

## STRONG UNIFORM DISTRIBUTIONS AND ERGODIC THEOREMS

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**ABSTRACT.** Let  $G$  and  $H$  be locally compact  $\sigma$ -compact abelian groups,  $\mathcal{Q}$  a mapping from  $G$  to  $H$ , and  $\{\mu_n\}_{n=1}^{\infty}$  a sequence of measures on  $G$ . We define the notions: " $\mathcal{Q}$  is a uniform distribution with respect to  $\{\mu_n\}$ " and " $\mathcal{Q}$  is a strong uniform distribution". We give a number of examples of these notions and derive some general individual ergodic theorems for measure-preserving transformations with discrete spectrum.

**1. Introduction.** Let  $G$  and  $H$  be locally compact  $\sigma$ -compact abelian groups,  $\mathcal{Q}$  a mapping from  $G$  to  $H$  and  $\{\mu_n; n = 1, 2, \dots\}$  a sequence of finite measures on the Borel sets of  $G$ . Below we define the notion " $\mathcal{Q}$  is a uniform distribution with respect to the sequence  $\{\mu_n\}$ " and " $\mathcal{Q}$  is a strong uniform distribution." We give conditions under which  $\mathcal{Q}$  is a strong uniform distribution and show how these can be applied to obtain rather general individual ergodic theorems for measure-preserving transformations with discrete spectrum.

**2. A convergence theorem.** Let  $G$  and  $H$  be as above and  $\bar{G}$  and  $\bar{H}$  their Bohr compactifications. Let  $\bar{\mu}$  and  $\bar{\nu}$  be the respective normalized Haar measures on  $\bar{G}$  and  $\bar{H}$ . If  $f$  is an almost periodic (a.p.) function on  $H$  (or  $G$ ), we shall denote by  $\bar{f}$  its continuous extension to  $\bar{H}$  (or  $\bar{G}$ ). A measure  $\mu$  on  $G$  together with a measurable mapping  $\mathcal{Q}$  of  $G$  into  $H$  induces a measure  $\nu$  on  $H$  by the formula  $\nu(E) = \mu[\mathcal{Q}^{-1}(E)]$  for all Borel sets  $E$  of  $H$ .

**Theorem 1.** Assume that  $\mathcal{Q}$  is a mapping from  $G$  into  $H$  such that the composite function  $f \circ \mathcal{Q}$  is a.p. on  $G$  whenever  $f$  is an a.p. function on  $H$ . Then the following assertions are equivalent: (a) There exists a sequence of finite measures  $\{\mu_n\}_{n=1}^{\infty}$  on  $G$  which converges weakly to  $\bar{\mu}$  such that the induced sequence  $\{\nu_n\}_{n=1}^{\infty}$  on  $H$  converges weakly to  $\bar{\nu}$ . (Note that any measure on  $G$  may be considered to be a measure on  $\bar{G}$  and similarly for  $H$ .)

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(b) Assertion (a) holds for every sequence  $\{\mu_n\}_{n=1}^\infty$  of finite measures on  $G$  converging weakly to  $\bar{\mu}$ .

(c)  $\int_{\bar{H}} \bar{f} d\bar{\nu} = \int_{\bar{G}} \bar{f} \circ \bar{Q} d\bar{\mu}$  for every a.p. function  $f$  on  $H$ .

**Proof.** First assume (a). Let  $\{\mu_n\}_{n=1}^\infty$  be any sequence of finite measures converging weakly to  $\bar{\mu}$  and let  $\{\nu_n\}_{n=1}^\infty$  be the corresponding induced measures. If  $f$  is an a.p. function of  $H$  it follows from the hypothesis that  $\int_{\bar{G}} \bar{f} \circ \bar{Q} d\mu_n \rightarrow \int_{\bar{G}} \bar{f} \circ \bar{Q} d\bar{\mu}$ . Now  $\int_{\bar{H}} \bar{f} d\nu_n = \int_{\bar{G}} \bar{f} \circ \bar{Q} d\mu_n$  by definition of  $\nu_n$ . Thus  $\int_{\bar{H}} \bar{f} d\nu_n$  converges to  $\int_{\bar{G}} \bar{f} \circ \bar{Q} d\bar{\mu}$  for every function  $\bar{f} \in C(\bar{H})$ . But it then follows from (a) that  $\int_{\bar{G}} \bar{f} \circ \bar{Q} d\bar{\mu} = \int_{\bar{H}} \bar{f} d\bar{\nu}$  and (b) holds by the definition of weak convergence. That (b) implies (c) is immediate from the above remarks, and similarly that (c) implies (a).

