

## A NOTE ON GENERATORS OF SEMIGROUPS

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**ABSTRACT.** The generator  $T$  of a norm-continuous semigroup of identity preserving positive linear mappings on a  $C^*$ -algebra  $\mathfrak{A}$  is characterized as one that satisfies  $T(u^*u) \geq u^*T(u) + T(u^*)u$  for all unitary elements  $u$  in  $\mathfrak{A}$ .

**1. Introduction.** Since 1948 the analytical theory of semigroups has made vigorous progress. In that year K. Yosida and E. Hille (see [2, p. 363]) independently characterized the infinitesimal generators for strongly continuous semigroups of contractions on a normed linear space as those densely defined closed linear operators  $T$  with  $\|(\lambda I - T)^{-1}\| \leq \lambda^{-1}$  for all  $\lambda > 0$ . Later in 1960 G. Lumer and R. S. Phillips [5] found another description of those generators as those densely defined "dissipative" operators  $T$  with range  $[I - T] = X$ , where  $X$  is a semi-inner-product space. If the semigroup of contractions  $\alpha(t)$  is norm-continuous, its infinitesimal generator becomes just bounded dissipative. In this note we are concerned with the norm-continuous semigroups of identity preserving positive linear mappings on a  $C^*$ -algebra and find a new characterization of their infinitesimal generators in terms of the  $C^*$ -algebra ordering. It would be more desirable to have a description of the generators of strongly continuous semigroups of positive (or completely positive) linear mappings, and that is currently being investigated by this author.

Lindblad's recent work [3] on generators of one-parameter semigroups of completely positive identity preserving linear mappings on a  $C^*$ -algebra showed an analogous result. As it is known that "positive" case does not follow automatically from "completely positive" case, one part of the proof of Theorem 1 is the same as that used in [3] and the other part is quite different.

**2. Preliminary.** Let  $X$  be a Banach space,  $X^*$  its dual space and  $B(X)$  the Banach algebra of all bounded linear operators (or mappings) on  $X$ . Given a linear functional  $f$  on  $X$  and an element  $x$  in  $X$ , we define a linear functional  $(x, f)$  on  $B(X)$  by  $(x, f)(T) = f(T(x))$  for  $T$  in  $B(X)$ . It is easily seen that (i)  $\|(x, f)\| \leq \|x\| \|f\|$ , (ii)  $(\alpha x, f) = \alpha(x, f)$  for scalar  $\alpha$ , (iii)  $(x_1 + x_2, f) =$

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Presented to the Society, January 29, 1977; received by the editors August 9, 1976 and, in revised form, January 11, 1977.

*AMS (MOS) subject classifications* (1970). Primary 46L05; Secondary 22A25.

*Key words and phrases.* Semigroups of contractions, infinitesimal generators, spatial numerical range, numerical range, dissipative operators,  $C^*$ -algebras, positive linear mappings.

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$(x_1, f) + (x_2, f)$ , (iv)  $(x, f_1 + f_2) = (x, f_1) + (x, f_2)$ .

The *spatial numerical range*  $V(T)$  of a given linear mapping  $T$  in  $B(X)$  is defined as

$$V(T) = \{(x, f)(T) | f(x) = 1 = \|f\| = \|x\|\}.$$

Let  $\mathfrak{B}$  be a unital Banach algebra. Given  $f$  in  $\mathfrak{B}^*$  and  $x$  in  $\mathfrak{B}$ , we define a linear functional on  $\mathfrak{B}$  as  $f_x(y) = f(yx)$  for all  $y$  in  $\mathfrak{B}$  and observe (i)  $\|f_x\| \leq \|f\| \|x\|$ , (ii)  $f_{\alpha x} = \alpha f_x$  for scalar  $\alpha$ , (iii)  $f_{x_1+x_2} = f_{x_1} + f_{x_2}$ .

The *numerical range*  $V(T, \mathfrak{B})$  of a given element  $T$  in  $\mathfrak{B}$  is defined as

$$V(T, \mathfrak{B}) = \{f(T) | f(I) = 1 = \|f\|\},$$

where  $I$  is the identity element in  $\mathfrak{B}$ . It is known that  $V(T, \mathfrak{B}) = \{f_x(T) | f(x) = 1 = \|f\| = \|x\|\}$ . In the following we list several properties needed in this note whose proofs can be found in [1] (see Theorem 4, p. 84, Theorem 4, p. 30, Theorem 4, p. 28 and Theorem 6, p. 30) and [4].

