

## SOME PROPERTIES OF BOREL SUBGROUPS OF REAL NUMBERS

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**ABSTRACT.** As a consequence of Souslin's theorem, we obtain the following: if  $G$  and  $H$  both are analytic subgroups of  $\mathbf{R}$  such that  $G + H = \mathbf{R}$  and  $G \cap H = \{0\}$ , then either  $G = \mathbf{R}$  or  $G = \{0\}$ . Next we obtain some measure and topological properties for uncountable proper Borel subgroups of reals. Finally, we prove that if  $E$  is a vector subspace of  $\mathbf{R}$  over the rationals which admits an uncountable Borel basis, then there exists no Polish topology on  $E$  such that  $E$  is a topological group with the given Borel structure generated by the open sets.

**1. Introduction.** In [12], J. von Neumann constructed a set  $A$  of real numbers which is algebraically independent (over the field of rational numbers  $\mathbf{Q}$ ) and has the power of continuum. The elements of  $A$  are the reals  $f(x)$  given by

$$f(x) = \sum_{n=0}^{\infty} 2^{2^{10n(n+1)}} / 2^{2^{n^2}}, \quad x > 0.$$

This function  $f$  is strictly increasing, so one-one and Borel; hence  $A$  is a Borel set by Lusin's theorem [8, p. 176]. If  $P$  is a proper nonvoid perfect compact subset of  $A$ , the vector subspace  $G$  of  $\mathbf{R}$  over the rationals generated by  $P$  gives us an example of an uncountable proper Borel subgroup (in fact a  $\sigma$ -compact one) of the reals. More generally, J. Mycielski proved in [11, Theorem 2] that every nonvoid perfect set of reals contains a nonvoid algebraically independent perfect subset; this is the key theoretic tool for the construction of such subgroups of  $\mathbf{R}$ . Finally, let us recall that any proper analytic subgroup of  $\mathbf{R}$ , both is a meager and a null set. (But note that a null subgroup of the reals is not always a meager set, see [4].)

### 2. A consequence of Souslin's theorem.

**THEOREM 1.** *If  $G$  and  $H$  both are analytic subgroups of  $\mathbf{R}$  such that  $G + H = \mathbf{R}$  and  $G \cap H = \{0\}$ , then either  $G = \mathbf{R}$  or  $G = \{0\}$ .*

**PROOF.** We equip both  $G$  and  $H$  with their relativized Borel structure. The map  $f$  of  $G \times H$  onto  $\mathbf{R}$  defined by  $f(x, y) = x + y$  is one-one and Borel; hence it is a Borel isomorphism, in virtue of Souslin's theorem [3, p. 135]. Let  $g$  denote the inverse map of  $f$ ; we have  $g(t) = (x(t), y(t))$ , for every real  $t$ . Hence both  $x$  and  $y$  are Borel

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group homomorphisms; so they are continuous, by virtue of a theorem by Banach which asserts that every Borel homomorphism of a Polish group  $E$  to a separable metric group  $F$  is continuous [1, Theorem 4, p. 23]. Then both  $G$  and  $H$  are closed in  $\mathbf{R}$ . But one knows that a closed proper subgroup of the reals takes the form of an  $a\mathbf{Z}$ , for some real  $a$ . Now we conclude that either  $G = \mathbf{R}$  or  $G = \{0\}$ .

REMARK. This theorem fails if  $H$  is supposed only to be a null subgroup: see [5, p. 192], in which the authors exhibit such an  $H$  which is moreover a Lusin set, if *continuum hypothesis* holds.

COROLLARY. *Let  $K$  be an analytic proper subfield of the reals. There is no analytic basis  $A$  for  $\mathbf{R}$  as a  $K$  vector space.*

PROOF. Suppose that such an  $A$  exists; hence for any  $a$  in  $A$ , both the subspaces  $G$  and  $H$  generated respectively by  $\{a\}$  and  $A \setminus \{a\}$  are analytic subgroups of  $\mathbf{R}$ . But this is impossible, by virtue of the preceding theorem.

In the case  $K = \mathbf{Q}$ , this corollary is in fact the classical result by Sierpiński: there is no Hamel basis in  $\mathbf{R}$  which is an analytic set [13, p. 110].

Let us remark that if  $K$  is a nonanalytic subfield of the reals, there may exist analytic bases for  $\mathbf{R}$  as a  $K$  vector space; see [3], for an example with such a countable (infinite) basis.

**3. A measure theoretic result.** Throughout this section, we denote by  $G$  an uncountable proper Borel subgroup of the reals, equipped with its given Borel structure (the relativized one).

THEOREM 2. *There exists no nonzero Borel measure  $\mu$  on  $G$  which is quasi-invariant (for the action of  $G$  on  $G$ ).*

PROOF. Let us suppose that such a measure  $\mu$  exists on  $G$ . By the Weil-Mackey theorem [9, p. 146], there exists a unique locally compact second countable topology on  $G$  such that  $G$  is a topological group with the given Borel structure generated by the open sets. This topology is not discrete, since  $G$  is uncountable. As a locally compact abelian group,  $G$  is (topologically) isomorphic to a direct product  $\mathbf{R}^n \times H$ , in which  $H$  contains a compact open subgroup [6, Theorem 3.2, p. 69]. We have necessarily  $n = 0$ , otherwise we can inject the connected group  $\mathbf{R}^n \times \{0\}$  into  $G$ . Hence  $G$  is isomorphic to  $H$ , and so it contains a compact open subgroup  $K$ ; but this  $K$  will also be a compact subgroup of  $\mathbf{R}$ , which implies  $K = \{0\}$ . Now the zero subgroup is open in  $G$ , which is impossible since the topology on  $G$  is not the discrete one.

