## THE STRUCTURE OF HYPERREDUCIBLE TRIANGULAR ALGEBRAS

JOHN R. SCHUE

Introduction. In [5] Kadison and Singer have defined triangular algebras of operators on a Hilbert space and have investigated a number of their properties with the major emphasis on classification and examples. It is the purpose of this paper to give a new construction for the hyperreducible algebras which gives some additional insight into their structure. In particular, Theorem 3 shows that any algebra 3 of this form with diagonal  $\alpha$  can be written  $3 = \alpha + 8$ , where  $\alpha$  is the weak closure of an increasing sequence of weakly closed nilpotent ideals.

1. Notation and spectral theory.  $\mathfrak A$  will denote a fixed maximal abelian self-adjoint subalgebra of a factor  $\mathfrak B$  acting on a separable Hilbert space  $\mathfrak K$ . For  $X \in \mathfrak B$ , define the operators  $L_X$ ,  $R_X$ ,  $D_X$  on  $\mathfrak B$  by the equations  $L_XY = XY$ ,  $R_XY = YX$ , and  $D_XY = XY - YX$  for  $Y \in \mathfrak B$ . If the uniform topology is used on  $\mathfrak B$ , each of these operators is bounded and  $||L_x|| = ||R_x|| = ||X||$ . Furthermore,  $D_X$  is a derivation on  $\mathfrak B$ . Let  $\mathfrak L$  and  $\mathfrak A$  denote the sets of  $L_A$ ,  $R_A$ ,  $A \in \mathfrak A$ , respectively. Then  $\mathfrak L$  and  $\mathfrak A$  are commutative Banach algebras and each is isomorphic to  $\mathfrak A$  under the natural mappings. Let  $\mathfrak C$  be the uniformly closed algebra of operators on B generated by  $\mathfrak L \cup \mathfrak R$ . Thus  $\mathfrak C$  contains the identity operator.

We let  $\Delta$  denote the spectrum of  $\alpha$ , i.e., the set of all homomorphisms of  $\alpha$  onto the complex numbers.  $\Delta$  is a compact Hausdorff space under the Gel'fand topology and  $\alpha$ ,  $\mathfrak L$ , and  $\mathfrak R$  all may be identified with the continuous complex-valued functions on  $\Delta$ . If we let  $\Gamma$  denote the spectrum of  $\mathfrak C$  then  $\Gamma$  is also compact and Hausdorff in the Gel'fand topology.

THEOREM 1. Suppose  $\gamma \in \Gamma$  and  $\alpha$ ,  $\beta$  are the restrictions of  $\gamma$  to  $\mathfrak L$  and  $\mathfrak R$ , respectively. Then the mapping  $\gamma \to \gamma' = (\alpha, \beta)$  is a homeomorphism of  $\Gamma$  onto the product space  $\Delta \times \Delta$ .

For the proof we need two lemmas.

LEMMA 1. For X,  $Y \in \mathfrak{B}$ ,  $L_x R_y = 0$  implies X = 0 or Y = 0.

PROOF. Let  $g = \{z: L_z R_y = 0\}$ . Then  $X \in g$  and g is a weakly closed

Received by the editors January 25, 1963 and, in revised form, May 27, 1963.

two-sided ideal of  $\mathfrak{B}$  so that either  $\mathfrak{g} = \{0\}$  or  $\mathfrak{g} = \mathfrak{B}$ . In the first case X = 0 and in the second Y = 0.

LEMMA 2. Let  $C_0$  be the set of all finite linear combinations of the form  $\sum \lambda_i L_{E_i} R_{F_i}$  where  $E_i$  and  $F_i$  are projections in  $C_i$  and either  $E_i E_j = 0$  or  $F_i F_j = 0$  for  $i \neq j$ . Then  $C_0$  is a dense subalgebra of  $C_i$ .