**PROPOSITION 1.**  $\overline{\text{co}} V(T) = V(T, \mathfrak{B})$ , where  $\overline{\text{co}} V(T)$  is the closed convex hull of  $V(T)$ . As a consequence  $V(T, \mathfrak{B})$  is always a closed convex subset in the complex plane  $\mathbb{C}$ .

**PROPOSITION 2.**

$$\begin{aligned} \text{Max}\{\text{Re } \alpha; \alpha \in V(T, \mathfrak{B})\} &= \inf_{\alpha > 0} \frac{1}{\alpha} \{\|I + \alpha T\| - 1\} \\ &= \lim_{\alpha \rightarrow 0^+} \frac{1}{\alpha} \{\|I + \alpha T\| - 1\}. \end{aligned}$$

**PROPOSITION 3.**

$$\begin{aligned} \text{Max}\{\text{Re } \alpha; \alpha \in V(T, \mathfrak{B})\} &= \sup_{\alpha > 0} \frac{1}{\alpha} \{\log \|\exp(\alpha T)\|\} \\ &= \lim_{\alpha \rightarrow 0^+} \frac{1}{\alpha} \{\log \|\exp(\alpha T)\|\}. \end{aligned}$$

**PROPOSITION 4.** Let  $x$  be in  $\mathfrak{B}$ . Then  $\text{Re } \lambda \leq 0$  for all  $\lambda$  in  $V(x, \mathfrak{B})$  if and only if  $\|\exp(tx)\| \leq 1$  for all  $t \geq 0$ .

**DEFINITION.** An element  $x$  in  $\mathfrak{B}$  is said to be *dissipative* if  $\text{Re } \lambda \leq 0$  for all  $\lambda$  in  $V(x, \mathfrak{B})$ .

A linear mapping  $T$  of a  $C^*$ -algebra  $\mathfrak{A}$  into itself is called *selfadjoint* if  $T(x^*) = T(x)^*$  for all  $x$  in  $\mathfrak{A}$ , and is called *positive* if  $T(x)$  is positive for all positive elements  $x$  in  $\mathfrak{A}$ . It is easy to see that a positive linear mapping is also selfadjoint.

**3. The main theorem.** Let  $e^{tT}$  be a one-parameter norm-continuous semigroup of positive linear mappings on a  $C^*$ -algebra  $\mathfrak{A}$  with  $e^{tT}(1) = 1$ , and  $T$  its generator (1 is the identity element in  $\mathfrak{A}$ , and all  $C^*$ -algebras considered in this note have identity element). From  $T(x) = \lim_{t \rightarrow 0^+} (e^{tT}(x) - x)/t$  ( $x \in \mathfrak{A}$ ), we see that  $T$  is selfadjoint and  $T(1) = 0$ . In the following is

the theorem in which the generator  $T$  is characterized by  $C^*$ -algebra ordering structure.

**THEOREM 1.** *Let  $T$  be a bounded selfadjoint linear mapping on a  $C^*$ -algebra  $\mathfrak{A}$  with  $T(1) = 0$ . The following two conditions are equivalent:*

- (i)  $T$  is dissipative.
- (ii)  $T(u^*)u + u^*T(u) \leq 0$  for all unitary elements  $u$  in  $\mathfrak{A}$ .

**PROOF.** (ii)  $\Rightarrow$  (i). The argument used here is the same as that in Proposition 4 in [3]. For the sake of completeness we do it as below. Because of Proposition 2 and a theorem due to Russo and Dye [6], it suffices to show that

$$r(T) \equiv \lim_{t \rightarrow 0^+} \sup_u \frac{1}{t} \{ \|u + tT(u)\| - 1 \} \leq 0,$$

where the supremum is taken over all unitary elements in  $\mathfrak{A}$ .

$$\begin{aligned} \|u + tT(u)\|^2 &= \|u^*u + u^*tT(u) + tT(u^*)u + t^2T(u^*)T(u)\| \\ &\leq \|1 + t^2T(u^*)T(u)\| \leq 1 + t^2\|T\|^2. \end{aligned}$$

Hence,  $\|I + tT\|^2 \leq 1 + t^2\|T\|^2$ , and

$$(\|I + tT\| - 1)(\|I + tT\| + 1) \leq t^2\|T\|^2,$$

hence,

$$(\|I + tT\| - 1)/t \leq t\|T\|^2/(\|I + tT\| + 1).$$

Therefore  $r(T) \leq 0$ .

