

# CONULLITY OF OPERATORS ON SOME $FK$ -SPACES

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ABSTRACT. The notion of *conullity* for a subclass of the algebra of matrix operators on the space of convergent sequences is well known in summability theory. In this paper the space of convergent sequences is replaced by a general (locally convex)  $FK$ -space and the following question is studied: Given a subalgebra of the algebra of all continuous linear operators on this  $FK$ -space, is there a class of operators in this subalgebra whose behavior is "conull-like"? The question is answered in the case when the  $FK$ -space has a suitable (Schauder) basis and also in some other special cases.

**1. Introduction.** The algebra,  $\Gamma_c$ , of complex matrix operators on the set  $c$  of convergent complex number sequences is partitioned into two classes: the conull matrices and the coregular matrices. (See, for example, [1].) The class of conull matrices, denoted by  $\psi$ , may be characterized either as the kernel of the only nontrivial multiplicative linear functional on  $\Gamma_c$  ([1]; see also [6]), or as the set  $\{A \in \Gamma_c: w^r \rightarrow 0 \text{ weakly in } c_A\}$ , where  $c_A$  denotes the summability field of  $A$  and  $w^r = e - \sum_{k=1}^r e^k$ , for  $r = 1, 2, \dots$ . (As usual,  $e = (1, 1, 1, \dots)$  is the unit sequence and  $e^k = (0, \dots, 0, 1, 0, \dots)$  is the sequence having a 1 in the  $k$ th coordinate and zeros elsewhere.) Since the kernel of a multiplicative functional is an ideal, the first characterization displays an algebraic likeness between the set of conull matrices and the zero matrix (in the sense that  $A\psi \subseteq \psi$  and  $\psi A \subseteq \psi$  for each  $A$  in  $\Gamma_c$ , just as  $A\{0\} \subseteq \{0\}$  and  $\{0\}A \subseteq \{0\}$ , where  $0$  denotes the zero matrix). The second characterization displays a topological likeness between  $\psi$  and the zero matrix (because  $w^r \rightarrow 0$  weakly in  $s$ , the set of all complex number sequences, and  $s$  is the summability field of the zero matrix). In this paper we replace  $c$  by a more general (locally convex)  $FK$ -space  $\lambda$  and we replace  $\Gamma_c$  by the set  $\Gamma_\lambda$  of matrix operators on  $\lambda$ . We then consider the following question: Given an algebra  $\Lambda$  which contains  $\Gamma_\lambda$  and which is contained in the set  $B[\lambda]$  of all continuous linear operators on  $\lambda$ , is there a class of operators in  $\Lambda$  whose behavior is "conull-like"? By "conull-like" we will mean that the class resembles the zero matrix in both an algebraic and a topo-

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logical sense. In order to make this more precise we first give an equivalent reformulation of the second characterization of  $\psi$ .

Recall that if  $A \in \Gamma_c$  and  $f \in c'_A$ , the dual space of  $c_A$ , then  $f$  has representation<sup>3</sup> [4, p. 230]

$$f(x) = g(Ax) + \sum_k \beta_k x_k,$$

where  $g$  is a continuous linear functional on  $c$  and  $\sum_k |\beta_k| < \infty$ . But

$$\lim_r \sum_k \beta_k w_k^r = \lim_r \sum_{k=r+1}^{\infty} \beta_k = 0$$

and so  $w^r \rightarrow 0$  weakly in  $c_A$  if and only if  $Aw^r \rightarrow 0$  weakly in  $c$ . Thus,  $\psi$  may be characterized as being precisely the set

$$\{A \in \Gamma_c: Aw^r \rightarrow 0 \text{ weakly in } c, \text{ as } r \rightarrow \infty\}.$$

(Notice that this equivalent reformulation also displays a topological likeness between  $\psi$  and the zero matrix in the sense that it shows that each  $A$  in  $\psi$  maps a sequence, each of whose elements is at a distance of one from the zero sequence, into a weakly convergent to zero sequence.)

Now let  $\lambda$  be an  $FK$ -space,  $\{x^r: r=1, 2, \dots\}$  a subset of  $\lambda$ , and  $\Lambda$  a subalgebra of  $B[\lambda]$  which contains  $\Gamma_\lambda$ . Furthermore, let

$$(\Lambda, x^r, \lambda) = \{A \in \Lambda: Ax^r \rightarrow 0 \text{ weakly in } \lambda, \text{ as } r \rightarrow \infty\}.$$

We say that " $\{x^r\}$  in  $\lambda$  acts like  $\{w^r\}$  in the sense of Wilansky," and write  $x^r \sim \lambda$ , if  $x^r \rightarrow 0$  in  $s$  but  $x^r \rightarrow 0$  weakly in  $\lambda$ . (See [5, p. 90].) We can now make precise what we mean by "conull-like" by reformulating our original question as follows: given  $\lambda$  and  $\Lambda$ , does there exist a subset  $\{x^r\}$  of  $\lambda$  such that  $x^r \sim \lambda$  and such that  $(\Lambda, x^r, \lambda)$  is a proper ideal in  $\Lambda$ ? If the answer is affirmative, that is, if  $x^r \sim \lambda$  and if  $(\Lambda, x^r, \lambda)$  is a proper ideal in  $\Lambda$ , then we say that  $\lambda$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{x^r\}$ . For example,  $c$  is  $\Gamma_c$ -conullable in  $\Gamma_c$  under  $\{w^r\}$  because  $w^r \sim c$  and  $(\Gamma_c, w^r, c) = \psi$  is a proper ideal in  $\Gamma_c$ .

