

A GENERALIZATION OF THE HAHN-MAZURKIEWICZ THEOREM

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ABSTRACT. It is proved that if a Hausdorff continuum X can be approximated by finite trees (see the text for definition) then there exists a (generalized) arc L and a continuous surjection $\varphi: L \rightarrow X$.

1. Introduction. The celebrated Hahn-Mazurkiewicz theorem, first proved about 1914 [4], [8], asserts that a Peano continuum is the image of $[0, 1]$ under some continuous mapping. Subsequent attempts to generalize the theorem to the nonmetric setting proved unavailing, and in 1960 Mardešić [6] described a locally connected Hausdorff continuum which is not arcwise connected (in the generalized sense) and hence is not the continuous image of any arc. Later Cornette and Lehman [3] exhibited a simpler example with the same properties. The possibility remained that an arcwise connected, locally connected continuum is the continuous image of some arc, but in [7] Mardešić and Papić showed that any product of continua which is the continuous image of an arc is necessarily metrizable. Consequently, even such a nice continuum as $L \times [0, 1]$, where L is the "long arc", is not the continuous image of an arc. Later results of Treybig [12], [13], A. J. Ward [15] and Young [19] elaborated on this theme.

Quite recently some affirmative results have appeared. Cornette [2] proved that a tree is the continuous image of some arc, and the author [17] has extended this to rim-finite continua. Different proofs of these results have been found independently by Pearson [10], [11].

In this paper we prove a generalization of the Hahn-Mazurkiewicz theorem which includes all of the aforementioned affirmative results.

We recall some terminology. A *continuum* is a compact, connected Hausdorff space. An *arc* is a continuum with exactly two noncutpoints. A *tree* is a continuum in which each pair of distinct points can be separated by some point. A *finite tree* is a tree with only finitely many endpoints.

A continuum X can be *approximated by finite trees* if there exists a family \mathcal{T} of finite trees such that

- (1) \mathcal{T} is directed by inclusion,
- (2) $\bigcup \mathcal{T}$ is dense in X ,
- (3) if \mathcal{U} is an open cover of X then there exists $T(\mathcal{U}) \in \mathcal{T}$ such that if

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$T(\mathcal{U}) \subset T \in \mathfrak{T}$, and if C is a component of $T - T(\mathcal{U})$, then there exists $U \in \mathcal{U}$ such that $C \subset U$.

Our principal result is the following.

THEOREM 1. *If X is a continuum which can be approximated by finite trees then there exists an arc L and a continuous surjection $\varphi: L \rightarrow X$.*

2. Proof of Theorem 1.

LEMMA 1. *If $\{T_\alpha, r_{\beta\alpha}\}$ is an inverse system of trees and if the bonding mappings $r_{\beta\alpha}$ are monotone, then $T_\infty = \text{inv lim}\{T_\alpha, r_{\beta\alpha}\}$ is a tree.*

PROOF. Nadler [9, Theorem 3] has shown that T_∞ is hereditarily unicoherent, and Capel [1] proved that T_∞ is locally connected. Hence [16, Theorem 9], T_∞ is a tree.

LEMMA 2. *If T_1 and T_2 are trees with $T_1 \subset T_2$, then there exists a retraction $r: T_2 \rightarrow T_1$ which is monotone. Moreover, if C is a component of $T_2 - T_1$ then C has one-point boundary $x(C)$ and $r(C) = x(C)$.*

PROOF. If C is a component of $T_2 - T_1$ then, by the hereditary unicoherence of trees, $\bar{C} \cap T_1$ is connected. Suppose $\bar{C} \cap T_1$ contains distinct elements x and y ; then there are connected neighborhoods U_x and U_y of x and y , respectively, such that \bar{U}_x and \bar{U}_y are disjoint. Since C is an open set, we can invoke a standard chaining argument to show the existence of a continuum K which is contained in C and which meets both U_x and U_y . If we define $P = \bar{U}_x \cup K \cup \bar{U}_y$ and $Q = \bar{C} \cap T_1$, then P and Q are subcontinua of T_2 , $P \cap Q \subset (\bar{U}_x \cup \bar{U}_y)$, and $P \cap Q$ meets both \bar{U}_x and \bar{U}_y . This contradicts the hereditary unicoherence of the tree T_2 , and hence $\bar{C} \cap T_1 = \bar{C} - C$ consists of a single point, $x(C)$. Define $r: T_2 \rightarrow T_1$ by $r|T_1 = 1$ and $r(C) = x(C)$ for each component C of $T_2 - T_1$. It is straightforward to verify that r is continuous. Finally, r is monotone because, for each $x \in T_2$,

