

## THE COBORDISM CLASSIFICATION OF HYPERSURFACES IN LENS SPACES

J. H. C. CREIGHTON

**ABSTRACT.** In Theorem A we classify by cobordism type the codimension one submanifolds of lens spaces  $L_d^{2n+1}$  (quotient of  $S^{2n+1}$  by the action of the  $d$ th roots of unity). A related immersion result is also obtained.

**Introduction.** A classical generalization of the Jordan Curve Theorem asserts that every compact codimension one submanifold  $M$  of the  $n$ -sphere  $S^n$  separates. Further, since  $S^n$  is orientable,  $M$  is orientable. However, the sphere is not unique with this property, for if  $N$  is an orientable manifold such that  $H^1(N; \mathbb{Z}_2) = 0$  then any codimension one submanifold separates and is orientable.

We study the situation for more general ambient manifolds in this paper and obtain in Theorem A the cobordism classification of codimension one submanifolds in lens spaces, partially generalizing results of Bredon and Wood for 3-dimensional lens spaces. The basic technique will apply to other classes of ambient manifolds as well. A partial extension to codimension one immersions is also given. In §1 we obtain some general results and state Theorem A, the proof of which is given in §2.

I wish to thank Benjamin Halpern and W. D. Neumann for helpful comments on preliminary versions of this paper. I also thank the referee for his careful reading and for several improvements in exposition.

1. Let  $N^n$  be a smooth connected closed  $n$ -dimensional manifold. It is known that every  $x \in H_{n-1}(N; \mathbb{Z}_2)$  can be realized as  $x = i_*[M]$  where  $[M]$  is the  $\mathbb{Z}_2$  orientation class of a closed, connected  $(n-1)$ -dimensional manifold  $M^{n-1}$ , and  $M \xrightarrow{i} N$  is a smooth embedding. We call such an embedded manifold a *hypersurface in  $N$*  and say that it *carries* the element  $x$ ; for convenience, a hypersurface is assumed connected. A well-known consequence of the duality theorems for manifolds asserts that  $x = 0$  if and only if  $M$  separates  $N$ ; that is, the complement of  $iM$  consists of two components. Thus if  $x = 0$ ,  $M$  is nullbordant. In fact by [5], two hypersurfaces which carry a given  $x \in H_{n-1}(N; \mathbb{Z}_2)$  must be cobordant. This is clear if the two hypersurfaces can be made disjoint, however that is rarely possible. Note that the converse is not true: distinct elements of  $H_{n-1}(N; \mathbb{Z}_2)$  may be carried by cobordant manifolds (or even the same manifold). For instance,

---

Received by the editors October 23, 1978 and, in revised form, September 29, 1979.

AMS (MOS) subject classifications (1970). Primary 57D40; Secondary 57D75.

Key words and phrases. Cobordism, lens space, manifold, submanifold, immersion, Stiefel-Whitney class.

$RP^{2n-1}$ , a nullbordant manifold, carries the nonzero element in  $H_{2n-1}(RP^{2n}; Z_2)$ , where  $RP^k$  is the  $k$ -dimensional real projective space. In any case, if one can identify the cobordism classes of hypersurfaces carrying elements of  $H_{n-1}(N; Z_2)$ , explicit necessary conditions are obtained for a given  $(n-1)$ -dimensional manifold to embed in  $N$ . For example, every hypersurface in  $RP^{2n}$  is nullbordant.

Further conditions can be obtained by considering the orientability of a hypersurface in  $N$ . Assume  $N$  orientable. Note that if  $M$  separates  $N$  then  $M$  is orientable, since  $M$  is the boundary of an orientable manifold. The converse of this is true if  $H_{n-1}(N; Z_2) = 0$ , by the universal coefficient theorem. In fact this may be seen as the exact meaning that  $H_{n-1}(N; Z) = 0$ , for any nonzero element is carried by the inverse image of a regular value of a smooth function from  $N$  to  $S^1$ , providing a nonseparating orientable hypersurface. We record these observations in the following proposition.