The zero matrix satisfies a stronger property; namely that  $0x^r \rightarrow 0$  in the topology of  $\lambda$ . This motivates the following definition. If  $x^r \sim \lambda$  and if the set

$$(\Lambda^*, x^r, \lambda) = \{A \in \Lambda: Ax^r \rightarrow 0 \text{ in } \lambda, \text{ as } r \rightarrow \infty\}$$

is a proper ideal in  $\Lambda$ , then we say that  $\lambda$  is  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{x^r\}$ .

<sup>3</sup> Unless otherwise specified, all summations are from 1 to  $\infty$ .

It is clear that for each  $\lambda, \Lambda$ , and  $x^r$  in  $\lambda$ ,  $(\Lambda^*, x^r, \lambda)$  is a subset of  $(\Lambda, x^r, \lambda)$ .

In the course of our work we establish the following results. (All as yet undefined symbols will be defined in §2.)

(i) If  $\{e^r\}$  is a (Schauder) basis for  $\lambda$  (for example, if  $\lambda$  is  $l^p$  ( $p \geq 1$ ),  $c_0$ , or  $\gamma$ ), then  $\lambda$  is not  $\Gamma_\lambda$ -conullable in  $\Gamma_\lambda (=B[\lambda])$  under  $\{e^r\}$ .

(ii) If  $\{u, e^k: k=1, 2, \dots\}$  is a (Schauder) basis for  $\lambda$  (for example, if  $u=e$  and  $\lambda$  is either  $c$  or  $v$ ), then  $(\Lambda, z^r, \lambda)$  is always a class of matrices, where  $z^r = u - \sum_{k=1}^r u_k e^k$ . Furthermore,  $\lambda$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{z^r\}$  if and only if  $\Lambda = \Gamma_\lambda$ . In other words,  $\Lambda$ -conullity of  $\lambda$  under  $\{z^r\}$  is, and only is, a matrix notion whenever  $\{u, e^k\}$  is a basis for  $\lambda$ .

(iii) If  $\lambda$  is either  $c$  or  $v$ , and if  $\lambda$  is  $\Lambda$ -conullable under  $\{x^r\}$  in  $\Lambda$ , then  $\Lambda = \Gamma_\lambda$  and  $(\Lambda, x^r, \lambda) = (\Lambda, w^r, \lambda)$ . That is,  $\Lambda$ -conullity in either  $c$  or  $v$  is a unique matrix concept.

(iv)  $m$  is not  $\Lambda$ -conullable in any  $\Lambda$  under any  $\{x^r\}$ .

(v) If  $\lambda$  is  $l^p$  ( $p \geq 1$ ),  $c_0$ ,  $\gamma$ ,  $c$ , or  $m$ , then  $\lambda$  is not  $\Lambda^*$ -conullable in any  $\Lambda$  under any  $\{x^r\}$ . However, if  $\lambda$  is  $v$  then the only  $\Lambda$  and  $\{x^r\}$  which make  $v$   $\Lambda^*$ -conullable in  $\Lambda$  under  $\{x^r\}$  are  $\Gamma_v$  and  $\{w^r\}$ ; moreover,  $(\Gamma_v^*, w^r, v) = (\Gamma_v, w^r, v) = \theta$ , where  $\theta$  denotes the set of compact matrices on  $v$ .

Since the set of compact operators in  $B[\lambda]$  is always an ideal and since a compact operator resembles the zero matrix in how it maps sets, an argument could be made for selecting the set of compact operators as representing the "conull-like" class of operators. However, from a summability point of view this is not satisfactory because conullity is an invariant property, i.e. if  $A$  is conull and  $\lambda_A = \lambda_B$  then  $B$  is also conull (the case when  $\lambda = c$  is very well known), whereas compactness is not an invariant property. For example, take  $\lambda$  to be  $\gamma$  (the set of convergent series) and take  $A$  to be the matrix whose first row is  $e$  and whose other entries are zero. Then  $A$  is a compact operator on  $\gamma$  and  $\gamma_A (= \{x: Ax \in \gamma\})$  is precisely  $\gamma$ . But  $\gamma$  is also  $\gamma_I$ , where  $I$  is the identity matrix which, of course, is not compact.

**2. Further definitions and notation.** As usual,  $l^p$  ( $p \geq 1$ ),  $m$ ,  $c$ ,  $c_0$ ,  $\gamma$ , and  $v$ , respectively, denote the subsets of  $s$  consisting of those sequences  $x$  for which  $\sum_k |x_k|^p < \infty$ ,  $\sup_k |x_k| < \infty$ ,  $\lim_k x_k$  exists,  $\lim_k x_k = 0$ ,  $\sum_k x_k$  converges, and  $\sum_k |x_k - x_{k+1}| < \infty$ , respectively. (In the particular case when  $p=1$  we will write  $l$  instead of  $l^1$ .) The  $\beta$ -dual of an FK-space  $\lambda$ , denoted by  $\lambda^\beta$ , is the set

$$\lambda^\beta = \left\{ \beta \in s; \sum_k \beta_k x_k \text{ converges for all } x \in \lambda \right\}.$$

By the Banach-Steinhaus Closure Theorem,  $\sum_k \beta_k x_k$  defines a member of the dual space  $\lambda'$  of  $\lambda$  for each  $\beta \in \lambda^\beta$ . We assume, throughout this paper, that all *FK*-spaces contain all the finite sequences and that the composition of any two members of  $\Gamma_\lambda$  is given by matrix multiplication. (This is the case if either  $\{e^k\}$  is a Schauder basis for  $\lambda$ , or if  $\Gamma_\lambda$  is a ring under matrix multiplication and  $\{e^k\}$  is a Schauder basis for  $\lambda^\beta$ .)

All increasing subsequences of positive integers are denoted by  $\{n_k\}$  or, for convenience, by  $\{n(k)\}$ . The letter  $V$  is reserved for the matrix  $(v_{nk})$  defined by the set of equations

$$v_{nk} = 1/n \text{ for } (n - 1)n/2 < k \leq n(n + 1)/2.$$

(Throughout this paper all undesignated entries in matrices and sequences are assumed to be zero.)

