TRIPLES ON REFLECTIVE SUBCATEGORIES OF FUNCTOR CATEGORIES

DAVID C. NEWELL

ABSTRACT. We show that if S is a cocontinuous triple on a full reflective subcategory of a functor category then the category of S-algebras is again a full reflective subcategory of a functor category.

This note should be considered an addendum to [4], and definitions for all of the terminology and concepts we use can be found there.

We shall also fix V to be a closed bicomplete category and, in this note, all of the category theory is done relative to V.

In [4], we have shown the following:

(I) If C is a small category and T is a cocontinuous triple on the functor category V^{C} , then there is a small category C' and a functor $f: C \rightarrow C'$ so that

(a) **T** is the triple induced by the adjoint pair $(f^*, f^l): V^{C'} \to V^{C}$, where $f^*: V^{C'} \to V^{C'}$ is the functor induced by f and f^l is the left adjoint of f^* ;

(b) the adjoint pair (f^*, f^l) is tripleable, so that there is an equivalence of categories $V^{C'} \cong (V^C)^T$, where $(V^C)^T$ is the category of T-algebras.

(II) If C is a small category and **T** is any triple on V^{C} , there is a unique cocontinuous triple \hat{T} on V^{C} and a map of triples $r: \hat{T} \rightarrow T$ so that, if $R: C^{\circ} \rightarrow V^{C}$ denotes the right Yoneda embedding of C° into the representable functors of V^{C} , τR is the identity (we shall refer to \hat{T} as the cocontinuous approximation to **T**).

In this paper, we shall prove the following

1. Theorem. Suppose C is a small category, A is a full reflective subcategory of V^{C} (i.e. the inclusion functor of A to V^{C} has a left adjoint) and S is a cocontinuous triple on A. Then there is a small catego-

Presented to the Society January 25, 1973; received by the editors August 16, 1972 and, in revised form, August 6, 1973.

AMS(MOS) subject classifications (1970). Primary 18C15; Secondary 18E99, 18F20.

ry C' for which the category of S-algebras A^{S} is a full reflective subcategory of V^{C'}.

The basic idea of the proof of this theorem is as follows: as we shall see, **S** induces a triple **T** on V^{C} in an obvious way; we use (II) to construct the cocontinuous approximation \hat{T} of T, and then we apply (I) to \hat{T} to obtain the desired category C'.

The rest of this paper is devoted to showing that the above outline does indeed give a proof for the theorem.

Proof of the theorem. Let A and B be categories and suppose (i, r): $A \rightarrow B$ is an adjoint pair from A to B with unit $u: 1_B \rightarrow ir$ and counit e: $ri \rightarrow 1_{A}$. We shall let $\mathbf{R} = (R, u, m)$ denote the triple on **B** induced by (i, r) (so that m = ier).

Suppose $S = (S, \eta', \mu')$ is a triple on A. Then S, together with (i, r), induces a triple $\mathbf{T} = (T, \eta, \mu)$ on **B** by letting T = i Sr, $\eta = (i\eta' r) \cdot u$ and $\mu = (i\mu'r) \cdot (iSeSr)$. Equivalently, **T** is the triple induced by the adjoint pair obtained by composing the adjoint pair (i, r): $A \rightarrow B$ with the adjoint pair $(U^{S}, F^{S}): A^{S} \rightarrow A$, where $U^{S}: A^{S} \rightarrow A$ is the usual "underlying" functor from the category of S-algebras to A and F^{S} is the usual "free" functor.

One obtains easily the following facts:

(1) there is a comparison functor $\hat{i}: A^{S} \rightarrow B^{T}$;

(2) there is a map of triples θ : $\mathbf{R} \rightarrow \mathbf{T}$ given by $\theta = i\eta' r$.

2. Proposition. Suppose A is a full reflective subcategory of B, i.e., the inclusion functor i: $A \rightarrow B$ has a left adjoint r, $S = (S, \eta', \mu')$ is a triple on A, and $\mathbf{T} = (T, \eta, \mu)$ is the triple on B induced by S and (i, r). Then

(a) the comparison functor $i: A^{S} \rightarrow B^{T}$ of (1) is an equivalence of categories, and

(b) if $\mathbf{R} = (R, u, m)$ is the idempotent triple on **B** induced by the adjoint pair (i, r), then T = TR = RT and the map of triples $\theta: R \rightarrow T = RT$ of (2) is given by $\theta = R\eta$. Furthermore, $\mu \cdot \theta T = \mu \cdot T\theta = 1_T$.