**PROPOSITION 1.1.** *Let  $N$  be an orientable smooth closed  $n$ -dimensional manifold. Then  $H_{n-1}(N; Z) = 0$  if and only if for every hypersurface  $M$  in  $N$  the following are equivalent:*

- (i)  $M$  separates  $N$ ,
- (ii)  $M$  is orientable.

Furthermore,  $H_{n-1}(N; Z_2) = 0$  if and only if (i) and (ii) are true for every hypersurface  $M$  in  $N$ .  $\square$

Note that the proposition applies to any simply connected  $N$ , for then  $H_{n-1}(N; Z) = 0$ . As a corollary, if this condition holds any orientable hypersurface in  $N$  is nullbordant. In general of course, orientability and cobordism type are unrelated. We exploit this perhaps unexpected relationship to obtain in Theorem A below a classification by cobordism type of hypersurfaces in lens spaces.

The following proposition is a special case of Theorem A which is immediate from the considerations above without further analysis. As noted in the first paragraph, every hypersurface in  $RP^{2n}$  is nullbordant, hence this proposition completes the classification of hypersurfaces in all real projective spaces.

**PROPOSITION 1.2.** *Let  $M^{2n}$  be a compact connected submanifold of  $RP^{2n+1}$ . If  $M$  is orientable,  $M$  is nullbordant. Otherwise  $M$  is cobordant to  $RP^{2n}$ .*

**PROOF.** By Proposition 1.1,  $M$  nonorientable implies  $M$  does not separate  $RP^{2n+1}$ . Therefore  $M$  represents the nonzero class in  $H_{2n}(RP^{2n+1}; Z_2)$  which is also carried by  $RP^{2n}$ . Thus by Thom [5], as noted in the introduction,  $M$  is cobordant to  $RP^{2n}$ .  $\square$

An immediate consequence is the following corollary due to Bredon and Wood [1]. An elementary geometric proof along with some historical information for this result is given in [3]. (We take this opportunity to correct our citation in [3] of the result of Bredon and Wood. The Klein bottle *does* embed in  $N \times S^1$  if  $N = S^2$ : a great circle of  $S^2 \times 0$ , by "time"  $\theta$  in  $S^2 \times \theta$ , is rotated through an angle  $\theta$  (thanks to Daniel Asimov for providing this bottle.) Recall that the Klein bottle with handles is nullbordant.

**COROLLARY.** *The Klein bottle does not embed in  $RP^3$ . In fact, a surface embeds in  $RP^3$  if and only if it is orientable or of odd Euler characteristic.*

Let  $L_d^{2n+1}$  be the quotient of  $S^{2n+1}$  defined by the coordinate-wise action in  $C^{n+1}$  of the  $d$ th roots of unity. Note that if  $d$  is odd,  $H_{2n}(L_d^{2n+1}; Z_2) = 0$  and hence all hypersurfaces are both orientable and nullbordant. Of course, with  $d = 2$  we just have Proposition 1.2.

**THEOREM A.** *Let  $M^{2n} \xrightarrow{i} L_d^{2n+1}$  be a hypersurface and let  $d = 2^r k$  with  $k$  odd. Then if  $M$  is orientable,  $M$  is nullbordant. If  $M$  is nonorientable, then  $d$  is even and,*

- (i) if  $r = 1$ ,  $M$  is cobordant to  $RP^{2n}$ ,
- (ii) if  $r > 2$ , and  $n$  is odd,  $M$  is nullbordant,
- (iii) if  $r > 2$ , and  $n$  is even,  $M$  is cobordant to  $RP^n \times RP^n$ .  $\square$

The proof of Theorem A is given in §2. For 3-dimensional lens spaces Bredon and Wood in [1] prove a much deeper result: the nonorientable surface of genus  $s$  embeds in  $L_{2s}^3$ , and this is in fact the minimum possible genus. They obtain as well the minimum genus of a nonorientable surface in the more general lens spaces  $L^3(p, q)$ .