PROOF. The usual operations with projections show fairly directly that  $\mathfrak{C}_0$  is a subalgebra. In fact, with the obvious modifications, the proof is like that showing that the set of all finite linear combinations of characteristic functions of sub-rectangles (with sides parallel to the axes) of a given planar rectangle forms an algebra. From the spectral theorem applied to A and B it is easy to see that each  $L_A R_B$  (A,  $B \in \mathfrak{C}$ ) can be approximated uniformly by elements of  $\mathfrak{C}_0$  and thus  $\mathfrak{C}_0$  is dense in  $\mathfrak{C}$ .

PROOF OF THEOREM 1. For  $\gamma \in \Gamma$  and an element of  $\mathfrak{C}_0$ ,  $\gamma(\sum L_{E_i}R_{F_i}) = \sum \alpha(E_i)\beta(F_i)$ . Since  $\mathfrak{C}_0$  is uniformly dense in  $\mathfrak{C}$  this means that  $\gamma$  is determined uniquely by  $\alpha$  and  $\beta$  and thus the correspondence defined on  $\Gamma$  is one-one. If  $\{\gamma_j\}$  is a directed sequence in  $\Gamma$  converging to  $\gamma$  and  $\gamma_j = (\alpha_j, \beta_j)$ ,  $\gamma' = (\alpha, \beta)$  then  $\gamma_j(A) \longrightarrow \gamma(A)$  for all  $A \in \mathfrak{C}$  so that  $\{\alpha_j\}$  and  $\{\beta_j\}$  converge to  $\alpha$  and  $\beta$ , respectively. Thus  $\gamma'_j \longrightarrow \gamma'$  and the mapping is continuous. Since  $\Gamma$  is compact, the image is compact and it only remains to prove that this image is all of  $\Delta \times \Delta$ .

Thus suppose  $(\alpha, \beta) \in \Delta \times \Delta$  and  $C = \sum \lambda_i L_{E_i} R_{F_i} \in \mathfrak{C}_0$ . Let  $\gamma(C) = \sum \lambda_i \alpha(E_i) \beta(F_i)$ . If  $\gamma(C) \neq 0$  there is a j with  $\alpha(E_j) = \beta(F_j) = 1$ . For  $i \neq j$  either  $E_i E_j = 0$  or  $F_i F_j = 0$  so that, in any case,  $\alpha(E_i) \beta(F_i) = 0$  and hence  $\gamma(C) = \lambda_j$ . Since  $L_{E_j} R_{F_j} \neq 0$ , there is an  $X \in \mathfrak{B}$  with ||X|| = 1 and  $E_j X F_j = X$ . Necessarily  $E_i X F_i = 0$  for  $i \neq j$  and thus  $C(X) = \lambda_j X$  so that  $||C|| \geq |\lambda_j| = |\gamma(C)|$ . The mapping  $C \to \gamma(C)$  will be linear on  $\mathfrak{C}_0$  and the argument above shows that it is well-defined and norm-decreasing.  $\gamma$  is clearly multiplicative on  $\mathfrak{C}_0$  and thus can be extended uniquely to all of  $\mathfrak{C}$  giving a homomorphism of  $\mathfrak{C}$  also denoted by  $\gamma$ . Since  $\gamma(L_1) = 1$ ,  $\gamma$  is nontrivial and thus belongs to  $\Gamma$ . Since  $\gamma' = (\alpha, \beta)$  the proof is complete.

## 2. Construction of triangular algebras.

DEFINITIONS. Because of the separability assumption there exists a self-adjoint element  $A \in \mathfrak{A}$  such that every element of  $\mathfrak{A}$  is a bounded measurable function of A. Thus  $\mathfrak{A} = \{X: D_A X = 0\}$ . We choose a fixed A with these properties and, without any significant loss of generality, assume that the spectrum of A lies in the interval [0, 1] and contains both end points. For  $\gamma = (\alpha, \beta) \in \Gamma$  let  $f(\gamma) = \gamma(D_A) = \alpha(A) - \beta(A)$ . Thus, relative to  $\mathfrak{C}$ , the spectrum of  $D_A$  is given by

the range of f, a subset of [-1, 1]. Since the range of f is real and compact, it does not separate the plane, and the general theory of Banach algebras implies that the spectrum of  $D_A$ , relative to the algebra of all bounded operators on  $\mathfrak{B}$ , is also given by the range of f.

