

## INJECTIVE COGENERATOR RINGS AND A THEOREM OF TACHIKAWA<sup>1</sup>

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For Seth Camillo, and the happy parents.

**ABSTRACT.** Tachikawa showed that a left perfect ring  $R$  is an injective cogenerator in the category of all right  $R$ -modules iff there holds: (**right FPF**) every finitely generated faithful right module generates  $\text{mod-}R$ . In this paper, we simplify Tachikawa's long and difficult proof by first obtaining some new structure theorems for a *general semiperfect right FPF ring*  $R$ ; the most important are:  $R$  is a direct sum of uniform right ideals, and every nonzero right ideal of the basic ring  $R_0$  of  $R$  contains a nonzero ideal of  $R_0$ . Furthermore, if the Jacobson radical  $\text{rad } R$  is nil, then  $R$  is right self-injective. Tachikawa's theorem is an immediate consequence. We also generalize a theorem of Osofsky on perfect PF rings to FPF rings.

1. **Introduction.** A ring  $R$  is said to be **right (F)PF** provided that every (finitely generated) faithful right module  $M$  generates the category  $\text{mod-}R$  of all right  $R$ -modules. Theorems of Azumaya [66], Osofsky [66], and Utumi [67] characterize a right PF ring by the equivalent conditions.

(PF<sub>1</sub>)  $R$  is right self-injective and semiperfect with essential right socle. (The socle is the largest semisimple submodule.)

(PF<sub>2</sub>)  $R$  is right self-injective with finite essential right socle.

(PF<sub>3</sub>)  $R$  is a finite direct sum,  $R = \sum_{i=1}^n \oplus e_i R$ , where  $e_i^2 = e_i \in R$  and  $e_i R$  is a projective injective right ideal with simple socle,  $i = 1, \dots, n$ .

(PF<sub>4</sub>)  $R$  is an injective cogenerator in  $\text{mod-}R$ .

(PF<sub>5</sub>)  $R$  is right self-injective and every simple right module embeds in  $R$ .

(Compare Kato [68] and Onodera [68].)

These rings generalize the Quasi-Frobenius (QF) rings of Nakayama (the Artinian PF rings), and the twosided PF rings of Morita [58]. The latter rings possess a duality between the reflexive right  $R$ -modules and the reflexive left  $R$ -modules induced by  $\text{Hom}_R(\_, R)$ . A theorem of Tachikawa [69] establishes that any left perfect (in the sense of Bass [60]) right FPF ring  $R$  is actually right PF; consequently right Artinian right or left FPF rings are QF. In this paper we generalize Tachikawa's theorem (in (2) of Theorem 1) and in doing so obtain a simpler (and self-contained) proof. (Most undefined terms are explained in §2. Also, see Faith [76a], especially Chapter 24.)

1. **THEOREM.** (1) *If  $R$  is a semiperfect right FPF ring, then  $R$  is a direct sum of uniform right prindecs (= principal indecomposable right ideals), and every*

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nonzero right ideal of the basic ring  $R_0$  of  $R$  contains a nonzero ideal of  $R_0$ . (2) Moreover, if  $\text{rad } R$  is a nil ideal, then  $R$  is right self-injective.

2. THEOREM (CONVERSE OF THEOREM 1). *Any right self-injective semiperfect ring  $R$  is right FPF if every nonzero right ideal of the basic ring  $R_0$  contains an ideal of  $R_0$ .*

Theorem 1 implies Tachikawa's theorem (via  $\text{PF}_1$ ) since any left perfect ring has nil radical and essential right socle (Bass [60]). Incidentally this proves

3. COROLLARY. *Any right (or left) perfect right FPF ring is right self-injective.*

We also prove

4. THEOREM. *A left FPF right PF ring with nil radical is left PF.*

5. COROLLARY. *A left perfect left and right FPF ring is QF.*

The corollary generalizes the theorem of Osofsky [66] for one-sided perfect two-sided PF rings. The corresponding question for one- or even two-sided perfect one-sided PF rings is open.

2. **Background.** Before going to the proofs of the stated theorem, we supply the relevant background material for these.

2.1 DEFINITION AND PROPOSITION. *Let  $\text{mod-}R$  denote the category of right  $R$ -modules for a ring  $R$ . An object  $M$  of  $\text{mod-}R$  is a generator iff the equivalent conditions hold:*

G1. *The set-valued functor  $\text{Hom}_R(M, \_)$  is faithful.*

G2. *Given an object  $X$  of  $\text{mod-}R$ , there is an index set  $I$  and an exact sequence  $M^{(I)} \rightarrow X \rightarrow 0$ , where  $M^{(I)}$  is the coproduct of  $I$  copies of  $M$ .*

G3. *There is a finite integer  $n > 0$ , an object  $Y$  of  $\text{mod-}R$ , and an isomorphism  $M^n \approx R \oplus Y$ .*

G4. *The trace ideal  $\text{trace}_R M = \sum_{f \in \text{Hom}_R(M, R)} f(M)$  equals  $R$ .*

2.2 DEFINITION AND PROPOSITION (MORITA). *Let  $R\text{-mod}$  denote the left-right symmetry of  $\text{mod-}R$ . Two rings  $A$  and  $B$  are similar provided that the equivalent conditions hold:*

S1.  $\text{mod-}A \approx \text{mod-}B$ .

