

PROOF. Theorem 3.6 and Example 3.3.

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## IMBEDDING CLOSED RIEMANN SURFACES IN $C^n$

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**I. Introduction.** Let  $R$  be a closed Riemann surface of genus  $g$ ,  $G$  a nonempty, open subset of  $R$ , and  $A$  the set of all complex valued functions that are continuous on  $R$  and holomorphic on  $G$ . With the usual pointwise operations  $A$  is an algebra over the complex field. We consider the problem: how many functions in  $A$  suffice to separate points of  $R$ ?

Let  $f$  be a nonconstant member of  $A$ . If the genus  $g=0$ , Wermer [4] showed that there exist  $f_1$  and  $f_2$  in  $A$  which, together with  $f$  separate points of  $R$ ; if  $g=1$ , Arens [2] established the existence of  $f_1, f_2$  and  $f_3$  in  $A$  which, together with  $f$  separate points of  $R$ . In this note we shall present a modification of the Wermer-Arens argument to prove the following

**THEOREM.** *Let the genus  $g$  be arbitrary. If  $A$  contains nonconstant functions, then there exist four functions in  $A$  which separate points of  $R$  and which have no common branch points in  $G$ .*

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**II. Two lemmas.** Let  $\phi$  be a nonconstant member of order  $n$  in the field  $K$  of meromorphic functions on  $R$ . Let  $w$  be a point of the extended plane which has  $n$  distinct inverse images under  $\phi$ . Denote by  $E(\phi, w)$  the finite set which is the union of  $\phi^{-1}(w)$  and  $\phi^{-1}(\phi(b))$  as  $b$  ranges over all the branch points of  $\phi$ . For (fixed)  $\phi$  and  $\psi$  in  $K$ , let  $S$

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be the set of all  $z$ , in  $R$ , for which there exists a  $z'$  in  $R$  with  $z \neq z'$ , but  $\phi(z) = \phi(z')$  and  $\psi(z) = \psi(z')$ . We then have

LEMMA 1. *Let  $\psi$  separate points of  $E(\phi, w)$ ; then  $S$  is finite.*

PROOF. There is known (Ahlfors-Sario [1, p. 322]) to exist a polynomial  $F_v(u) \equiv F(u, v)$  of degree  $n$  in  $u$ , with rational functions of  $v$  as coefficients, which is irreducible in  $u$  and is such that  $F(\psi(p), \phi(p)) = 0$ ,  $p \in R$ . Let  $z \in S$ , so that  $\phi^{-1}(\phi(z))$  consists of  $n$  distinct points, say  $z_1 = z$ ,  $z_2 = z'$ ,  $z_3 \cdots z_n$ . The way the polynomial  $F$  is constructed (Ahlfors-Sario [1, p. 322]),  $u = \psi(z_1), \psi(z_2), \cdots, \psi(z_n)$  constitute the roots of the equation  $F(u, \phi(z)) = 0$ . Thus, if  $z \in S$ , this polynomial in  $u$  has a repeated root so that its discriminant must be zero. But this discriminant is a rational function  $D(v)$  of  $v = \phi(z)$  and, since  $F(u, v)$  is irreducible in  $u$ , it is not identically zero. Hence the set of  $\phi(z)$  ( $z \in S$ ) for which  $D(\phi(z)) = 0$  is finite; since  $\phi$  has finite valence  $n$ , this implies that  $S$  is finite.

For any  $z$  in  $R$ , let  $K_z$  be the set of all those functions in  $K$  that are holomorphic on  $R \setminus \{z\}$ .

LEMMA 2. *If  $E$  is a finite subset of  $R \setminus \{z\}$ , then there exists a member of  $K_z$  which separates points of  $E$  and which has no branch points in  $E$ .*

PROOF. For distinct points  $z_1, z_2$  in  $R \setminus \{z\}$  and an integer  $n > 2g$ , consider the divisors

$$D_1 = z_1 - nz \quad \text{and} \quad D_2 = z_1 + z_2 - nz.$$

By the Riemann-Roch theorem (Ahlfors-Sario [1, p. 329]) the dimension,  $\dim D_1$ , of the complex vector space of those members of  $K$  that are multiples of  $D_1$  satisfies

$$\dim D_1 \geq -\deg D_1 - g + 1 = n - g.$$

Since  $\deg D_2 < 2 - 2g$ , we have, again by the Riemann-Roch theorem, (Ahlfors-Sario [1, p. 329])

$$\dim D_2 = -\deg D_2 - g + 1 = n - g - 1.$$

This and the preceding inequality show that there exists a function belonging to  $K_z$  which vanishes at  $z_1$  but not at  $z_2$ . Since  $K_z$  is an algebra (under the usual operations) over the complex numbers, it follows that there exists  $\phi$  in  $K_z$  which separates points of  $E$ .