(i)  $\Rightarrow$  (ii). By a theorem due to Russo and Dye [6] we have

$$\begin{aligned} \|I + tT\|^2 &= \sup_{\substack{u: \text{unitary} \\ \text{in } \mathfrak{A}}} \|(I + tT)(u)\|^2 \\ &= \sup_u \|(u + tT(u))^*(u + tT(u))\|. \end{aligned}$$

Hence, for any state  $\phi$  on  $\mathfrak{A}$  and unitary element  $u$  in  $\mathfrak{A}$ , we have

$$\begin{aligned} \phi((u + tT(u))^*(u + tT(u))) &\leq \|I + tT\|^2, \\ \phi(u^*u + t(T(u^*)u + u^*T(u)) + t^2T(u^*)T(u)) &\leq \|I + tT\|^2, \\ t\phi(T(u^*)u + u^*T(u)) + t^2\phi(T(u^*)T(u)) &\leq \|I + tT\|^2 - 1, \\ \phi(T(u^*)u + u^*T(u)) + t\phi(T(u^*)T(u)) & \\ &\leq ((\|I + tT\| - 1)/t)(\|I + tT\| + 1). \end{aligned}$$

Taking the limit for both sides as  $t \rightarrow 0^+$  we have

$$\phi(T(u^*)u + u^*T(u)) \leq r(T) \cdot 2 \leq 0$$

because  $r(T) \leq 0$ . Therefore  $T(u^*)u + u^*T(u) \leq 0$ . Q.E.D.

**COROLLARY 1.** *The generator  $T$  of a norm-continuous semigroup of identity preserving positive linear mappings on a  $C^*$ -algebra satisfies condition (ii) in Theorem 1.*

PROOF. It is because of Proposition 4 and Theorem 1. Q.E.D.

Without the assumption  $T(1) = 0$  in the above corollary, condition (ii) can be replaced by

(ii)'  $T(1) + u^*T(1)u - T(u^*)u - u^*T(u) \geq 0$ , for all unitary  $u$  in  $\mathfrak{A}$ . Define  $T'(x) = T(x) - \frac{1}{2}(T(1)x + xT(1))$  for all  $x$  in  $\mathfrak{A}$ . Since

$$T'(u^*)u + u^*T'(u) = T(u^*)u + u^*T(u) - u^*T(1)u - T(1),$$

condition (ii) holds for  $T'$  if and only if condition (ii)' holds for  $T$ . Denote  $x \rightarrow Kx + xK$  by  $\{K, x\} \equiv T_K(x)$ . The semigroup generated by  $T_K$  is  $\exp tT_K(x) = e^{tK}xe^{tK}$ . The semigroup generated by  $T' + T'' (= T)$ , where  $T'' = T_{(1/2)T(1)}$ , is given by the Lie-Trotter formula

$$\exp t(T' + T'') = \lim_{n \rightarrow \infty} [\exp(tT'/n)\exp(tT''/n)]^n.$$

Hence  $\exp t(T' + T'')$  is positive if  $T$  satisfies (ii)'. Conversely, suppose that  $\exp tT$  is positive. Then  $T$  is selfadjoint. By the Lie-Trotter formula the semigroup generated by  $T - T'' (= T')$  is positive, and  $(\exp tT')(1) = 1$  for all  $t \geq 0$ . By Corollary 1, condition (ii) holds for  $T'$ . Therefore condition (ii)' holds for  $T$ . We have concluded

COROLLARY 2. Let  $T$  be a bounded selfadjoint linear mapping from  $\mathfrak{A}$  into itself. Then  $\exp tT$  is positive for all  $t \geq 0$  iff condition (ii)' holds for  $T$ .

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