S2. *There exists a finitely generated projective generator  $P$  of  $\text{mod-}A$  such that  $B \approx \text{End } P_A$ .*

S3.  $A\text{-mod} \approx B\text{-mod}$ .

*In the case S2,  $\text{Hom}_A(P, \_)$  induces an equivalence  $\text{mod-}A \approx \text{mod-}B$  and the left adjoint  $\otimes_B P$  is the inverse equivalence. (The equivalence of S1–S3 is Morita's theorem [58]. Cf. Bass [68] or Faith [73, Theorem 4.29].)*

A **Morita invariant property** of  $A$  is a property defined for the category of rings which  $A$  and any ring similar to  $A$  possesses.

**Semiperfect rings.** Let  $R = \bigoplus_{i=1}^n e_i R$  be a direct sum decomposition of  $R$  into principal indecomposable right ideals  $e_1 R, \dots, e_n R$ . By definition, then,  $e_i$  is an idempotent  $\neq 0$ ,  $e_i R e_i$  is a local ring, and  $e_i R$  is an indecomposable right ideal, which we call a right prindec, for short,  $i = 1, \dots, n$ . By a theorem of Bass [60], a ring  $R$  has such a decomposition if (and only if)  $R$  is **semiperfect** in the sense that  $R/\text{rad } R$  is semisimple, or, as we say,  $R$  is **semilocal**, and idempotents of  $R$  lift modulo  $\text{rad } R$ .

*Basic modules and rings.* Now assume the notation above. Renumber idempotents if necessary so that  $e_1 R/e_1 J, \dots, e_m R/e_m J$  constitute the isomorphism classes of simple right modules. Thus, every simple module  $\approx$  some  $e_i R/e_i J$ , with  $i \leq m$ , and  $e_i R/e_i J \approx e_k R/e_k J$  iff  $i = k$ , for all  $i$  and  $k \leq m$ . The right ideal  $B = e_1 R + \dots + e_m R$  is called the **basic right** module of  $R$ ,  $e_0 = e_1 + \dots + e_m$  is then called the **basic idempotent**, and  $e_0 R e_0 \approx \text{End } B_R$  is the **basic ring** of  $R$ . The basic module is unique up to isomorphism, and if  $f_0$  is any other basic idempotent, there is a unit  $x$  of  $R$  such that  $f_0 = x e_0 x^{-1}$ . Furthermore,  $B$  is the unique (up to isomorphism) minimal (finitely generated projective) generator of  $\text{mod-}R$ , and, in fact, if  $G$  is any other generator, there is a module  $X$  of  $\text{mod-}R$ , and an isomorphism  $G \approx B \oplus X$ . (This follows from the Krull-Schmidt theorem. See, e.g., Bass [68, p. 19, (3.5)].) Thus, if  $M = M_1 \oplus \dots \oplus M_n = A \oplus X$ , for modules  $M_i, A$ , and  $X, i = 1, \dots, n$ , where  $\text{End } A_R$  is a local ring, then, for some  $j$ ,  $A$  is a direct summand of  $M_j$ . Thus, if each  $M_i$  is indecomposable, then  $M_j = A$ .

By the Morita theorem, every semiperfect ring  $R$  is similar to its basic ring. The ring  $R$  is said to be **self-basic** iff  $R = B$ . (This condition is right-left symmetric, inasmuch as  $R$  is self-basic iff  $R/\text{rad } R$  is a finite product of fields.) The basic ring of a ring is self-basic. Categorical properties such as  $R$  is right self-injective (= finitely generated projective right modules are injective) are Morita invariant properties, and therefore hold for  $R$  iff they hold for the basic ring of  $R$ .

The term **uniform** is used in Goldie's sense, namely, a right module (or right ideal)  $U$  is uniform iff  $I \cap K = 0$  for two submodules  $I$  and  $K$  imply that  $I = 0$  or  $K = 0$ . Equivalently, the injective hull  $E = \hat{U}$  of  $U$  is indecomposable. (In this case,  $B = \text{End } E_R$  is a local ring by a theorem of Utumi [56].)