For real  $\lambda$  and  $\epsilon > 0$ , let  $S(\lambda, \epsilon)$  denote the linear manifold consisting of all  $X \in \mathfrak{B}$  for which there is a constant  $K_x$  such that  $\|(D_A - \lambda)^n X\|$   $\leq \epsilon^n K_x$  for  $n = 1, 2, \cdots$ . Then  $S(\lambda, \epsilon)$  is invariant under all bounded operators on  $\mathfrak{B}$  which commute with  $D_A$ . For a compact subset M of the real numbers, let  $S(M, \epsilon)$  be the closure in the weak operator topology of  $\mathfrak{B}$  of the manifold spanned by  $\{S(\lambda, \epsilon) : \lambda \in M\}$  and let  $S(M) = \bigcap_{\epsilon > 0} S(M, \epsilon)$ . Finally, for any Borel set N of the real numbers, let S(N) be the weak closure of the manifold spanned by  $\{S(M) : M \subseteq N, M \text{ compact}\}$ . Thus S(N) is invariant under all bounded operators on  $\mathfrak{B}$  commuting with  $D_A$ . In particular,  $\mathfrak{A} \subseteq S(\{0\})$ .

LEMMA 3. Suppose M is a real Borel set and  $|\lambda| > 1$  for all  $\lambda \in M$ . Then  $S(M) = \{0\}$ .

PROOF. The proof reduces to the case when M is compact. The hypothesis implies M lies within the resolvent set for  $D_A$ . Thus for  $\gamma \in M$ ,  $D_A - \lambda I$  has a bounded inverse  $B(\lambda)$  and the mapping  $\lambda \to B(\lambda)$  is holomorphic on an open set containing M. This implies the existence of a constant K such that  $||B(\lambda)|| \le K$  for all  $\lambda \in M$ . Choose  $\epsilon$  with  $0 < \epsilon < K^{-1}$ . For  $\lambda \in M$  and  $X \in S(\lambda, \epsilon)$ ,  $||X|| = ||B(\lambda)^n (D_A - \lambda)^n X|| \le (K\epsilon)^n K_x$  for all n > 0 and thus ||X|| = 0. Thus  $S(M, \epsilon) = \{0\}$  and the same is true for S(M).

LEMMA 4. For Borel sets M, N;  $S(M)S(N)\subseteq S(M+N)$  and  $S(M)^* = S(-M)$ .

PROOF. Suppose  $\epsilon > 0$  and  $X \in S(\lambda, \epsilon)$ ,  $Y \in S(\mu, \epsilon)$ . Since  $D_A$  is a derivation,

$$\left\| (D_A - (\lambda + \mu))^n X Y \right\| = \left\| \sum_n \left( C_m ((D_A - \lambda)^m X) (D_A - \mu)^{n-m} Y \right) \right\|$$

$$\leq \sum_n C_m \epsilon^m K_X \epsilon^{n-m} K_Y = (2\epsilon)^n K_X K_Y.$$

Thus  $S(\lambda, \epsilon)S(\mu, \epsilon) \subseteq S(\lambda + \mu, 2\epsilon)$ .

Suppose now that M, N are compact,  $\lambda_i \in M$ ,  $\mu_j \in N$ ,  $X_i \in S(\lambda_i, \epsilon)$ ,  $Y_j \in S(\mu_j, \epsilon)$ , and  $X = \sum X_i$ ,  $Y = \sum Y_j$ . Then  $XY = \sum \sum X_i Y_j$  so that  $XY \in S(M+N, 2\epsilon)$ . The sets of X and Y obtained in this way are weakly dense in  $S(M, \epsilon)$  and  $S(N, \epsilon)$ , respectively. Since, in the weak operator topology, multiplication is continuous in each factor separately, we then have  $S(M)S(N) \subseteq S(M, \epsilon)S(N, \epsilon) \subseteq S(M+N, 2\epsilon)$ .

