

THE SUBCONTINUA OF A DENDRON FORM A HILBERT CUBE FACTOR¹

JAMES E. WEST²

ABSTRACT. The title statement is proved, and it is shown further that the subcontinua of a dendron actually form a Hilbert cube when (and only when) the branch points of the dendron are dense. Along the way, it is established that whenever a Hilbert cube manifold is compactified into a Hilbert cube factor by the addition of another Hilbert cube factor having Property Z in the compactification, then the resulting space is actually a Hilbert cube.

It is known ([1], [14]) that the Cartesian product of any dendron with the Hilbert cube is homeomorphic to the Hilbert cube. In this paper, the hyperspace $C(D)$ of all nonvoid subcontinua of a dendron D is investigated and found to have the same property. In fact, it is shown that if the branch points of D are dense, then $C(D)$ is a Hilbert cube.

The method used presents in simple form the techniques employed elsewhere by the author and R. M. Schori ([11], [12]) to show that the hyperspace of all nonvoid, closed subsets of the unit interval is a Hilbert cube, and Theorem 1 is applied crucially in the extension of that result to finite, connected graphs in [13].

The space X will be termed a *Hilbert cube factor* if for some space Y , $X \times Y$ is homeomorphic to the Hilbert cube, Q . It is easy to see that this condition holds if and only if $X \times Q$ is a Hilbert cube. (See [15, Lemma 2].)

A *dendron* D is a *uniquely arcwise connected Peano continuum*. The *branch points* of D are those points which separate it into more than two

Received by the editors August 2, 1971.

AMS 1970 subject classifications. Primary 54B20, 54D35, 54F50, 57A20; Secondary 54B10, 54B25.

Key words and phrases. Hyperspace of subcontinua, dendron, Hilbert cube, Hilbert cube factor, Hilbert cube manifold, compactification.

¹ This material was presented by the author to the Conference on Infinite-Dimensional Topology at the Mathematisches Forschungsinstitut in Oberwolfach, West Germany, in September, 1970.

² The research was partially done while the author was supported by NSF grant GP-16862 and completed while the author was supported by a travel grant from the Mathematisches Forschungsinstitut. Theorem 1 was born in a conversation with T. A. Chapman at the International Congress of Mathematicians in Nice, France, during September, 1970.

components. Furthermore, each dendron D may be written as the closure in the plane of a countable union of arcs α_i , of lengths converging to zero, such that

$$\alpha_i \cap \left(T_{i-1} = \bigcup_{j=1}^{i-1} \alpha_j \right) = a_i,$$

is an endpoint of α_i [16]. This, together with the unique arcwise connectivity, allows the dendron to be written as the $\text{inv lim}\{T_i, r_i\}$, where $r_i: T_i \rightarrow T_{i-1}$ is defined by retracting α_i to a_i . (The r_i 's extend to retractions of D .) Finally, a dendron always admits a *convex* metric ρ , i.e., one for which there always exists a point halfway between any two given points. The metric ρ may always be chosen so that b separates a from c in D if and only if the points a , b , and c are distinct and $\rho(a, c) = \rho(a, b) + \rho(b, c)$. (See [14] for a simple construction of such a metric by embedding in the Hilbert cube.)

We are concerned with the hyperspace $C(D)$ of all (nonvoid) subcontinua of D under the topology generated by the Hausdorff metric d_H , which is defined by the condition that $d_H(K_1, K_2) < \varepsilon$ if and only if each point of K_1 is within ε of some point of K_2 and *vice versa*. The inverse limit expression $\text{inv lim}\{T_i, r_i\}$ of D given above induces one $\text{inv lim}\{C(T_i), \bar{r}_i\}$ of $C(D)$ when \bar{r}_i is the map $C(T_i) \rightarrow C(T_{i-1})$ induced by r_i . We shall show that this expression guarantees that $C(D)$ is a Hilbert cube factor, but first it is necessary to introduce some notation.