### 3. Proofs of Theorems. We begin with:

6. PROOF OF THEOREM 1. Let  $B$  be the basic right module, and let  $B = e_1 R \oplus \dots \oplus e_n R$  be its decomposition into a direct sum of mutually nonisomorphic right prindec (see §2). Suppose, for example, that  $I \cap K = 0$  for two submodules  $I$  and  $K$  of  $e_1 R$ . Then,  $M = e_1 R/I \oplus e_1 R/K \oplus (1 - e_1)R$  is a faithful right module inasmuch as its annihilator ideal  $Q$  annihilates  $(1 - e_1)R$  and  $e_1 R$ , that is,  $Q$  annihilates  $B$ , which is faithful. Since  $M$  therefore generates  $\text{mod-}R$ , we have  $X \in \text{mod-}R$  such that  $M \approx R \oplus X = e_1 R \oplus (1 - e_1)R \oplus X$ . Inasmuch as  $e_1 R/A$  and  $e_2 R/B$  are indecomposable, and  $e_i R, i = 1, \dots, n$ , all have local endomorphism rings, then by the Krull-Schmidt theorem cited above, necessarily  $e_1 R \approx e_1 R/I$  or  $e_1 R/K$ . In the first case  $I$  splits via projectivity of  $e_1 R$ , so that indecomposability of  $e_1 R$  implies that  $I = e_1 R$ , in which case  $K = e_1 R \cap K = I \cap K = 0$ , or  $I = 0$ . Thus  $I = 0$  or  $K = 0$  (also in case  $e_1 R \approx e_1 R/K$ ), proving uniformity of  $e_1 R$ , and of  $e_i R, i = 2, \dots, n$ , by symmetry. Since every right prindec  $eR$  is isomorphic to one of the  $e_i R, i = 1, \dots, n$ , then every right prindec of  $R$  is uniform, as required.

To complete the proof of (1), we may assume  $R$  is self-basic. The top of any right  $R$ -module  $M$  is defined to be  $M/MJ$ , where  $J = \text{rad } R$ , which is a semiperfect ring in the largest semisimple factor module. If  $I$  is a right ideal containing no ideals  $\neq 0$ , then the right module  $R/I$  is faithful, hence

generates  $\text{mod-}R$ . Since  $R$  is self-basic, then  $R/I \approx R \oplus X$  for some  $X \in \text{mod-}R$ . Hence  $|\text{top } R/I| = |\text{top } R| + |\text{top } X|$ . But  $\text{top } R/I = R/(I + J)$ , that is,  $|\text{top } R/I| \leq |\text{top } R| = |R/J|$ , and  $\text{top } X = X/XJ$ . It follows that  $I \subseteq J$ , and  $X = XJ$ . Since  $X$  is finitely generalized, this implies  $X = 0$ , that is,  $R/I \approx R$ , so  $I$  splits. Since  $I \subseteq J$ , this implies  $I = 0$ .

(2) In order to prove that  $R$  is right self-injective, it suffices to prove that  $R_0$  is. Moreover,  $R_0$  is semiperfect with nil radical  $e_0 J e_0$ , where  $e_0$  is the basic idempotent. Hence assume that  $R$  is self-basic.

Let  $u$  be an arbitrary element of the injective hull  $e_1 R$  of  $\widehat{e_1 R}$ , and let  $U = uR + e_1 R$ . Then,  $M = U + (1 - e_1)R$  is a faithful and finitely generated module, hence generates  $\text{mod-}R$ , so that  $M \approx R \oplus X$  for some  $X \in \text{mod-}R$ , that is,

$$M = (uR + e_1 R) \oplus e_2 R \oplus \cdots \oplus e_n R \approx e_1 R \oplus e_2 R \oplus \cdots \oplus e_n R \oplus X.$$

Now, inasmuch as  $e_i R e_i \approx \text{End } e_i R_R$  is a local ring,  $i = 1, \dots, n$ , and  $uR + e_1 R$  is indecomposable, the Krull-Schmidt theorem implies that  $e_1 R$  is isomorphic to a direct summand of one of the direct summands of  $M$ . But, since  $R$  is self-basic, and  $e_i R$  is indecomposable, then  $e_1 R$  is not a direct summand of  $e_i R$  for  $i \geq 2$ , hence  $e_1 R$  is isomorphic to a direct summand at  $U$ . But  $U$  is uniform (contained in the injective hull of the uniform module  $e_1 R$ ), so therefore  $U = uR + e_1 R \approx e_1 R$ . Hence  $B = \text{End } U_R$  is a local ring  $\approx e_1 R e_1$ , and  $Q = \text{rad } B$  is a nil ideal  $\approx e_1 J e_1$ . Therefore, since the endomorphism  $f: U \rightarrow U$  induced by the isomorphism  $U \rightarrow e_1 R$  has zero kernel, then  $f$  cannot lie in  $Q$ , that is,  $f$  cannot be nilpotent. Thus,  $f$  lies outside of  $Q$ , hence  $f$  is a unit, so that  $U = f(U) = e_1 R$ . Since this is true for all  $u \in \widehat{e_1 R}$ , then certainly  $\widehat{e_1 R} = e_1 R$ , so  $e_1 R$  is injective. Similarly,  $e_i R$  is injective,  $i \geq 2$ , and so then is  $R$ .  $\square$

7. COMMENT. It can be shown (Faith [7]) that any right FPF ring is **right bounded** in the sense that every essential right ideal contains a nonzero ideal. (Expressed otherwise: a cyclic module  $R/I$  is faithful only if  $I$  is inessential.) This generalizes part of Theorem 1 to nonsemiperfect rings.