Let  $\lambda$  be an *FK*-space. We say that  $\lambda$  is:

*averaging* if, given  $x \in \lambda$  then  $y \in \lambda$  whenever  $y_k = x_k/n$  for  $(n - 1)n/2 < k \leq n(n + 1)/2$ ;

*contractive* if, given  $\{k_i\}$  and  $x \in \lambda$  then  $y \in \lambda$  whenever  $y_i = x_{k(i)}$ ;

*repeating* if, given  $\{k_i\}$  and  $x \in \lambda$  then  $y \in \lambda$  whenever  $y_k = x_i$  for  $k_i \leq k < k_{i+1}$ ;

*expansive* if, given  $\{k_i\}$  and  $x \in \lambda$  then  $y \in \lambda$  whenever  $y_{k(i)} = x_i$ .

We remark here that each of the special spaces mentioned earlier is at least averaging and contractive.

A matrix  $A = (a_{nk})$  is called:

*contractive* if, given  $\{k_n\}$  then  $a_{n,k(n)} = 1$ ;

*repeating* if, given  $\{n_i\}$  then  $a_{n_i} = 1$  for  $n_i \leq n < n_{i+1}$ ;

*expansive* if, given  $\{n_i\}$  then  $a_{n(i),i} = 1$ .

Thus, an *FK*-space  $\lambda$  is averaging if and only if  $V \in \Gamma_\lambda$ ; it is contractive (resp., repeating or expansive) if and only if  $\Gamma_\lambda$  contains all contractive (resp., repeating or expansive) matrices.

**3. General results.** As mentioned above  $\lambda$  always represents an *FK*-space containing the finite sequences,  $\Lambda$  represents a subalgebra of  $B[\lambda]$  which contains  $\Gamma_\lambda$ , and composition in  $\Gamma_\lambda$  is matrix multiplication.

**LEMMA 1.**  $(\Lambda, x^r, \lambda)$  and  $(\Lambda^*, x^r, \lambda)$  are left ideals in  $\Lambda$ .

**PROOF.** Let  $A \in (\Lambda, x^r, \lambda)$  and  $B \in \Lambda$ . Then  $(BA)x^r = B(Ax^r)$  and, since  $B$  is continuous,  $B(Ax^r) \rightarrow 0$  weakly in  $\lambda$ . Thus,  $BA \in (\Lambda, x^r, \lambda)$ . Similarly,  $(\Lambda^*, x^r, \lambda)$  is a left ideal in  $\Lambda$ .

**LEMMA 2.** If  $x^r \sim \lambda$  and if  $(\Lambda, x^r, \lambda)$  is a right ideal in  $\Lambda$ , then  $\sum_k \beta_k x_k^r \rightarrow 0$  for each  $\beta \in \lambda^\beta$ . A similar result holds if  $(\Lambda^*, x^r, \lambda)$  is a right ideal in  $\Lambda$ .

PROOF. Let  $E = (e_{nk})$  be the matrix defined by setting  $e_{11} = 1$  and  $e_{nk} = 0$  if either  $n \neq 1$  or  $k \neq 1$ . Since  $x^r \sim \lambda$ ,  $E \in (\Lambda^*, x^r, \lambda) \subseteq (\Lambda, x^r, \lambda)$ . Given any  $\beta \in \lambda^\beta$ , define  $B = (b_{nk})$  by setting  $b_{1k} = \beta_k$ . Then  $B \in \Gamma_\lambda$  and  $EB = B$ . Hence, if  $(\Lambda^*, x^r, \lambda)$  (resp.,  $(\Lambda, x^r, \lambda)$ ) is an ideal, then  $B \in (\Lambda^*, x^r, \lambda)$  (resp.,  $B \in (\Lambda, x^r, \lambda)$ ). Moreover,  $Bx^r = e^1 \sum_k \beta_k x_k^r$  and so  $f(Bx^r) = f(e^1) \sum_k \beta_k x_k^r \rightarrow 0$  for each  $f \in \lambda'$ . Since we may choose  $f$  so that  $f(e^1) \neq 0$  the proof of the lemma is complete.

COROLLARY. *If  $\lambda' = \lambda^\beta$  then  $\lambda$  is not  $\Lambda$ -conullable in any  $\Lambda$  under any  $\{x^r\}$ .*

Since  $\lambda' = \lambda^\beta$  whenever  $\{e^k\}$  is a basis for  $\lambda$ , we see that, in particular,  $l^p$  ( $p \geq 1$ ),  $c_0$ , and  $\gamma$  are never  $\Lambda$ -conullable in  $\Lambda$  under any  $\{x^r\}$ .

LEMMA 3. *Let  $\{u, e^k: k = 1, 2, \dots\}$  be a basis for  $\lambda$  and let  $z^r = u - \sum_{k=1}^r u_k e^k$ . Then  $\sum_k \beta_k z_k^r \rightarrow 0$  for each  $\beta \in \lambda^\beta$ .*

PROOF. If  $\beta \in \lambda^\beta$  then  $\sum_{k=r+1}^\infty \beta_k x_k \rightarrow 0$ , as  $r \rightarrow \infty$ , for each  $x \in \lambda$ . Thus,  $\sum_k \beta_k z_k^r = \sum_{k=r+1}^\infty \beta_k u_k \rightarrow 0$ , as  $r \rightarrow \infty$ .

LEMMA 4. *Let  $\{u, e^k\}$  be a basis for  $\lambda$  and let  $T \in B[\lambda]$ . Then  $T \in \Gamma_\lambda$  if and only if  $\sum_k u_k (Te^k)_n$  converges and equals  $(Tu)_n$  for each  $n$ .*

(The special case when  $\lambda = c$  and  $u = e$  was proved by Wilansky [6]. Our proof is essentially his and we present it here for the sake of completeness.)

