

## GROUP RINGS WITH SOLVABLE $n$ -ENGEL UNIT GROUPS<sup>1</sup>

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**ABSTRACT.** Let  $KG$  be the group ring of a group  $G$  over a field of characteristic  $p > 0$ ,  $p \neq 2, 3$ . Suppose  $G$  contains no element of order  $p$  (if  $p > 0$ ). Group algebras  $KG$  with unit group  $U(KG)$  solvable and  $n$ -Engel are characterized.

Let  $KG$  be the group ring of a group  $G$  over a field  $K$  of characteristic  $p \geq 0$  and let  $U(KG)$  denote its group of units. Several authors including Bateman [1], Bateman and Coleman [2], Motose and Tominaga [10] and Khripta [5] have studied the question as to when  $U(KG)$  is solvable or nilpotent. Khripta in a beautiful paper [5] has proved that if  $p > 0$  and  $G$  has a  $p$ -element then  $U(KG)$  is nilpotent if and only if  $G$  is nilpotent and the derived group  $G'$  is a finite  $p$ -group, settling the nonsemiprime case. This, incidently, is equivalent to saying that  $KG$  is Lie nilpotent (see [11] and [14]). Khripta also has some results in her thesis on the nilpotency of  $U(KG)$  in the semiprime case. We investigate when  $U(KG)$  is a solvable  $n$ -Engel group; more precisely we prove

**THEOREM.** Suppose  $KG$  is a group ring over a field  $K$  of characteristic  $p \geq 0$ ,  $p \neq 2, 3$ . Suppose  $G$  has no element of order  $p$  (if  $p > 0$ ). Then the following are equivalent.

- (i)  $U(KG)$  is solvable and  $n$ -Engel.
- (ii)  $G$  is solvable and  $m$ -Engel and one of (a), (b) holds.
  - (a)  $T(G)$ , the set of torsion elements of  $G$ , is central in  $G$ .
  - (b)  $|K| = 2^\beta - 1 = p$ , a Mersenne prime;  $T(G)$  is abelian of exponent  $(p^2 - 1)$  and for  $x \in G$ ,  $t \in T(G)$ ,  $xt \neq tx \Rightarrow x^{-1}tx = t^p$ .
- (iii)  $U(KG)$  is nilpotent.

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**1. Notations and definitions.** For group elements  $x, y$  we write the commutator  $(x, y) = xyx^{-1}y^{-1}$  and

$$\left(x, \underbrace{y, y, \dots, y}_{n+1}\right) = \left(x, \underbrace{y, \dots, y}_n\right) y \left(x, \underbrace{y, \dots, y}_n\right)^{-1} y^{-1}.$$

A group  $H$  is  $n$ -Engel if it satisfies

$$\left(x, \underbrace{y, \dots, y}_n\right) = 1 \quad \text{for all } x, y \in H$$

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and fixed  $n$ . Let  $F$  be the multiplicative group of a field  $F$ . We denote by  $\mathcal{O} = \mathcal{O}(\dot{F})$ , the ring of endomorphisms of  $\dot{F}$ . We write  $f^\alpha$  for the image of  $f$  under  $\alpha$  for  $f \in \dot{F}$ ,  $\alpha \in \mathcal{O}$ . Thus  $f^{\alpha+\beta} = f^\alpha \cdot f^\beta$  and  $f^{\alpha\beta} = (f^\alpha)^\beta$ .

By a crossed product  $K(G, \rho_{g,h}, \alpha_g)$ , we understand the set of finite sums,  $\{\sum k_i \bar{g}_i | k_i \in K, g_i \in G\}$  where  $\bar{g}_i$  is a symbol corresponding to  $g_i$  and  $\rho: G \times G \rightarrow \dot{K}$  is a factor system and  $\alpha_g$  is an automorphism of  $K$  for each  $g \in G$ . Equality and addition are defined componentwise. And, for  $g, h \in G$ ,  $k \in \dot{K}$ ,  $\bar{g} \cdot \bar{h} = \rho_{g,h} \overline{gh}$ ,  $gk = k^{\alpha_g} g$  where  $\rho$  and  $\alpha$  are required to satisfy the necessary conditions for  $K(G, \rho_{g,h}, \alpha_g)$  to be a ring. For details, we refer to [3].

As a special case, if we have  $\alpha_g = I$  for all  $g \in G$ , we call

$$K(G, \rho_{g,h}, I) = K'(G)$$

the twisted group ring (see [12]). If

$$\rho_{g,h} = 1 \quad \text{for all } g, h \in G,$$

we call  $K(G, 1, \alpha_g)$ , the skew group ring and denote it by  $K_\alpha(G)$ . And, of course, if also  $\alpha_g = I$  for all  $g \in G$ , we have the (ordinary) group ring. We shall have occasion to use both skew and twisted group rings.

