

ON NEUMER'S THEOREM

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ABSTRACT. In this note we propose to show that Neumer's theorem on regressive functions is actually a topological fact, by formulating and proving an entirely topological statement of which Neumer's theorem is an immediate corollary.

Let ρ be an ordinal with $\text{cf}(\rho) > \omega$. A subset $S \subset \rho$ is called stationary in ρ if it intersects every closed cofinal subset of ρ . Neumer's theorem is the statement, very important and frequently used in set theory, saying that if f is a regressive function on a stationary subset S of ρ , i.e. $f(\alpha) < \alpha$ holds whenever $\alpha \in S$, $\alpha \neq 0$, then there is a cofinal subset $C \subset S$ and an ordinal $\sigma < \rho$ such that $f(\alpha) < \sigma$ for all $\alpha \in C$ (cf. [1]).

Now let R be an arbitrary topological space and assume that we are given a family \mathfrak{F} of infinite, closed, countably compact¹ subsets of R with the following property:

(i) For any two members $F_1, F_2 \in \mathfrak{F}$ there exists an $F \in \mathfrak{F}$ such that $F \subset F_1 \cap F_2$.

It is obvious that for a ρ as above (taken with its order topology) the final segments $\rho \setminus \alpha$ for $\alpha < \rho$ form a system with these properties. This example motivates, of course, our definitions.

Now a subset $C \subset R$ is called \mathfrak{F} -cofinal if $C \cap F \neq \emptyset$ for all $F \in \mathfrak{F}$, and $S \subset R$ is called \mathfrak{F} -stationary if $S \cap C \neq \emptyset$ for all closed \mathfrak{F} -cofinal subsets C of R .

If M is an arbitrary subset of R , an \mathfrak{F} -regressive function on M is a map U with domain M such that for each $p \in M$ the value U_p of U at p is an open neighborhood of p with the following property:

(ii) If $p \in M$, $F \in \mathfrak{F}$ and $p \notin F$ then $U_p \cap F = \emptyset$. It should be clear that for our motivating example this is really the same as ordinary regressive functions.

Now we are in a position to formulate the generalization of Neumer's theorem.

THEOREM. *Let R, \mathfrak{F} be as above, $S \subset R$ be \mathfrak{F} -stationary and U be an \mathfrak{F} -regressive function on S . Then there is an \mathfrak{F} -cofinal set $C \subset S$ such that $\bigcap \{U_p : p \in C\} \neq \emptyset$.*

PROOF. Consider the closed set $K = R \setminus \bigcup \{U_p : p \in S\}$. Then $K \cap S = \emptyset$, hence K cannot be \mathfrak{F} -cofinal as S is \mathfrak{F} -stationary. Therefore, there exists an

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¹ By countably compact we mean here the property that every infinite subset has an accumulation point.

$F \in \mathfrak{F}$ with $K \cap F = \emptyset$, i.e. $F \subset \bigcup \{U_p : p \in S\}$.

A set $M \subset F$ is said to be U -free if for all $p \in S$ we have $|M \cap U_p| \leq 1$, i.e. no two distinct members of M belong to the same U_p for $p \in S$. Now being U -free is obviously a finite property, hence, by the Teichmüller-Tukey lemma, there is an $M \subset F$ which is a maximal U -free set.

We claim that M is finite. Indeed, let M be infinite. Since F is countably compact, M has an accumulation point q in F , hence, as F is covered by $\bigcup \{U_p : p \in S\}$, there is a $p \in S$ with $q \in U_p$. But then $U_p \cap M$ is infinite, which shows that M cannot be U -free.

Thus we have M a maximal, finite U -free subset of F , hence for every $x \in F \setminus M$ there are $p_x \in S$ and $q_x \in M$ such that x and q_x both belong to U_{p_x} . It follows immediately from (i) that the union of finitely many non- \mathfrak{F} -cofinal sets is not \mathfrak{F} -cofinal, and obviously $F \setminus M$ is \mathfrak{F} -cofinal. Consequently there is an \mathfrak{F} -cofinal subset $G \subset F \setminus M$ and a point $q \in M$ such that $q_x = q$ for all $x \in G$.

We claim that $C = \{p_x : x \in G\}$ is also \mathfrak{F} -cofinal. Indeed let F_1 be an arbitrary member of \mathfrak{F} . We have $G \cap F_1 \neq \emptyset$ because G is \mathfrak{F} -cofinal; let $x \in G \cap F_1$. Then $x \in U_{p_x}$ by the choice of p_x , hence $U_{p_x} \cap F_1 \neq \emptyset$, which in turn implies $p_x \in F_1$ by the definition of regressive functions, consequently $C \cap F_1 \neq \emptyset$. Moreover we also have $q \in \bigcap \{U_{p_x} : p_x \in C\}$, which completes the proof of the Theorem.

REMARK. Fodor's theorem (cf. [2]) is an improvement upon Neumer's theorem for ordinals ρ with $\text{cf}(\rho) > \omega$ saying that if f is regressive on a stationary $S \subset \rho$, then there is actually a stationary set $S' \subset S$ on which the values of f remain bounded below ρ . It would be interesting to see whether Fodor's theorem is also valid in this topological setting, maybe under some additional assumptions.

REFERENCES

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