A closed subset A of a separable, metric ANR X has *Property Z* in X if and only if for every open set U of X the inclusion map $U \setminus A \rightarrow U$ is a homotopy equivalence. (This is the appropriate generalization to ANR's of R. D. Anderson's definition [2] of Property Z in infinite-dimensional manifolds. See, for example, the article by Eells and Kuiper [9], in which it is shown that A has Property Z in X if for every $a \in A$ there are arbitrarily small open neighborhoods U of a in X with the property that each map of a sphere into U may be deformed in U to a map into $U \setminus A$, and each map $f: (B^n, S^{n-1}) \rightarrow (U, U \setminus A)$ is homotopic in U to a map $g: B^n \rightarrow U \setminus A$ by a homotopy which is constant on $S^{n-1} \times I$. See also [4]. This condition is guaranteed whenever there is a homotopy $H: X \times I \rightarrow X$ with $H(x, 0) = x$ for each $x \in X$ which has the property that $H(X \times (0, 1)) \cap A = \emptyset$.) The importance of Property Z in the Hilbert cube is expressed by Anderson's homogeneity theorem [2, Theorem 10.1]: *Each homeomorphism between two subsets of the Hilbert cube with Property Z extends to a homeomorphism of the Hilbert cube.* This theorem will be used immediately to establish Theorem 1 below, which gives a sufficient condition for the compactification of a Hilbert cube manifold to be a Hilbert cube.

THEOREM 1. *Let X be a compactification of a Hilbert cube manifold M such that both X and the remainder, $A=X\setminus M$, are Hilbert cube factors. If A has Property Z in X , then X is a Hilbert cube.*

PROOF. The pair (X, A) is a pair of Hilbert cube factors, so $(X \times Q, A \times Q)$ is a pair of Hilbert cubes. Moreover, $A \times Q$ has Property Z in $X \times Q$, as is easily seen. The homogeneity theorem then allows a homeomorphism of pairs $(X \times Q, A \times Q) \rightarrow (A \times Q \times I, A \times Q \times \{1\})$ extending the natural homeomorphism on the second entries. Thus, the space Y obtained from $X \times Q$ by simultaneously identifying $\{a\} \times Q$ to a point a for each a in A is homeomorphic to the result, Z , of identifying each $\{a\} \times Q \times \{1\}$ to a point in $A \times Q \times I$. However, Z is just the product of A with the cone $C(Q)$ of Q . Note that $C(Q)$ is a Hilbert cube: One way of seeing this is to observe that Q , hence $C(Q)$, may be embedded in Hilbert space as a compact, convex, infinite-dimensional set, so the theorem of O. H. Keller, [10] that all such are Hilbert cubes applies. Since $C(Q)$ is a Hilbert cube and A is a Hilbert cube factor, Z is also a Hilbert cube, and so is Y . There remains only to show that Y is homeomorphic to X , which is done as follows: $Y \setminus A$ is homeomorphic to $X \setminus A$ by [3], because it is homeomorphic to $X \times Q \setminus A \times Q = M \times Q$ and each Hilbert cube manifold is homeomorphic to its product with a Hilbert cube. Also, $Y \setminus A$, the result of identifying A to a point, is a Hilbert cube because $Y \setminus A$ is homeomorphic to $Z \setminus A = C(A \times Q)$ which is homeomorphic to a Hilbert cube. Hence, $Y \setminus A$, and therefore M , embeds in Q as an open subset. Now, the proof of the theorem in the addendum of [3] shows that for any open cover \mathcal{U} of M there is a homeomorphism $h: M \times Q \rightarrow M$ which is a \mathcal{U} -close to the projection $p: M \times Q \rightarrow M$, i.e., the cover $\{\{p(x), h(x)\} \mid x \in M \times Q\}$ refines \mathcal{U} . The proper choice of \mathcal{U} yields a homeomorphism of $Y \setminus A$ onto M extending to a homeomorphism of Y onto X which is the identity on A . Since Y is a Hilbert cube, so is X .

The following is the main theorem of this note.

