

## ENDOMORPHISMS OF FINITELY PRESENTED MODULES

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**ABSTRACT.** It is proved that every surjective or injective endomorphism of a finitely presented left module over a right perfect ring is an isomorphism.

We will adopt the following conventions: Rings and modules are unitary. Module means left module, ideal means left ideal.

Let  $R$  be a ring and  $M$  be a *finitely generated*  $R$ -module. It is well known that if  $R$  is commutative and if  $M$  is free, then any two bases of  $M$  have the same number of elements. More generally one has ([9], [10]):

(1) If  $R$  is commutative, then every surjective endomorphism of  $M$  is an isomorphism.

On the other hand, the following has recently been proved [11]:

(2) If  $R$  is commutative and of Krull dimension zero, then every injective endomorphism of  $M$  is an isomorphism.

In [11] it is suggested that (2) should be valid for rings which are close to being Artinian, as perfect rings. Our main result is:

**THEOREM.** *If  $R$  is right perfect and if  $M$  is finitely presented, then every injective or surjective endomorphism of  $M$  is an isomorphism.*

We first need some facts on perfect modules. We recall that a module is said to be *perfect* if it satisfies the descending chain condition on cyclic submodules. The ring  $R$  is said to be *right perfect* if the (left)  $R$ -module  $R$  is perfect ([2], [3]).

The first of the following statements is Theorem 2 of [3]. The others may easily be derived from it or are immediate.

(3) A perfect module satisfies the descending chain condition on finitely generated submodules.

(4) The quotient of a perfect module by a finitely generated submodule is a perfect module.

(5) Every submodule of a perfect module is a perfect module.

(6) The direct sum of an arbitrary family of perfect modules is a perfect module.

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We state as a lemma the following simple consequence of (4) and (6):

LEMMA 1. *If  $R$  is right perfect, then every finitely presented  $R$ -module is perfect.*

PROPOSITION. *Every injective endomorphism of a finitely generated perfect module is an isomorphism.*

PROOF. Let  $X$  be a finitely generated perfect module and let  $h$  be an injective endomorphism of  $X$ . The sequence  $\langle h^n(X), n \geq 0 \rangle$  constitutes a descending chain of finitely generated submodules of  $X$ . By (3) there exists an integer  $n$  such that  $h^n(X) = h^{n+1}(X)$ . Since  $h$  is injective, one obtains  $X = h(X)$ .

The next lemma, the first part of which generalizes results of [6] and [7], is anticipated in an interesting although erroneous footnote of [5] and is essentially proved, in rather a different way, in an unpublished dissertation of G. B. Klatt, is of some independent interest.

LEMMA 2. *If  $R$  is semiperfect, then:*

(7) *Every projective  $R$ -module may be written in a unique way as a direct sum of indecomposable cyclic (projective) modules.*

(8) *Every surjective endomorphism of a finitely generated projective  $R$ -module is an isomorphism.*

PROOF. (7): It is well known (and proved in [8]) that if  $R$  is semiperfect then the endomorphism ring of every projective indecomposable  $R$ -module is local. This fact yields the uniqueness part of (7), thanks to Azumaya's version of the Krull-Schmidt theorem [1], and allows us by [12] to reduce the remaining part of (7) to the following: If  $R$  is semiperfect, then every free  $R$ -module  $F$  is the direct sum of projective indecomposable cyclic modules. It is clearly enough to prove this in the case where  $F$  is the  $R$ -module  $R$  itself. This last step is performed in [8].

(8): It is easy to see that the following assertion, which is an immediate consequence of (7), is equivalent to (8): For every finitely generated projective  $R$ -module  $P$  and every  $R$ -module  $Q$  the  $R$ -modules  $P$  and  $P \oplus Q$  are isomorphic only if  $Q$  is the 0 module.<sup>1</sup>

We now proceed to the *proof* of the theorem. We assume that  $R$  is a right perfect ring and that  $M$  is a finitely presented  $R$ -module. Let  $h$  be an endomorphism of  $M$ . It follows from Lemma 1 and from the

<sup>1</sup> The referee observed that if that assertion holds for the quotient of an arbitrary ring  $S$  by its Jacobson radical then it also holds for  $S$ , which gives another proof of (8).

proposition that if  $h$  is injective then  $h$  is an isomorphism. What remains to be shown is that, assuming that  $h$  is surjective,  $h$  is an isomorphism.