Proof. (a) Follows from Beck's tripleability theorem (see [7]). For (b), we have RT = iriSr = iSr = T, as the counit $e: ri \rightarrow 1_A$ is the identity. Similarly TR = T. $R\eta = ir(i\eta' r \cdot u) = iri\eta' r \cdot iru = i\eta' r$ (as ri = 1, and ru = $1_{i}) = \theta, \quad \mu \cdot \theta T = i\mu' r \cdot iSeSr \cdot i\eta' riSr = i\mu' r \cdot i\eta' Sr \text{ (as } e = 1 \text{ and } ri = 1$ $1_{\mathbf{A}} = i(\mu' \cdot \eta' S)r = i 1_{S} r(as S is a triple) = 1_{T}$. Similarly $\mu \cdot T\theta = 1_{T}$.

For the rest of this paper, let us make the following hypotheses.

289

D. C. NEWELL

(i) B is cocomplete and there is a small category C and a functor $k: C \rightarrow B$ which is dense in B (see [7]);

(ii) there is an adjoint pair $(i, r): \mathbf{A} \to \mathbf{B}$ whose counit is the identity (so that A is equivalent to a full reflective subcategory of B) and $\mathbf{R} = (R, u, m)$ is the idempotent triple on B induced by (i, r); (iii) $\mathbf{S} = (S, \eta', \mu')$ is a cocontinuous triple on A and, for $\mathbf{T} = (T, \eta, \mu)$, the triple on B induced by S and (i, r), there is a cocontinuous triple $\hat{\mathbf{T}} = (\hat{T}, \hat{\eta}, \hat{\mu})$ on B and a map of triples $r: \hat{\mathbf{T}} \to \mathbf{T}$ with rk = 1.

We note that if C is a small category, A is a full reflective subcategory of V^{C} , and S is a cocontinuous triple on A (as in the hypotheses of 1), then $B = V^{C}$, k the right Yoneda embedding $R: C^{\circ} \rightarrow V^{C}$, and \hat{T} the cocontinuous approximation of T satisfy the above hypotheses (*).

Recall that for X a category and for $\mathbf{R} = (R, u, m)$ and $\mathbf{T} = (T, \eta, \mu)$ two triples on X, the composite triple of **R** and **T** is a triple $\mathbf{RT} = (RT, u\eta, v)$ for which $R\eta: R \rightarrow RT$ and $uT: T \rightarrow RT$ are maps of triples and for which $v \cdot (R\eta uT) = 1_{RT}$.

3. Proposition. Under the hypotheses (*), T is a composite triple of R and \hat{T} .

Proof. r, being an adjoint, is cocontinuous. Since rT = riSr = Sr and since $r\tau: r\hat{T} \rightarrow rT = Sr$ is a natural transformation between cocontinuous functors for which $r\tau k = 1$, and since k is assumed dense, it follows that $r\tau$ is an isomorphism of functors. Hence $R\tau: R\hat{T} \rightarrow RT = T$ is an isomorphism of functors. Let **RT** be $R\hat{T}$ with the triple structure induced by that of T via the isomorphism $R\tau$. Since $\hat{\eta} : \tau = \eta$, one has $(R\tau) \cdot (u\hat{\eta}) = u\eta = \eta$ so that $u\hat{\eta}$ is the unit of **RT**.

 $R\hat{\eta}: R \rightarrow R\hat{T}$ is a map of triples, since $R\tau \cdot R\hat{\eta} = R(\tau \cdot \hat{\eta}) = R\eta = \theta$ is a map of triples.

We now show that $u\hat{T}: \hat{T} \rightarrow R\hat{T}$ is a map of triples. Now $(R\tau \cdot \hat{T})k = R\tau k \cdot u\hat{T}k = 1 \cdot uTk = ui \, S \, rk = 1$ (as ui = 1 and rk = 1). Since \hat{T} is cocontinuous and k is dense, \hat{T} is the left Kan extension of $\hat{T}k$ along k (see [7, p. 232]). The universal property of left Kan extensions gives us that $R\tau \cdot n\hat{T} = \tau$, and since τ is a map of triples, so is $u\hat{T}$.

Finally, if ν is the multiplication of $\mathbf{R}\hat{\mathbf{T}}$ (induced by μ), we have $[\nu \cdot (R\hat{\eta}n\hat{T})] = \mathbf{1}_{R\hat{T}}$ since, by §2, $\mu \cdot \theta T = 1$ so that the diagram



commutes. 🗆

4. Corollary. Under the hypotheses (*), A^{S} is equivalent to a full reflective subcategory of $B^{\hat{T}}$.

Proof. From [2, p. 122] and §3 we have a lifting of R to a triple \tilde{R} on $B^{\hat{T}}$ and an isomorphism of categories $\Phi: (B^{\hat{T}})^{\hat{R}} \xrightarrow{\sim} B^{R\hat{T}}$. But $R\hat{T} \cong T$ by §3 so that $B^{R\hat{T}} \cong B^{T} \cong A^{S}$ by §2. Since the underlying functor from $B^{\hat{T}}$ to B is faithful and R is idempotent, the lifting \tilde{R} is idempotent. \Box

We note that Theorem 1 now follows from this corollary.

