

ENDOHOMEOMORPHISMS DECOMPOSING A SPACE INTO DISJOINT COPIES OF A SUBSPACE

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ABSTRACT. The existence (conjectured by R. Levy in a private communication) of a space X and an endohomeomorphism, f , of βX , such that $f[X] = \beta X \setminus X$ is demonstrated. It is shown that if G is one of the topological groups 2^α , \mathbf{Q}^α , \mathbf{R}^α or \mathbf{T}^α , where $\omega < \alpha$, then G has a dense C -embedded subgroup H and an autohomeomorphism, f , such that G is the union of disjoint sets, A_0 and A_1 , where for $\{i, j\} = \{0, 1\}$ $f[A_i] = A_j$, and A_i is a union of cosets of H .

The existence (conjectured by R. Levy in a private communication) of a space X and an endohomeomorphism, f , of βX , such that $f[X] = \beta X \setminus X$ is demonstrated. It is shown that if G is one of the topological groups 2^α , \mathbf{Q}^α , \mathbf{R}^α or \mathbf{T}^α , where $\omega < \alpha$, then G has a dense C -embedded subgroup H and an autohomeomorphism, f , such that G is the union of disjoint sets, A_0 and A_1 , where for $\{i, j\} = \{0, 1\}$ $f[A_i] = A_j$, and A_i is a union of cosets of H . These results, which continue (and duplicate in part) a remark of Glicksberg [G59], have appeared in [O'C76].

NOTATION. We denote the nonnegative integers, the first infinite ordinal and the corresponding countable discrete space by ω . The topological groups 2 , \mathbf{Z} , \mathbf{Q} , \mathbf{R} and \mathbf{T} are $\{0, 1\}$, the integers, the rationals, the reals and the circle group (\mathbf{R}/\mathbf{Z}) , respectively. We denote the domain and the range of a function f by $\text{Dom } f$ and $\text{Rng } f$, respectively. Let f be a function, and let A be a subset of $\text{Dom } f$. An f -decomposition of A is a pair $\langle A_0, A_1 \rangle$ of complementary subsets of A , such that $A_i \cap f[A_i] = \emptyset$ for $i = 0, 1$. If in addition the set A satisfies $f[A] = A$, then an f -bisection of A is an f -decomposition $\langle A_0, A_1 \rangle$ with $f[A_0] = A_1$ and $f[A_1] = A_0$. Otherwise the notation is that of [GJ60] and [CN74].

Our first result is an application of the Katětov Lemma on Three Sets of which we give only a skeletal proof. A detailed proof can be found in [B64], [K67], [CN74] and [W74].

LEMMA 1. *Let f be a function with $\text{Dom } f \supseteq \text{Rng } f$, and let A be a subset of $\text{Dom } f$.*

(a) *If f^{2k+1} has no fixed points for $k = 0, 1, \dots$, then A has an f -decomposition.*

(b) *If f has no fixed points, then $A = A_0 \cup A_1 \cup A_2$, where $\{A_0, A_1, A_2\}$ is a pairwise disjoint family, and $A_i \cap f[A_i] = \emptyset$ for $i = 0, 1, 2$.*

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PROOF. Define \sim by $x \sim y$, whenever $f^n(x) = f^m(y)$ for some nonnegative integers m and n . Clearly \sim is an equivalence relation. To produce a decomposition of the claimed type for A it suffices to produce an appropriate decomposition of the equivalence class, x/\sim where x ranges over a complete set of representatives of A . The reader may verify, that in case (a)

$$[x]_0 = \{y \in x/\sim : m \equiv n \pmod{2}, \text{ whenever } f^n(x) = f^m(y)\}$$

$$[x]_1 = \{y \in x/\sim : m \not\equiv n \pmod{2}, \text{ whenever } f^n(x) = f^m(y)\}$$

yields an f -decomposition of x/\sim .