Let  $p: P \rightarrow M$  be a projective cover of  $M$  [2]. By definition  $p$  is surjective and, since  $M$  is the quotient of a finitely generated projective module, it is easy to see that  $P$  is finitely generated. Since  $P$  is projective, there exists an endomorphism  $g$  of  $P$  such that  $p \circ g = h \circ p$ . Since  $(P, p)$  is a projective cover of  $M$  and since  $p \circ g$  is surjective, it follows that  $g$  is surjective. By applying the second part of Lemma 2 we deduce that  $g$  is an isomorphism. Let  $K$  now be the kernel of  $p$ . It follows from [4, Lemme 9, p. 37] that  $K$  is finitely generated. It is clear that  $g$  maps  $K$  into  $K$ . The restriction  $g'$  of  $g$  to  $K$  is then an injective endomorphism of  $K$ . By (5) and Lemma 1 (applied to  $P$  which is finitely presented)  $K$  is a perfect module. The proposition then implies that  $g'$  is an isomorphism of  $K$ . It follows that  $h$  is injective. The proof is complete.

We conclude the paper with a proposition inspired by (2).

**PROPOSITION.** *If  $R$  is commutative, then every injective pure endomorphism of  $M$  is an isomorphism.*

**PROOF.** Let  $h$  be an injective pure endomorphism of  $M$ . Our notion of purity is the one introduced by Cohn (see e.g. [4, Ex. 24, p. 66]) and allows us to say that the exact sequence  $0 \rightarrow M \xrightarrow{h} M$  remains exact upon tensoring by any cyclic  $R$ -module. For showing that  $h$  is surjective, it suffices by [4, Proposition 11, p. 113] to show that for every maximal ideal  $\mathfrak{M}$  of  $R$  the homomorphism  $h_{\mathfrak{M}}: M/\mathfrak{M}M \rightarrow M/\mathfrak{M}M$  induced by  $h$  is surjective. The modules  $R/\mathfrak{M} \otimes M$  and  $M/\mathfrak{M}M$  are canonically isomorphic and we may identify  $h_{\mathfrak{M}}$  with  $1 \otimes h: R/\mathfrak{M} \otimes M \rightarrow R/\mathfrak{M} \otimes M$ . Hence  $h_{\mathfrak{M}}$  is injective. Since  $M$  is finitely generated, the  $R/\mathfrak{M}$ -vector space  $M/\mathfrak{M}M$  is of finite dimension. It follows that  $h_{\mathfrak{M}}$  is surjective and the proof is complete.

Two remarks are in order:

(a) Let  $N$  denote the two-sided ideal generated by the nilpotent elements of  $R$ . If  $R$  is commutative,  $R$  is of Krull dimension zero if and only if the ring  $R/N$  is a von Neumann regular ring [4, Ex. 16, p. 173]. On the other hand, if  $S$  is a von Neumann regular ring, every  $S$ -module is a pure submodule of every  $S$ -module containing it. The connection between (2) and the previous proposition is now clear.

(b) The assumption of the commutativity of  $R$  cannot be dispensed with in the previous proposition. Indeed, let  $V$  denote a vector space of infinite dimension over an arbitrary field  $K$ . Let us take for  $R$  the ring of all  $K$ -endomorphisms of  $V$ . It is easy to see (and well known)

that there exists an isomorphism  $f$  of the  $R$ -module  $R \oplus R$  onto the  $R$ -module  $R$ . Let  $j$  denote the embedding  $x \rightarrow (x, 0)$  of  $R$  into  $R \oplus R$ . It is clear that the injective endomorphism  $f \circ j$  of  $R$  is pure and is not an isomorphism. Note that in that example  $R$  is a von Neumann regular ring.

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