

A CHARACTERIZATION OF 2-DIMENSIONAL SPHERICAL SPACE

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ABSTRACT. The midset of two distinct points a and b of a metric space is defined as the set of all points x in the space for which the distances ax and bx are equal. A metric space is said to have the 1-WLMP if the midset of each two distinct points is a convex 1-sphere having the property that each nonmaximal (with respect to inclusion) segment intersecting it twice lies in it. We show that a nondegenerate compact space X is isometric to a 2-dimensional spherical space $S_{2,\alpha}$ (a 2-dimensional sphere of radius α in euclidean 3-space with the "shorter arc" metric) if and only if X has a metric with the 1-WLMP.

Berard ([1], [2]) has given characterizations of both the 1-sphere and the 1-cell using conditions on the midsets of points in a metric space, and Buseman [4] characterized euclidean, hyperbolic, and spherical spaces among his G -spaces using convex midset properties. We characterize 2-dimensional spherical space among nontrivial compact metric spaces using a certain linear midset property described below.

The midset $M(a, b)$ of two distinct points a and b of a metric space X is the set of all points x in X for which the distances ax and bx are equal. A metric space X is said to have the weak linear midset property (WLMP) if, for each two distinct points a and b of X , the midset $M(a, b)$ contains every nonmaximal segment (with respect to inclusion) that intersects it twice. If in addition to having the WLMP each midset in X is a convex 1-sphere we say that X has the 1-WLMP.

We prove that a nontrivial compact metric space X with the 1-WLMP is isometric to a 2-dimensional spherical space. The proof of this result is delayed until after a sequence of lemmas has been given. In each of these lemmas it is to be understood that X is a nontrivial (nondegenerate) compact metric space with the 1-WLMP. The symbol $S(a, b)$ is used to denote a metric segment with endpoints a and b , and the fact that q is between the points p and r (that is, $p \neq q \neq r$ and $pq + qr = pr$) will be denoted by writing pqr . A segment S is said to be *maximal* if it is not a proper subset of another segment. In a compact convex metric space it is well known that each two distinct points are the endpoints of at least one

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segment [3, p. 41], and it is easy to show that each segment lies in a maximal one.

LEMMA 1. *If a and b are distinct points of X , then there exist points p and q in $M(a, b)$ and a segment $S(a, b)$ in X such that $S(a, b)$ lies in $M(p, q)$. In particular it follows that X is convex.*

PROOF. Let f denote a foot of a on $M(a, b)$. Since $M(a, b)$ is a convex simple closed curve it contains two segments $S(c, f)$ and $S(d, f)$ whose intersection is $\{f\}$. We may assume that $ac \leq ad$, and it follows that $af \leq ac \leq ad$. If equality holds in one of these inequalities, then a and b lie in a midset. This midset would contain a segment $S(a, b)$ since it is complete and convex. In the other case where $af < ac < ad$, the continuous function ax , with x in $S(f, d)$, assumes the value ac at some point q in $S(f, d)$. If we let $c = p$, we have $ap = aq = bq = bp$, and we see that a and b are in the midset of p and q . As before, $M(p, q)$ contains a segment $S(a, b)$.

LEMMA 2. *If a and b are distinct points of X and $S(a, b)$ is a nonmaximal segment, then $S(a, b)$ is the unique segment in X having endpoints a and b .*

PROOF. Let $S(a, b)$ and $S_1(a, b)$ be two distinct segments, and suppose that $S(a, b)$ is properly contained in a segment S . We choose points x and y in $S_1(a, b) \cap S(a, b)$ such that the subsegments $S'_1(x, y)$ and $S'(x, y)$ of $S_1(a, b)$ and $S(a, b)$, respectively, intersect only at their endpoints. Let m_1 and m be the midpoints of $S'_1(x, y)$ and $S'(x, y)$, respectively. Clearly the midset $M(m_1, m)$ contains both x and y . Therefore it follows from the WLMP that $M(m_1, m)$ contains $S'(x, y)$, which contradicts the fact that $m_1 m_1 \neq m_1 m$.

A point q is called a *ramification point* of a metric space X if there exist pairwise distinct points p, r , and r' of X such that q is a midpoint of p and r and q is a midpoint of p and r' . If X is compact and convex and if X has a ramification point q , it follows that there exist two segments $S(p, r)$ and $S(p, r')$ both having q as a midpoint [3, p. 44].

LEMMA 3. *The space X has no ramification points.*

PROOF. Suppose that X has a ramification point q , and let $S(p, r)$ and $S(p, r')$ be two distinct segments with q as their midpoint. Select points x and y in the interiors of $S(p, r)$ and $S(p, r')$, respectively, such that qxr , qyr , and $qx = qy$. Now both p and q lie in $M(x, y)$; hence it follows from the WLMP that x and y belong to $M(x, y)$. This contradiction establishes the lemma.

