

## A GENERALIZATION OF A THEOREM OF AYOUB AND CHOWLA

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ABSTRACT. Let  $\chi_1$  and  $\chi_2$  be characters modulo  $q_1$  and  $q_2$ , respectively, where  $q_1$  and  $q_2$  are positive integers. Let

$$f(n) = \sum_{d|n} \chi_1(d)\chi_2(n/d).$$

In this paper we shall give an estimate for the sum

$$\sum_{n \leq x} f(n) \log(x/n).$$

**1. Introduction.** Let  $\chi_1$  and  $\chi_2$  be characters modulo  $q_1$  and  $q_2$ , respectively, where  $q_1$  and  $q_2$  are positive integers. Let

$$f(n) = \sum_{d|n} \chi_1(d)\chi_2(n/d).$$

In this paper we shall give an estimate for the sum

$$\sum_{n \leq x} f(n) \log(x/n).$$

In [2] Ayoub and Chowla considered the case  $\chi_1 \equiv 1$ ,  $q_1 = 1$  and  $\chi_2$  the Kronecker character. In [3] Müller considered the case  $\chi_1 \equiv 1$  and  $\chi_2$  the nonprincipal character modulo 4.

We shall prove the following theorem.

**THEOREM.** *We have, as  $x \rightarrow \infty$ ,*

$$\begin{aligned} \sum_{n \leq x} f(n) \log(x/n) &= C_1(\chi_1, \chi_2)x \log x + C_2(\chi_1, \chi_2)x \\ &\quad + C_3(\chi_1, \chi_2) \log x + C_4(\chi_1, \chi_2) + O(x^{-2/3}), \end{aligned}$$

where the  $C_j(\chi_1, \chi_2)$ ,  $1 \leq j \leq 4$ , are certain constants to be determined below (see (3.10) and (4.5)).

In what follows we shall use the notation  $\int_{(a,T)}$  to stand for the integral  $\int_{a-iT}^{a+iT}$ .

### 2. Lemmas.

**LEMMA 1.** *Let  $\chi$  be a character modulo  $q$  and let  $L(s, \chi)$  be the associated Dirichlet  $L$  series. Then, for  $s \neq 1$ , we have*

$$L(s, \chi) = q^{-s} \sum_{n=1}^q \chi(n) \zeta(s, n/q),$$

where  $\zeta(s, n/q)$  is the Hurwitz zeta function.

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PROOF. For  $\text{Re}(s) > 1$ , we have

$$\begin{aligned} L(s, \chi) &= \sum_{n=1}^{\infty} \chi(n)n^{-s} = \sum_{m=0}^{\infty} \sum_{n=1}^q \chi(mq+n)(mq+n)^{-s} \\ &= \sum_{n=1}^q \chi(n) \sum_{m=0}^{\infty} (mq+n)^{-s} = q^{-s} \sum_{n=1}^q \chi(n)\zeta(s, n/q). \end{aligned}$$

Now  $\zeta(s, n/q)$  can be continued to a meromorphic function in the entire complex plane whose only singularity is a simple pole at  $s = 1$  (see [5, §13.13]). Since  $L(s, \chi)$  can be continued to a meromorphic function in the complex plane with at most a simple pole at  $s = 1$  the result follows by analytic continuation and completes the proof of the lemma.

LEMMA 2. (1) Let  $0 < a \leq 1$ . Then we have, as  $|t| \rightarrow \infty$ ,

$$\zeta(\sigma + it, a) \ll \begin{cases} 1 & \text{if } \sigma > 1, \\ |t|^{(1-\sigma)/2} \log |t| & \text{if } 0 \leq \sigma \leq 1, \\ |t|^{1/2-\sigma} \log |t| & \text{if } \sigma < 0. \end{cases}$$

(2) Let  $L(s, \chi)$  be as in Lemma 1. Then we have, as  $|t| \rightarrow \infty$ ,

$$L(\sigma + it, \chi) \ll \begin{cases} 1 & \text{if } \sigma > 1, \\ (q|t|)^{(1-\sigma)/2} \log(q|t|) & \text{if } 0 \leq \sigma \leq 1, \\ (q|t|)^{1/2-\sigma} \log(q|t|) & \text{if } \sigma < 0. \end{cases}$$

PROOF. (1) is proved in [5, p. 276] and (2) follows from (1) easily by use of Lemma 1.

