

A better result is known,⁶ but it is interesting to notice that this can be proved by elementary means since (5) can.⁷

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SOME PROPERTIES OF INTEGRAL CLOSURE

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Let D be an integrally closed domain with identity having quotient field K , let L be an algebraic extension field of K , and let \bar{D} be the integral closure of D in L . We prove here that the following five ideal theoretic structure properties of \bar{D} are inherited by D , namely: (a) \bar{D} is a Prüfer domain, (b) \bar{D} is an almost Dedekind domain,¹ (c) \bar{D} is a Dedekind domain, (d) \bar{D} has the QR -property,² (e) \bar{D} has property (#).³

The converse of (a) is true (that is, D Prüfer implies that \bar{D} is Prüfer) and was established by Prüfer in [11, p. 31]. In case L is finite-dimensional over K , Noether [9, p. 37] proved the converse of (c) and Butts and Phillips [2, p. 270] proved the converse of (b). In the general case it is well-known or easy to see that the converses of (b), (c) and (e) are false. The converse of (d) is false (see [6, p. 102]) even when L is finite-dimensional over K .

Our statements concerning (a), (b) and (c) will be obtained as corollaries to the following.

THEOREM 1. *Let M be a prime ideal of \bar{D} and let $P = M \cap D$. Then $\bar{D}_M \cap K = D_P$.*

PROOF. It is clear that D_P is contained in $\bar{D}_M \cap K$. To obtain the reverse inclusion we first observe that L may be assumed to be a normal extension of K . For let E be a normal closure of L over K , D^* the integral closure of \bar{D} in E , and N a prime ideal of D^* lying over M . Then $N \cap D = P$ and $\bar{D}_M \subseteq D_N^* \cap L$ so that $\bar{D}_M \cap K \subseteq D_N^* \cap K$. Let $\{M_\alpha\}$ be the set of prime ideals of \bar{D} lying over P . By a well-known

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¹ D is almost Dedekind if for each maximal ideal P of D , D_P is a discrete rank one valuation ring [4, p. 813].

² D has the QR -property if each integral domain between D and its quotient field is a quotient ring of D [6, p. 97].

³ D is said to have property (#) if for Δ_1 and Δ_2 distinct subsets of the set of maximal ideals of \bar{D} we have $\bigcap_{M \in \Delta_1} D_M \neq \bigcap_{M \in \Delta_2} D_M$ [5, p. 331].

theorem due originally to Krull [7, p. 752] the M_α 's are conjugate over K , that is, for each α there is an automorphism σ_α of L over K such that $\sigma_\alpha(M) = M_\alpha$. It follows that $\sigma_\alpha(\overline{D}_M) = \overline{D}_{M_\alpha}$. Hence $\overline{D}_M \cap K = \overline{D}_{M_\alpha} \cap K = \bigcap_\alpha (\overline{D}_{M_\alpha} \cap K)$. If $S = D - P$ then \overline{D}_S is integral over D_S so that $\overline{D}_S \cap K = D_S$. Moreover, $\{M_\alpha\}$ is the set of ideals of \overline{D} maximal with respect to not meeting S [8, p. 30]. Hence $\{M_\alpha \overline{D}_S\}$ is the set of maximal ideals of \overline{D}_S . Since $\overline{D}_{M_\alpha} = (\overline{D}_S)_{M_\alpha} \overline{D}_S$ for each α , we have $\overline{D}_S = \bigcap_\alpha (\overline{D}_S)_{M_\alpha} \overline{D}_S = \bigcap_\alpha \overline{D}_{M_\alpha}$. Therefore, $\overline{D}_M \cap K = \bigcap_\alpha (\overline{D}_{M_\alpha} \cap K) = (\bigcap_\alpha \overline{D}_{M_\alpha}) \cap K = \overline{D}_S \cap K = D_S$, as we wished to show.

COROLLARY 1. *With notation as in Theorem 1 if \overline{D}_M is a valuation ring, then D_P is a valuation ring.*

COROLLARY 2. *If \overline{D}_M is a valuation ring, then \overline{D}_{M_α} is a valuation ring for each prime ideal M_α of \overline{D} which lies over P .*

PROOF.⁴ By Corollary 1, D_P is a valuation ring. If $S = D - P$, then \overline{D}_S is the integral closure of D_S in L so that \overline{D}_S is a Prüfer domain. \overline{D}_{M_α} is a quasi-local overring of \overline{D}_S and thus a valuation ring.