PROOF. If  $x \in \lambda$  then  $x$  has the representation  $x = \alpha_0 u + \sum_k \alpha_k e^k$  for some unique sequence of scalars  $\alpha_0, \alpha_1, \alpha_2, \dots$ . Since coordinates are continuous in  $\lambda$  the representation becomes  $x = \alpha_0 u + \sum_k (x_k - \alpha_0 u_k) e^k$ . Thus, for each  $T \in B[\lambda]$ ,  $Tx = \alpha_0 Tu + \sum_k (x_k - \alpha_0 u_k) Te^k$  and the proof follows easily from this equation.

THEOREM 1. *Let  $\{u, e^k\}$  be a basis for  $\lambda$  and let  $z^r = u - \sum_{k=1}^r u_k e^k$ .*

(i)  $(\Lambda, z^r, \lambda)$ , and hence  $(\Lambda^*, z^r, \lambda)$ , is contained in  $\Gamma_\lambda$  for each  $\Lambda$ .

(ii)  $\lambda$  is  $\Gamma_\lambda$ -conullable in  $\Gamma_\lambda$  under  $\{z^r\}$ .

(iii) If  $\Lambda \neq \Gamma_\lambda$  then  $\lambda$  is neither  $\Lambda$ -conullable nor  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{z^r\}$ .

PROOF. (i) This is an immediate consequence of Lemma 4 because if  $T \in (\Lambda, z^r, \lambda)$  then  $(Tz^r)_n \rightarrow 0$ , as  $r \rightarrow \infty$ , for each  $n$ .

(ii) Notice first that  $z^r \sim \lambda$  because there exists  $f \in \lambda'$  satisfying  $f(u) = 1$  and  $f(e^k) = 0$  for each  $k$ . Also, if  $I$  denotes the identity matrix then  $I \notin (\Gamma_\lambda, z^r, \lambda)$  and so  $(\Gamma_\lambda, z^r, \lambda) \neq \Gamma_\lambda$ .

To show that  $\lambda$  is  $\Gamma_\lambda$ -conullable in  $\Gamma_\lambda$  under  $\{z^r\}$  it suffices, by Lemma 1, to show that  $(\Gamma_\lambda, z^r, \lambda)$  is a right ideal. Thus, let  $B \in (\Gamma_\lambda, z^r, \lambda)$ ,  $f \in \lambda'$ , and  $A \in \Gamma_\lambda$ . Since  $Bz^r \rightarrow 0$  weakly in  $\lambda$ ,  $\sum_k u_k f(Be^k)$  con-

verges and equals  $f(Bu)$ . Hence, the sequence  $\{f(Be^k)\}$  is a member of  $\lambda^\beta$ . (Indeed, if  $x \in \lambda$  then there exists a scalar  $\alpha_0$  such that  $x = \alpha_0 u + \sum_k (x_k - \alpha_0 u_k) e^k$  and so  $f(Bx) = \alpha_0 f(Bu) + \sum_k (x_k - \alpha_0 u_k) f(Be^k) = \sum_k x_k f(Be^k)$ .) Thus, if we define  $F = (f_{nk})$  by setting  $f_{1k} = f(Be^k)$ , then  $F \in \Gamma_\lambda$  and  $FA \in \Gamma_\lambda$ . Let  $\beta$  denote the first row of  $FA$ . Then  $\beta \in \lambda^\beta$  and, by Lemma 3,  $\sum_k \beta_k z_k^r \rightarrow 0$ , as  $r \rightarrow \infty$ . But  $\sum_k \beta_k z_k^r = ((FA)z^r)_1$  and since matrix multiplication is composition,  $((FA)z^r)_1 = (F(Az^r))_1 = \sum_k f(Be^k)(Az^r)_k = f(B(Az^r)) = f((BA)z^r)$ , so that  $(BA)z^r \rightarrow 0$  weakly in  $\lambda$ . Hence,  $BA \in (\Lambda, z^r, \lambda)$  and so  $\lambda$  is  $\Gamma_\lambda$ -conullable in  $\Gamma_\lambda$  under  $\{z^r\}$ .

(iii) Without loss of generality we may assume that  $u_j \neq 0$  for each  $j$ . Now, for each  $j$ , define  $Q^j = (q_{nk}^j)$  by setting  $q_{nj}^j = u_n/u_j$  and  $q_{nk}^j = 0$  when  $k \neq j$ . Then for each  $x \in \lambda$  we have that  $Q^j x = (x_j/u_j)u \in \lambda$  and so  $Q^j \in \Gamma_\lambda$ . Moreover,  $Q^j u = u$ ,  $Q^j e^j = (1/u_j)u$ , and  $Q^j e^k = \{0\}$  if  $k \neq j$ . Therefore,  $Q^j \in (\Lambda, z^r, \lambda)$ .

Assume that  $\lambda$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{z^r\}$ . Then  $(\Lambda, z^r, \lambda)$  is a right ideal in  $\Lambda$  and so  $Q^j T(u - \sum_{k=1}^j u_k e^k) \rightarrow 0$  weakly in  $\lambda$ , since  $Q^j T \in (\Lambda, z^r, \lambda)$  for each  $T \in \Lambda$ . But  $Q^j(Tu) = ((Tu)_j/u_j)u$ ,  $Q^j(Te^k) = ((Te^k)_j/u_j)u$  and coordinates are continuous in  $\lambda$ ; hence, we have that  $(Tu)_j = \sum_k u_k (Te^k)_j$ . Since this holds for each  $j$ , Lemma 4 shows that  $T \in \Gamma_\lambda$ . This contradicts the hypothesis that  $\Gamma_\lambda \neq \Lambda$  and so  $\lambda$  is not  $\Lambda$ -conullable in  $\Lambda$  under  $\{z^r\}$ . A similar argument may be used to show that  $\lambda$  is not  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{z^r\}$ .