LEMMA 4. *If a and b are distinct points of X , then $M(a, b)$ separates a from b in X . In fact, $X - M(a, b) = A \cup B$, where $A = \{y \in X \mid ay < by\}$ and $B = \{y \in X \mid xay > by\}$, is the desired separation.*

LEMMA 5. *If a and b are distinct points of X and u is a point in $M(a, b)$, then $M(a, b)$ contains a point v and two maximal segments whose union is $M(a, b)$ and whose intersection is $\{u, v\}$.*

PROOF. Since $M(a, b)$ is a simple closed curve it contains distinct points u and t . Since $M(a, b)$ is compact and convex, it contains a segment $S(u, t)$. Thus the partially ordered collection of all segments $S(u, x)$ (ordered by inclusion) with one endpoint u , containing $S(u, t)$, and lying in $M(a, b)$ has a maximal element which we call $S_1(u, v)$.

Letting $\{x_i\}$ be a sequence of points in $M(a, b) - S_1(u, v)$ converging to v , we see that $M(a, b)$ contains a segment $S(u, x_n)$ such that $S(u, x_n)$ and $S_1(u, v)$ have only the point u in common. A positive integer N exists such that ux_1x_n holds for $n > N$; thus $ux_1 + x_1x_n = ux_n$. By the continuity of the metric it follows that ux_1v holds, and since $M(a, b)$ is compact and convex, it contains two segments $S(u, x_1)$ and $S(x_1, v)$ whose union is a segment $S_2(u, v)$ [3, p. 44]. It is clear that $S_1(u, v) \cup S_2(u, v) = M(a, b)$ since $M(a, b)$ is a simple closed curve, and from the construction of $S_2(u, v)$ we see that $S_1(u, v) \cap S_2(u, v) = \{u, v\}$. If $S_2(u, v)$ were not maximal it would follow from the WLMP that $M(a, b)$ would contain a point e such that either uve or vue holds, contrary to the fact that uev holds since e would lie in $S_1(u, v)$.

DEFINITION. Let a and b be distinct points of X . The *cone on $M(a, b)$ from a* is the union of all segments $S(a, y)$ where y lies in $M(a, b)$.

LEMMA 6. *Let a and b be distinct points of X . If for each point y in $M(a, b)$ there is a unique segment with endpoints a and y , then the cone on $M(a, b)$ from a is a 2-cell.*

PROOF. We first note that if x and y are distinct points of $M(a, b)$, then $S(a, x)$ and $S(a, y)$ have only the point a in common. Otherwise X would either contain a ramification point (contrary to Lemma 3) or the WLMP would imply that a lies in $M(a, b)$ (contrary to $aa \neq ab$).

Let S denote the circle $\{(x, y, 0) \mid x^2 + y^2 = 1\}$ in E^3 , and let f be a homeomorphism from $M(a, b)$ onto S . We denote $f(y)$ by y' , and we define $a' = f(a)$ to be the point $(0, 0, 1)$ in E^3 . We extend f to a homeomorphism from the cone C on $M(a, b)$ from a onto the cone C' on S from a' as follows. For each x in $C - M(a, b) - \{a\}$ there is a unique point y such that axy holds. Let $x' = f(x)$ be that point on $S(a', y')$ such that $a'x'/a'y' = ax/ay$. From above it is clear that f is a bijection. If $\{x_n\}$ is a sequence of points of C converging to x_0 , and, for each i , y_i is the point of $M(a, b)$ such that x_i belongs to $S(a, y_i)$, then it follows that $\{y_n\}$ converges to y_0 . Thus $\{y'_n\}$ converges to y'_0 , and then $\{x'_n\}$ must converge to x'_0 . In the same

manner we see that f^{-1} is continuous; hence f is a homeomorphism. Since C' is known to be a 2-cell, the lemma follows.

THEOREM 1. *A nondegenerate compact space X is a 2-sphere if and only if there is a metric for X under which X has the WLMP.*

PROOF. If X is a 2-sphere, then it is homeomorphic to

$$\{(x, y, z) \mid x^2 + y^2 + z^2 = 1\}$$

in E^3 . The usual "shortest arc" metric on this round sphere satisfies the conditions of the theorem. For the other half of the proof we assume that X is a nondegenerate compact metric space with the 1-WLMP.