$$r^{-1}(x) = \{x\} \cup \bigcup \left\{ C : C \text{ is a component of } T_2 - T_1 \text{ and } \bar{C} \cap T_1 = \{x\} \right\},$$

which is a connected set.

For the remainder of this section let X be a continuum which is approximated by the family \mathfrak{T} of finite trees. Then the system $\mathfrak{T} = \{T_\alpha, r_{\beta\alpha}\}$ is an inverse system with monotone bonding maps, and hence $T_\infty = \text{inv lim } \mathfrak{T}$ is a tree.

LEMMA 3. *If $(x_\alpha) \in T_\infty$ then (x_α) is a convergent net in X .*

PROOF. Let p be a cluster point of the net (x_α) and suppose V is an open set containing p . There exists a finite open cover β of X such that if $p \in U \in \beta$ then $\text{Star}(U, \beta) \subset V$. By hypothesis there exists $T_\beta \in \mathfrak{T}$ such that if $T_\beta \subset T_\gamma \in \mathfrak{T}$ and if C is a component of $T_\gamma - T_\beta$, then C lies in some member of β ; moreover, we may assume $x_\beta \in U$. If $x_\beta \neq x_\gamma$ then, since $r_{\gamma\beta}(x_\gamma) = x_\beta$, it follows that the component C of $T_\gamma - T_\beta$ which contains x_γ has $\{x_\beta\}$ for boundary and hence $C \subset \text{Star}(U, \beta) \subset V$. Therefore the net (x_α) converges to p .

LEMMA 4. *The function $g: T_\infty \rightarrow X$ defined by $g((x_\alpha)) = \lim(x_\alpha)$ is a continuous surjection.*

PROOF. Let $p = \lim(x_\alpha)$ and suppose V is an open set containing p . Choose a finite open cover β of X and $T_\beta \in \mathfrak{T}$ as in Lemma 3. If $p \in U \in \beta$, let $W = \pi_\beta^{-1}(U \cap T_\beta) \cap T_\infty$, a neighborhood of (x_α) in T_∞ (π_β denotes the projection function). If $(y_\alpha) \in W$ then $y_\beta \in U$ and hence, if $T_\beta \subset T_\gamma \in \mathfrak{T}$, it follows that $y_\gamma \in \text{Star}(U, \beta) \subset V$. Therefore $g((y_\alpha)) \in \bar{V}$ and so g is continuous.

To see that g is surjective let $(x_\alpha) \in T_\infty$ with (x_α) eventually constant. That is, there exists $T_\beta \in \mathfrak{T}$ such that $x_\gamma = x_\beta$ for all $T_\gamma \in \mathfrak{T}$ with $T_\beta \subset T_\gamma$. Then $g((x_\alpha)) = x_\beta$ and hence $g(T_\infty) \supset \cup \mathfrak{T}$. Since g is continuous and $\cup \mathfrak{T}$ is dense in X it follows that $g(T_\infty) = X$.

PROOF OF THEOREM 1. By [2] and Lemma 1 there is an arc L and a continuous surjection $f: L \rightarrow T_\infty$. By Lemma 4 the function $\varphi = gf: L \rightarrow X$ is the desired mapping.

Recently E. D. Tymchatyn [14] has applied Theorem 1 to prove that each finitely Suslinian Hausdorff continuum is the continuous image of an arc. This generalizes the result of Cornette, Pearson and the author [2], [10], [11], [17] for trees and rim-finite continua.

It is irresistible to inquire whether the condition of being approximated by finite trees is necessary as well as sufficient for a continuum to be the continuous image of an arc. I conjecture that the answer is affirmative.