**THEOREM 2.** *If  $\lambda$  is  $\Lambda$ -conullable (resp.,  $\Lambda^*$ -conullable) in  $\Lambda$  under  $\{x^r\}$  then, for each  $A \in \Lambda$ ,  $(\Lambda, x^r, \lambda) \subseteq (\Lambda, Ax^r, \lambda)$  (resp.,  $(\Lambda^*, x^r, \lambda) \subseteq (\Lambda^*, Ax^r, \lambda)$ ). If, in addition,  $A$  has a right inverse in  $\Lambda$  then  $(\Lambda, x^r, \lambda) = (\Lambda, Ax^r, \lambda)$  (resp.,  $(\Lambda^*, x^r, \lambda) = (\Lambda^*, Ax^r, \lambda)$ ).*

**PROOF.** For each  $B \in (\Lambda, x^r, \lambda)$  and each  $f \in \Lambda'$  we have that  $f(B(Ax^r)) = f(BA(x^r))$ . Since  $(\Lambda, x^r, \lambda)$  is a right ideal,  $BA \in (\Lambda, x^r, \lambda)$ ; hence,  $B(Ax^r) \rightarrow 0$  weakly in  $\lambda$  and so  $B \in (\Lambda, Ax^r, \lambda)$ .

Assume next that  $A'$  is a right inverse for  $A$  in  $\Lambda$  and let  $B \in (\Lambda, Ax^r, \lambda)$ . Then  $BA(x^r) = B(Ax^r) \rightarrow 0$  weakly in  $\lambda$  and so  $BA \in (\Lambda, x^r, \lambda)$ . Since  $(\Lambda, x^r, \lambda)$  is a right ideal,  $B = (BA)A' \in (\Lambda, x^r, \lambda)$ . The parenthetical statements are proved analogously.

**LEMMA 5.** *Given  $x^r \in m$ ,  $r = 1, 2, \dots$ , there exists a contractive matrix  $A$  such that  $Ax^r \in c$ ,  $r = 1, 2, \dots$ .*

**PROOF.** Since each  $x^r \in m$  we may choose  $\{k_j\}$  (by a diagonalization process) so that  $\lim_j x_{k(j)}^r$  exists for each  $r$ . If  $A = (a_{nk})$  is defined by setting  $a_{n,k(n)} = 1$ , then  $A$  is contractive and  $Ax^r \in c$  for each  $r$ .

**LEMMA 6.** *For each  $r = 1, 2, \dots$ , let  $y^r \in c$  with  $\lim_k y_k^r = \alpha_r$ . If  $y^r \rightarrow 0$  in  $s$  and if  $\{r_j\}$  is given then there exist a contractive matrix  $B$ , a subse-*

quence  $\{r'_j\}$  of  $\{r_j\}$ , and a sequence  $\{v^r\}$  of elements in the unit ball of  $l$  with  $v^{r'(j)} \rightarrow 0$  in  $l$ , as  $j \rightarrow \infty$ , such that

$$By^r = \alpha_r w^j + v^r \quad \text{for } r'_j \leq r < r'_{j+1}.$$

(Recall that  $w^j = e - \sum_{k=1}^j e^k$ .)

PROOF. Choose  $k_1$  so that  $|y_k^1 - \alpha_1| < 1/2$  for  $k \geq k_1$  and then choose  $r'_1$  so that  $|y_{k(1)}^r| < 1/2$  whenever  $r \geq r'_1$ . Having chosen  $k_j$  and  $r'_j$ , choose  $k_{j+1} > k_j$  so that  $|y_k^r - \alpha_k| < 1/2^{j+1}$  for  $k \geq k_{j+1}$  and  $1 \leq r \leq r'_j$  and then choose  $r'_{j+1} > r'_j$  so that  $|y_{k(i)}^r| < 1/2^{j+1}$  whenever  $r \geq r'_{j+1}$  and  $i = 1, 2, \dots, j+1$ . Then the matrix  $B = (b_{nk})$ , defined by setting  $b_{j,k(j)} = 1$  ( $j = 1, 2, \dots$ ), is contractive and satisfies the conclusion of the lemma.

LEMMA 7. Let  $\{v^r\}$  be a sequence of elements in the unit ball of  $l$  such that  $v^r \rightarrow 0$  in  $s$ . Then there exist  $\{r_j\}$ , a contractive matrix  $B$ , and a sequence of scalars  $\{c_r\}$  with  $\sup |c_r| \leq 1$ , such that

$$Bv^r = c_r e^j + y^r \quad \text{for } r_j \leq r < r_{j+1},$$

where each  $y^r \in l$  and  $y^r \rightarrow 0$  in  $l$ , as  $r \rightarrow \infty$ .

PROOF. Choose  $k_1$  so that  $\sum_{k=k(1)}^\infty |v_k^1| < 1/2$  and then choose  $r_1$  so that  $|v_{k(1)}^r| < 1/2$  whenever  $r \geq r_1$ . Having chosen  $k_j$  and  $r_j$ , choose  $k_{j+1} > k_j$  so that  $\sum_{k=k(j+1)}^\infty |v_k^r| < 1/2^{j+1}$  for  $r \leq r_j$  and then choose  $r_{j+1} > r_j$  so that  $|x_{k(i)}^r| < 1/2^{j+1}$  whenever  $r \geq r_{j+1}$  and  $i = 1, 2, \dots, j+1$ . Then the matrix  $B = (b_{nk})$ , defined by setting  $b_{j,k(j)} = 1$  ( $j = 1, 2, \dots$ ), is contractive and satisfies the conclusion of the lemma.