**2. The skew group ring of an infinite cyclic group.** Let  $F$  be a field contained in  $KG$ . Suppose that  $x \in G$  has infinite order,  $\langle x \rangle$  is linearly independent over  $F$ , and that  $x$  induces an automorphism  $\alpha = \alpha_x$  of  $F$  by conjugation, i.e.  $\alpha: f \rightarrow xfx^{-1} = f^\alpha$ . Then we have an isomorphic copy of the skew group ring  $F_\alpha \langle x \rangle$  contained in  $KG$ . Hence  $F_\alpha \langle x \rangle = \{\sum f_i x^i | f_i \in F\}$  where addition and equality are componentwise and  $xf = f^\alpha x$ . We investigate  $F_\alpha \langle x \rangle$  in this section.

**LEMMA 2.1.** *For all  $f \in \dot{F}$ , we have*

$$(2.2) \quad (f, \underbrace{x, x, \dots, x}_m) = f^{(1-\alpha)^m}.$$

**PROOF.** We use induction on  $m$ . Notice that

$$(f, x) = xfx^{-1}x^{-1} = f \cdot (f^{-1})^\alpha = f \cdot f^{-\alpha} = f^{(1-\alpha)}.$$

Suppose we already know that (2.2) holds for  $m$ ; then

$$\begin{aligned} (f, \underbrace{x, x, \dots, x}_{m+1}) &= f^{(1-\alpha)^m} x (f^{(1-\alpha)^m})^{-1} x^{-1} \\ &= f^{(1-\alpha)^m} x f^{-(1-\alpha)^m} x^{-1} = f^{(1-\alpha)^{m+1}}. \end{aligned}$$

The lemma is proved.

**PROPOSITION 2.3.** *Let  $F$  be an infinite field of characteristic  $p \geq 0$  and  $\alpha$  be an automorphism of finite order. Suppose that in  $F_\alpha \langle x \rangle$  we have*

$$(f, \underbrace{x, x, \dots, x}_m) = 1 \quad \text{for all } f \in \dot{F}.$$

*Then  $F_\alpha \langle x \rangle = F \langle x \rangle$ , i.e.  $\alpha$  is the identity automorphism.*

**PROOF.** We have by the last lemma, for all  $f \in \dot{F}$ ,

$$1 = f^{(1-\alpha)^m} = f^{\sum_{i=0}^{m-1} (-1)^i \binom{m}{i} \alpha^i}.$$

Let  $s > 1$  be the order of  $\alpha$ . Choose a prime  $q > \max(p, m)$  of the form  $2sk + 1$ . Then

$$1 = f^{(1-\alpha)^q} = f^{\sum_{i=0}^{q-1} (-1)^i \alpha^i}.$$

The finite automorphism group  $I, \alpha, \alpha^2, \dots, \alpha^{s-1}$  satisfies

$$h(f, \alpha(f), \dots, \alpha^{s-1}(f)) = 0$$

with

$$(2.4) \quad \begin{aligned} & h(X_0, X_1, \dots, X_{s-1}) \\ &= X_0 (X_0^a \cdot X_{i_1}^{a_1} \cdot X_{i_2}^{a_2} \cdot \dots \cdot X_{i_r}^{a_r} - X_{j_1}^{b_1} \cdot X_{j_2}^{b_2} \cdot \dots \cdot X_{j_t}^{b_t}), \\ & \qquad \qquad \qquad r + t = s - 1, \end{aligned}$$

and  $a = |\sum_{s=0}^{2k} (-1)^s \binom{q}{s}|$ . Since  $a \equiv 1 \pmod{q}$ , (2.4) is a nontrivial polynomial, contradicting Artin's theorem on the algebraic independence of automorphisms of an infinite field [6, p. 228]. Hence  $s = 1$  and  $\alpha = I$ .

