

ON POINTWISE PERIODIC TRANSFORMATION GROUPS

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ABSTRACT. Let X be a connected and metrizable manifold without boundary, and (X, T) a transformation group. We prove that if T is countable and pointwise periodic then T is periodic. This is a generalization of a result of Montgomery, which says that if h is a pointwise periodic homeomorphism of X onto itself then h is periodic.

We give in the following a generalization of a theorem of Montgomery (see [2]). For notation and terminology in the following see [1]. Throughout we denote by X a metric space with metric d , and by (X, T) a transformation group. We also assume that T is countable. We say that $T=EA$ is a *decomposition* of T for $x \in X$ if E, A are subsets of T , $xE=x$ and A is compact. T is *periodic at $x \in X$* if there is a decomposition of T for x ; T is *pointwise periodic* if it is periodic at each point of X ; $T=EA$ is a decomposition of T for $Y \subseteq X$ if it is a decomposition of T for each y in Y ; T is *periodic* if there is a decomposition of T for X . The main result we wish to prove is the following.

THEOREM A. *Suppose X is a connected manifold without boundary. If T is pointwise periodic then it is periodic.*

1. For the purposes of this article assume further that T is pointwise periodic. If $T=EA$ is a decomposition of T for some $x \in X$, then $xT=xEA=xA$ is a compact and countable subset of X . Hence some point of xA is an isolated point of xA , and since T is transitive on xT , each point of xA is an isolated point of xA . Hence xA , being compact, is finite. Let Z denote the set of all positive integers with the order topology, and define a function $\phi: X \rightarrow Z$ by setting, for any $x \in X$, $x\phi$ to be the cardinality of xT . We agree to denote a δ -neighbourhood of $x \in X$ by $U(x, \delta)$.

LEMMA 1. *Let $x \in X$ and $T=EA$ be a decomposition of T for x . Given any $\epsilon > 0$, there exists a $\delta > 0$, such that, for any $f \in A$, $U(x, \delta)f \subseteq U(xf, \epsilon)$ and for any $f, g \in A$, if $xf \neq xg$ then $U(x, \delta)f \cap U(x, \delta)g = \emptyset$.*

PROOF. Let $xT=xA = \{x_i: 1 \leq i \leq n\}$, and 3η be the least of all the

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real numbers $\{d(x_i, x_j) : 1 \leq i, j \leq n, i \neq j\}$ and ϵ . Since A is a compact subset of T , it is equicontinuous at x . Hence there exists a $\delta > 0$, such that, for any $f \in A$, $U(x, \delta)f \subseteq U(xf, \eta) \subseteq U(xf, \epsilon)$. If $x_i = xf \neq xg = x_j$, for some f, g in A , then $d(x_i, x_j) \geq 3\eta$ and therefore $U(x, \delta)f \cap U(x, \delta)g = \emptyset$. This completes the proof.

THEOREM 1. ϕ is lower semicontinuous on X .

PROOF. Let $x \in X$, $x\phi = n \in Z$, and $xT = \{x_i : 1 \leq i \leq n\}$. Let $\epsilon = \min\{d(x_i, x_j) : 1 \leq i, j \leq n, i \neq j\}$, and $\delta > 0$ be as in Lemma 1. Then, since for any $y \in U(x, \delta)$, each $U(x_i, \epsilon)$, $1 \leq i \leq n$, contains at least one element of yT , $y\phi \geq n$. This completes the proof.

THEOREM 2. Suppose X is locally connected at $x \in X$. If ϕ is continuous at x , then T is equicontinuous at x .

PROOF. Let $\epsilon > 0$ be given. Let $T = EA$ be a decomposition of T for x , $xT = \{x_i : 1 \leq i \leq n\}$, and $A_i = \{f \in A : xf = x_i\}$, $1 \leq i \leq n$. Since ϕ is continuous at x there exists a $\delta_1 > 0$, such that, $U(x, \delta_1)\phi = n$. Let $\delta_2 > 0$ be as in Lemma 1 with respect to $\epsilon/2$. Let V be a connected open set $\subseteq U(x, \delta)$, and containing x , where $\delta = \min(\delta_1, \delta_2)$, and $W_i = VA_i$, $1 \leq i \leq n$. Then $\{W_i : 1 \leq i \leq n\}$ is a disjoint family of open sets, and the diameter of each W_i is less than ϵ (see Lemma 1).

Let $y \in V$, and $T = FB$ be a decomposition of T for y . Then $yA \subseteq yT = yB$. Since $yA_i \subseteq W_i$, $1 \leq i \leq n$, the cardinality of $yA \geq n$. But since n is finite, and $y\phi = n$, for $\delta \leq \delta_1$, we must have the cardinality of $yA = n$. Hence $yA = yB$. Thus $yT = yB \subseteq \bigcup\{W_i : 1 \leq i \leq n\}$. Since $y \in V$ above was arbitrary, $\forall T \subseteq \bigcup\{W_i : 1 \leq i \leq n\}$.