A slight modification of this argument proves (b): Should x/\sim not contain a fixed point of f^{2k+1} for any nonnegative integer k , then $[x]_0$ and $[x]_1$ are defined as above, and $[x]_2 = \emptyset$; should x/\sim contain a fixed point of f^{2k+1} , where k is minimal for this x , then we choose x , the class representative, to be one of the $(2k+1)$ fixed points of f^{2k+1} in this equivalence class, and we define

$$[x]_0 = \{y \in x/\sim : n \equiv 0 \pmod{2}, \text{ whenever } n \text{ is minimal with } f^n(y) = x\} \setminus \{x\}.$$

$$[x]_1 = \{y \in x/\sim : n \equiv 1 \pmod{2}, \text{ whenever } n \text{ is minimal with } f^n(y) = x\},$$

$$[x]_2 = \{x\}.$$

The verification of the details is left to the reader.

COROLLARY. Under the hypotheses of case (a):

(i) If $n \equiv m \pmod{2}$, whenever x and $y \in \text{Dom } f \setminus \text{Rng } f$, and $f^n(x) = f^m(y)$, then $\text{Dom } f$ has an f -decomposition of the form $\langle X, f[X] \rangle$.

(ii) If $\text{Dom } f = \text{Rng } f$, then $\text{Dom } f$ has an f -bisection.

(iii) Let $x \in \text{Dom } f$, and let $\langle A_0, A_1 \rangle$ be an f -decomposition of $\text{Dom } f$, then $\{x/\sim \cap A_0, x/\sim \cap A_1\} = \{[x]_0, [x]_1\}$.

PROOF. For (i): choose, whenever possible, a member of $\text{Dom } f \setminus \text{Rng } f$ as class representative. Then $[x]_0 \supseteq x/\sim \cap (\text{Dom } f \setminus \text{Rng } f)$, so $[x]_1 = f[[x]_0]$.

Parts (ii) and (iii) are obvious.

DEFINITION. A subset D of a topological space is said to be strongly discrete if for each d in D there is a neighborhood, U_d , of d such that $\{U_d : d \in D\}$ is a pairwise disjoint family.

We note that if D is a strongly discrete subset of $\beta\alpha$, then D is C^* -embedded in $\beta\alpha$ [CN74], and any one-to-one function, $g: \gamma \rightarrow D$, has an extension $f: \beta\gamma \rightarrow \beta\alpha$ which is a homeomorphism.

THEOREM 1. Let $g: \omega \rightarrow \beta\omega \setminus \omega$ be a one-to-one function with strongly discrete image, and let $f: \beta\omega \rightarrow \beta\omega \setminus \omega$ be its continuous extension. The space $\beta\omega$ has an f -decomposition $\langle X, \beta X \setminus X \rangle$.

PROOF. By a theorem of Frolík [F67] no power of f has a fixed point (if we do not wish to use this result of Frolík, then all we need do is to add the condition that $g(2n) \in \text{cl}\{2k+1 : k < \omega\}$ and $g(2n+1) \in \text{cl}\{2k : k < \omega\}$

for all n in ω : this prevents each odd power of f from having a fixed point). There is by the corollary to the Katětov Lemma a set X such that $X \supseteq \text{Dom } f \setminus \text{Rng } f \supseteq \omega$, and $\langle X, f[X] \rangle$ is an f -decomposition of $\beta\omega = \beta X$.

This result has an obvious generalization to the Stone-Čech compactification of an arbitrary infinite cardinal. However, it is not the case that to construct an example all one needs is a homeomorphism $g: X \rightarrow \beta X \setminus X$ no (odd) power of which has a fixed point, which, furthermore, has a continuous extension $f: \beta X \rightarrow \beta X \setminus X$, where f is a homeomorphism. We have the following counterexample.

Let W be the set of countable ordinals with the order topology. It is well known [GJ60] that $\beta W = W^*$ —the one point compactification of W . Let $W^* = W \cup \{*\}$. Let $Y = W \times \prod\{W^*: 0 < n < \omega\}$. Clearly $\beta Y = \prod\{W^*: n < \omega\}$. Define $g: Y \rightarrow \beta Y \setminus Y$ as follows:

$$g(\langle w_0, w_1, \dots \rangle) = \langle *, w_0, w_1, \dots \rangle.$$

Then g has a continuous extension f which is defined by the same rule but with domain βY , and f is a homeomorphism. But $\langle *, *, \dots \rangle$ is a fixed point of f , so βY does not have an f -decomposition.

