

## LEFT AND RIGHT INVARIANCE IN AN INTEGRAL DOMAIN

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**ABSTRACT.** A ring is said to be right (left) invariant if each of its right (left) ideals is twosided. In this paper we resolve the conjecture: Every right invariant integral domain which satisfies the left Ore (multiple) condition is left invariant. A proof is given for the class of LCM domains satisfying a finiteness condition. An example is given to show that the LCM hypothesis cannot be dropped. A second example shows that the conjecture fails even in a Bezout domain which does not have the finiteness condition. The problem of right versus left boundedness is also considered.

An integral domain is said to be *right (left) invariant* if each of its right (left) ideals is twosided. This paper is motivated by the following question: [3, p. 162]: Is every right invariant integral domain which is assumed to be *left Ore* (intersection of any two nonzero left ideals is nonzero) also left invariant? We show that the answer is affirmative for LCM domains satisfying a finiteness condition, but is otherwise false. Other related questions (dealing with boundedness) are discussed.

In what follows  $R$  is an integral domain, i.e. a ring with unity which is free of proper divisors of zero. The definitions referred to above may be phrased completely in terms of principal ideals. In particular, if  $Ra \subseteq aR$  then the element  $a$  of  $R$  is said to be *right invariant*;  $R$  is right invariant if each of its elements is. A similar statement holds for *left invariance*. If an element or a ring is both right and left invariant it is said to be *invariant*.

A *right (left) LCM domain* is a ring in which the intersection of any two principal right (left) ideals is again principal; an *LCM domain* is a ring that has both properties. If  $0 \neq ab' = ba'$  in a right LCM domain  $R$ , then  $aR \cap bR$  is generated by an element which is a least common right multiple of  $a$  and  $b$ , denoted  $[a, b]_r$ ; in addition, the greatest common right factor  $(a', b')_r$  of  $a'$  and  $b'$  exists. Similar remarks apply for left LCM domains. The following lemma, which is proved in [1], gives the relationship of these terms.

LEMMA 1. Let  $R$  be an LCM domain and let  $0 \neq ab' = ba' \in R$ . Then

$$ab' = ba' = [a, b]_r(a', b')_r = (a, b)_l[a', b']_l.$$

We shall also need the following easy result.

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Received by the editors January 12, 1977.

AMS (MOS) subject classifications (1970). Primary 16A02.

Key words and phrases. Invariance, LCM domain, Bezout domain, Ore domain, boundedness, PRI domain, left-right symmetry.

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LEMMA 2. If  $0 \neq [a, b]_r = ba'$  in a ring  $R$  and if  $a$  is right invariant, then  $aR \subseteq a'R$ .

PROOF. By right invariance we have  $ba \in aR \cap bR = ba'R$ .

THEOREM 1. Let  $R$  be an LCM domain which satisfies the ascending chain condition (acc) for either principal right or principal left ideals or which is atomic. If  $R$  is left Ore and right invariant then  $R$  is left invariant.

PROOF. First we show that each atom (i.e. irreducible element)  $a \in R$  is invariant. For any  $r \in R$  let  $xar = ya$  be a generator of  $Rar \cap Ra$ . The left Ore condition assures that this term is not zero so Lemma 1 applies and  $(x, y)_l = 1$ . If  $d = (ar, a)_r$ , then either  $Rd = R$  or  $Rd = Ra$  because  $a$  is an atom. In the first case we have  $[x, y]_r = xar = ya$  by Lemma 1 and so  $xR \subseteq aR$ ,  $yR \subseteq arR \subseteq aR$  by Lemma 2 in contradiction with  $(x, y)_l = 1$ . Therefore  $Rd = Ra$  and  $ar \in Ra$ . This shows that  $a$  is left invariant and hence invariant.

Next we show that  $R$  is atomic, i.e. each nonzero nonunit is a product of atoms. Suppose not. Using the left acc we may choose  $Rx$  maximal in  $\{Rx \mid x \text{ is not a product of atoms}\}$ , and choose an atom  $a$  such that  $Rx \subseteq Ra$ . Then  $x \in aR$  (by invariance of  $a$ ), i.e.  $x = ax_1$  for some  $x_1$  which cannot be a product of atoms; thus  $Rx \subsetneq Rx_1$  is a contradiction. A similar argument shows that  $R$  is atomic if  $R$  satisfies the right acc. Each nonzero nonunit is a product of (invariant) atoms and is consequently invariant.

We single out the following special case of Theorem 1. Recall that a principal right ideal domain (PRI domain) has the acc for right ideals and is a right Ore domain; in addition, it is a weak Bezout domain (= 2-fir), and hence an LCM domain (cf. [3, pp. 47–50]). Theorem 1 and its left-right analog imply the following:

COROLLARY 1. In a PRI domain the following two conditions are equivalent:

- (i)  $R$  is left Ore and right invariant.
- (ii)  $R$  is left invariant.