Since  $\epsilon$  was arbitrary, this implies  $S(M)S(N) \subseteq S(M+N)$  for compact M and N. The general case follows immediately from this.

Induction on n shows that  $((D_A - \lambda)^n X)^* = (-1)^n ((D_A + \lambda)^n X^*)$  for real  $\lambda$  and  $X \in \mathfrak{G}$ . Thus  $S(\lambda, \epsilon)^* = S(-\lambda, \epsilon)$ . A proof like that used above shows that  $S(M)^* = S(-M)$ .

COROLLARY. For each  $\lambda > 0$ ,  $S([\lambda, 1])$  is a weakly closed nilpotent subalgebra of  $\mathfrak{B}$ . In fact, if n is any integer with  $n\lambda > 1$ , the product of any n factors taken from  $S([\lambda, 1])$  is zero.

DEFINITIONS. Let  $S_0 = \bigcup_{\lambda>0} S([\lambda, 1])$  and S = S((0, 1]). Let 3 be the algebraic sum of  $\alpha$  and S. By virtue of Lemma 4 the following assertions are evident:

- (1) 3 is a subalgebra of 3.
- (2) So and S are two-sided ideals of 3.
- (3) Every element of S<sub>0</sub> is nilpotent.
- (4) S is the weak closure of S<sub>0</sub>.

It will be shown below that 3 is a maximal hyperreducible triangular algebra with diagonal  $\alpha$ .

Let E be the real spectral measure associated with A and let  $E_{\lambda} = E((-\infty, \lambda))$ . Then  $E_{\lambda} = \sup E_{\mu}$  where  $\mu < \lambda$  so that  $E_{0} = 0$ . Also  $E_{\lambda} = 1$  for  $\lambda > 1$  and the set of  $E_{\lambda}$  generates  $\alpha$  as a von Neumann algebra.

For  $\lambda$  real and  $\epsilon > 0$  let  $\mathfrak{F}(\lambda, \epsilon)$  be the set of vectors  $u \in \mathfrak{X}$  such that  $\|(A-\lambda)^n u\| \le \epsilon^n K_u$  for some constant  $K_u$  and  $n=1, 2, \cdots$ . If we let  $\mathfrak{F}(N, \epsilon)$  be the closed subspace spanned by  $\{\mathfrak{F}(\lambda, \epsilon) : \lambda \in N\}$  then it follows from a result in [3, pp. 66-69] that if N is compact, the range of E(N) equals  $\mathfrak{F}(N) = \bigcap_{\epsilon > 0} \mathfrak{F}(N, \epsilon)$ . For a Borel set N we use  $\mathfrak{E}(N)$  to denote the range of the projection E(N).

THEOREM 2. For Borel sets M and N,  $S(M)E(N) \subseteq E(M+N)$ .

Proof. Suppose  $\lambda$ ,  $\mu$  are real and  $\epsilon > 0$ . Choose  $u \in \mathfrak{F}(\mu, \epsilon)$  and  $X \in S(\lambda, \epsilon)$ . Then

$$\begin{aligned} \left\| (A - (\lambda + \mu))^n X u \right\| &= \left\| ((D_A - \lambda) + (R_A - \mu))^n X u \right\| \\ &= \left\| \sum_n C_m (D_A - \lambda)^{n-m} X (A - \mu)^m u \right\| \\ &\leq \sum_n C_m \epsilon^m K_X \epsilon^{n-m} K_u = (2\epsilon)^n K_X K_u. \end{aligned}$$

Thus  $S(\lambda, \epsilon) \mathfrak{F}(\mu, \epsilon) \subseteq \mathfrak{F}(\lambda + \mu, 2\epsilon)$ .

An argument like that used in the proof of Lemma 4 can now be used to prove the assertion of the theorem when M and N are com-

pact. By using approximations with compact subsets the general assertion follows from this.

Corollary. For any real  $\lambda$  the range of  $1-E_{\lambda}$  is invariant under 5.

LEMMA 5. (1) Suppose 
$$0 \le \mu < \lambda \le 1$$
. Then  $(1 - E_{\lambda}) \otimes E_{\mu} \subseteq S_0$ . (2) For any  $\lambda$ ,  $(1 - E_{\lambda}) \otimes E_{\lambda} \subseteq S$ .