**PROPOSITION 2.5.** *Let  $F$  be a finite field of  $p^a$  elements. If  $F_\alpha \langle x \rangle$  satisfies*

$$(f, \underbrace{x, x, \dots, x}_m) = 1 \quad \text{for all } f \in \dot{F},$$

*and  $\alpha$  is not the identity automorphism; then,  $f^\alpha = f^p$  for all  $f \in \dot{F}$  and  $|F| = p^2$ , where  $p$  is a Mersenne prime.*

**PROOF.** Since  $f^\alpha = f^{p^j}$  for some  $j < a$ , we have that

$$(f, \underbrace{x, x, \dots, x}_m) = f^{(1-\alpha)^m} = f^{(1-p^j)^m} = 1 \quad \text{for all } f \in \dot{F}.$$

Therefore,  $(p^a - 1)$  divides  $(p^j - 1)^m$ . Hence,

$$(2.6) \quad \text{any prime divisor of } (p^a - 1) \text{ divides } (p^j - 1).$$

We claim that (2.6) implies  $a = 2$ . Let  $j$  be the smallest natural number such that (2.6) holds for a fixed  $a$ . Then writing,  $a = jq + r$ ,

$$p^a - 1 = p^{jq+r} - 1 = p^r(p^{jq} - 1) + (p^r - 1),$$

it follows that any prime divisor of  $(p^a - 1)$  is a divisor of  $(p^r - 1)$ . We may thus assume that  $a = jq$ . We have now that any prime divisor of  $(p^j)^q - 1$  is a divisor of  $(p^j - 1)$ . It is easy to see (cf. [9]) that  $q = 2$  and  $p^j = 2^r - 1$ . It follows by [15, p. 335] that  $j = 1$ . Thus  $a = 2$ . We have therefore proved that  $|F| = p^2$ ,  $p = 2^r - 1$  and hence  $f^\alpha = f^p$ .

**3. Proof of the theorem.** We need the following crucial result of Lanski.

**THEOREM 3.1 (LANSKI).** *Let  $R$  be a semiprime ring which is 6-torsion free. If  $U(R)$  is solvable, then all idempotents of  $R$  are central.*

**PROOF.** See [7, Lemma 5] and [8, Theorem 9 and §1].

We shall prove that (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (i).

3.2 (i)  $\Rightarrow$  (ii): Let  $g$  and  $h$  be elements of finite order of  $G$ . Since

$$e = (1/O(g)) \sum_1^{O(g)} g^i$$

is an idempotent,  $\langle g \rangle$  is normal by (3.1). Also  $\langle h \rangle$  is normal. Thus  $T_0 = \langle g, h \rangle$  is a finite normal subgroup of  $G$ . Now,

$$KT_0 = \sum_i^{\oplus} (D_i)_{n_i},$$

a direct sum of full matrix rings  $(D_i)_{n_i}$  over division rings  $D_i$ . It follows by [4] that each  $n_i = 1$  and each  $D_i$  is a commutative field  $F_i$ . Hence  $gh = hg$ . Thus  $T = T(G)$ , the torsion elements of  $G$  form a normal abelian subgroup of  $G$ .

Let  $x \in G$ ,  $x \notin T$  and let  $T_0$  be a finite subgroup of  $T$ . Suppose that  $x$  does not commute with  $T_0$  elementwise. Since every finite subgroup is normal in  $G$ , the skew group ring  $(KT_0)_\alpha \langle x \rangle$  is contained in  $KG$ , where  $\alpha$  is the automorphism of  $KT_0$  induced by conjugation by  $x$ . Now,  $KT_0 = \sum F_i$ , where  $F_i$  are fields. Also,

$$(3.3) \quad KG \supset (KT_0)_\alpha \langle x \rangle = \left( \sum F_i \right)_\alpha \langle x \rangle \simeq \sum (F_i)_\alpha \langle x \rangle.$$

The last isomorphism follows because every idempotent is central in  $KG$  by (3.1) and  $xF_i x^{-1} = F_i$ .

We can conclude from (3.3) that the unit group of each  $(F_i)_\alpha \langle x \rangle$  is  $n$ -Engel. Since  $F_i$  is algebraic over  $K$ , it follows by Propositions 2.3 and 2.5 that  $|K| = p$  or  $p^2$ , where  $p$  is a Mersenne prime. If  $|K| = p^2$  then

$$|F_i| = |K| \Rightarrow F_i = e(KT_0) = eK, \quad e^2 = e.$$

Since every idempotent is central,  $F_i$  commutes with  $x$ . Thus we have  $|K| = p = 2^\beta - 1$ . It remains to prove that  $T_0^{(p^2-1)} = 1$  and

$$xt \neq tx, \quad t \in T_0 \Rightarrow x^{-1}tx = t^p.$$

We first make two observations. Write  $T_0 = E \times A$ , where  $E$  is a 2-group and  $A$  is an odd group.

3.4.  $A$  is central.

Let  $g \in A$ , then since  $x^2$  is central,  $\langle x, g \rangle / \langle x^2 \rangle$  is a nilpotent group of order  $2 \cdot O(g)$ . Thus  $xgx^{-1} = gx^{2^l}$  and also  $xgx^{-1} = g^i$  as  $\langle g \rangle$  is normal in  $G$ . Hence  $xgx^{-1} = g$ .

