

AN INVARIANT SUBSPACE THEOREM

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ABSTRACT. A continuous linear operator on a complex Banach space of dimension greater than 1 which is strictly cyclic with respect to its commutant is shown to have a nontrivial closed invariant subspace.

Consider a complex Banach space X of dimension greater than 1. A continuous linear operator A on X is *strictly cyclic* with respect to its commutant $(A)'$ if there exists an element x of X such that $\{Sx: S \in (A)'\} = X$. An *invariant subspace* of A is a closed linear subspace M of X such that $M \neq \{0\}$, $M \neq X$ and $A(M) \subset M$.

THEOREM. *If A is an operator on X which is strictly cyclic with respect to its commutant, then either*

- (i) *the null space of some nonzero element of $(A)'$ is nonzero, or*
- (ii) *the range of each noninvertible element of $(A)'$ is nondense.*

Thus A has an invariant subspace.

PROOF. The final assertion follows immediately from (i) and (ii). Assume that $\{Sx: S \in (A)'\} = X$ and that (i) does not occur. Then each nonzero element of $(A)'$ is one-to-one and hence the mapping $S \rightarrow Sx$ of $(A)'$ into X is a continuous one-to-one linear mapping of $(A)'$ onto X . The Open Mapping Theorem implies the existence of a positive number K such that

$$(1) \quad K \|S\| \leq \|Sx\| \quad \text{for all } S \text{ in } (A)'.$$

Now let T be a noninvertible element of $(A)'$ and let N be the closure of the range, $T(X)$, of T . Since $\{Sx: S \in (A)'\} = X$, $\{TSx: S \in (A)'\} = T(X)$. Thus if $N = X$, there exists a sequence $\{S_n\}$ of elements of $(A)'$ such that $\lim_{n \rightarrow \infty} TS_n x = x$. Inequality (1) now implies that $\lim_{n \rightarrow \infty} \|TS_n - I\| = 0$, where I is the identity operator on X . Therefore, if n is sufficiently large, TS_n is invertible and hence T is onto. We now have T one-to-one and onto. A second application of the Open Mapping Theorem tells us that T is invertible, contradicting our choice of T . Thus $N \neq X$ and the proof is complete.

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The existence of an invariant subspace of A under the hypotheses of the theorem for the case in which X is a Hilbert space is shown in [1]. However, the theorem appears to be a new result in the general Banach space setting.

REFERENCES

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