It may be worth mentioning that Y has the property that βY is homeomorphic to $\beta Y \setminus Y$. Also, if we had uncountably many factors in the definition of Y , and if g were defined as above, then we would have a homeomorphism f extending g . This time f would have a set of fixed points, which would be homeomorphic to βY .

DEFINITION. Let $X = \prod\{X_i: i \in I\}$, let $p \in X$, and let $\omega < \gamma$. Then $\Sigma_\gamma(p, X)$ is defined as follows:

$$\Sigma_\gamma(p, X) = \{y \in X: |\{i \in I: p_i \neq y_i\}| < \gamma\}.$$

We will write $\Sigma_\gamma(p)$ when the space X is obvious from the context.

If each of the factors of X is a separable metric space, and if α is uncountable, then $\Sigma_\alpha(p)$ is dense and C -embedded [Cn59].

OBSERVATION. Let F be a topological field, and let α be uncountable. The vector space F^α is then equipped with the product topology. Translation by $\langle 1, 1, \dots \rangle$ is denoted by f . We observe by the Katětov Lemma and its corollary that the space F^α has an f -bisection if and only if F has even characteristic (including characteristic zero). We can sharpen this result.

THEOREM 2. (GLICKSBERG). *Let α be uncountable, and let f be translation by $\langle 1, 1, \dots \rangle$ on the space 2^α regarded as a vector space over the field 2 . Then 2^α has an f -bisection $\langle A_0, A_1 \rangle$, where A_0 is a dense C -embedded, maximal vector subspace, M , of 2^α . So βM is 2^α , and the f -bisection has the form $\langle M, \beta M \setminus M \rangle$.*

PROOF. Clearly $\Sigma_\alpha(\langle 0, 0, \dots \rangle)$ is a dense vector subspace of 2^α , which misses $\langle 1, 1, \dots \rangle$. By Zorn's Lemma there is a subspace M of 2^α , which is maximal with respect to both missing $\langle 1, 1, \dots \rangle$ and containing

$\Sigma_\alpha(\langle 0, 0, \dots \rangle)$. It can be shown that M has the required properties.

Clearly in Theorem 2 M is just a maximal subgroup of 2^α . The language of vector spaces is used to emphasize the relation with Theorem 3. The basic idea of both these proofs is to find some subgroup M of the product F^α such that F^α/M has an appropriate decomposition.

THEOREM 3. *Let F be a subfield of \mathbf{R} . Let α be uncountable, and let f denote translation by $\langle 1, 1, \dots \rangle$ on the vector space F^α . Then F^α has an f -bisection $\langle A_0, A_1 \rangle$, where A_0 and A_1 are unions of cosets of a dense, C -embedded, maximal subspace of F^α .*

PROOF. Arguing as in Theorem 2 we can find a dense C -embedded, maximal subspace M of F^α , which misses $\langle 1, 1, \dots \rangle$. Define R, S, A_0 and A_1 as follows:

$$\begin{aligned} R &= \cup \{ \{2n, 2n + 1\} \cap F : n \in \mathbf{Z} \}, \\ S &= \cup \{ \{2n + 1, 2n + 2\} \cap F : n \in \mathbf{Z} \}, \\ A_0 &= M + R^\alpha, \text{ and} \\ A_1 &= M + S^\alpha. \end{aligned}$$

Clearly R and S are complementary subsets of F , and A_0 and A_1 are as required.

The group \mathbf{T}^α has a decomposition similar to the decomposition of Theorem 3. There does not seem to be a proof along the lines of the proofs of Theorems 2 and 3. A more elaborate version of the decomposition of Theorem 3 seems necessary.