LEMMA 8. Given  $\{n_k\}$  there exists a contractive matrix  $A$  such that  $Ae^{n(k)} = e^k$  for each  $k$ .

The proof of this lemma is straightforward and so we omit it.

THEOREM 3. Let  $\lambda \supseteq l$  and suppose that whenever  $\{w^r\}$  is a subset of  $\lambda$  then it is a bounded subset of  $\lambda$ . Let  $x^r \in m$  ( $r = 1, 2, \dots$ ) and assume also that  $\lambda$  is contractive and is such that each contractive matrix has a right inverse in  $\Lambda$ . Then, if  $\lambda$  is  $\Lambda$ -conullable (resp.,  $\Lambda^*$ -conullable) in  $\Lambda$  under  $\{x^r\}$ , either

$$(\Lambda, x^r, \lambda) = (\Lambda, e^r, \lambda) \quad (\text{resp.}, (\Lambda^*, x^r, \lambda) = (\Lambda^*, e^r, \lambda))$$

or

$$(\Lambda, x^r, \lambda) = (\Lambda, w^r, \lambda) \quad (\text{resp.}, (\Lambda^*, x^r, \lambda) = (\Lambda^*, w^r, \lambda)).$$

PROOF. We shall only prove the theorem for the case when  $\lambda$  is  $\Lambda$ -conullable. The proof for the other case is analogous.

By Lemma 5 there exists a contractive matrix  $A$  such that  $y^r = Ax^r \in c$  for each  $r$ , and, by Theorem 2, since  $A$  has a right inverse in  $\Lambda$ ,  $(\Lambda, x^r, \lambda) = (\Lambda, y^r, \lambda)$ . Notice that  $y^r \rightarrow 0$  in  $s$  because  $x^r \rightarrow 0$  in  $s$ . If  $\alpha_r = \lim_k y_k^r$  then there are two cases to consider. Either there exists  $\{r_j\}$  such that  $\alpha_{r(j)} \rightarrow \alpha \neq 0$ , as  $j \rightarrow \infty$ , or else  $\alpha_r \rightarrow 0$ , as  $r \rightarrow \infty$ .

In the first case, by Lemma 6, there exists a contractive matrix  $B$ , a subsequence  $\{r'_j\}$  of  $\{r_j\}$  and sequences  $v^r$  in the unit ball of  $l$  with  $v^{r'(j)} \rightarrow 0$ , as  $j \rightarrow \infty$ , such that  $By^r = \alpha_r w^j + v^r$  for  $r'_j \leq r < r'_{j+1}$ . Hence, using Theorem 2 (since  $B$  has a right inverse in  $\Lambda$ ) we get

$$(\Lambda, x^r, \lambda) = (\Lambda, y^r, \lambda) = (\Lambda, \alpha_r w^j + v^r, \lambda),$$

where  $r'_j \leq r < r'_{j+1}$ . But  $v^{r'(j)} \rightarrow 0$  in  $\lambda$  (because  $v^{r'(j)} \rightarrow 0$  in  $l$  and  $\lambda \supseteq l$  [4, p. 203, Corollary 1]) and  $\alpha_{r(j)} \rightarrow 0$ , as  $j \rightarrow \infty$ ; hence,  $(\Lambda, x^r, \lambda) = (\Lambda, w^r, \lambda)$ .

In the second case we have that  $\alpha_r \rightarrow 0$ , as  $r \rightarrow \infty$ . Using Lemma 6 and Theorem 2 as in the first case (this time with  $I^+$  the given subsequence) we again obtain a contractive matrix  $B$  and sequences  $v^r$  such that

$$(\Lambda, x^r, \lambda) = (\Lambda, y^r, \lambda) = (\Lambda, By^r, \lambda) = (\Lambda, \alpha_r w^j + v^r, \lambda).$$

But, by hypothesis,  $\{w^r\}$  is a bounded subset of  $\lambda$  (whenever it is a subset of  $\lambda$ ) and so  $\alpha_r w^j \rightarrow 0$  in  $\lambda$ , as  $r \rightarrow \infty$ . Hence,  $(\Lambda, x^r, \lambda) = (\Lambda, v^r, \lambda)$ . By applying Lemma 7 and Theorem 2 to  $\{v^r\}$  (the way Lemma 6 and Theorem 2 are applied to  $\{y^r\}$ ) and then using Lemma 8 we finally get that  $(\Lambda, x^r, \lambda) = (\Lambda, e^r, \lambda)$ , and the proof is complete.

COROLLARY 1. *Let  $\lambda, \Lambda$ , and  $\{x^r\}$  satisfy the hypothesis of Theorem 3 and assume that either*

- (i)  $\lambda \supseteq l^p$  for some  $p > 1$ , or that
- (ii)  $\lambda$  is averaging and repeating.

*If  $\lambda$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{x^r\}$  then*

$$(\Lambda, x^r, \lambda) = (\Lambda, w^r, \lambda) \neq (\Lambda, e^r, \lambda).$$

*If  $\lambda$  satisfies case (ii) and if  $\lambda$  is  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{x^r\}$ , then*

$$(\Lambda^*, x^r, \lambda) = (\Lambda^*, w^r, \lambda) \neq (\Lambda^*, e^r, \lambda).$$

PROOF. Assume first that  $\lambda \supseteq l^p$  for some  $p > 1$ . Since  $e^r \rightarrow 0$  weakly in  $l^p$ ,  $e^r \rightarrow 0$  weakly in  $\lambda$ . (Indeed,  $\lambda \supseteq l^p$  and so each  $f \in \lambda'$  is also continuous on  $l^p$  [4, p. 203, Corollary 1].) The conclusion now follows easily from Theorem 3.

