

PROOF. If M is Ricci flat it is trivially Einstein and, since $L^2 - HL = 0$, one of the principal curvatures is zero.

If $R^* = bI$, then $L^2 - HL + bI = 0$. If $K = 0$, then there is a zero principal curvature and a unit principal vector X with $LX = 0$. Hence $bX = 0$ so $R^* = 0$.

In the case $n = 3$, the characteristic polynomial $L^3 - HL^2 + JL - KI = 0$ implies $JL = 0$, and since $L_m = 0$ implies $J(m) = 0$, we have $J \equiv 0$.

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ON PSEUDOMETRICS FOR GENERALIZED UNIFORM STRUCTURES

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In [1] Alfsen and Njåstad generalized the concept of a uniform structure \mathfrak{U} on a set S , replacing the intersection axiom for uniform structures by the weaker condition:

(0) Given subsets A_1, \dots, A_n of S and U_1, \dots, U_n in \mathfrak{U} , there exists U in \mathfrak{U} such that $U(A_i) \subseteq U_i(A_i)$ for $i = 1, \dots, n$. Our object is to characterize these structures in terms of pseudometrics.

Define a (generalized) *gage* on S to be a nonvoid family \mathfrak{G} of pseudometrics on $S \times S$ such that

(1) Every pseudometric uniformly continuous with respect to \mathfrak{G} belongs to \mathfrak{G} .

(2) If α and β belong to \mathfrak{G} and both α and β are totally bounded, then $\alpha \vee \beta$ belongs to \mathfrak{G} .

Note that if we delete the total boundedness condition in (2), then \mathfrak{G} is just a *gage* for a proper uniform structure [2], [3]. For β a pseudometric on $S \times S$, define $W_\beta = \beta^{-1}[0, 1)$.

THEOREM. *Given a gage \mathfrak{G} on S , define the class \mathfrak{U} of subsets U of $S \times S$ by the condition*

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(i) $U \in \mathfrak{U}$ iff $U \supseteq W_\beta$ for some β in \mathfrak{G} .

Then \mathfrak{U} is a generalized uniform structure for which

(ii) $\alpha \in \mathfrak{G}$ iff $W_{n\alpha} \in \mathfrak{U}$ for every positive integer n .

Conversely, given a generalized uniform structure \mathfrak{U} on S , define the family \mathfrak{G} of pseudometrics α by (ii). Then \mathfrak{G} is a gage for which (i) holds.

LEMMA. Given a pseudometric α on $S \times S$ and nonempty subsets A and B of S such that $\alpha(A, B) \geq 1$, there exists a totally bounded pseudometric β such that $\beta \leq \alpha$ and $\beta(A, B) = 1$.

To prove the lemma, let $f(s) = \alpha(s, A) [\alpha(s, A) + \alpha(s, B)]^{-1}$ on S and define $\beta(x, y) = |f(x) - f(y)|$. A few simple computations show that β has the desired properties.

To prove the theorem, let \mathfrak{G} satisfy (1) and (2) and define \mathfrak{U} by (i). That \mathfrak{U} has all the properties of a uniform structure except for the intersection axiom follows exactly as in the case of proper uniform structures. To prove (0) we may, in view of (i), assume that $U_i = W_\alpha$ for some $\alpha_i = \alpha$ in \mathfrak{G} . Let $B_i = S \setminus U_i(A_i)$. Since the conclusion of (0) will be trivial wherever A_i or B_i is empty, we may assume both are nonempty. Apply the lemma to get β_i totally bounded with $\beta_i \leq \alpha_i$ and $\beta_i(A_i, B_i) = 1$. β_i is in \mathfrak{G} by (1). Let $\beta = \beta_1 \vee \dots \vee \beta_n$, which is in \mathfrak{G} by (2). Let $U = W_\beta$. Given y in $U(A_i)$, (x, y) is in U for some x in A_i . That is, $\beta_i(x, y) \leq \beta(x, y) < 1$ for some x in A_i . So $\beta_i(y, A_i) < 1$. Since $\beta_i(A_i, B_i) = 1$, y is not in B_i . That is, y is in $U_i(A_i)$. Thus (0) holds and \mathfrak{U} is a generalized uniform structure.