Let a' and b' be two distinct points of X , and let u be in $M(a', b')$. According to Lemma 5 there exists another point v in $M(a', b')$ and two maximal segments whose union is $M(a', b')$ and whose intersection is $\{u, v\}$. If m and m' are the midpoints of these maximal segments, then m and m' belong to the convex compact set $M(u, v)$. Then $M(u, v)$ contains a segment $S(m, m')$, and this segment is maximal for otherwise either u or v would belong to $M(u, v)$ by the WLMP. From Lemma 5 there is another maximal segment in $M(u, v)$ joining m to m' . We let a and b be the midpoints of these two maximal segments in $M(u, v)$. Note that m and m' belong to $M(a, b)$, and since a and b lie in $M(u, v)$ we know that $ua=av$ and $bu=bv$. Now a, b, u , and v all lie in $M(m, m')$, and from the WLMP we see that any segment in $M(m, m')$ joining u and v is maximal. Since two such maximal segments exist in $M(m, m')$ we know that uav and ubv hold. Thus $ua+av=uv=ub+bv$ and it follows that $2(au)=uv$ and $2(bu)=uv$. Thus $au=bu$, and similarly $av=bv$. This means that u and v belong to $M(a, b)$. Since m, m', u , and v all lie in $M(a, b)$, it follows from the WLMP that $M(a, b)=M(a', b')$. We have no more need for a' and b' .

We now show that for each y in $M(a, b)$ there is a unique segment with endpoints a and y . If y is either m or m' , then a nonmaximal segment $S(a, y)$ exists in $M(u, v)$, and therefore $S(a, y)$ is unique by Lemma 2. Since $M(m, m')$ contains a, b, u , and v it follows from the WLMP that there is a nonmaximal segment $S(a, y)$ in $M(m, m')$ if y is either u or v . Thus such a segment is also unique. We now assume that $y \notin \{m, m', u, v\}$. By relabeling points if necessary it may be assumed that myu holds. From Lemma 1 we know that there exists a segment $S(a, y)$ and two distinct points p and q in $M(a, y)$ such that $M(p, q)$ contains $S(a, y)$. Since the segment $S(a, y)$ is known to be unique unless it is a maximal segment (by Lemma 2), we suppose that $S(a, y)$ is maximal in order to obtain a contradiction. It follows from Lemma 5 that $M(p, q)$ is the union of two maximal segments $S_1(a, y)$ and $S(a, y)$ whose intersection is $\{a, y\}$. Notice that $M(p, q) \cap M(a, b) = \{y\}$, for otherwise the 1-WLMP implies

the contradiction that a belongs to $M(a, b)$. Let r and n be the midpoints of $S(a, y)$ and $S_1(a, y)$, respectively, and note that $M(a, y)$ contains $\{r, n\}$. Thus, from Lemma 5, $M(a, y)$ is the union of two segments S_1 and S_2 , having endpoints r and n , such that $S_1 \cap S_2 = \{r, n\}$. Let A and B be the mutually separated sets promised by Lemma 4 whose union is $X - M(a, b)$ with $a \in A$ and $b \in B$. Notice that both r and n belong to A . Suppose that there is a point f of B in one of the two segments S_1 and S_2 . Then $M(a, b)$ would contain two interior points of S_i , $i=1$ or 2 , since the endpoints of S_i both lie in A . From WLMP it would follow that f lies in $M(a, b)$, a contradiction. Thus $M(a, y) \cap B = \emptyset$. Since $M(a, y)$ separates a from y it must follow that $M(a, y)$ intersects each of the unique segments $S(a, u)$, $S(a, m)$, $S(a, m')$, and $S(a, v)$. Since $S(a, u) \cup S(a, v)$ is a maximal segment in $M(m, m')$ (see Lemma 5 and the WLMP), $M(a, y)$ cannot intersect its interior twice. Then u and v must lie in $M(a, y)$. Similar reasoning shows that both m and m' lie in $M(a, y)$. From the 1-WLMP it follows that $M(a, b)$ lies in $M(a, y)$, contrary to the fact that y does not belong to $M(a, y)$. Therefore the segment $S(a, y)$ is unique. Similarly segments $S(b, y)$, with y in $M(a, b)$, are unique.