PROOF. (1) Suppose  $\epsilon > 0$ . Let  $\lambda_0 = \lambda$  and choose  $\lambda_1, \dots, \lambda_n$  with  $\lambda_n > 1$  such that each of the intervals  $[\lambda_0, \lambda_1), \dots, [\lambda_{n-1}, \lambda_n)$  has length less than  $\epsilon$ . Let  $E_i = E([\lambda_{i-1}, \lambda_i))$ ,  $i = 1, \dots, n$ . Then  $1 - E_{\lambda} = \sum E_i$ . Similarly we can partition  $[0, \mu)$  into m disjoint intervals of length less than  $\epsilon$  and express  $E_{\mu}$  as the sum of m mutually orthogonal projections  $F_j \in \mathfrak{A}$ . Choose arbitrary points  $\alpha_i$ ,  $\beta_j$  in the ith and jth intervals of the partitions of  $[\lambda_0, \lambda_n)$  and  $[0, \mu)$ , respectively. Then  $\alpha_i - \beta_j \geq \lambda - \mu > 0$ .

For 
$$X \in \mathfrak{B}$$
,  $(1 - E_{\lambda})XE_{\mu} = \sum \sum E_{i}XF_{j}$ . For a positive integer  $k$ , 
$$\|(D_{A} - (\alpha_{i} - \beta_{j}))^{k}E_{i}XF_{j}\| = \|((L_{A} - \alpha_{i}) - (R_{A} - \beta_{j}))^{k}E_{i}XF_{j}\|$$

 $\leq \sum_{k} C_{p} || (A - \alpha_{i})^{p} E_{i} || || X || || (A - \beta_{j})^{k-p} F_{j} ||$ 

$$\leq (2\epsilon)^k ||X||.$$

Thus  $E_i X F_j \in S(\alpha_i - \beta_j, 2\epsilon) \subseteq S([\lambda - \mu, 1]) \subseteq S_0$ .

(2) For any X and  $0 \le \lambda \le 1$ ,  $(1 - E_{\lambda})XE_{\lambda}$  is a weak limit of operators of the form  $(1 - E_{\lambda})XE_{\mu}$  where  $\mu < \lambda$ , hence lies in 8.

THEOREM 3. 3 is a maximal hyperreducible triangular algebra with diagonal a. Moreover, every algebra of this type (acting on a separable space) is obtained by a construction like that given above.

PROOF. Let  $\mathfrak{I}_1$  be the set of all  $X \in \mathfrak{G}$  which leave the range of  $1 - E_{\lambda}$  invariant for all  $\lambda$ . It is shown in [5, Theorem 3.1.1] that  $\mathfrak{I}_1$  is a maximal hyperreducible triangular algebra and we have proved that  $\mathfrak{I} \subseteq \mathfrak{I}_1$ . It remains to prove  $\mathfrak{I}_1 \subseteq \mathfrak{I}$ . For the proof we use the diagonalization process developed by von Neumann and generalized by Kadison and Singer in [4, pp. 386–387].

Let  $\{\lambda_n\}$  be a countable dense subset of [0, 1] and  $E_n = E_{\lambda_n}$ . Then  $\{E_n\} \cup \{1\}$  generates  $\mathfrak A$  as a von Neumann algebra. Define the projection  $P_n$  on  $\mathfrak A$  by  $P_n(X) = E_n X E_n + (1 - E_n) X (1 - E_n)$ . Then  $\|P_n(X)\| \leq \|X\|$  and  $P_n$  leaves  $\mathfrak I_1$  invariant. For  $X \in \mathfrak I_1$ ,  $X = P_n(X) + (1 - E_n) X E_n$  and the latter term is in  $\mathfrak A$  by virtue of Lemma 5. Let  $X_0 = X$  and  $X_n = P_n(X_{n-1})$  for  $n \geq 1$ . Then  $X_n \in \mathfrak I_1$  and, by induction on n, we have  $X = X_n + S_n$  where  $S_n \in \mathfrak A$ . Because of the compactness of the unit sphere of  $\mathfrak A$  in the weak topology a subsequence  $\{X_{nk}\}$ 

converges weakly to an element  $B \in \mathfrak{B}$  and thus  $\{S_{n_k}\}$  converges weakly to some  $S \in \mathfrak{S}$ . Since B will commute with all  $E_n$ ,  $B \in \mathfrak{A}$  and hence X = B + S lies in 3 so that  $\mathfrak{I}_1 \subseteq \mathfrak{I}$ .