To prove (ii), consider any α in \mathfrak{G} . Then $n\alpha$ is in \mathfrak{G} by (1) and hence $W_{n\alpha}$ is in \mathfrak{U} by (i). Conversely, let $W_{n\alpha}$ belong to \mathfrak{U} for all n . By (i) there exists for each n some β in \mathfrak{G} such that $W_\beta \subseteq W_{n\alpha}$. Thus (1) implies α is in \mathfrak{G} .

Given a generalized uniform structure \mathfrak{U} , define \mathfrak{G} by (ii). We must prove (1), (2), and (i). For β uniformly continuous relative to \mathfrak{G} and m any positive integer, there exist α in \mathfrak{G} and a positive integer n such that $W_{n\alpha} \subseteq W_{m\beta}$. Since $W_{n\alpha}$ belongs to \mathfrak{U} by (ii), so does $W_{m\beta}$. So β is in \mathfrak{G} by (ii). Hence (1) holds just as in the case of proper uniform structures.

To prove (2) let α and β be totally bounded members of \mathfrak{G} . Let $\gamma = \alpha \vee \beta$. Since γ is totally bounded, we can get a finite covering $S_1 \cup \dots \cup S_k = S$ with diameters $\gamma[S_i] < 1/4$. Applying (0) to the sequences

$$\left\{ S_1, \dots, S_k, S_1, \dots, S_k \right\}$$

$$\left\{ W_{2\alpha}, \dots, W_{2\alpha}, W_{2\beta}, \dots, W_{2\beta} \right\}$$

we get U in \mathfrak{U} such that

$$(3) \quad U(S_i) \subseteq W_{2\alpha}(S_i) \cap W_{2\beta}(S_i) \quad \text{for } i = 1, \dots, k.$$

Consider any (x, y) in U . Since x is in some S_i , y is in the corresponding $U(S_i)$. Hence (3) implies $\gamma(y, S_i) < 3/4$. So $\gamma(x, y) \leq \gamma(x, S_i) + \gamma[S_i] + \gamma(y, S_i) < 0 + 1/4 + 3/4 = 1$. That is, $U \subseteq W_\gamma$. So W_γ belongs to \mathfrak{U} whenever α and β are totally bounded members of \mathfrak{G} . Using (1) we can apply this result to $n\alpha$ and $n\beta$ to conclude that $W_{n\gamma}$ belongs to \mathfrak{U} . That is, γ is in \mathfrak{G} . So (2) holds.

To prove (i) let U be any member of \mathfrak{U} . Choose a sequence $\{U_n\}$ in \mathfrak{U} such that $U_n = U_{n-1}^{-1}$ and $U_{n+1}^3 \subseteq U_n \subseteq U$ for all n . By the Metrization Lemma [3] there exists a pseudometric β such that

$$(4) \quad U_{n+1} \subseteq W_{2^{n-1}\beta} \subseteq U_n \quad \text{for all } n.$$

β is in \mathfrak{G} by (4) and (ii). Setting $n = 1$ in (4) yields $W_\beta \subseteq U$ which proves the direct implication in (i). The converse follows from (ii) since W_β is in \mathfrak{U} if β is in \mathfrak{G} .

Using the lemma and [4], we obtain the following corollaries.

COROLLARY 1. *For a given proximity relation, let \mathfrak{S} be the associated precompact gage and \mathfrak{G} be the associated total [1] gage. Then \mathfrak{G} consists of all pseudometrics α on $S \times S$ such that every totally bounded pseudometric β satisfying $\beta \leq \alpha$ belongs to \mathfrak{S} .*

COROLLARY 2. *A gage \mathfrak{G} is total iff \mathfrak{G} contains every pseudometric α for which every totally bounded pseudometric β satisfying $\beta \leq \alpha$ belongs to \mathfrak{G} .*

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