COROLLARY. *There exists no locally compact topology on  $G$  such that  $G$  is a topological group with the given Borel structure generated by the open sets.*

PROOF. If such a topology exists on  $G$ , it contains a compact open subgroup  $K$ , by the same argument as above. This  $K$  cannot be uncountable, in virtue of the precedent theorem; hence  $K$  is finite, since it is both compact and countable; so  $K$  is the zero subgroup in the reals. Now the group  $G$  both is uncountable and discrete, which is impossible since the Borel sets in  $G$  are not the whole subsets of  $G$ .

4. In this last section, we are concerned only with vector subspaces of  $\mathbf{R}$  over the rationals which admit Borel bases; such vector subspaces are Borel sets in  $\mathbf{R}$ ; this is a result by R. D. Mauldin [10, p. 263]; using the same argument, we also obtain the following.

PROPOSITION. *Let  $E$  and  $E'$  both be vector subspaces of  $\mathbf{R}$ , over the rationals, both of which admit respective Borel bases  $B$  and  $B'$  such that  $\text{card}(B) = \text{card}(B')$ . Then  $E$  and  $E'$  are isomorphic, as Borel (standard) groups.*

PROOF. We may restrict our attention to the case in which both  $B$  and  $B'$  have the power of continuum. For every integer  $n > 0$ , let  $B_n$  be the Borel subset of the  $n$ -copies product  $B^n$ , the elements of which are the  $n$ -tuples of all different basis vectors. Let us define a map  $f_n$  of  $B_n \times \mathbf{Q}^n$  to  $\mathbf{R}$  by

$$f_n(b_1, \dots, b_n; r_1, \dots, r_n) = \sum r_i b_i.$$

Hence the set  $E_n = f_n(B_n \times \mathbf{Q}^n)$  is Borel (Mauldin, [10]), and  $E$  is equal to the increasing union of the sequence of Borel sets  $E_n$ . Using a result by R. R. Kallman [7, Proposition 7.2, p. 240], we can obtain a Borel map  $s_n$  of  $E_n$  to  $B_n \times \mathbf{Q}^n$  such that if

$$s_n(t) = (b_1(t), \dots, b_n(t); r_1(t), \dots, r_n(t)),$$

then we have  $\sum r_i(t) b_i(t) = t$ , for all  $t$  in  $E_n$ .

Let us consider now both the bases  $B$  and  $B'$ ; there exists a Borel isomorphism  $g$  of  $B$  onto  $B'$  [8, p. 114]; we may naturally associate to it a  $g_n$  of  $B_n \times \mathbf{Q}^n$  onto  $B'_n \times \mathbf{Q}^n$ , given by

$$g_n(b_1, \dots, b_n; r_1, \dots, r_n) = (g(b_1), \dots, g(b_n); r_1, \dots, r_n).$$

(We define  $B'_n, f'_n$  and  $E'_n$  related to  $E'$  in the same way as the corresponding ones related to  $E$ .) Now we see that the composed map  $h_n = f'_n \circ g_n \circ s_n$  is one-one and Borel of  $E_n$  onto  $E'_n$ , and so it is a Borel isomorphism, by Souslin's theorem. Let  $t$  in  $E_n$  be given by  $t = \sum r_i b_i$ ; thus  $h_n(t) = \sum r_i g(b_i)$ ; so if we define a map  $h$  of  $E$  to  $E'$  by  $h(t) = h_n(t)$ , whenever  $t$  is in  $E_n$ , we will obtain the desired Borel groups isomorphism.

THEOREM 3. *Let  $E$  be a vector subspace of  $\mathbf{R}$  over the rationals which admits an uncountable Borel basis  $B$ . Then there exists no Polish topology on  $E$  such that  $G$  is a topological group with the given Borel structure generated by the open sets.*

PROOF. (The following proof is Mauldin's, the original one by the author being much more complicated.) Let us suppose that such a topology exists on  $E$ . Write  $B$  as a strictly increasing union of a sequence of Borel sets  $B_n$ , and let  $E_n$  be the corresponding vector subspace generated by  $B_n$ . We may assume that  $E_1$  is dense in  $E$  (equipped with its Polish topology). Each  $E_n$  is an analytic subgroup of  $E$  (in fact a Borel one), and therefore has the Baire property. One of the  $E_n$ 's must be of the second category; hence this  $E_n$  is closed and open in  $E$ , by [1, Theorem 1, p. 21]. It follows that this  $E_n$  is all of  $E$ , since it is also dense in  $E$ .

*Question.* Is there an uncountable proper Borel subgroup  $G$  of  $\mathbf{R}$  and a Polish topology on  $G$  such that  $G$  is a topological group with the given Borel structure generated by the open sets?

(If such a topology exists on a certain  $G$ , it is necessarily unique, by [1, Theorem 4, p. 23].)

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