PROPERTIES OF STANDARD MAPS

GARY M. HUCKABAY¹

ABSTRACT. Let X and Y be compact metric spaces. Let S(X, Y) denote the collection of standard maps of X onto Y. We establish that S(C, Y) is a dense subset of C(C, Y), where C is the Cantor set. If f is a standard map and G(f, Y) {A(f, Y)} denotes the subgroup of H(X) which preserves (interchanges) the point-inverses of f, then there is a continuous homomorphism of A(f, Y) into H(Y) with kernel G(f, Y). We also show that G(f, Y) and A(f, Y) are closed subsets of H(X).

1. Let X and Y be topological spaces. Define $H(X,Y) \{C(X,Y)\}$ to be the collection of homeomorphisms (continuous maps) of X onto Y. If X = Y we agree to write $H(X) \{C(X)\}$. If $f \in C(X,Y)$, then G(f,Y), defined by $\{h \in H(X): f \circ h = f\}$, is a subgroup of H(X). Let us say that f is a standard map if and only if (1) f is an identification and (2) f(a) = f(b) implies there are sequences $x_n \in X$ and $h_n \in G(f,Y)$ satisfying $x_n \to a$ and $h_n(x_n) \to b$. Standard maps were first studied by A. Vobach [5]. In [5], Vobach showed that each compact metric space is the standard image of C, and the author [3], [4] has shown that each locally compact, separable (complete, separable) metric space is the standard image of $N \times C(P)$, where N denotes the positive integers and P the irrationals. The utility of these results is that the standard images of a given space are classified up to homeomorphism by the conjugacy classes of the group G(f,Y) ([5], [3] and [4]).

2. In the sequel all spaces are compact and metrizable. We endow all function spaces with the compact-open topology.

Notation. $S(X,Y) = \{ f \in C(X,Y) : f \text{ is a standard map} \}$. If $f \in C(X,Y)$ we shall denote the partition $\{ f^{-1}(y) : y \in Y \}$ of X by K(f).

DEFINITION. Let $f \in C(X,Y)$. We define the group A(f,Y) to be $\{h \in H(X): D \in K(f) \text{ implies } h(D) \in K(f)\}$.

REMARK. If $D \in K(f)$ and $h \in G(f, Y)$, then h(D) = D.

THEOREM 1. G(f, Y) is a normal subgroup of A(f, Y) and there is a continuous homomorphism α which takes A(f, Y) into H(Y) with kernel G(f, Y).

PROOF. We establish the latter statement first. Let $h \in A(f, Y)$ and define

Received by the editors September 13, 1976.

AMS (MOS) subject classifications (1970). Primary 54H99; Secondary 54E45, 54C10.

¹This material will appear in the author's doctoral dissertation under the direction of Paul F. Duvall, Jr. at Oklahoma State University.

 $\alpha(h) = f \circ h \circ f^{-1}$. By a well-known theorem (see [1, p. 123]) $\alpha(h)$ is continuous if $\alpha(h)$ is single-valued. But this is clearly true since h preserves the fiber structure of f. Similarly, $[\alpha(h)]^{-1}$ is continuous and therefore $\alpha(h) \in H(Y)$.

 α is continuous: Let (F, U) be any subbasic open subset of H(Y). Then $\alpha^{-1}((F, U)) = (f^{-1}(F), f^{-1}(U)) \cap A(f, Y)$. Hence α is continuous.

 α is a homomorphism: Let $h_1, h_2 \in A(f, Y)$. Then $\alpha(h_1 \circ h_2) = f \circ h_1 \circ h_2 \circ f^{-1} = (f \circ h_1 f^{-1}) \circ (f \circ h_2 \circ f^{-1})$ since h_1 and h_2 preserve the fiber structure of f. Thus, $\alpha(h_1 \circ h_2) = \alpha(h_1) \circ \alpha(h_2)$ as required.

kernel $\alpha = G(f, Y)$: Clearly, for each $h \in G(f, Y)$, $\alpha(h) = 1_Y$. If $\alpha(h) = 1_Y$, then, by definition, $f \circ h \circ f^{-1} = 1_Y$. For each $y \in Y$ we have $f(h(f^{-1}(y))) = y$ or, equivalently, $h(f^{-1}(y)) = f^{-1}(y)$. But $h \in A(f, Y)$ and hence $h(f^{-1}(y)) = f^{-1}(y)$. Therefore, $h \in G(f, Y)$ and G(f, Y) = kernel α . This in turn implies the normality of G(f, Y) in A(f, Y).

