau^n$ . (See for example formula (2) on p. 62 of [2].) This completes the proof of the theorem.

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