The following proposition shows how to obtain nonimmersion results from nonembedding results in the case of orientable manifolds and thus extends the applicability of the previous ideas. Here  $\mathcal{U}_*(N)$  is the unoriented cobordism group of Conner and Floyd [2, p. 18].

**PROPOSITION 1.3.** *If  $M^{n-1} \xrightarrow{f} N^n$  is an immersion,  $M$  and  $N$  smooth closed orientable manifolds, then there is an orientable  $M_0^{n-1}$  and an embedding  $M_0 \xrightarrow{f_0} N^n$  where  $f$  and  $f_0$  represent the same element of  $\mathcal{U}_*(N)$ .*

**PROOF.** Let  $f_*[M] \in H_{n-1}(N; Z) \cong H^1(N; Z)$  be represented by a smooth map  $N \rightarrow S^1$ . Let  $M_0$  be the inverse image of a regular value and  $f_0$  the inclusion into  $N$ . Then  $f$  and  $f_0$  represent the same element of  $H_{n-1}(N; Z)$  hence of  $H_{n-1}(N; Z)$ . Then since the normal bundles are trivial, it is easy to see that they have equal Whitney numbers in the sense of [2, §17].  $\square$

**COROLLARY.** *If  $M^{2n}$  is orientable and immerses in  $L_d^{2n+1}$ , then  $M$  is nullbordant.*  $\square$

In this manner one can see for example that there are no codimension one immersions of complex projective spaces  $CP^{2n}$ ,  $n > 1$ , into lens spaces.

2. Corresponding to the various subgroups of the  $d$ th roots of unity we have the lattice of covering spaces

$$S^{2n+1} \rightarrow \dots \rightarrow L_{d_i}^{2n+1} \rightarrow \dots \rightarrow L_d^{2n+1}$$

where each  $d_i$  is a divisor of  $d$ . Thus if  $d$  is even,  $RP^{2n+1}$  is a covering space intermediate between  $L_d^{2n+1}$  and  $S^{2n+1}$ . The next proposition together with the results of §1 will then establish parts (i) and (ii) of Theorem A: lift  $M$  back to its

preimage  $\bar{M}$  in (i)  $S^{2n+1}$  or (ii)  $RP^{2n+1}$  respectively, and apply the proposition. Of course (i) also follows from Proposition 1.1.

**PROPOSITION 2.1.** *If  $\bar{M} \xrightarrow{p} M$  is an odd degree covering projection, then  $\bar{M}$  is cobordant to  $M$ .*

**PROOF.** Since  $p_*[\bar{M}] = [M]$  in homology with  $Z_2$  coefficients, it follows immediately from naturality that the Stiefel-Whitney numbers are equal.  $\square$

From here on we assume  $d = 2^r$  with  $r > 2$ , for if  $d = 2^r k$  and  $k$  is odd, we may lift  $M$  in  $L_d^{2n+1}$  back to  $\bar{M}$  in  $L_{2^r}^{2n+1}$  and apply Proposition 2.1 to the  $k$ -fold cover of  $M$  by  $\bar{M}$ . If  $\bar{M}$  is not connected, choose some component of  $\bar{M}$  which is an odd degree cover of  $M$ . Note that the case  $r = 1$  is given in Proposition 1.2.

Proposition 2.2 below gives the  $Z_2$  cohomology ring of  $L_{2^r}^{2n+1}$ . The additive structure of course is well known to be  $Z_2$  in all degrees.

**PROPOSITION 2.2.**  *$H^*(L_{2^r}^{2n+1}; Z_2)$ ,  $r > 2$ , is  $Z_2$  in each degree generated by  $u$ , a of degrees one and two respectively, where  $u^2 = 0$ . Furthermore, the total Stiefel-Whitney class of  $L_{2^r}^{2n+1}$  is  $W(L) = (1 + a)^{n+1}$ .*