Let  $z_1, z_2, \cdots, z_k$  be those points of  $E$  at which the multiplicity of  $\phi > 1$ , and  $z_{k+1}, \cdots, z_m$  be the other points of  $E$ . Considering, for  $n > 2m + 2g - 2$  and  $j = 1, \cdots, k$ , the divisors  $-nz - z_j + \sum_{i=1}^m 2z_i$  and  $-nz + \sum_{i=1}^m 2z_i$  and applying the Riemann-Roch theorem as before,

one obtains a function  $\phi_j$  in  $K_z$  which has a simple zero at  $z_j$  and multiple zeros at  $z_i$ ,  $i \neq j$ ,  $i = 1, \dots, m$ . Then  $\phi + \sum_{j=1}^m \phi_j$  serves as a function whose existence is claimed in the lemma.

**III. Proof of the theorem.** Let  $p_1 \in G$  and  $\phi_1$  be a member of  $K$  which has its sole pole, of order  $n_1$  say, at  $p_1$ . Then, by two applications of Lemmas 1 and 2, choose  $\phi_2$  and  $\phi_3$  in  $K$  such that  $\phi_i$  has its sole pole, of order  $n_i$  say, at  $p_i \in G$ ,  $i = 2, 3$ ,  $p_i \neq p_j$ , for  $i \neq j$ ,  $i, j = 1, 2, 3$ , such that any two of  $\phi_1, \phi_2$  and  $\phi_3$  separate all but a finite number of pairs  $(z, z') \in R \times R$  ( $z \neq z'$ ) and such that no two of them have a common branch point. Let  $E_{ij}$  be the set of all  $z$  in  $R$  such that there exists  $z' \neq z$  with  $\phi_i(z) = \phi_i(z')$  and  $\phi_j(z) = \phi_j(z')$ . Put  $E \equiv \{p_1, p_2, p_3\} \cup_{i < j} E_{ij}$ , so that  $E$  is a finite subset of  $R$ . Suppose that  $A$  contains nonconstant functions. Then  $A$  separates points of  $R$  (Arens [2, p. 255]) and, since  $A$  is an algebra, there exists  $f_0$  in  $A$  which separates points of  $E$ . Set, now, for an integer  $n > \max(n_1, n_2, n_3)$ ,

$$f_i = [f_0 - f_0(p_i)]^n \cdot \phi_i, \quad i = 1, 2, 3.$$

It is readily seen that  $f_1, f_2, f_3 \in A$ . To see that  $f_0, f_1, f_2$ , and  $f_3$  separate points of  $R$ , observe that, if  $f_i(z_1) = f_i(z_2)$ ,  $i = 0, 1, 2, 3$ , then  $f_0(p_j) \neq f_0(z_1)$ , for at least two values of the index  $j$ , say, for  $j = 1, 2$ . Then, we obtain that  $\phi_j(z_1) = \phi_j(z_2)$ ,  $j = 1, 2$ , so that either  $z_1 = z_2$  or  $z_1, z_2 \in E_{12}$ ; since  $f_0$  separates points of  $E_{12}$  we must indeed have  $z_1 = z_2$ .

Suppose, if possible, that for some  $z \in G$ , the differential  $df_i(z)$  is zero for  $i = 0, 1, 2, 3$ . Again, we have for two values of  $j$ , say, for  $j = 1, 2$ ,  $f_0(z) \neq f_0(p_j)$ , and then we have  $d\phi_j(z) = 0$ ,  $j = 1, 2$ , which is a contradiction to the choice of the  $\phi_j$ . This completes the proof of the theorem.

**REMARK.** If  $G$  is not dense in  $R$ , then results of Narasimhan [3] readily imply that three functions in  $A$  separate points of  $R$ . It is an open problem to determine the minimal number of functions in  $A$  that separate points of  $R$ .

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