We give two examples to show the necessity of the hypotheses in Theorem 1.

EXAMPLE 1 (A non-LCM domain with both chain conditions). Let  $F$  be a (commutative) field extension of a field  $K$  with an automorphism  $\sigma: F \rightarrow F$  which maps  $K$  into a proper subfield of  $K$ . (For example,  $F = Q(t_1, t_2, t_3, \dots)$ ,  $K = Q(t_1, t_3, t_5, \dots)$ , and  $\sigma$  the  $Q$ -automorphism of  $F$  defined by  $\sigma(t_{2n-1}) = t_{2n+1}$ ,  $\sigma(t_{2n+2}) = t_{2n}$  for  $n = 1, 2, \dots$  and  $\sigma(t_2) = t_1$ .)

Let  $P$  be the skew formal power series ring

$$P = F[[x, \sigma]] = \left\{ \sum_{i=0}^{\infty} x^i a_i \mid a_i \in F \right\}$$

in which multiplication is defined by  $ax = xa^\sigma$  ( $a \in F$ ). It is easy to show that  $P$  is a local PRI and PLI domain (cf. [5]). Let  $R = \{f(x) \in P \mid f(0) \in$

$K\}$  be the subring of  $P$  consisting of all power series with constant term in  $K$ . Clearly  $R$  is atomic; in fact, it is easily verified that  $R$  has the acc for both principal right and principal left ideals. In addition,  $R$  is left and right Ore because  $P$  has this property.

To show that  $R$  is right invariant we first observe that if  $h$  is any unit in  $P$  and  $g \in R$  then  $h^{-1}gh \in R$ . Now let  $f \in R$  be a nonunit written in the form  $f = x^n h$  where  $h(0) \neq 0$  (so that  $h$  is a unit in  $P$ ) and  $n > 0$ . Clearly  $x$  is right invariant in  $R$ . Also,  $xh$  is right invariant, for if  $r \in R$  and  $r'$  is chosen in  $R$  so that  $rx = xr'$ , then  $rxh = xh(h^{-1}r'h) \in xhR$ . Thus  $f$  is right invariant. Finally we note that  $x$  is not left invariant because  $\sigma[K] \neq K$ ; therefore the only nonzero members of  $R$  that are left invariant are the units.

EXAMPLE 2 (A nonatomic Bezout domain). Let  $K$  be a commutative Bezout domain with quotient field  $F$  and with monomorphism  $\sigma: K \rightarrow K$  which is not an epimorphism but which when extended to  $F$  in the usual way is an isomorphism. (For example we can take  $K$  to be the principal ideal domain of formal Laurent series  $Z\langle\langle t \rangle\rangle$  over the ring of integers  $Z$  and take  $\sigma$  to be the  $Z$ -monomorphism defined by  $\sigma(t) = 2t$ .)

Let  $P$  be the ring of skew formal power series, as above, in which multiplication is defined by  $ax = xa^\sigma$  ( $a \in F$ ). Let  $R = \{f(x) \in P \mid f(0) \in K\}$ . That  $R$  is a right and left Bezout domain follows from the proposition below.

We check the following.

(i) Each  $a \in K$  is right and left invariant.

First observe that if  $0 \neq a \in K$  and  $f \in R$  then  $a^{-1}fa, afa^{-1} \in R$ . Thus  $fa = a(a^{-1}fa) \in aR$  and, similarly,  $af \in Ra$ .

(ii) Each  $f \in R$  is right invariant.

For  $f$  may be written  $f = x^n uas^{-1}$  where  $u$  is a unit power series in  $R$  with  $u(0) = 1$  and  $a, s \in K$ ; then  $fs$  is right invariant in  $R$  (being a product of the right invariant elements  $x^n, u, a$ ); since  $s$  is invariant it follows that  $f$  is right invariant.

(iii) The left invariant elements of  $R$  have the form  $f = ub$ , where  $b \in K$  and  $u$  is a unit in  $R$ .

First observe that  $x$  is not left invariant because  $\sigma[K] \neq K$ . If  $f = x^n uas^{-1}$  written as in (ii) is left invariant then so is  $fs = x^n ua$  (because  $s$  is invariant); it then follows that  $x^n$  is left invariant because  $ua$  is invariant; contradiction with  $\sigma[K] \neq K$  is avoided only if  $n = 0$  and  $f = uas^{-1}$ . Since  $u(0) = 1$  we have  $as^{-1} \in K$  as desired.

PROPOSITION. Let  $P = F[[x, \sigma]]$  and  $R = \{f(x) \in P \mid f(0) \in K\}$  be the rings constructed above. Then  $R$  is a right and left Bezout domain.