If 3 is any maximal hyperreducible triangular algebra with diagonal  $\alpha$ , a modification of the proof of Theorem 3.3.1 in [5] shows that it is possible to construct a spectral family  $\{E_{\lambda}: 0 \leq \lambda \leq 1\}$  of projections in  $\alpha$  such that 3 is the set of all  $X \in \alpha$  with  $E_{\lambda}X = E_{\lambda}XE_{\lambda}$  for all  $\lambda$ . If we define A by  $A = \int \lambda dE_{\lambda}$ , then  $\alpha = \{X: D_{A}X = 0\}$ , and if we use this A in the construction, the result will be 3, as shown in the preceding part of the proof.

## 3. Determination of $\alpha \cap s$ .

REMARK. In connection with the structure of 3 the question arises as to necessary conditions in order that the sum  $\alpha+8$  be direct. A complete solution is given here for the case when  $\alpha$  is of Type I or II. A question which seems to be related to this arises regarding the possibility of expressing each  $X \subseteq 3$  as X = B + S where  $B \subseteq \alpha$  and S is quasi-nilpotent. The answer to this is not known to us and, because of the nonadditivity of quasi-nilpotence, it is conceivable that it can be accomplished even when  $\alpha\subseteq S$ .

Lemma 6. Suppose  $\mathfrak B$  is the algebra of all bounded operators on  $\mathfrak R$  and the point spectrum of A is empty. Then  $\mathfrak A\subseteq \mathfrak S$ .

PROOF. Let  $g = \alpha \cap S$ . Then g is an ideal of  $\alpha$  and thus it is sufficient to prove that  $1 \in S$ . Because of [5, Theorem 3.3.1] we may assume that  $\alpha$  is the algebra of all bounded measurable functions in  $L^2([0, 1])$  and  $E_{\lambda}$  is multiplication by the characteristic function of the interval  $[0, \lambda)$ .

Let  $\mathcal{E}$  be the set of all operators on  $L^2$  which are finite sums  $\sum f_i \otimes g_i$  where  $f_i$ ,  $g_i \in L^2$  and  $(f_i \otimes g_i)h = (h, g_i)f_i$ . By making use of the canonical trace on  $\mathcal{E}$ ,  $\mathcal{E}$  can be identified with the set of all weakly continuous linear functionals on  $\mathcal{E}$  [1, p. 388]. Let  $\mathcal{E} = \{T: T \in \mathcal{E}, Tr(TX) = 0 \}$  for all  $X \in \mathcal{E}$ . Since  $\mathcal{E}$  is weakly closed,  $\mathcal{E} = \{X: Tr(TX) = 0 \}$  for all  $X \in \mathcal{E}$ . Thus  $1 \in \mathcal{E}$  if and only if Tr(T) = 0 for all  $T \in \mathcal{E}$ .

Suppose  $T \in \emptyset$ . For  $f, g \in L^2$ , Lemma 5 implies  $(1 - E_{\lambda})f \otimes gE_{\lambda} \in S$  so that  $\operatorname{Tr}(T(1 - E_{\lambda})f \otimes gE_{\lambda}) = 0$  and this implies  $(E_{\lambda}T(1 - E_{\lambda})f, g) = 0$ . Since f, g were arbitrary this shows that  $E_{\lambda}T(1 - E_{\lambda}) = 0$  for any  $\lambda$  and thus  $T \in \mathfrak{I}$ . Since T is of trace class there is a function K in  $L^2$  of the unit square such that  $Tf(x) = \int K(x, y)f(y) \, dy$  for  $f \in L^2$ . Since  $T \in \mathfrak{I}$  it is easy to see that K is of Volterra type, i.e., K(x, y) = 0 for y > x. A well-known theorem of integral equations [6, pp. 10-11] implies T is quasi-nilpotent and, since the range of T is finite-dimensional, this means T is nilpotent so that  $\operatorname{Tr}(T) = 0$ .