**PROOF.** This result follows by induction on  $r$  from the following observations. Let  $\bar{N} \xrightarrow{p} N$  be a connected 2-fold cover where the  $Z_2$  cohomology of  $\bar{N}$  and of  $N$  is (additively)  $Z_2$  in every degree. Let  $u \in H^1(N; Z_2)$  be the nonzero element. Then the Gysin sequence for  $p$  is

$$\begin{aligned} H^0(N) \xrightarrow{\cong} H^1(N) \xrightarrow{p^*} \dots \\ 1 \mapsto u \\ \dots \rightarrow H^j(N) \xrightarrow{p^*} H^j(\bar{N}) \rightarrow H^j(N) \xrightarrow{u} H^{j+1}(N) \rightarrow \dots \end{aligned}$$

Thus  $p^*$  is zero in odd degrees and an isomorphism in even degrees. Further, if  $q^*(x) = x \cup u$ ,  $q^*$  is also zero in odd degrees and an isomorphism in even degrees. In particular,  $u^2 = 0$  and the odd degree Stiefel-Whitney classes of  $\bar{N}$  are zero by naturality. Thus considering the composition of coverings

$$RP^{2n+1} \rightarrow L_4 \rightarrow \dots \rightarrow L_{2^r} \rightarrow L_{2^{r+1}}$$

we deduce that  $w_j(L_{2^r}) = w_j(RP^{2n+1})$  because  $p^*$  is an isomorphism for  $j$  even and because both classes are zero for  $j$  odd.  $\square$

Let  $l = 2^j$  be the highest power of 2 which divides  $n$ . Then  $W(L) = (1 + a)^n = (1 + a^l)^{\text{odd}} = 1 + a^l + \dots \pmod{2}$ . Hence we have

**LEMMA 2.1.** *Let  $\bar{w}_k$  denote the  $k$ th Stiefel-Whitney class of  $L_{2^r}^{2n+1}$ . If  $\bar{w}_k \neq 0$ , then  $l = 2^j$  divides  $k$  and  $\bar{w}_k = \bar{w}_l^m$  where  $lm = k$ .  $\square$*

**LEMMA 2.2.** *Let  $w_k$  be the  $k$ th Stiefel-Whitney class of a nonorientable manifold  $M^{2n}$  and let  $u \in H^1(L_{2^r}^{2n+1}; Z_2)$  be the nonzero element. Let  $M \xrightarrow{i} L_{2^r}^{2n+1}$  be an embedding. Then*

- (i)  $w_k = i^*(\bar{w}_{k-1}u)$  if  $k$  is odd,
- (ii)  $w_k = i^*(\bar{w}_k)$  if  $k$  is even.

PROOF. Since  $M$  is nonorientable,  $i_*[M] \neq 0$  in  $Z_2$  homology. The class  $w_1(\nu(i)) = i^*u$  is Poincaré dual to  $i_*[M]$ . Thus  $i^*W(L) = W(M) \cup (1 + i^*u)$ . Since  $u^2 = 0$ , this gives  $W(M) = i^*(W(L) \cup (1 + u))$ . Hence, since  $\bar{w}_k = 0$  for  $k$  odd,

$$w_k = i^*(\bar{w}_k + \bar{w}_{k-1}u) = \begin{cases} i^*\bar{w}_k, & k \text{ even,} \\ i^*(\bar{w}_{k-1}u), & k \text{ odd.} \quad \square \end{cases}$$

We now conclude the proof of Theorem A.

PROOF OF THEOREM A (iii). Assume  $n$  odd. Consider a nonzero Stiefel-Whitney number of  $M$

$$\begin{aligned} 0 \neq \langle w_1^{r_1} \cdots w_j^{r_j}, [M] \rangle &= \langle i^*(\alpha u^{r_0}), [M] \rangle \\ &= \langle \alpha u^{r_0}, u \cap [L] \rangle = \langle \alpha u^{r_0+1}, [L] \rangle. \end{aligned}$$

Here  $\alpha$  is a monomial in even degree  $\bar{w}$ 's given by Lemma 2.2(i). Since  $u^2 = 0$ ,  $r_0 = 0$ , thus all  $w_k$ 's here are of even degree. Thus this Stiefel-Whitney number is  $\langle \bar{w}_{2^j}^{m_j}, u \cap [L] \rangle$  where  $\bar{w}_{2^j}$  is the first nonzero Stiefel-Whitney class of  $L$ . Thus  $m2^j = 2n$  so  $m2^{j-1}$  is odd (since  $n$  is odd). But  $j > 1$  since when  $n$  is odd  $\bar{w}_2 = 0$  ( $\bar{w}_2 = \binom{n+1}{1}$ ). This is a contradiction, hence all Stiefel-Whitney numbers of  $M$  are zero.