Assume next that  $\lambda$  is averaging and repeating. Then  $V \in \Gamma_\lambda$  and  $VA = I$ , where  $A = (a_{nk})$  is defined by the set of equations

$$a_{nk} = 1 \quad \text{for } k(k-1)/2 < n \leq k(k+1)/2.$$



Since  $A$  is repeating,  $A$  also belongs to  $\Gamma_\lambda$ ; hence, neither  $(\Lambda^*, e^r, \lambda)$  nor  $(\Lambda, e^r, \lambda)$  is an ideal and the conclusion follows once again from Theorem 3.

**COROLLARY 2.** *Let  $\lambda, \Lambda$ , and  $\{x^r\}$  satisfy the hypothesis of Theorem 3. If  $\lambda$  is also averaging, repeating, and expansive then  $\lambda$  is neither  $\Lambda$ -conullable nor  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{x^r\}$ .*

**PROOF.** Define  $A' = (a'_{nk})$  and  $A'' = (a''_{nk})$  by setting  $a'_{n,3n-2} = a''_{n,3n-1} = 1$ . Since  $\lambda$  is contractive,  $A'$  and  $A''$  belong to  $\Gamma_\lambda \subseteq \Lambda$ . Hence,  $A = A' - A''$  also belongs to  $\Gamma_\lambda$ . Now  $A$  has a right inverse in  $\Lambda$ . Indeed, define  $B = (b_{nk})$  by  $b_{3k-2, k} = 1$ . Then  $B \in \Gamma_\lambda \subseteq \Lambda$  (because  $\lambda$  is expansive) and  $AB = I$ . Moreover,  $Aw^{3r-2} = -e^r$  and  $Aw^n = 0$  if  $n \neq 3r-2$  for some  $r$ . Thus, if  $\lambda$  were  $\Lambda$ -conullable (resp.,  $\Lambda^*$ -conullable) in  $\Lambda$  under  $\{x^r\}$ , then (by part (ii) of Corollary 1)  $(\Lambda, x^r, \lambda) = (\Lambda, w^r, \lambda) \neq (\Lambda, e^r, \lambda)$  (resp.,  $(\Lambda^*, x^r, \lambda) = (\Lambda^*, w^r, \lambda) \neq (\Lambda^*, e^r, \lambda)$ ), while (by Theorem 2)  $(\Lambda, w^r, \lambda) = (\Lambda, Aw^r, \lambda) = (\Lambda, e^r, \lambda)$  (resp.,  $(\Lambda^*, w^r, \lambda) = (\Lambda^*, Aw^r, \lambda) = (\Lambda^*, e^r, \lambda)$ ). Since these two conclusions contradict each other the proof of the corollary is complete.

**COROLLARY 3.** *Let  $x^r \in m$  for each  $r$  and let  $\lambda$  be contractive with  $\lambda \supseteq l$  and  $e \in \lambda^\beta$ . If every contractive matrix has a right inverse in  $\Lambda$  then  $\lambda$  is neither  $\Lambda$ -conullable nor  $\Lambda^*$ -conullable in  $\Lambda$  under  $\{x^r\}$ .*

**PROOF.** Since  $e \in \lambda^\beta$ ,  $w^r \notin \lambda$  for each  $r$ . Suppose that  $\lambda$  is  $\Lambda$ -conullable (resp.,  $\Lambda^*$ -conullable) in  $\Lambda$  under  $\{x^r\}$ . Then, by Theorem 3,  $(\Lambda, x^r, \lambda) = (\Lambda, e^r, \lambda)$  (resp.,  $(\Lambda^*, x^r, \lambda) = (\Lambda^*, e^r, \lambda)$ ). Let  $E = (e_{nk})$  be a matrix such that  $e_{1k} = 1$  for each  $k$ . Then  $E \in (\Lambda, x^r, \lambda)$  (resp.,  $E \in (\Lambda^*, x^r, \lambda)$ ) because  $e \in \lambda^\beta$  and  $x^r_1 \rightarrow 0$ , as  $r \rightarrow \infty$ . Thus,  $E \in (\Lambda, e^r, \lambda)$  (resp.,  $E \in (\Lambda^*, e^r, \lambda)$ ), which is absurd because  $Ee^r = e^1$  for each  $r$ .

#### 4. Applications to the special spaces.

**PROPOSITION 1.** *If  $c$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{x^r\}$  then  $(\Lambda, x^r, c) = (\Lambda, w^r, c)$  and  $\Lambda = \Gamma_c$ . Moreover,  $c$  is never  $\Lambda^*$ -conullable in any  $\Lambda$  under any  $\{x^r\}$ .*

**PROOF.** Since  $c$  is both contractive and repeating, each contractive matrix has a right inverse in  $\Gamma_c \subseteq \Lambda$ . Therefore, by part (i) of Corollary 1, if  $c$  is  $\Lambda$ -conullable in  $\Lambda$  under  $\{x^r\}$  then  $(\Lambda, x^r, c) = (\Lambda, w^r, c)$ . But then, by part (iii) of Theorem 1,  $\Lambda = \Gamma_c$  and so the proof of the first statement is complete.