THEOREM 4. *Let E be a dense subgroup of \mathbf{R} , which contains 1. Let α be uncountable, and let f be translation by $\langle 1, 1, \dots \rangle$ on the topological group E^α . Then E^α has an f -bisection $\langle A_0, A_1 \rangle$, where A_0 and A_1 are dense and C -embedded in E^α .*

PROOF. Let M be a maximal subgroup of 2^α as constructed in Theorem 2. We define (using the addition of E^α) the sets X, Y, V, W, A_0 and A_1 as follows:

$$\begin{aligned} X &= \Sigma_\alpha(\langle 0, 0, \dots \rangle, ([0, 1) \cap E)^\alpha), \\ Y &= ([0, 1] \cap E)^\alpha \setminus X, \\ V &= X + M + (2\mathbf{Z})^\alpha, \\ W &= Y + M + (2\mathbf{Z})^\alpha, \\ A_0 &= V \cup (W + \langle 1, 1, \dots \rangle), \\ A_1 &= W \cup (V + \langle 1, 1, \dots \rangle). \end{aligned}$$

If $x \in E^\alpha$, then there is a unique p in 2^α and a unique q in $(2\mathbf{Z})^\alpha$ such that $x - p - q \in ([0, 1) \cap E)^\alpha$. Clearly

$$\begin{aligned} \Sigma_\alpha(\langle 0, 0, \dots \rangle, E^\alpha) &\subseteq X + \Sigma_\alpha(\langle 0, 0, \dots \rangle, 2^\alpha) \\ &\quad + \Sigma_\alpha(\langle 0, 0, \dots \rangle, (2\mathbf{Z})^\alpha) \subseteq V. \end{aligned}$$

Hence V is dense and C -embedded in E . Furthermore the family of sets

$$\{V, V + \langle 1, 1, \dots \rangle, W, W + \langle 1, 1, \dots \rangle\}$$

is pairwise disjoint, since the family of sets

$$\{X + M, X + M + \langle 1, 1, \dots \rangle, Y + M, Y + M + \langle 1, 1, \dots \rangle\}$$

is pairwise disjoint. Since $A_i + \langle 1, 1, \dots \rangle = A_j$ for $\{i, j\} = \{0, 1\}$, we see that $\langle A_0, A_1 \rangle$ is as required.

THEOREM 5. *Let G be a dense subgroup of \mathbf{T} , which contains $-1 = \exp(\pi i)$. Let α be uncountable, and let f be translation (multiplication) by $\langle -1, -1, \dots \rangle$ on G^α . Then G^α has an f -bisection $\langle B_0, B_1 \rangle$, where B_0 and B_1 are dense and C -embedded in G^α .*

PROOF. The α th power, H , of the canonical homomorphism, $h: \mathbf{R} \rightarrow \mathbf{T}$ is a continuous homomorphism from \mathbf{R}^α onto \mathbf{T}^α . Let E be the inverse image of G under h . Then E is a dense subgroup of \mathbf{R} , which contains 1, such that $h[E] = G$. Let A_0 and A_1 be as constructed in Theorem 4. Clearly

$$H[A_0] \cap H[A_1] = \emptyset.$$

Since $A_0 \supseteq \Sigma_\alpha(\langle 0, 0, \dots \rangle, E^\alpha)$,

$$H[\Sigma_\alpha(\langle 1, 1, \dots \rangle, E^\alpha)] = \Sigma_\alpha(\langle -1, -1, \dots \rangle, G^\alpha),$$

and

$$H[\Sigma_\alpha(\langle 0, 0, \dots \rangle, E^\alpha)] = \Sigma_\alpha(\langle 1, 1, \dots \rangle, G^\alpha),$$

then $\langle B_0, B_1 \rangle$ defined by $B_0 = H[A_0]$, and $B_1 = H[A_1]$ is as required.

[CO'C79] will contain results of related interest. In particular sharper characterizations are obtained for first countable, realcompact spaces X of (i) continuous functions $f: X \rightarrow \beta X$ and (ii) spaces Y with $X \subset Y \subset \beta X$, and with $g[Y] = \beta Y \setminus Y$, where g is an endohomeomorphism of βX .

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