PROOF OF THEOREM A (iv). Assume  $n$  even. In this case as well, any monomial with an odd degree Stiefel-Whitney class will yield a zero Stiefel-Whitney number of  $M$  because of the introduction of  $u^2 = 0$ . Let us now establish this property for the Stiefel-Whitney numbers of  $RP^n \times RP^n$ .

Let  $\bar{\omega}_k, \omega_k$  be the  $k$ th Stiefel-Whitney classes of  $RP^n \times RP^n$  and  $RP^n$  respectively. Recall that  $\bar{\omega}_k = \sum_{j=0}^k \omega_j \times \omega_{k-j}$  and  $\omega_{k-j} \times \omega_j$  are either both zero or both nonzero. Thus if  $k$  is odd, a Stiefel-Whitney number having a monomial with  $\bar{\omega}_k$  becomes twice the corresponding Stiefel-Whitney number with  $\bar{\omega}_k$  replaced by  $\sum_{j=0}^{(k-1)/2} \omega_j \times \omega_{k-j}$ . Thus any Stiefel-Whitney number of  $RP^n \times RP^n$  with an odd degree Stiefel-Whitney class will be zero. A similar, somewhat more involved argument will establish the equivalence of statements (1) and (2) below.

We complete the proof of Theorem A (iv) by noting successively that the statements (1)–(7) below are equivalent. For this we need to establish that  $i^*$  is one to one in even degrees. To see this note  $(i^*a)^n \neq 0$  since  $\langle i^*a^n, [M] \rangle = \langle a^n u, [L] \rangle \neq 0$  by Proposition 2.2. The following chain of equivalent statements completes the demonstration that  $M$  and  $RP^n \times RP^n$  have the same Stiefel-Whitney numbers.

- (1)  $\langle \bar{\omega}_2^{r_1} \cdots \bar{\omega}_{2s}^{r_s}, [RP^n \times RP^n] \rangle \neq 0$ ,
- (2)  $\langle (\omega_1 \times \omega_1)^{r_1} \cdots (\omega_s \times \omega_s)^{r_s}, [RP^n \times RP^n] \rangle \neq 0$ ,
- (3)  $\omega_i \times \omega_i \neq 0$  for all  $i$ ,
- (4)  $\omega_i \neq 0$  for all  $i$ ,
- (5)  $\bar{w}_{2i}^{r_i} \neq 0$  for all  $i$  (a direct computation),
- (6)  $w_{r_i}^{r_i} \neq 0$  for all  $i$  (Lemma 2.3 since  $i^*$  is 1-1),
- (7)  $\langle w_2^{r_1} \cdots w_{2s}^{r_s}, [M] \rangle \neq 0$  (Lemma 2.2).  $\square$

## BIBLIOGRAPHY

1. G. E. Bredon and J. W. Wood, *Non-orientable surfaces in orientable 3-manifolds*, Invent. Math. **7** (1969), 83–110.
2. P. E. Conner and E. E. Floyd, *Differentiable periodic maps*, Academic Press, New York, 1964.
3. J. H. C. Creighton, *An elementary proof of the classification of surfaces in real projective 3-space*, Proc. Amer. Math. Soc **72** (1978), 191–192.
4. John W. Milnor and James D. Stasheff, *Characteristic classes*, Princeton Univ. Press., Princeton, N. J., 1974.
5. R. Thom, *Quelques propriétés globales des variétés différentiables*, Comment. Math. Helv. **28** (1954), 17–86.

*Current address:* Sandeepany West, P. O. Box 9, Piercy, California 95467