To prove the second statement assume that  $c$  is  $\Lambda^*$ -conullable in some  $\Lambda$  under some  $\{x^r\}$ . Since  $c$  is averaging ( $V$  is a regular Toeplitz

matrix), it follows from part (ii) of Corollary 1 that  $(\Lambda^*, x^r, c) = (\Lambda^*, w^r, c)$ . Using again part (iii) of Theorem 1 we then get that  $(\Gamma_c^*, x^r, c) = (\Gamma_c^*, w^r, c)$ . But this is impossible because  $(\Gamma_c^*, w^r, c)$  is not an ideal in  $\Gamma_c$ . Indeed, define  $A = (a_{nk})$ ,  $B = (b_{nk})$ , and  $C = (c_{nk})$  by the set of equations:

$$\begin{aligned}
 a_{nn} &= -a_{n,n+1} = 1; \\
 b_{nk} &= (-1)^k / 2^n \text{ for } \sum_{i=1}^{n-1} 2^i < k \leq \sum_{i=1}^n 2^i; \\
 c_{21} &= -c_{22} = 2; \\
 c_{2n,k} &= -2 \text{ for } 1 + \sum_{i=1}^{k-3} 2^i < n \leq 1 + \sum_{i=1}^{k-2} 2^i, \\
 &= +2 \text{ for } 1 + \sum_{i=1}^{k-2} 2^i < n \leq 1 + \sum_{i=1}^{k-1} 2^i.
 \end{aligned}$$

Then all three matrices belong to  $\Gamma_c$ ,  $A \notin (\Gamma_c^*, w^r, c)$ ,  $B \in (\Gamma_c^*, w^r, c)$ , and  $BC = A$ . This completes the proof.

Let  $\theta$  denote the set of compact matrices in  $\Gamma_v$ .

PROPOSITION 2. *If  $v$  is  $\Lambda$ -conullable (resp.,  $\Lambda^*$ -conullable) in  $\Lambda$  under  $\{x^r\}$ , then  $(\Lambda, x^r, v) = (\Lambda, w^r, v) = \theta$  (resp.,  $(\Lambda^*, x^r, v) = (\Lambda^*, w^r, v) = \theta$ ) and  $\Lambda = \Gamma_v$ .*

PROOF.  $v$ , like  $c$ , is contractive, repeating, and averaging. Thus, as in the preceding proof, we may use Corollary 1 and part (iii) of Theorem 1 to conclude that  $(\Lambda, x^r, v) = (\Lambda, w^r, v)$  (or that  $(\Lambda^*, x^r, v) = (\Lambda^*, w^r, v)$  in case  $v$  is  $\Lambda^*$ -conullable) and that  $\Lambda = \Gamma_v$ . But Sember [2] has shown that  $(\Gamma_v, w^r, v) = \theta$ , and so the proof follows from the observation that  $(\Gamma_v, w^r, v) \supseteq (\Gamma_v^*, w^r, v) \supseteq \theta$ .

It has already been pointed out (in the remarks preceding Lemma 3) that  $l^p$  ( $p \geq 1$ ),  $c_0$ , and  $\gamma$  are never  $\Lambda$ -conullable in  $\Lambda$  under any  $\{x^r\}$ . We now show that the same is true for  $\Lambda^*$ -conullity. For the sake of completeness, however, we include the statement about  $\Lambda$ -conullity each time.

PROPOSITION 3. *Let  $\lambda$  be either  $c_0$  or  $m$ . Then  $\lambda$  is neither  $\Lambda$ -conullable nor  $\Lambda^*$ -conullable in any  $\Lambda$  under any  $\{x^r\}$ .*

PROOF. This follows immediately from Corollary 2.

Since  $l$  and  $\gamma$  are both contractive and expansive, each contractive matrix in  $\Gamma_l$  (resp.,  $\Gamma_\gamma$ ) has a right inverse in  $\Gamma_l$  (resp.,  $\Gamma_\gamma$ ) and so the next result is an immediate consequence of Corollary 3.

PROPOSITION 4. *Let  $\lambda$  be either  $l$  or  $\gamma$ . Then  $\lambda$  is neither  $\Gamma_\lambda$ -conullable nor  $\Gamma_\lambda^*$ -conullable in  $\Gamma_\lambda (=B[\lambda])$  under any  $\{x^r\}$ .*

PROPOSITION 5. *Let  $\lambda$  be any one of the  $l^p$  spaces,  $p > 1$ . Then  $\lambda$  is neither  $\Gamma_\lambda$ -conullable nor  $\Gamma_\lambda^*$ -conullable in  $\Gamma_\lambda (=B[\lambda])$  under any  $\{x^r\}$ .*

PROOF. We need only prove that  $\lambda$  is not  $\Gamma_\lambda^*$ -conullable.

Fix  $p > 1$ , let  $\lambda = l^p$ , and let  $q$  be conjugate to  $p$ , i.e.  $1/p + 1/q = 1$ . Define  $A = (a_{nk})$  and  $B = (b_{nk})$  by the set of equations:

$$a_{nk} = 1/2^n \text{ for } \sum_{i=1}^{n-1} 2^{[iq]} < k \leq \sum_{i=1}^n 2^{[iq]};$$

$$b_{nk} = 1/2^{\lfloor n(q-1) \rfloor} \text{ for } \sum_{i=1}^{k-1} 2^{[iq]} < n \leq \sum_{i=1}^k 2^{[iq]},$$

where  $[x]$  denotes the smallest integer which is greater than or equal to  $x$ . Then a straightforward computation shows that  $A$  and  $B$  belong to  $\Gamma_\lambda$  and that  $AB = I$ , the identity matrix. Moreover,  $A \in (\Gamma_\lambda^*, e^r, \lambda)$  and so  $(\Gamma_\lambda^*, e^r, \lambda)$  cannot be a proper ideal in  $\Gamma_\lambda$ . Hence,  $\lambda$  cannot be  $\Gamma_\lambda^*$ -conullable in  $\Gamma_\lambda$  under  $\{x^r\}$ . Indeed, since  $\lambda$  is contractive and expansive, each contractive matrix has a right inverse in  $\Gamma_\lambda$  and so, by Theorem 3, if  $\lambda$  were  $\Gamma_\lambda^*$ -conullable in  $\Gamma_\lambda$  under some  $\{x^r\}$  then  $(\Gamma_\lambda^*, x^r, \lambda) = (\Gamma_\lambda^*, e^r, \lambda)$ , which is not possible.

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