Since Y is compact and metrizable the compact-open topology on C(Y) coincides with the sup-metric topology. Moreover, the sup-metric d^+ on C(Y) is a complete metric.

THEOREM 2. A(f, Y) and G(f, Y) are closed subsets of H(X).

PROOF. To see that A(f, Y) is closed we consider a limit point h of A(f, Y)and a sequence $h_n \in A(f, Y)$ such that $h_n \to h$. Let $\alpha: A(f, Y) \to H(Y) \subset C(Y)$ be the continuous homomorphism defined in Theorem 1. We will establish that $\alpha(h_n)$ converges to some element k of H(Y) and that $\alpha(h) = k$.

Let p and p^+ {d and d^+ } be the complete metrics of X and C(X) {Y and C(Y)}, respectively. Let $\varepsilon > 0$ be given. Since f is uniformly continuous there is a $\delta > 0$ such that $d(f(x), f(y)) < \varepsilon$ for each x and y satisfying $p(x, y) < \delta$. Now $h_n \to h$. Therefore, there is an integer M such that n, m > M implies $p^+(h_n, h_m) < \delta$. Thus,

$$d(\alpha(h_n)(y),\alpha(h_m)(y)) = d\left(f\left(h_n(f^{-1}(y))\right),f\left(h_m(f^{-1}(y))\right)\right) < \varepsilon$$

provided n,m > M, y arbitrary. Hence $\alpha(h_n)$ is a Cauchy sequence in the complete space C(Y). Let $\alpha(h_n) \to k \in C(Y)$. Then $f \circ h_n \circ f^{-1} \to k$ and $f \circ h_n \to k \circ f$. Therefore, $f \circ h = k \circ f$. In a similar way $f \circ h_n^{-1} \to f \circ h^{-1} = k' \circ f, k' \in C(Y)$. Hence $k \circ k' = 1_Y = k' \circ k$. Thus $k \in H(Y)$. $f \circ h \circ f^{-1} = k$ implies $h \in A(f, Y)$. We conclude A(f, Y) is closed.

G(f,Y) is the kernel of a continuous homomorphism and therefore is closed in A(f,Y). But A(f,Y) is closed in H(X) and hence G(f,Y) is closed in H(X).

REMARK. The reader should note that Theorems 1 and 2 do not require the map f associated with G(f,Y) and A(f,Y) to be a standard map. In fact, Theorems 1 and 2 are true when f is any continuous map.

Let $f \in C(C,X)$, X a compact metric space. Clearly we always have the inclusion $G(f,X) \subset A(f,X)$. Since A(f,X) describes a subgroup of H(X), one might ask whether A(f,X) describes anything other than $\{1_X\}$. In other words, is it true that $A(f,X) \neq G(f,X)$?

STANDARD MAPS

R. D. Anderson (see [2, p. 12]) has established the following theorem: Let X be a compact metric space and $h \in H(X)$. Then there is a Cantor set C, $f \in C(C,X)$ and $\alpha \in H(X)$ such that $f \circ \alpha = h \circ f$. This shows that f can be chosen from C(C,X) in such a way that $A(f,X) \neq G(f,X)$ when $h \neq 1_X$. Using these techniques we prove in [3] that f can always be chosen from S(C,X).

Our last theorem deals with the relationship of S(C,X) to C(C,X). Let us first establish the following two lemmas.

LEMMA 1. Let X be homogeneous and $f \in S(Y,Z)$. Then $f \circ \pi: X \times Y \to Z$ is standard where π is the projection map on the Y-coordinate.

PROOF. This follows immediately from the homogeneity of X and the standardness of f. The proof appears in [3].

LEMMA 2. Let h be a homeomorphism of a Cantor set C_1 onto a Cantor set C_2 . If $f \in S(C_2, X)$, then $f \circ h \in S(C_1, X)$.