THEOREM 2. *The subcontinua $C(D)$ of a dendron D form a Hilbert cube factor which is a Hilbert cube if (and only if) the branch points of D are dense.*

PROOF. Write $D = \text{inv lim}\{T_i, r_i\}$ and for each $i > 1$ let b_i be the end-point of the arc $\alpha_i = \text{cl}(T_i \setminus T_{i-1})$ which does not lie in T_{i-1} . Now consider

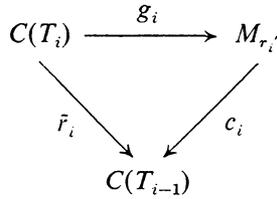
$$r'_i = \bar{r}_i \mid C_{b_i}(T_i): C_{b_i}(T_i) \rightarrow C(T_{i-1}),$$

where $C_{b_i}(T_i)$ denotes those members of $C(T_i)$ containing b_i . Now let $M_{r'_i}$ be the mapping cylinder of r'_i , that is,

$$M_{r'_i} = [C_{b_i}(T_i) \times I \cup C(T_{i-1})] / \Xi,$$

where Ξ is the upper-semicontinuous decomposition with nondegenerate elements all sets of the form $\{K\} \cup (r_i'^{-1}(K) \times \{0\})$ where K is in $C_{\alpha_i}(T_{i-1})$.

There is a homeomorphism g_i of $C(T_i)$ onto $M_{r_i'}$ for which the following diagram commutes, c_i being the collapse of $M_{r_i'}$ to $C(T_{i-1})$ given by $[K, t] \rightarrow r_i'(K)$ if K is in $C_{b_i}(T_i)$ and $[K] \rightarrow K$ if K is in $C(T_{i-1})$.



This g_i may be defined by parameterizing α_i with $[0, 1]$ so that $a_i \leftrightarrow 0$ and $b_i \leftrightarrow 1$ and then letting

$$\begin{aligned}
 g_i(K) &= [K], & \text{if } K \cap \alpha_i &= \emptyset, \\
 &= [K \cup \alpha_i, d], & \text{if } a_i \in K, \\
 &= [(1/d)K, d], & \text{if } K \subset \alpha_i \setminus \{a_i\},
 \end{aligned}$$

where $d = \sup(K \cap \alpha_i)$ if $K \cap \alpha_i \neq \emptyset$.

Both $C(T_i)$ and $C_{b_i}(T_i)$ are polyhedra by [6] and [8] (see also [7] for a definitive analysis), and they are easily seen to be contractible because T_i is contractible to b_i . Therefore, by [14] they are Hilbert cube factors, so by [15],

$$c_i \times \text{id}: M_{r_i'} \times Q \rightarrow C(T_{i-1}) \times Q$$

is a uniform limit of homeomorphisms. The commutative diagram above shows that

$$\bar{r}_i \times \text{id}: C(T_i) \times Q \rightarrow C(T_{i-1}) \times Q$$

is also a uniform limit of homeomorphisms. Thus,

$$\text{inv lim}\{C(T_i) \times Q, \bar{r}_i \times \text{id}\},$$

being an inverse limit of Hilbert cubes and uniform limits of homeomorphisms, is a Hilbert cube by [5]. However, $\text{inv lim}\{C(T_i) \times Q, \bar{r}_i \times \text{id}\}$ is easily seen to be homeomorphic to $\text{inv lim}\{C(T_i), \bar{r}_i\} \times Q = C(D) \times Q$, so $C(D)$ is a Hilbert cube factor.

If now, the branch points of D are *not* dense, it is immediate that $C(D)$ contains an open 2-cell, namely, those nondegenerate members which lie entirely within some open arc which is an open subset of D . Therefore, in this case $C(D)$ is not itself a Hilbert cube.

On the other hand, if the branch points of D are dense, Theorem 1 may be employed to show $C(D)$ a Hilbert cube by identifying D with the set

of all degenerate subcontinua of itself and showing that (1) it has Property Z in $C(D)$, and (2) $C(D)\setminus D$ is a Hilbert cube manifold. (D itself is a Hilbert cube factor as may be seen by using the proof already given for $C(D)$ or by citing [14]. The fact was originally proven by R. D. Anderson [1], but his proof was never published.)