LEMMA 3. Let  $L(s, \chi)$  be as in Lemma 1. Let

$$G(n, \chi) = \sum_{m=1}^q \chi(m) \exp(2\pi imn/q).$$

For  $\text{Re}(s) > 1$ , let

$$L^*(s, \chi) = \sum_{n=1}^{\infty} G(n, \chi)n^{-s}.$$

Then  $L(s, \chi)$  and  $L^*(s, \chi)$  satisfy the functional equation

$$L(s, \chi) = (i/q)(2\pi/q)^{s-1} \Gamma(1-s) \left\{ e^{\pi is/2} + \chi(-1)e^{-\pi is/2} \right\} L^*(1-s, \chi).$$

This result can be found in [1, Theorems 1 and 3].

3. Proof of the theorem. For  $\text{Re}(s) > 1$ , if we let

$$(3.1) \quad F(s) = \sum_{n=1}^{\infty} f(n)n^{-s},$$

then we have

$$(3.2) \quad F(s) = L(s, \chi_1)L(s, \chi_2).$$

By Perron's formula, we have, by (3.1),

$$(3.3) \quad \sum_{n \leq x} f(n) \log(x/n) = \frac{1}{2\pi i} \int_{(1+1/\log x, T)} F(s)x^s s^{-2} ds + O(x^\delta/T + x^{1+\delta} \log x/T^2),$$

for any fixed  $\delta > 0$ .

Let  $T > 1$  and  $R_T$  be the rectangle with vertices:  $1+1/\log x \pm iT$  and  $-1/14 \pm iT$ . Then on the interior of  $R_T F(s)x^s s^{-2}$  has a double pole at  $s = 0$  and a double, single or no pole at  $s = 1$ , depending on whether both, one or none of the characters,  $\chi_1$  and  $\chi_2$ , are principal characters. On  $R_T x^s F(s)s^{-2}$  is analytic. Thus, by the residue theorem, we have

$$(3.4) \quad \frac{1}{2\pi i} \int_{R_T} F(s)x^s s^{-2} ds = \text{res}(F(s)s^{-2}x^s, 0) + \text{res}(F(s)s^{-2}x^s, 1).$$

In a neighborhood of  $s = 0$  we have

$$\begin{aligned} x^s s^{-2} f(s) &= s^{-2}(1 + s \log x + \frac{1}{2}s^2 + O(s^3))(F(0) + F'(0)s + O(s^2)) \\ &= F(0)s^{-2} + (F(0) \log x + F'(0))s^{-1} + O(1). \end{aligned}$$

Thus

$$(3.5) \quad \text{res}(x^s s^{-2} F(s), 0) = F(0) \log x + F'(0).$$

If neither character is principal, then

$$(3.6) \quad \text{res}(x^s F(s)s^{-2}, 1) = 0.$$

Suppose  $\chi_1$  is a principal character modulo  $q_1$  and  $\chi_2$  is a nonprincipal character modulo  $q_2$ . Then, in a neighborhood of  $s = 1$ , we have

$$\begin{aligned} L(s, \chi_1) &= \gamma_{-1}(q_1)(s-1)^{-1} + \gamma(q_1) + O(s-1), \\ L(s, \chi_2) &= L(1, \chi_2) + L'(1, \chi_2)(s-1) + O(s-1)^2, \\ x^s &= x(1 + (s-1) \log x + O(s-1)^2) \end{aligned}$$