PROOF. The proof appears in [6].

THEOREM 3. Let M be a compact metric space and C the standard "middlethirds" Cantor set. If $f \in C(C,M)$ and $p \in S(C,M)$, then there is a $q \in S(C,C)$ such that $p \circ q \in S(C,M)$ and $d^+(f,p \circ q) < \varepsilon$, ε some preassigned positive number, and d^+ the sup-metric on C(C,M).

PROOF. Let C_j : $\{1 \le j \le n\}$ be a decomposition of C satisfying the following conditions:

(1) Each C_i is both open and closed,

(2) $C_i \cap C_j = \emptyset, i \neq j$, and

(3) diam $f(C_i) < \varepsilon$ for each *i*.

Define $E_j = p^{-1}(f(C_j))$ and $D_j = C \times E_j \times \{1/j\}$. Let $\mathfrak{D} = \bigcup \{D_j: 1 \le j \le n\}$ and note $D_j \simeq \mathfrak{D} \simeq C$. If $h \in G(p,M)$, then $h(E_j) = E_j$ for all j. Construct $\alpha \in H(C,\mathfrak{D})$ such that $\alpha(C_j) = D_j$ and define $q \in C(C,C)$ by $q = \pi \circ \alpha$ where π is the projection map on the E_j -coordinate, $\pi \in S(\mathfrak{D},C)$. If $\pi(c_1,e_1,1/j_1) = \pi(c_2,e_2,1/j_2)$, then $e_1 = e_2$ by definition of π . Let $x_n = (c_1,e_1,1/j_1)$ for each n and choose $h \in H(C)$, $k \in H(\{1/1,1/2,\ldots,1/n\})$ such that $h(c_1) = c_2,k(1/j_1) = 1/j_2$, respectively. Then $(h,1_C,k) \in G(\pi,C)$ and $(h,1_C,k)(x_n) \to (c_2,e_2,1/j_2)$ (equals in fact) as required. In view of Lemma 2, $\pi \circ \alpha \in S(C,C)$. Define $g \in C(C,M)$ by $g = p \circ q = (p \circ \pi) \circ \alpha$. By Lemma 2, $g \in S(C,M)$ if $p \circ \pi \in S(\mathfrak{D},M)$. But $p \circ \pi \in S(\mathfrak{O},M)$ by Lemma 1. Hence $g \in S(C,M)$.

Let $x \in C$ and $x \in C_j$ for some *j*. Consider $g(x) = p(\pi(\alpha(x)))$. Then $\alpha(x) \in D_j$ and $\pi(\alpha(x)) \in E_j$. Therefore, $p(\pi(\alpha(x))) \in f(C_j)$ which yields $d^+(f, p \circ q) < \epsilon$.

COROLLARY 1. S(C,M) is a dense subset of C(C,M).

REMARK. Note that Theorem 3 actually proves that $\{p \circ S(C,C)\} \cap$

S(C,M) is a dense subset of C(C,M) for each $p \in S(C,M)$.

References

1. J. Dugundji, Topology, Allyn and Bacon, Boston, Mass., 1966. MR 33 #1824.

2. W. Gottschalk and J. Auslander (editors), *Topological dynamics*, Benjamin, New York, 1968. MR 38 #2762.

3. G. Huckabay, On the classification of locally compact, separable metric spaces, Fund. Math. (to appear).

4. ____, Standard maps and the classification of topological spaces, Ph.D. thesis, Oklahoma State University.

5. A. Vobach, On subgroups of the homeomorphism group of the Cantor set, Fund. Math. 60 (1967), 47-52. MR 36 #2108.

6. _____, A theorem on homeomorphism groups and products of spaces, Bull. Austral. Math. Soc. 1 (1969), 137-141. MR 39 #7586.

DEPARTMENT OF MATHEMATICS, CAMERON UNIVERSITY, LAWTON, OKLAHOMA 73501 (Current address)

DEPARTMENT OF MATHEMATICS, OKLAHOMA STATE UNIVERSITY, STILLWATER, OKLAHOMA 74074