3.5. If  $g$  and  $h$  are nonidentity elements of  $T_0$  then  $(1-g)(1-h) \neq 0$ . This is because the coefficient of identity in this product is 1 or 2 and  $p \neq 2$ .

Suppose that  $T_0^{(p^2-1)} \neq 1$ . Choose  $g, h \in T_0$  with  $h^x h^{-1} \neq 1$  and  $g^{p^2-1} \neq 1$ . Then

$$\pi = (1 - g^{p^2-1})(1 - h^x h^{-1}) \neq 0.$$

Therefore, there exists an  $F_i$  and a homomorphism

$$(3.6) \quad \lambda: KT_0 \rightarrow F_i$$

with  $\lambda(\pi) \neq 0$ . Thus  $\lambda(g)^{p^2-1} \neq 1$  and  $|F_i| > p^2$ . Since  $\lambda(h^x h^{-1}) \neq 1$ , we have  $\lambda(h^x) = \lambda(h)^x \neq \lambda(h)$  and  $F_i$  is not central, contradicting Proposition 2.5. We have therefore proved that  $T_0^{(p^2-1)} = 1$ .

In order to complete the proof of the implication (i)  $\Rightarrow$  (ii) it suffices to prove

$$(3.7) \quad g \in T_0, \quad xg \neq gx \Rightarrow x^{-1}gx = g^p.$$

We can write  $g = g_1 g_2$ ,  $O(g_1) = 2^s$  and  $O(g_2)$  a divisor of  $(p-1)/2$ . Since  $g_2^p = g_2$  and  $g_2$  is central due to (3.4), we have only to prove that  $x^{-1}g_1 x = g_1^p$ .

We may assume that  $s > 1$ . Suppose that  $K\langle g_1 \rangle = F_1 \oplus F_2 \oplus \dots$ ,  $|F_1| = p^2 = |F_2|$  and  $g_1 = (\xi, \eta, \dots)$ ,  $x^{-1}g_1 x = (\xi^p, \eta, \dots)$ . Since  $x^{-1}g_1 x = g_1^i$ , we have  $p-i \equiv 0 \pmod{4}$  and  $i-1 \equiv 0 \pmod{4}$  and thus  $p-1 \equiv 0 \pmod{4}$  which is a contradiction. Hence  $x^{-1}gx = g^p$ .

3.8. (ii)  $\Rightarrow$  (iii).

3.9. We assert that every idempotent of  $KT$  is central in  $KG$ . If (ii)(a) holds, the assertion is trivial. So let us assume (ii)(b). Let  $e = e^2 = \sum e_g g$ . Then  $e = e^p = \sum e_g g^p$  and therefore  $e_g = e_{g^p}$ . Now  $e^x = \sum e_g g^x = e$ , since  $g^x = g$  or  $g^p$ .

Since  $G$  is  $m$ -Engel solvable it follows by [13, Theorem 7.36] that  $G/T(G)$  is nilpotent (say of class  $\leq c$ ). We have that either  $T(G)$  is central or  $|K| = p = 2^\beta - 1$  satisfying (ii)(b). We shall prove that  $U(KG)$  is nilpotent of class  $\leq (c + \beta + 1)$ . We may therefore assume that  $G$  is finitely generated and, hence, by [13, Theorem 7.34] that  $G$  is nilpotent. Therefore  $T = T(G)$  is finite.

We have,  $KT = \sum^{\oplus} F_i$  a finite direct sum of fields. Due to (3.9),

$$KG = (KT)(G/T, \rho, \alpha) = \sum^{\oplus} F_i(G/T, \rho, \alpha).$$

Since  $G/T$  is ordered,  $U(KG) = \prod^{\otimes} \dot{F}_i \cdot G/T$ . It suffices to prove that  $\dot{F}_i \cdot G/T$  is nilpotent of class  $\leq c + \beta + 1$ . This is clear if  $\alpha$  is trivial, i.e. if  $F_i$  and  $G/T$  commute. We may therefore suppose that we have  $|F_i| = p^2$ ,  $p = 2^\beta - 1$  and we wish to prove that  $F_i \cdot G/T$  is nilpotent of class  $\leq c + \beta + 1$ . It is easy to see that  $F_i \subset z_{\beta+1}$ , the  $(\beta+1)$ th term of the upper central series of  $(F_i \cdot G/T)$ . Since  $G/T$  is nilpotent of class  $\leq c$ ,  $F_i \cdot G/T$  is nilpotent of class  $\leq (c + \beta + 1)$ .

3.10. (iii)  $\Rightarrow$  (i) is trivial.

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