It is easy to show that D has Property Z in $C(D)$, because, using the metric ρ selected at the outset, the homotopy $H:C(D)\times I\rightarrow C(D)$ sending (K, t) to the closed t -neighborhood of K in D satisfies the homotopy condition mentioned parenthetically which guarantees Property Z. There remains, then, only to verify that $C(D)\setminus D$ is a Hilbert cube manifold. Again using the metric ρ , it is easy to see that for any nondegenerate subcontinuum K of D there are an $\varepsilon>0$ and two other nondegenerate subcontinua K_- and K_+ of D with the property that the closed ε -neighborhood N of K in $C(D)$ is the set of all members of $C(D)$ containing K_- yet lying in K_+ . Setting $H=K_+/K_-$, it is easy to see that the map $C(K_+)\rightarrow C(H)$ induced from $K_+\rightarrow K_+/K_-$ carries N homeomorphically to $C_*(H)$, where $*$ = K_-/K_- . Also, because the branch points of D are dense, the branch points of K_+ lying in K_- are dense in K_- , and thus $*$ separates H into infinitely many components with closures H_i , $i=1, 2, \dots$. Now, the intersection maps $\cap H_i:C_*(H)\rightarrow C_*(H_i)$ are continuous, and their product $\cap:C_*(H)\rightarrow \prod_{i=1}^{\infty} C_*(H_i)$ is a homeomorphism. Furthermore, the proof that $C(D)$ is a Hilbert cube factor will also apply without modification to show that each $C_*(H_i)$ is one also. Therefore, N is homeomorphic to a countably infinite product of nondegenerate Hilbert cube factors and by [14] is itself a Hilbert cube. This shows that $C(D)\setminus D$ is a Hilbert cube manifold, so Theorem 1 applies to show that $C(D)$ is a Hilbert cube.

REFERENCES

1. R. D. Anderson, *The Hilbert cube as a product of dendrons*, Notices Amer. Math. Soc. **11** (1964), 572. Abstract #614-649.
2. ———, *On topological infinite deficiency*, Michigan Math. J. **14** (1967), 365-383. MR **35** #4893.
3. R. D. Anderson and R. M. Schori, *Factors of infinite-dimensional manifolds*, Trans. Amer. Math. Soc. **142** (1969), 315-330. MR **39** #7631.
4. R. D. Anderson, D. W. Henderson and J. E. West, *Negligible subsets of infinite-dimensional manifolds*, Compositio Math. **21** (1969), 143-150. MR **39** #7630.
5. M. Brown, *Some applications of an approximation theorem for inverse limits*, Proc. Amer. Math. Soc. **11** (1960), 478-483. MR **22** #5959.
6. R. Duda, *On the hyperspace of subcontinua of a finite graph. I*, Fund. Math. **62** (1968), 265-286. MR **38** #5175a.
7. ———, *On the hyperspace of subcontinua of a finite graph. II*, Fund. Math. **63** (1968), 225-255. MR **38** #5175b.
8. ———, *Correction to the paper "On the hyperspace of subcontinua of a finite graph. I"*, Fund. Math. **69** (1970), 207-211. MR **42** #8453.

9. J. Eells, Jr. and N. H. Kuiper, *Homotopy negligible subsets*, *Composito Math.* **21** (1969), 155–161. MR **40** #6546.
10. O. H. Keller, *Die Homöomorphie der kompakten konvexen Mengen im Hilbertschen Raum*, *Math. Ann.* **105** (1931), 748–758.
11. R. M. Schori and J. E. West, *2^I is homeomorphic to the Hilbert cube*, *Bull. Amer. Math. Soc.* **78** (1972), 402–406.
12. ———, *The hyperspace of the unit interval* (to appear).
13. ———, *Hyperspaces of finite graphs* (to appear).
14. J. E. West, *Infinite products which are Hilbert cubes*, *Trans. Amer. Math. Soc.* **150** (1970), 1–25. MR **42** #1055.
15. ———, *Mapping cylinders of Hilbert cube factors*, *General Topology and Appl.* **1** (1971), 111–125.
16. G. T. Whyburn, *Analytic topology*, *Amer. Math. Soc. Colloq. Publ.*, vol. 28, Amer. Math. Soc., Providence, R.I., 1942. MR **4**, 86.

DEPARTMENT OF MATHEMATICS, CORNELL UNIVERSITY, ITHACA, NEW YORK 14850