PROOF. To show that  $R$  is right Bezout let  $f, g \in R$ . First assume that  $f = a + f_1, g = b + g_1$  where  $a, b \in K$  and  $a \neq 0$ . Let  $dK = aK + bK$ . Then since  $f_1 = d(d^{-1}f_1), g = d(d^{-1}g_1)$ , we have  $fR, gR \in dR$  so that  $fR + gR \subseteq dR$ . To show the reverse inclusion we observe that  $fh = 1$  for some  $h \in P$  and

so  $c = f(hc) \in fR$  for some  $0 \neq c \in K$ . Thus  $a = f - f_1 = f - c(c^{-1}f_1) \in fR + gR$  and  $b = g - g_1 = g - c(c^{-1}g_1) \in fR + gR$  which shows  $dR \subseteq fR + gR$ .

Now let  $f = x^{n_1}(a_1b_1^{-1} + h_1) = x^{n_1}f_1$ ,  $g = x^{n_2}(a_2b_2^{-1} + h_2) = x^{n_2}g_1$  where  $h_i \in R$  and  $a_i, b_i \neq 0$  in  $K$ . We assume that  $0 < n_1 \leq n_2$ . If  $n_1 = n_2$  then  $bf_1R + bg_1R = dR$ , where  $b = b_1b_2$  and  $dK = a_1b_2K + a_2b_1K$ . Multiplying on the left by  $x^{n_1}b^{-1}$  we find  $fR + gR = x^{n_1}b^{-1}dR$ , a principal right ideal of  $R$ . If  $n_1 < n_2$  then  $g = x^{n_1}f_1(f_1^{-1}x^{n_2-n_1}g_2) \in fR$  so that  $fR + gR = fR$ .

We have shown that  $R$  is a right Bezout domain. Since  $P$  is a left and right Ore domain the same is true of  $R$ . Consequently,  $R$  is a left and right Bezout domain.

A ring is said to be *right (left) bounded* if each nonzero right (left) ideal contains a nonzero twosided ideal. Again the definition may be phrased in terms of principal one and twosided ideals. The example just given is a Bezout domain (hence left Ore) which is right invariant (hence right bounded) but not left bounded. The more difficult question of whether a ring satisfying one of the finiteness conditions of Theorem 1 which is left Ore and right bounded is also left bounded is open even for the special case of a PRI domain (however see Corollary 2 below). If  $R$  is a right bounded PLI domain then  $R$  is left bounded. For in this case a right invariant element  $a \in R$  gives rise to a twosided ideal of the form  $aR$  which must be principal as a left ideal by hypothesis. From this it follows easily that  $aR = Ra$  (cf. [4, p. 37]). In fact, in this case  $R$  is a PRI domain. We summarize in the following:

**THEOREM 2.** *A right bounded PLI domain is both left bounded and a PRI domain.*

**PROOF.** It remains to prove the second assertion. Since a right Ore PLI domain is a right Bezout domain it suffices to show that  $R$  is atomic. Let  $a \in R$  be a nonzero nonunit and let  $a^*$  be its right bound (see [2] for the definition). We have noted above that all right invariant elements such as  $a^*$  must be invariant. Using the left acc we may write  $a^* = a_1 \cdots a_n$ , where each  $a_i$  is invariant but has no proper invariant factors. If  $p_i$  is an atomic factor of  $a_i$ , then  $a_iR = p_i^*R$  where  $p_i^*$  is the right bound of  $p_i$ . By [2, Theorem 3.2] each  $p_i^*$  is a product of atoms (similar to  $p_i$ ). Thus  $a^*$  and, consequently,  $a$  is a product of atoms.

**COROLLARY 2.** *Let  $R$  be an atomic weak Bezout domain. If  $R$  is left Ore and right bounded then  $R$  is left bounded.*

The converse in Corollary 2 (or in Theorem 1) does not hold as shown by the ring of skew formal power series  $F[[x, \sigma]] = \{\sum_{i=0}^{\infty} a_i x^i \mid a_i \in F\}$  in which multiplication is defined by  $xa = a^\sigma x$  for a monomorphism  $\sigma$  on the field  $F$ . This ring is a left invariant PLI domain which is not right bounded (indeed not right Ore) if  $\sigma$  is not an epimorphism.

We change sides and restate Theorem 2 for the sake of comparing with Corollary 1.

**COROLLARY 3.** *A left bounded PRI domain is a right bounded PLI domain.*

Whether "left bounded" may be replaced by the weaker "left Ore right bounded" in Corollary 3 is open. Indeed, whether the proposed hypothesis is actually weaker in a PRI domain is open. In an atomic PRI domain the two hypotheses are equivalent.

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