A problem arising from this theorem is the following: if C is a small category, A is a full reflective subcategory of V^{C} , and S a cocontinuous triple on A, then is A^{S} a full reflective subcategory of $V^{C'}$ "of the same type"? For example, if V = Ab (the category of abelian groups), C a small abelian category, \mathfrak{L} the category of left exact functors from C to Ab, and S a cocontinuous triple on \mathfrak{L} , then \mathfrak{L}^{S} is a full reflective subcategory of $Ab^{C'}$ for some preadditive category C' by our theorem, but is C' abelian and is \mathfrak{L}^{S} the category of left exact functors from C' to Ab?

The following is an example where the answer to this question is positive.

Let C be a small category and let J be a topology on C making (C, J) into a site (as in [5, Definition 1.2, pp. 256-303]). Let A be the category of sheaves of sets on C, so that A is a full reflective subcategory of the functor category (i, r): $A \rightarrow Sets^{C^{op}}$, where $i: A \rightarrow Sets^{C^{op}}$ is the inclusion functor, then **R** is a left exact idempotent triple (where "left exact" means that the functor of **R** preserves finite limits).

Now Sets^{C^{op}} is an example of an elementary topos (as in [6, p. 5]) and one sees that the topologies J on \mathbb{C} are in one-to-one correspondence with the topologies on the elementary topos Sets^{Cop} (as defined in [6]). One can then show (using [6, Proposition 3.22, p. 70]) that the assignment

 $J \mapsto \mathbf{R}$ as in the previous paragraph gives a one-to-one correspondence between topologies J on \mathbf{C} and left exact idempotent triples \mathbf{R} on Sets^{Cop}.

5. Theorem. Let C be a small category, J a topology on C, A the category of sheaves of sets on C with respect to J, and S a cocontinuous triple on A. Then there is a small category C' and a topology J' on C' so that A^S is a category of sheaves of sets on C' with respect to J'.

Proof. Let $B = \operatorname{Sets}^{C^{\circ p}}$, \mathbb{R} the left exact idempotent triple corresponding to J, \mathbb{T} the triple on B induced by \mathbb{S} , and $\widehat{\mathbb{T}}$ the cocontinuous approximation to \mathbb{T} . Let C' be a category for which $B^{\widehat{\mathbb{T}}} \cong \operatorname{Sets}^{C'^{\circ p}}$ (as in I). We have that $A^{\widehat{\mathbb{S}}} \cong (B^{\widehat{\mathbb{T}}})^{\widehat{\mathbb{R}}}$, where $\widetilde{\mathbb{R}}$ is a lifting of \mathbb{R} . Now the underlying functor from $B^{\widehat{\mathbb{T}}}$ to B is not only faithful but preserves and creates limits. Therefore, since \mathbb{R} is a left exact idempotent triple, $\widetilde{\mathbb{R}}$ must be also. We now let J' be the topology on C' corresponding to $\widetilde{\mathbb{R}}$, and we are done. \Box

The referees of this paper have pointed out that Theorem 5 follows from Giraud's theorem (see [3, pp. 108-109]) in the following way. Since **S** is cocontinuous, the underlying functor $U: A^{S} \rightarrow A$ creates both limits and colimits. From this one sees that A^{S} is an exact category with limits, colimits, and disjoint universal sums. The free algebras in A^{S} on the set of generators in A are easily seen to form a set of generators for A^{S} . Thus, by Giraud's theorem, A^{S} is a topos, from which our Theorem 5 follows.

REFERENCES

1. M. Artin, Grothendieck topologies, mimeographed notes, Harvard University.

2. J. Beck, Distributive laws, Sem. on Triples and Categorical Homology Theory (ETH, Zürich, 1966/67), Springer, Berlin, 1969, pp. 119-140. MR 39 #2842.

3. M. Barr, Exact categories, Lecture Notes in Math., vol. 236, Springer-Verlag, Berlin and New York, 1971, pp. 1-120.

4. J. Fisher-Palmquist and D. Newell, Triples on functor categories, J. Algebra 25 (1973), 226-258.

5. J. Giraud, Analysis situs, Séminaire Bourbaki, 1962/63, Fasc. 3, no. 256, Secrétariat mathématique, Paris, 1964, 11 pp. MR 33 #1343.

6. A. Kock and G. C. Wraith, *Elementary toposes*, Lecture Notes Series, no. 30, Aarhus University, 1971.

7. S. Mac Lane, Categories for the working mathematician, Springer-Verlag, New York, 1971.

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, IRVINE, CALIFORNIA 92664