and

$$s^2 = 1 - (s-1) + O(s-1)^2.$$

Combining these expansions gives

$$(3.7) \quad \text{res}(x^s F(s)s^{-2}, 1) = L(1, \chi_2) \gamma_{-1}(q_1)x.$$

Similarly, if  $\chi_1$  is a nonprincipal character modulo  $q_1$  and  $\chi_2$  is the principal character modulo  $q_2$ , then

$$(3.8) \quad \text{res}(x^s F(s)s^{-2}, 1) = L(1, \chi_1) \gamma_{-1}(q_2)x.$$

If both  $\chi_1$  and  $\chi_2$  are principal characters, then we have, as above,

$$(3.9) \quad \begin{aligned} \text{res}(x^s F(s)s^{-2}, 1) &= \gamma_{-1}(q_1) \gamma_{-1}(q_2)x \log x \\ &\quad + (\gamma_{-1}(q_1) \gamma(q_2) + \gamma_{-1}(q_2) \gamma(q_1) - \gamma_{-1}(q_1) \gamma_{-1}(q_2))x. \end{aligned}$$

Let

$$\begin{aligned}
 (3.10) \quad C_1(\chi_1, \chi_2) &= \begin{cases} \gamma_{-1}(q_1)\gamma_{-1}(q_2) & \text{if } \chi_1 \text{ and } \chi_2 \text{ are principal,} \\ 0 & \text{else,} \end{cases} \\
 C_2(\chi_1, \chi_2) &= \begin{cases} -\gamma_{-1}(q_1)\gamma_{-1}(q_2) + \gamma_{-1}(q_1)\gamma(q_2) + \gamma_{-1}(q_2)\gamma(q_1) & \text{if both } \chi_1 \text{ and } \chi_2 \text{ are principal,} \\ L(1, \chi_2)\gamma_{-1}(q_1) & \text{if } \chi_1 \text{ principal, } \chi_2 \text{ not,} \\ L(1, \chi_1)\gamma_{-1}(q_2) & \text{if } \chi_2 \text{ principal, } \chi_1 \text{ not,} \\ 0 & \text{neither character principal,} \end{cases} \\
 C_3(\chi_1, \chi_2) &= F(0) \quad \text{and} \quad C_4(\chi_1, \chi_2) = F'(0).
 \end{aligned}$$

Then, by (3.4)–(3.10), we have

$$(3.11) \quad \frac{1}{2\pi i} \int_{R_T} F(s)x^s s^{-2} ds = C_1(\chi_1, \chi_2)x \log x + C_2(\chi_1, \chi_2)x + C_3(\chi_1, \chi_2)\log x + c_4(\chi_1, \chi_2).$$

By Lemma 2 and (3.2), we have

$$\begin{aligned}
 (3.12) \quad & \left| \int_{1+1/\log x \pm iT}^{-1/14 \pm iT} x^s F(s) s^{-2} ds \right| \\
 &= \left| \int_{1+1/\log x}^{-1/14} x^{\sigma \pm iT} (\sigma \pm iT)^{-2} F(\sigma \pm iT) d\sigma \right| \\
 &= \left| \left\{ \int_{1+1/\log x}^1 + \int_1^0 + \int_0^{-1/14} \right\} x^{\sigma \pm iT} (\sigma \pm iT)^{-2} F(\sigma \pm iT) d\sigma \right| \\
 &\ll x/T^2 + x \log^2 T/T + T^{-6/7} \log^2 T.
 \end{aligned}$$

To estimate the fourth side of  $R_T$ , the integral

$$(3.13) \quad \int_{(-1/14, T)} x^s F(s) s^{-2} ds = \int_{-T}^T x^{-1/14 + it} (-1/14 + it)^2 F(-1/14 + it) dt,$$

we use the relation (3.2) and the functional equation given in Lemma 3 to rewrite the integral on the right-hand side of (3.13). This is then estimated, by Sterling’s formula and a lemma of van der Corput [4, Lemma 4.5], as in [4, pp. 265–266]. The result is

$$(3.14) \quad \int_{(-1/14, T)} x^s F(s) s^{-2} ds \ll T^{-6/7} + x^{-1/14} T^{-5/14}.$$