Now we may apply Lemma 6 to obtain two 2-cells D_1 and D_2 by coning $M(a, b)$ from a and b , respectively. We shall prove that the 2-sphere $X' = D_1 \cup D_2$ is X . Suppose to the contrary that there is a point y in $X - X'$. We may suppose that $ay < by$ since $y \notin M(a, b)$. Let $S(a, y)$ be a segment and notice that it does not intersect $M(a, b)$. Since X has no ramification points (Lemma 3) it follows that $S(a, y) \cap X' = \{a\}$. Choose a point z in $M(a, b)$, and a segment $S(y, z)$. Order $S(y, z)$ from y to z and pick the first point y' of $X' \cap S(y, z)$. Since $ay < by$ and $S(y, y') \cap M(a, b)$ contains at most the point y' , it follows that y' lies in D_1 . Let p be the point of $M(a, b)$ such that $y' \in S(a, p)$. Now $M(a, y')$ cannot intersect the segment $S(a, p)$ at the point other than the midpoint t of $S(a, y')$ by the WLMP. Thus there is a segment $S(h, k)$ in $M(a, b)$, with p in its interior, such that $S(h, k) \cap M(a, y') = \emptyset$. The cone D on $S(h, k)$ from a is a 2-cell (see the proof of Lemma 6) in D_1 . Each segment $S(a, x)$, with x in $S(h, k)$, must intersect $M(a, y')$, for otherwise $M(a, y')$ would not separate a from y' in D . From WLMP the intersection of $S(a, x)$ with $M(a, y')$ consists of a single point w_x . Let R be the union of all segments $S(a, w_x)$, x in $S(h, k)$, and let T be the union of all segments $S(w_x, x)$. Then $R \cup T = D$ and R and T are each closed and connected. From the unicoherence of D [5, p. 374], $R \cap T$ is connected. Since $R \cap T \subset M(a, y')$, there must be an arc G in $R \cap T$ with t in its interior.

Let $\{x_i\}$ be a sequence of points in $S(y, y')$ converging to y' , and notice that there is an integer K such that, for $i > K$, each segment $S(a, x_i)$ intersects $M(a, y')$ at a unique point t_i . This is because $M(a, y')$ separates

a from y' in the simple closed curve in $S(a, x_i) \cup S(x_i, y') \cup S(a, y')$ and, for large i , $S(x_i, y') \cap M(a, y') = \emptyset$; the uniqueness of t_i comes from the WLMP. The continuity of the metric insures that $\{t_i\}$ converges to t . This is a contradiction since it is impossible for the simple closed curve $M(a, y')$ to contain an arc G and to contain a sequence $\{t_i\}$ of points not in G but converging to the interior point t of G .

This establishes the fact that X is the 2-sphere X' , and completes the proof of Theorem 1.

THEOREM 2. *A nontrivial compact space X is isometric to a 2-dimensional spherical space if and only if X has a metric with the 1-WLMP.*

PROOF. Since 2-dimensional spherical space has a metric with the 1-WLMP we need only show the proof in the other direction. Thus we now assume that X is a nontrivial compact metric space with the 1-WLMP. From Theorem 1, X is homeomorphic to a 2-sphere.

Busemann [4] has shown that a 2-dimensional compact G -space with convex midsets is isometric with 2-dimensional spherical space. Since X is 2-dimensional, compact, and has convex midsets, Theorem 2 will follow from [4] once we show that X is a G -space. The only condition on a G -space that is not either obvious or given by previous lemmas is the locally externally convex property. We shall now show that X has this property.

We assume all of the proof and notation from Theorem 1 up to the last two paragraphs, so that we know the 2-sphere X is the union of the two 2-cells D_1 and D_2 . These cells are the cones from a and b , respectively, on $M(a, b)$. A point p of X cannot lie in all three midsets $M(a, b)$, $M(u, v)$, and $M(m, m)$, so we assume for convenience that p is not in $M(a, b)$. A connected neighborhood N of p is chosen so that $N \cap M(a, b) = \emptyset$. Let x and y be two points of N . To show that X is locally externally convex at p it suffices to exhibit a point z in N such that xyz . From Lemma 1 we see that there exist two points q and r and a segment S joining x and y such that $S \subset M(q, r)$. The selection of z can be made in $M(q, r)$ if S is not a maximal segment. Suppose that S is a maximal segment in the simple closed curve $M(q, r)$. Since N does not intersect $M(a, b)$, we may assume that x and y are both closer to a than to b . From the WLMP it follows that S lies in D_1 . (Notice that if we had assumed that p was not in some midset other than $M(a, b)$ at the outset, then we could still go through the proof of Theorem 1 to write X as the union of two 2-cells D'_1 and D'_2 , each a cone on a midset. Thus the proof would go through just the same.) Since $M(q, r)$ is the union of two maximal segments S and S' with endpoints x and y and since S lies in D_1 , it follows from the WLMP that $M(q, r)$ lies in D_1 . Unless $M(q, r)$ separates a from $M(a, b)$, some segment from a to $M(a, b)$ would intersect $M(q, r)$ twice and would consequently

lie in $M(q, r)$. This would force N to contain a point of $M(a, b)$. Thus $M(q, r)$ separates a from $M(a, b)$. But then a segment $S(m, m')$ in $M(u, v)$ would intersect $M(q, r)$ twice (at least once in its interior) and would lie in $M(q, r)$ by the WLMP. Again this would contradict the fact that N does not intersect $M(a, b)$.

Thus X is locally externally convex and the proof is complete.

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