THEOREM 4. (1) If  $\otimes$  is a factor of Type II,  $\otimes \cap S = \{0\}$ .

- (2) Suppose  $\mathfrak B$  is of Type  $I_\infty$ . Let M be the set of characteristic values of A and E = E([0, 1] M). Then  $\mathfrak A \cap S = E\mathfrak A$ . Thus  $\mathfrak A \cap S = \{0\}$  if and only if A has pure point spectrum.
- PROOF. (1) We consider only the case when  $\mathfrak B$  is finite. The infinite case can be deduced from this or obtained by a refinement of the argument given here. For X,  $Y \in \mathfrak B$  let  $(X, Y) = \operatorname{Tr}(XY^*)$  where  $\operatorname{Tr}$  is the canonical trace on  $\mathfrak B$ . Then  $\mathfrak B$  becomes a pre-Hilbert space. Since  $\mathfrak C \subseteq S(\{0\})$  the assertion follows from a more general result: If M and N are disjoint Borel sets then (S(M), S(N)) = 0. By using approximations with compact subsets we may assume both M and N are compact. Then M-N is a compact set bounded away from zero and  $S(M)S(N)^*\subseteq S(M-N)$ . Since S(M-N) is spanned by nilpotent elements of  $\mathfrak B$  it is sufficient to prove that any nilpotent  $X \in \mathfrak B$  has trace zero. However, this is a consequence of a result proved in [2, p. 108] where it is shown that  $\operatorname{Tr}(X)$  belongs to the convex hull of the spectrum of X.
- (2) Let  $g = S \cap \alpha$ . Then g is a weakly closed ideal of  $\alpha$ . Suppose  $\mu$  is a characteristic value for A and  $P = E(\{\mu\})$ . Then P is a minimal projection in  $\alpha$  and thus either  $P \in g$  or Pg = 0. Choose  $\lambda > 0$  and  $S \in S([\lambda, 1])$ . Then Theorem 2 implies  $SP(\Im) \subseteq E(\{\mu\} + [\lambda, 1])(\Im)$  so that Pv = v implies (Sv, Pv) = 0. But then  $||(P S)v||^2 = ||v||^2 + ||Sv||^2 \ge ||v||^2$ . Since  $\lambda$  was arbitrary this shows that P is not contained in the strong closure of  $S_0$ . However, the result of [1, Note 1] shows that the strong and weak closures coincide and thus  $P \in S$ . Then Pg = 0 and it follows that  $g \subseteq E\alpha$ . Let  $\Im' = E\Im E$ . Then  $\Im'$  is a maximal hyperreducible triangular algebra of operators with diagonal  $E\alpha$  on the Hilbert space  $E\Re$ . Since EA has no point spectrum on  $E\Re$ , Lemma 6 implies  $E\alpha \subseteq ESE$  and hence  $E\alpha \subseteq g$ .

## References

- 1. J. Dixmier, Les fonctionnelles linéaires sur l'ensemble des opérateurs bornés d'un espace de Hilbert, Ann. of Math. (2) 51 (1950), 387-408.
- 2. \_\_\_\_, Les algèbres d'opérateurs dans l'espace Hilbertien, Gauthier-Villars, Paris, 1957.
  - 3. P. Halmos, Introduction to Hilbert space, Chelsea, New York, 1951.
- 4. R. Kadison and I. Singer, Extensions of pure states, Amer. J. Math. 81 (1959), 383-400
  - 5. ——, Triangular operator algebras, Amer. J. Math. 82 (1960), 227-259.
  - 6. F. Tricomi, Integral equations, Interscience, New York, 1957.

MACALESTER COLLEGE