Thus, (3.3), (3.11), (3.12) and (3.14), we have

$$\begin{aligned}
 \sum_{n \leq x} f(n) \log(x/n) &= C_1(\chi_1, \chi_2)x \log x + C_2(\chi_1, \chi_2)x \\
 &\quad + C_3(\chi_1, \chi_2)\log x + C_4(\chi_1, \chi_2) \\
 &\quad + O(x^6/T + T^{-2}x^{1+6} \log x + x/T^2 + T^{-6/7} \log^2 T \\
 &\quad \quad + x \log^2 T/T + x^{-1/14} T^{-5/14} + T^{-6/7}).
 \end{aligned}$$

If we choose  $T = x^{5/3}$  we get the result of the theorem. This completes the proof of the theorem.

**4. Further computation of the coefficients  $C_j(\chi_1, \chi_2)$ .**

LEMMA 4. Let  $\chi_0$  be the principal character modulo  $q$ . If, in a neighborhood of  $s = 1$ ,

$$L(s, \chi_0) = \gamma_{-1}(q)(s - 1)^{-1} + \gamma(q) + O_q(s - 1),$$

then

$$(4.1) \quad \gamma_{-1}(q) = \varphi(q)/q \quad \text{and} \quad \gamma(q) = \frac{\varphi(q)}{q} \left\{ \sum_{p|q} \frac{\log p}{p-1} + \gamma \right\},$$

where  $\varphi(q)$  is Euler's function and  $\gamma$  is Euler's constant.

PROOF. We have

$$(4.2) \quad L(s, \chi_0) = \prod_{p|q} (1 - p^{-s}) \zeta(s).$$

In a neighborhood of  $s = 1$ , we have

$$(4.3) \quad \zeta(s) = (s - 1)^{-1} + \gamma + O(s - 1)$$

and

$$(4.4) \quad \prod_{p|q} (1 - p^{-s}) = \frac{\varphi(q)}{q} \left\{ 1 + \sum_{p|q} \frac{\log p}{p-1} (s - 1) + O_q(s - 1) \right\}.$$

If we combine the representations (4.2)–(4.4), the result, (4.1), follows by comparing coefficients and completes the proof of the lemma.

LEMMA 5. Let  $L(s, \chi)$  be as in Lemma 1. Then

$$L(0, \chi) = \sum_{n=1}^q \chi(n) \left( \frac{1}{2} - n/q \right)$$

and

$$L'(0, \chi) = \log q \sum_{n=1}^q \chi(n) \left( \frac{1}{2} - n/q \right) + \sum_{n=1}^q (\log \Gamma(n/q) - \frac{1}{2} \log 2\pi) \chi(n).$$

PROOF. By Lemma 1, we have

$$L(s, \chi) = q^{-s} \sum_{n=1}^q \chi(n) \zeta(s, n/q).$$

Thus

$$L'(s, \chi) = q^{-s} \log q \sum_{n=1}^q \chi(n) \zeta(s, n/q).$$

Thus

$$L(0, \chi) = \sum_{n=1}^q \chi(n) \zeta(0, n/q)$$

and

$$L'(0, \chi) = \log q \sum_{n=1}^q \chi(n) \zeta(0, n/q) + \sum_{n=1}^q \chi(n) \zeta'(0, n/q).$$

By [5, p. 271], we have

$$\zeta(0, n/q) = \frac{1}{2} - n/q \quad \text{and} \quad \zeta'(0, n/q) = \log \Gamma(n/q) - \frac{1}{2} \log 2\pi.$$