COROLLARY 3. *If \overline{D} is a Prüfer domain, then D is a Prüfer domain.*

COROLLARY 4. *If \overline{D} is an almost Dedekind domain, then D is almost Dedekind.*

PROOF. Let P be a maximal ideal of D and let M be a maximal ideal of \overline{D} lying over P . Since \overline{D} is almost Dedekind, \overline{D}_M is a rank one discrete valuation ring. By Theorem 1, $\overline{D}_M \cap K = D_P$ so that D_P is also a rank one discrete valuation ring. Hence D is almost Dedekind.

COROLLARY 5. *If \overline{D} is a Dedekind domain, then D is Dedekind.*

PROOF.⁵ \overline{D} Dedekind implies, using Corollary 4, that D is an almost Dedekind domain in which each nonzero element is contained in only finitely many maximal ideals. Hence by [4, p. 815] D is a Dedekind domain.

A domain which has the QR -property is necessarily Prüfer. Pendleton in [10, p. 500] has shown that a Prüfer domain D has the QR -property if and only if the radical of each finitely generated ideal of D is the radical of a principal ideal. We use this characterization of domains with the QR -property to establish the following.

⁴ If L is normal over K , then the proof of Corollary 2 is immediate; for \overline{D}_{M_α} is then the image of \overline{D}_M under a K -automorphism of L .

⁵ Corollary 5 can also be obtained from the fact that an integral domain with identity is Dedekind if and only if it is a Krull domain of dimension ≤ 1 .

THEOREM 2. *If \bar{D} is a domain with the QR-property, then D also has the QR-property.*

PROOF. Since \bar{D} has the QR-property, \bar{D} is a Prüfer domain. Hence by Corollary 3, D is a Prüfer domain. Let A be a finitely generated ideal of D . We show that there is some $a \in D$ such that $\sqrt{A} = \sqrt{(a)}$. Denote by $\{P_\alpha\}$ the set of minimal primes of A in D , and by $\{M_\beta\}$ the set of prime ideals of \bar{D} which lie over the P_α 's. It follows from the "going down" theorem (see [1, p. 255] or [7, p. 755]) that $\{M_\beta\}$ is the set of minimal primes of $A\bar{D}$. Since \bar{D} has the QR-property and $A\bar{D}$ is finitely generated, there exists $a_1 \in \bar{D}$ such that $\sqrt{(a_1)} = \sqrt{A\bar{D}} = \bigcap_\beta M_\beta$. Hence (a_1) and $A\bar{D}$ are contained in the same prime ideals of \bar{D} . Thus $\{M_\beta\}$ is the set of minimal primes of (a_1) . Let E be a normal closure of L over K , and let a_1, a_2, \dots, a_s be the distinct conjugates of a_1 in E . Denote by σ_i a relative isomorphism of L over K into E such that $\sigma_i(a_1) = a_i$. Let $\sigma_i(L) = L_i$ and $\sigma_i(\bar{D}) = \bar{D}_i$. Since σ_i is the identity on D , each \bar{D}_i is an integral extension of D and $\{\sigma_i(M_\beta)\}$ is the set of prime ideals of \bar{D}_i which lie over $\{P_\alpha\}$. And because $\{M_\beta\}$ is the set of minimal primes of (a_1) in \bar{D} , $\{\sigma_i(M_\beta)\}$ is the set of minimal primes of (a_i) in \bar{D}_i . Denote by p the characteristic of K if K has nonzero characteristic, $p=1$ if K is of characteristic zero. We can choose a positive integer e such that $a = (a_1 a_2 \cdots a_s)^{p^e} \in K$. Then $a \in K$ and a integral over D imply $a \in D$. We show that $\{P_\alpha\}$ is the set of minimal primes of aD .