3. **The classical Hahn-Mazurkiewicz theorem.** Recall that a *dendrite* is a metrizable tree. In attempting to deduce the classical theorem from Theorem 1, we consider a metric continuum M . We wish to show that if M can be approximated by a sequence of finite dendrites then M is the continuous image of $[0, 1]$. It follows from Theorem 1 that M is the image of some arc, but we have no assurance that the arc is separable. The proof that M is the continuous image of $[0, 1]$ is facilitated by the following two lemmas.

LEMMA 5. *If D is a finite dendrite then there exists a continuous surjection $f: [0, 1] \rightarrow D$.*

PROOF. Since D has only a finite set $\{e_1, \dots, e_n\}$ of endpoints, $n \geq 2$, we may write $D = A_2 \cup \dots \cup A_n$ where $A_2 = [e_1, e_2]$ is an arc and $A_k = [d_k, e_k]$ is an arc irreducible between $(A_1 \cup \dots \cup A_{k-1})$ and e_k where $2 < k \leq n$. There is a homeomorphism $f_2: [0, 1] \rightarrow A_2$; suppose $f_{k-1}: [0, 1] \rightarrow (A_1 \cup \dots \cup A_{k-1})$ is a continuous surjection with $f_{k-1}(t) = d_k$. Without loss of generality we may assume $0 < t < 1$. Define

$$h_1: [0, t] \rightarrow [0, \frac{1}{4}] \quad \text{by } h_1(x) = x/4t,$$

$$h_2: [t, 1] \rightarrow [\frac{3}{4}, 1] \quad \text{by } h_2(x) = (x + 3 - 4t)/4(1 - t).$$

Let

$$g_1: [\frac{1}{4}, \frac{1}{2}] \rightarrow [d_k, e_k] \quad \text{and} \quad g_2: [\frac{1}{2}, \frac{3}{4}] \rightarrow [e_k, d_k]$$

be homeomorphisms which preserve the indicated endpoints. If we define

$$f_k = \begin{cases} f_{k-1}h_1^{-1} & \text{on } [0, \frac{1}{4}], \\ g_1 & \text{on } [\frac{1}{4}, \frac{1}{2}], \\ g_2 & \text{on } [\frac{1}{2}, \frac{3}{4}], \\ f_{k-1}h_2^{-1} & \text{on } [\frac{3}{4}, 1], \end{cases}$$

then $f_k: [0, 1] \rightarrow (A_1 \cup \dots \cup A_k)$ is a continuous surjection, and the lemma follows by induction.

LEMMA 6. *If D and D' are finite dendrites with $D \subset D'$, $r: D' \rightarrow D$ is the natural monotone retraction and $f: [0, 1] \rightarrow D$ is a continuous surjection, then there exists a monotone mapping $s: [0, 1] \rightarrow [0, 1]$ and a continuous surjection $f': [0, 1] \rightarrow D'$ such that $fs = rf'$.*

PROOF. There are only finitely many elements x_1, \dots, x_n of D which are the boundaries of components of $D' - D$. For each $i = 1, \dots, n$ let

$$K_i = \{x_i\} \cup \bigcup \{C: C \text{ is a component of } D' - D \text{ and } x_i \in \bar{C}\},$$

and choose $t_i \in f^{-1}(x_i)$. Without loss of generality we assume $0 < t_1 < t_2 < \dots < t_n < 1$. Define linear homeomorphisms h_0, \dots, h_n as follows:

$$h_0: [0, t_1] \rightarrow [0, 1/(2n + 1)] \text{ by } h_0(x) = x/(2n + 1)t_1,$$

$$h_k: [t_k, t_{k+1}] \rightarrow [2k/(2n + 1), (2k + 1)/(2n + 1)]$$

$$\text{by } h_k(x) = (x + 2kt_{k+1} - (2k + 1)t_k)/(2n + 1)(t_{k+1} - t_k),$$

$$k = 1, \dots, n - 1,$$

$$h_n: [t_n, 1] \rightarrow \left[\frac{2n}{2n + 1}, 1 \right] \text{ by } h_n(x) = \frac{x + 2n - (2n + 1)t_n}{(2n + 1)(1 - t_n)}.$$