Combining these results gives the lemma and completes the proof. Thus, by (3.2), (3.10) and Lemmas 4 and 5, we have

$$\begin{aligned}
 (4.5) \quad C_1(\chi_1, \chi_2) &= \begin{cases} \varphi(q_1)\varphi(q_2)/q_1q_2 & \text{if both characters are principal,} \\ 0 & \text{else,} \end{cases} \\
 C_2(\chi_1, \chi_2) &= \begin{cases} \frac{\varphi(q_1)\varphi(q_2)}{q_1q_2} \left\{ \left( \sum_{p|q_1} + \sum_{p|q_2} \right) \frac{\log p}{p-1} + 2\gamma - 1 \right\} & \text{if both characters are principal,} \\ L(1, \chi_2)\varphi(q_1)/q_1 & \text{if } \chi_1 \text{ is principal, } \chi_2 \text{ not,} \\ L(1, \chi_1)\varphi(q_2)/q_2 & \text{if } \chi_2 \text{ is principal, } \chi_1 \text{ not,} \\ 0 & \text{else,} \end{cases} \\
 C_3(\chi_1, \chi_2) &= \sum_{n=1}^{q_1} \chi_1(n) \left(\frac{1}{2} - n/q_1\right) \sum_{n=1}^{q_2} \chi_2(n) \left(\frac{1}{2} - n/q_2\right), \text{ and} \\
 C_4(\chi_1, \chi_2) &= \sum_{n=1}^{q_1} \chi_1(n) \left(\frac{1}{2} - n/q_1\right) \sum_{n=1}^{q_2} \chi_2(n) (\log \Gamma(n/q_2) - \frac{1}{2} \log 2\pi) \\
 &\quad + \sum_{n=1}^{q_1} \chi_1(n) (\log \Gamma(n/q_1) - \frac{1}{2} \log 2\pi) \sum_{n=1}^{q_2} \chi_2(n) \left(\frac{1}{2} - n/q_2\right) \\
 &\quad + (\log q_1q_2)C_3(\chi_1, \chi_2).
 \end{aligned}$$

**5. Examples.**

EXAMPLE 1. Let  $\chi_1 = \chi_2 \equiv 1$  and  $q_1 = q_2 = 1$ . Then  $f(n) = d(n)$ , the divisor function. The theorem gives

$$\sum_{n \leq x} f(n) \log(x/n) = x \log x + (2\gamma - 1)x + \frac{1}{4} \log x + \frac{1}{2} \log 2\pi + O(x^{-2/3}),$$

as  $x \rightarrow \infty$ .

EXAMPLE 2. Let  $\chi_1 \neq 1$ ,  $q_1 = 1$  and  $q_2 = 4$  with  $\chi_2$  the nonprincipal character modulo 4. Then  $f(n) = \frac{1}{4} r(n)$ , where  $r(n)$  is the number of representations of  $n$  as a sum of two squares. The theorem gives, as  $x \rightarrow \infty$ ,

$$\sum_{n \leq x} r(n) \log(x/n) = \pi x + \log x - \log(\pi/2) - 2 \log(\Gamma(\frac{1}{4})/\Gamma(\frac{3}{4})) + O(x^{-2/3}).$$

This betters the result of Müller [3] who obtained an error term of  $O(x^{-1/4})$ .

EXAMPLE 3. Let  $q_1 = 1$ ,  $\chi_1 \equiv 1$  and  $\chi_2$  be the Kronecker character modulo  $-q$ . Then  $f(n)$  is the number of integral ideals of norm  $n$  in the imaginary quadratic field  $Q(\sqrt{-q})$ . The theorem gives, as  $x \rightarrow \infty$ ,

$$\begin{aligned}
 \sum_{n \leq x} f(n) \log(x/n) &= L(1, \chi_2)x + q^{-1} \sum_{n=1}^q n(q/n) \log x \\
 &\quad - \left(\frac{1}{2} \log 2\pi\right)q^{-1} \sum_{n=1}^q n(q/n) + (\log q/2q) \sum_{n=1}^q n(q/n) \\
 &\quad - \frac{1}{2} \sum_{n=1}^q (q/n) \log \Gamma(n/q) + O(x^{-2/3}),
 \end{aligned}$$

where  $(q/n)$  is the Kronecker character. This betters the result of Ayoub and Chowla [2] who obtained an error term of  $O(x^{-1/4})$ .

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