Let $f \in T$ be arbitrary. Then $f = gh_i$, where $g \in E$ and $h_i \in A_i$ for some i . Since Vf is connected, and $Vf \cap W_i \neq \emptyset$ and $\{W_i : 1 \leq i \leq n\}$ is a disjoint family of open sets covering Vf , it follows that $Vf \subseteq W_i$. Since the diameter of W_i is less than ϵ , for any $y \in V$, $d(yf, xf) < \epsilon$. Since V is open, it follows that T is equicontinuous at x . This completes the proof.

LEMMA 2. Let $x \in X$ be a point of continuity of ϕ and of equicontinuity of T . Then there exists a $\delta > 0$, such that, for any two points of $U(x, \delta)$ the decomposition of T for one is a decomposition of T for the other.

PROOF. Let $T = EA$ be a decomposition of T for x , $xT = \{x_i : 1 \leq i \leq n\}$, and $A_i = \{f \in A : xf = x_i\}$, $1 \leq i \leq n$. Let 2ϵ be the smallest of all $d(x_i, x_j)$, $1 \leq i, j \leq n, i \neq j$. Then from the continuity of ϕ and the equicontinuity of T at x , there exists a $\delta > 0$, such that, $U(x, \delta)\phi = n$, and for any $f \in T$, $U(x, \delta)f \subseteq U(xf, \epsilon)$. Let $U(x, \delta) = U$.

Let $f \in E$ and $y \in U$. If $yf \neq y$, then $U(x_i, \epsilon)$, where $x_i = x$, contains two distinct elements y and yf . But each $U(x_i, \epsilon)$, $1 \leq i \leq n$, contains at least one element of yT , and any two of them are mutually disjoint, implying that $y\phi > n$. This is a contradiction since $y \in U$. Hence $yf = y$. Since $y \in U$ and $f \in E$ were arbitrary $T = EA$ is a decomposition for U .

Let $W_i = UA_i$, $1 \leq i \leq n$. From the choice of ϵ and δ above, $W_i \cap W_j = \emptyset$ if $i \neq j$, $1 \leq i, j \leq n$. Let $f \in T$. Then from the decomposition $T = EA$, $f = hk$, where $h \in E$ and $k \in A$. But from the last paragraph above $h \in E$ implies that h is the identity on U . Hence $f = k$ on U . Now if for some $y \in U$, $yf = y$, then $Uk \cap U \neq \emptyset$. Since $U \subseteq U(x, \epsilon)$ and $Uk \subseteq U(xk, \epsilon)$, it follows that $Uk \subseteq U(x, 2\epsilon)$.

Hence from the choice of ϵ , $xk = x$, and consequently $xf = x$. This implies that any decomposition of T for $y \in U$ is also a decomposition for x .

The above two results imply the statement of the lemma and complete the proof.

THEOREM 3. *Suppose R is a connected open subset of X on which ϕ is continuous and T is equicontinuous. Then any decomposition of T for any point of R is a decomposition for R .*

PROOF. For each $x \in R$ there exists an $\eta(x)$ as in Lemma 2. Consider the open covering $\{U(x, \eta(x)) : x \in R\}$ of R . Let y be any given point of R , and $T = EA$ be a decomposition of T for y . Since R is connected, given any $z \in R$, there exists a finite set, $y = x_1, x_2, \dots, x_n = z$ such that

$$U(x_i, \eta(x_i)) \cap U(x_{i+1}, \eta(x_{i+1})) \neq \emptyset, \quad 1 \leq i \leq n - 1.$$

Hence from Lemma 2 it follows, inductively, that $T = EA$ is a decomposition of T for z . Since $z \in R$ was arbitrary the decomposition of T for y is a decomposition for R . This completes the proof.

The following is a consequence of Theorems 2 and 3.

THEOREM 4. *Suppose X is locally connected, and M is the set of all points of continuity of ϕ . Then the decomposition of T for any point of a component R of M is a decomposition for R . Indeed T is periodic on each component of M .*

2. Proof of Theorem A. Let $M = \{x \in X : \phi \text{ is continuous at } x\}$. Then M is everywhere dense in X , and since Z is discrete, it is also open in X . Let R be a component of M . Then from Theorem 4 there is a decomposition $T = EA$ of T for R . Let $f \in E$. Since T is periodic at each point $x \in X$, it is easy to see that f is also periodic at each

point of X . Hence, from Montgomery's theorem [2], f is periodic on X . But then Newman's Theorem 1 [3] implies, since f is the identity on a connected open set R in X , that f is identity on X . Hence $T = EA$ is a decomposition of T for X . This completes the proof.

REMARK. Suppose T is not necessarily countable as assumed above. Define T to be *discretely periodic* at $x \in X$ if there exist subsets E, A of T , such that, $T = EA$, $xE = x$ and A is finite. We say that T is discretely periodic if there exist subsets E, A of T , such that, $T = EA$, A is finite and $xE = x$ for each $x \in X$. Then each result in §1 above can be proved for T which is discretely periodic at each $x \in X$, and consequently the following:

THEOREM B. *If X is a connected manifold without boundary, and T is discretely periodic at each point of X , then T is discretely periodic.*

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