Each of the sets K_i is a finite dendrite, so by Lemma 5 there is a continuous surjection

$$g_i: [(2i - 1)/(2n + 1), 2i/(2n + 1)] \rightarrow K_i, \quad i = 1, \dots, n.$$

Define $s: [0, 1] \rightarrow [0, 1]$ by

$$s = h_{i-1}^{-1} \text{ on } [(2i - 2)/(2n + 1), (2i - 1)/(2n + 1)], \quad 1 \leq i \leq n + 1,$$

$$s(t) = t_i \text{ if } t \in [(2i - 1)/(2n + 1), 2i/(2n + 1)], \quad 1 \leq i \leq n,$$

and define $f': [0, 1] \rightarrow D'$ by

$$f' = \begin{cases} fh_{i-1}^{-1} & \text{on } [(2i - 2)/(2n + 1), (2i - 1)/(2n + 1)], \\ & 1 \leq i \leq n + 1, \\ g_i & \text{on } [(2i - 1)/(2n + 1), 2i/(2n + 1)], \quad 1 \leq i \leq n. \end{cases}$$

Then it is obvious that s is continuous and monotone, that f' is a continuous surjection and that $fs = rf'$.

We say that a metric continuum M can be approximated by a sequence of finite dendrites if there exists a sequence $D_1, D_2, \dots, D_n, \dots$ of finite dendrites such that

- (1) $D_1 \subset D_2 \subset \dots \subset D_n \subset \dots$,
- (2) $\cup \{D_n: n = 1, 2, \dots\}$ is dense in M ,
- (3) if C is a component of $D_{n+1} - D_n$ then $\text{diam}(C) < 2^{-n}$.

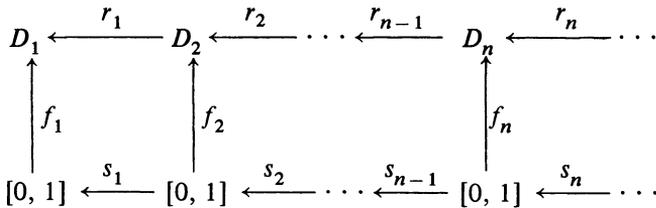
THEOREM 2. *If M is a metric continuum then the following statements are equivalent:*

- (i) *there exists a continuous surjection $\psi: [0, 1] \rightarrow M$,*
- (ii) *M is a Peano continuum,*
- (iii) *M can be approximated by a sequence of finite dendrites.*

PROOF. It is well known that (i) \Rightarrow (ii). (For example, consult [5].)

To see that (ii) \Rightarrow (iii), it is a consequence of the fact that M is compact and locally connected that M admits a sequence \mathcal{U}_n of finite connected open covers such that \mathcal{U}_{n+1} refines \mathcal{U}_n and $\text{diam}(U) < 2^{-n}$ for each $U \in \mathcal{U}_n$. Independent of the Hahn-Mazurkiewicz theorem it can be shown that each member of \mathcal{U}_n is arcwise connected. (See [18, Chapter II, §5, under the second remark on p. 39, together with 5.3].) Therefore it is possible to construct a sequence of finite dendrites D_1, D_2, \dots such that D_n meets each member of \mathcal{U}_n , $D_n \subset D_{n+1}$, and each component of $D_{n+1} - D_n$ lies in some member of \mathcal{U}_n .

To prove (iii) \Rightarrow (i), let M be approximated by the sequence $D_1 \subset D_2 \subset \dots$ of finite dendrites. By Lemmas 5 and 6 there are continuous surjections f_n and continuous monotone surjections r_n and s_n so that the ladder



is commutative. It follows that $D_\infty = \text{inv lim}\{D_n, r_n\}$ is a dendrite, the limit of the inverse sequence $\{[0, 1], s_n\}$ is $[0, 1]$, and there is induced a continuous surjection $f: [0, 1] \rightarrow D_\infty$. Lemmas 3 and 4 now apply and hence there is a continuous surjection $g: D_\infty \rightarrow M$. Let $\psi = gf: [0, 1] \rightarrow M$.

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