Let D^* be the integral closure of D in E . D^* is the integral closure of \bar{D}_i in E for each i , and $D^* \cap L_i = \bar{D}_i$. If $\{N_\gamma\}$ is the set of primes of D^* which lie over the P_α 's, then $N_\gamma \cap \bar{D}_i$ is a prime ideal of \bar{D}_i which lies over some P_α . Hence there is an M_β such that $N_\gamma \cap \bar{D}_i = \sigma_i(M_\beta)$. Also D^* integral over \bar{D}_i implies that for each $\sigma_i(M_\beta)$ there is a prime ideal N of D^* lying over $\sigma_i(M_\beta)$ and $N \cap D = \sigma_i(M_\beta) \cap D = P_\alpha$ for some α . Thus $N \in \{N_\gamma\}$. Because $\{\sigma_i(M_\beta)\}$ is the set of minimal primes of $a_i \bar{D}_i$, $\{N_\gamma\}$ is the set of minimal primes of $a_i D^*$, $i=1, 2, \dots, s$. Hence $\{N_\gamma\}$ is the set of minimal primes of $aD^* = (a_1 a_2 \cdots a_s)^{p^e} D^*$. Since $D^* \cap K = D$ and $a \in D$, we have $aD^* \cap D = aD$. It follows that $\{N_\gamma \cap D\} = \{P_\alpha\}$ is the set of minimal primes of $aD^* \cap D = aD$. We have established that $\sqrt{A} = \bigcap_\alpha P_\alpha = \sqrt{aD}$. Hence the radical of each finitely generated ideal of D is the radical of a principal ideal. Therefore D has the QR-property.

We remark that because the QR-property is not preserved under integral extension, the proof of Theorem 2 can not be reduced to the case when L is normal over K .

THEOREM 3. *If \bar{D} has property (#), then D has property (#).*

PROOF. We assume that D does not have property (#). Then as observed in [5, p. 331] there is a proper subset $\{P_\alpha\}$ of the set of maximal ideals of D such that $D = \bigcap_\alpha D_{P_\alpha}$. Let $\{M_\beta\}$ be the set of prime ideals of \bar{D} lying over the P_α 's. Since \bar{D} is integral over D , $\{M_\beta\}$ is a proper subset of the set of maximal ideals of \bar{D} . We show that $\bar{D} = \bigcap_\beta \bar{D}_{M_\beta}$ and hence that \bar{D} does not have property (#). Let $u \in L - \bar{D}$ and let $f(X) \in K[X]$ be the minimal polynomial for u over K . Since u is not integral over D , $f(X) \notin D[X]$. Because $D = \bigcap_\alpha D_{P_\alpha}$, there is a $P \in \{P_\alpha\}$ such that $f(X) \notin D_P[X]$. If $S = D - P$, then \bar{D}_S is the integral closure of D_S in L and $f(X) \notin D_S[X]$ implies that u is not integral over D_S . Hence $u \notin \bar{D}_S$. If $\{M_\gamma\}$ is the set of prime ideals of \bar{D} which lie over P , then $\{M_\gamma\} \subseteq \{M_\beta\}$ and the maximal ideals of \bar{D}_S are of the form $M_\gamma \bar{D}_S$. We have $\bar{D}_S = \bigcap_\gamma (\bar{D}_S)_{M_\gamma \bar{D}_S} = \bigcap_\gamma \bar{D}_{M_\gamma}$. Therefore $u \notin \bar{D}_{M_\gamma}$ for some γ so that $u \notin \bigcap_\beta \bar{D}_{M_\beta}$. It follows that $\bar{D} = \bigcap_\beta \bar{D}_{M_\beta}$ which completes the proof of Theorem 3.

If J is an integral domain having quotient field F , we call any domain between J and F an *overring* of J . Using Theorem 3 and the fact that an integral domain J is Prüfer if and only if each overring of J is integrally closed [3, p. 198] we obtain the following corollary.

COROLLARY 6. *If every overring of \bar{D} has property (#), then every integrally closed overring of D has property (#). In particular, if \bar{D} is a Prüfer domain and every overring of \bar{D} has property (#), then every overring of D has property (#).*

In connection with Corollary 6 the following question arises: If J^* , the integral closure of a domain J has property (#), must J have property (#)? We have been unable to answer this question.

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