8. PROOF OF THEOREM 2. Let  $M = x_1 R + \cdots + x_n R$  be a finitely generated faithful module. As in the proof of Theorem 1, we may suppose that  $R$  is self-basic, and therefore, the right ideal  $K = \bigcap_{i=1}^n \text{ann } x_i$  is either 0, or else contains an ideal  $B \neq 0$ . But then

$$MB = \sum_{i=1}^n x_i RB = \sum_{i=1}^n x_i B = 0$$

contrary to the faithful hypothesis on  $M$ . Hence  $\bigcap_{i=1}^n \text{ann } x_i = 0$ , and therefore  $R \hookrightarrow M^n$  canonically. Since  $R$  is right self-injective, then  $R$  splits in  $M^n$ , hence  $M^n \approx R \oplus X$  for some  $X \in \text{mod-}R$ , so therefore,  $M$  generates  $\text{mod-}R$ . This proves right FPF.  $\square$

We require the next lemma for the proof of Theorem 4. For a subset  $S$  of  $R$ , we let  $S^\perp = \{r \in R \mid Sr = 0\}$ , and  ${}^\perp S$  its left-right symmetry.

9. LEMMA. Let  $R$  be a self-basic semiperfect ring in which ideals faithful on the right generate  $\text{mod-}R$ . Moreover, assume that an element  $c$  of  $R$  is right regular (in the sense that  $c^\perp = 0$ ) only if  $cR = R$ . Then every simple left  $R$ -module  $V$  embeds in  $R$ .

PROOF. Let  $V$  be a simple left  $R$ -module, and  $P = \text{ann}_R V$ . Since  $R$  is semiperfect, then  $R/P$  is simple Artinian, and, since  $R$  is self-basic,  $R/P$  is a field. Thus,  $V \approx R/P \hookrightarrow R$  iff there is an  $x \in R$  with  ${}^\perp x = P$ . Since  $P$  is a maximal left ideal, this happens iff  $P^\perp \neq 0$ . Thus  $V \not\hookrightarrow R \Rightarrow P^\perp = 0$ , hence  $P$  generates  $\text{mod-}R$  by the hypothesis on ideals. Since  $R$  is self-basic, then  $P \approx R \oplus X$  for some  $X \in \text{mod-}R$ , and hence there exists  $c \in P$  with  $c^\perp = 0$ . By the hypothesis on right regular elements, then  $P = R$ , a contradiction. This proves what we wanted.  $\square$

10. REMARKS. 1. Every right faithful ideal generates  $\text{mod-}R$  if  $R$  is right PF.

2. The hypothesis on  $c$  holds if either  $R$  is right self-injective, or left perfect.

1 is obvious from the definition, and in 2, the map  $cR \rightarrow R$  sending  $c \mapsto 1$  has an extension to an element  $f \in \text{End } R_R$ , when  $R_R$  is injective, and then  $y = f(1)$  satisfies  $yc = 1$ . Then  $R$  semiperfect (in fact the nonexistence of infinite sets of orthogonal idempotents suffices for this) yields  $cy = 1$ . Thus  $cR = R$ .

When  $R$  is left perfect, then there exist an integer  $n$  and an element  $y \in R$  such that  $c^{n+1}y = c^n$ . (This by the d.c.c. on principal right ideals, e.g. on  $\{c^n R\}_{n=1}^\infty$ .) Then  $c^\perp = 0 \Rightarrow cy = 1$  as before.  $\square$

11. COROLLARY (KATO [67]). *If  $R$  is right PF then every simple left module embeds in  $R$ .*

PROOF. Right PF  $\Rightarrow$  semiperfect. We may assume that  $R$  is self-basic, and apply 9, using 10.  $\square$

12. COROLLARY (KATO [67]). *A right PF ring is left PF iff left self-injective.*

PROOF. Immediate from 11 and the left-right symmetry of (PF<sub>5</sub>).

13. PROOF OF THEOREM 4. Immediate from 12 and Theorem 1.

14. PROOF OF COROLLARY 5. Apply Tachikawa's theorem to get one-sided PF, apply Theorem 4 to get two-sided PF, and then Osofsky's theorem yields QF (Osofsky [66]).  $\square$

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