**3. Uniform distributions.** Assume now that  $H$  is compact and denote Haar measure on  $H$  by  $\nu$ . If  $\bar{Q}$  is a Borel mapping of  $G$  to  $H$  and  $\{\mu_n\}_{n=1}^\infty$  is a sequence of bounded measures on  $G$  converging weakly to  $\bar{\mu}$ , we shall say that  $\bar{Q}$  is a *uniform distribution* with respect to  $\{\mu_n\}$  provided the sequence  $\{\nu_n\}$  of induced measures converges weakly to  $\nu$  on  $H$ . If, moreover,  $\bar{Q}$  is a uniform distribution with respect to every sequence  $\{\mu_n\}$  of bounded measures converging weakly to  $\bar{\mu}$  on  $G$ , we shall say that  $\bar{Q}$  is a *strong uniform distribution*. Theorem 1 says that if  $f \circ \bar{Q}$  is a.p. on  $G$  for every  $f \in C(H)$ , then these two concepts coincide. Actually a little reflection shows that it is sufficient for  $\bar{Q}$  to have the property that  $f \circ \bar{Q}$  differs from an a.p. function on  $G$  in such a way that the difference converges to zero except on a set whose closure in  $\bar{G}$  has Haar measure 0. In that case there will exist an extension  $\overline{f \circ \bar{Q}}$  to  $\bar{G}$  which is continuous except on a set of Haar measure zero.

Let  $H$  be compact and  $\hat{H}$  be its discrete dual. We shall write  $\langle \gamma, \gamma \rangle$  for the character  $\gamma \in \hat{H}$ . If  $\nu$  is a measure on  $H$  we write its Fourier-Stieltjes transform as

$$\hat{\nu}(\gamma) = \int_H \langle \gamma, y \rangle d\nu(y) \quad \text{for } \gamma \in \hat{H}.$$

The following lemma is undoubtedly known and we shall not give its proof.

**Lemma 2.** A bounded sequence  $\{\nu_n\}_{n=1}^\infty$  of measures on a compact abelian group  $H$  converges weakly to a finite measure  $\nu$  if and only if  $\lim_{n \rightarrow \infty} \hat{\nu}_n(\gamma) = \hat{\nu}(\gamma)$  for all  $\gamma \in \hat{H}$ .

Combining Theorem 1 and Lemma 2 we obtain a Weyl criterion for uniform distributions.

**Theorem 3.** *A mapping  $\mathcal{Q}$  of  $G$  into  $H$  is a uniform distribution with respect to the sequence  $\{\mu_n\}$  if and only if  $\lim_{n \rightarrow \infty} \int_G (\gamma \circ \mathcal{Q}) d\mu_n = 0$  for every character  $\gamma$  on  $H$  not the identity.*

An analogous version holds for strong uniform distributions.

4. **Some examples.** In this section we give some conditions for a mapping to be a strong uniform distribution, and some examples.

**Theorem 4.** *Let  $G$  be a locally compact  $\sigma$ -compact abelian group,  $H$  a compact abelian group and  $\mathcal{Q}$  a continuous homomorphism of  $G$  onto a dense subgroup of  $H$ . Then for every  $f \in C(H)$  the composite function  $f \circ \mathcal{Q}$  is a.p. on  $G$  and  $\mathcal{Q}$  is a strong uniform distribution.*

This is essentially a rephrasing of (26.12) in Hewitt and Ross [2].

If  $q$  is a positive integer, let  $Z_q$  be the cyclic subgroup  $\{\exp(2\pi ik/q), 0 \leq k \leq q-1\}$  of the circle group  $T$ . With  $G$  as above, define for each  $\gamma \in \hat{G}$  the group  $\phi(\gamma) = T$  if  $\gamma$  has infinite order, and put  $\phi(\gamma) = Z_q$  if  $\gamma$  has order  $q$ .

Also define  $\mathcal{Q}_\gamma(x) = \langle x, \gamma \rangle \in \phi(\gamma)$ . Then clearly Theorem 4 applies and we have

**Corollary 5.** *For each  $\gamma \in \hat{G}$  the mapping  $\mathcal{Q}_\gamma$  is a strong uniform distribution of  $G$  into  $\phi(\gamma)$ .*

Next we generalize the classical Kronecker theorem. Let  $\mathcal{Q}$  be a continuous homomorphism of  $G$  into  $H$ . Then  $\mathcal{Q}$  induces a natural homomorphism  $\hat{\mathcal{Q}}$  from the dual  $\hat{H}$  of  $H$  into the dual  $\hat{G}$  of  $G$  via the relation

$$\langle \mathcal{Q}(x), \gamma \rangle = \langle x, \hat{\mathcal{Q}}(\gamma) \rangle \quad \text{for all } x \in G \text{ and } \gamma \in \hat{H}.$$

Let  $\mathcal{Q}_j: G \rightarrow H$  ( $j = 1, \dots, m$ ) be homomorphisms of  $G$  into  $H$ . The product homomorphism  $\mathcal{Q} = \mathcal{Q}_1 \times \mathcal{Q}_2 \times \dots \times \mathcal{Q}_m$  is the natural homomorphism of  $G$  into the product group  $\prod_{j=1}^m H$  given by

$$\mathcal{Q}(x) = (\mathcal{Q}_1(x), \dots, \mathcal{Q}_m(x)) \in \prod_{j=1}^m H.$$

We shall say the homomorphisms  $\mathcal{Q}_1, \dots, \mathcal{Q}_m$  of  $G$  into  $H$  are *independent* if  $\gamma_j \in H$ ,  $j = 1, \dots, m$ , and  $\sum_{j=1}^m \hat{\mathcal{Q}}_j(\gamma_j) = 0$  (the identity in  $\hat{G}$ ) implies that  $(\gamma_1, \dots, \gamma_m)$  is the identity in the dual  $\prod_{j=1}^m \hat{H}$  of  $\prod_{j=1}^m H$ .

**Theorem 6.** *Let  $\mathcal{Q}_j: G \rightarrow H$  ( $j = 1, \dots, m$ ) be independent continuous homomorphisms of a locally compact  $\sigma$ -compact abelian group  $G$  into a compact abelian group  $H$ . Then the product homomorphism  $\mathcal{Q} = \mathcal{Q}_1 \times \dots \times \mathcal{Q}_m$  is a strong uniform distribution of  $G$  into  $\prod_{j=1}^m H$ .*

The proof is a straightforward application of Theorem 3.

Let  $G = Z$  and  $H = R/Z$ . Suppose  $\lambda_1, \dots, \lambda_m$  are real numbers independent over the integers. Setting  $\mathcal{Q}_j(n) \equiv \lambda_j n \pmod{1}$ ,  $n \in Z$  ( $j = 1, \dots, m$ ) in Theorem 6, we obtain the classical Kronecker theorem.

**Corollary 7.** *Under the hypotheses of Theorem 6, each  $\mathcal{Q}_j$  is a strong uniform distribution of  $G$  into  $H$ .*

It should be remarked that it is not difficult to construct mappings which are a uniform distribution with respect to some appropriate sequence of measures, but which are not strong uniform. On the other hand we have been unable to decide whether the mapping  $n^2\alpha \pmod{1}$  with  $\alpha$  irrational is a strong uniform distribution. We hope to return to these questions subsequently.

**5. Individual ergodic theorems.** Let  $(\Omega, \mathcal{Q}, \nu)$  be a separable Lebesgue space endowed with a nonatomic probability measure, which for simplicity we take to be the unit interval with Lebesgue measure. Let  $T$  be a bimeasurable, measure-preserving transformation mapping  $\Omega$  onto  $\Omega$ . In [1] it is shown that if  $\{\mu_n\}_{n=1}^\infty$  is a sequence of probability measures on  $Z$  such that  $\{\mu_n\}_{n=1}^\infty$  converges weakly to Haar measure on  $\bar{Z}$ , then for  $f \in L_2(\Omega)$  we have  $\int_Z f(T^k x) d\mu_n(k)$  converges in  $L_2$  to  $Pf$ , the projection of  $f$  onto the subspace of  $L_2$  invariant with respect to  $T$ . In fact the condition that  $\{\mu_n\}_{n=1}^\infty$  should converge weakly to Haar measure on  $\bar{Z}$  is shown in [1] to be both necessary and sufficient for the mean ergodic theorem to hold for all  $f \in L_2$ . We have been unable to date to generalize this result to the individual ergodic theorem, but we are able to prove the following version.

**Theorem 8.** *Let  $T$  be as above and suppose  $T$  has countable discrete spectrum. Then there exists  $S \subset \Omega$  with  $m(S) = 1$  such that for each  $x \in S$ ,  $f$  a continuous function on  $\Omega$ , and  $\{\mu_n\}_{n=1}^\infty$  a sequence of probability measures on  $Z$  converging weakly to Haar measure on  $\bar{Z}$ , we have*

$$\int_Z f(T^k x) d\mu_n \xrightarrow{n} (Pf)(x),$$

where  $P$  is as above.

Theorem 8 is the individual ergodic theorem for transformations with discrete spectrum. To prove the theorem we shall show that there exists a set  $S$  with  $m(S) = 1$  such that for  $x \in S$ , if we define the mapping  $\mathcal{Q}_x$  on  $Z$  by  $\mathcal{Q}_x(k) = T^k x$ , then  $\mathcal{Q}_x$  is a strong uniform distribution. The result will then follow by noting that the classical Birkhoff individual ergodic theorem states that there exists a set  $S$  with  $m(S) = 1$ , such that for  $x \in S$  we have  $\int_Z f(T^k x) d\mu_n(k) \rightarrow (Pf)(x)$  in the case when for each  $n$  the measure  $\mu_n$  gives

mass  $1/n$  to each of the integers  $1, 2, \dots, n$ . It is easy to verify that this sequence of measures converges to Haar measure on  $\bar{Z}$  (see e.g. [1]).

Now if  $T$  has countable discrete spectrum we may assume that  $T$  is a rotation on a compact metric group, i.e., we may assume that  $Tx = x + x_0$ ,  $x \in \Omega$ ,  $x_0 \in \Omega$  and  $x_0$  fixed, with  $\Omega$  a compact metric group. Then  $T^k x = x + kx_0$ , and it is trivial to verify that for  $f \in C(\Omega)$  we have  $\{f(T^k x)\}_{k=-\infty}^{\infty}$  is an a.p. function on  $Z$ . The theorem then follows from Theorem 1.

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