

FOURIER COEFFICIENTS OF CONTINUOUS FUNCTIONS ON COMPACT GROUPS

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ABSTRACT. Let G be an infinite compact group with dual object Σ . Letting \mathcal{K}_σ be the representation space for $\sigma \in \Sigma$, $\mathcal{E}^2(\Sigma)$ is the set $\{A = (A^\sigma) \in \prod \mathcal{B}(\mathcal{K}_\sigma): \|A\|_2 = \sum_\sigma d_\sigma \text{Tr}(A^\sigma A^{\sigma*}) < \infty\}$. For $A \in \mathcal{E}^2(\Sigma)$, we show that there is a function f in $C(G)$ such that $\|f\|_\infty \leq C\|A\|_2$ and $\text{Tr}(\hat{f}(\sigma)\hat{f}(\sigma)^*) \geq \text{Tr}(A^\sigma A^{\sigma*})$ for every $\sigma \in \Sigma$.

In a 1977 paper [3], K. de Leeuw, Y. Katznelson and J.-P. Kahane proved that every square summable sequence is dominated by the sequence of Fourier coefficients of a continuous function on the circle group, T . As the authors mentioned, this result is true, with the same proof, for any compact abelian group in the role of T and its dual group in place of the integers, Z . This paper answers the same question for a compact nonabelian group. Using appropriate tools, our proof parallels that of [3]. All notation and terminology used here without explicit definition is as in [2].

Let G be an infinite compact group with dual object Σ . For each $\sigma \in \Sigma$, let U^σ be a representation in σ and let \mathcal{K}_σ , its representation space, have dimension d_σ . If $\mathcal{B}(\mathcal{K}_\sigma)$ is the space of operators on \mathcal{K}_σ , define $\|\cdot\|_2$ on $\mathcal{B}(\mathcal{K}_\sigma)$ by $\|A^\sigma\|_2 = \text{Tr}(A^\sigma A^{\sigma*})^{1/2}$. Let $\mathcal{E}(\Sigma) = \prod_{\sigma \in \Sigma} \mathcal{B}(\mathcal{K}_\sigma)$ and let $\mathcal{E}^2(\Sigma)$ be the set of $A = (A^\sigma) \in \mathcal{E}(\Sigma)$ satisfying

$$\|A\|_2 = \left(\sum_\sigma d_\sigma \|A^\sigma\|_2^2 \right)^{1/2} < \infty.$$

Finally, Γ will designate the compact group $\prod_{\sigma \in \Sigma} \mathcal{U}(d_\sigma)$, where $\mathcal{U}(d_\sigma)$ is the group of all unitary operators on \mathcal{K}_σ .

We make use of the following results.

(1) Let $f(V) = \sum_\sigma d_\sigma \text{Tr}(B^\sigma V^\sigma)$ ($V \in \Gamma$) be a finite sum. Then

$$\int_\Gamma |\exp f(V)| dV \leq \exp(\|B\|_2^2).$$

This statement and its proof are similar to [4, Lemma 2].

(2) Suppose $A \in \mathcal{E}^2(\Sigma)$. Then, for almost all $V \in \Gamma$,

$$\sum_\sigma d_\sigma \text{Tr}(A^\sigma V^\sigma U^\sigma(x))$$

converges for almost every $x \in G$ [4, Lemma 8].

Received by the editors May 24, 1982.

1980 Mathematics Subject Classification. Primary 43A30, 43A77, 60B15.

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0002-9939/82/0000-0936/\$02.00

LEMMA 1. Suppose $A \in \mathcal{E}^2(\Sigma)$. For every $V \in \Gamma$, let f_V be the function in $L^2(G)$ whose Fourier series is

$$\sum_{\sigma} d_{\sigma} \operatorname{Tr}(A^{\sigma} V^{\sigma} U^{\sigma}(x)).$$

Let $\lambda > 0$. Then the set

$$E = \left\{ V \in \Gamma : \int_G \exp \lambda |f_V(x)| dx \leq 2 \exp(4\lambda^2 \|A\|_2^2) \right\}$$

has positive Γ -measure.

PROOF. Let $x \in G$ be fixed but arbitrary. Let $S_n f_V$ be the n th partial sum of f_V and S_n , that of $\|A\|_2^2$. (These partial sums are relative to some arbitrary and fixed enumeration of the set $\{\sigma \in \Sigma : A^{\sigma} \neq 0\}$.)

Using the inequality $\exp |z| \leq \sum_{k=1}^4 |\exp 2i^k z|$, the invariance of the Haar integral on Γ and (1),

$$\int_{\Gamma} \exp \lambda |S_n f_V(x)| dV \leq 2 \exp(4\lambda^2 S_n).$$

As $x \in G$ was arbitrary,

$$\int_G \int_{\Gamma} \exp \lambda |S_n f_V(x)| dV dx \leq 2 \exp(4\lambda^2 S_n).$$

By Fubini's theorem, the order of integration can be reversed. Applying (2) and Fatou's lemma,

$$\int_{\Gamma} \int_G \exp \lambda |f_V(x)| dx dV \leq 2 \exp(4\lambda^2 \|A\|_2^2).$$

Consequently, E has positive Γ -measure.

LEMMA 2. Suppose $B \in \mathcal{E}^2(\Sigma)$. Let $\epsilon, \eta > 0$ be given and suppose that $\|B\|_2 \leq \epsilon$. Then there is a choice of $V \in \Gamma$ such that the function $h \in L^2(G)$, whose Fourier series is $\sum_{\sigma} d_{\sigma} \operatorname{Tr}(B^{\sigma} V^{\sigma} U^{\sigma}(x))$, satisfies

$$\|(|h| - \eta)^+\|_2 \leq 16\sqrt{2} e^{-1} \epsilon^2 \eta^{-1} \exp\left(\frac{-\eta^2}{32\epsilon^2}\right).$$

PROOF. Let $\lambda = \eta/8\epsilon^2$. Invoke Lemma 1 to obtain $V \in \Gamma$ so that

$$\int_G \exp(\lambda|h(x)|) dx \leq 2 \exp(4\lambda^2 \|B\|_2^2) \leq 2 \exp\left(\frac{\eta^2}{16\epsilon^2}\right).$$

Since

$$\begin{aligned} \sup_{t \geq \eta} (t - \eta)^2 \exp(-\lambda t) &= 256e^{-2} \epsilon^4 \eta^{-2} \exp\left(\frac{-\eta^2}{8\epsilon^2}\right), \\ \|(|h| - \eta)^+\|_2^2 &\leq 256e^{-2} \epsilon^4 \eta^{-2} \exp\left(\frac{-\eta^2}{8\epsilon^2}\right) \int_G \exp(\lambda|h(x)|) dx \\ &\leq 512e^{-2} \epsilon^4 \eta^{-2} \exp\left(\frac{-\eta^2}{16\epsilon^2}\right). \end{aligned}$$

LEMMA 3. Suppose $A \in \mathcal{E}^2(\Sigma)$. There exists a function $f \in L^\infty(G)$ with $\|f\|_\infty \leq 36\|A\|_2$, and $\|\hat{f}(\sigma)\|_2 \geq \|A^\sigma\|_2$ for every $\sigma \in \Sigma$.

PROOF. Assume that $\|A\|_2 = 1$. Define sequences $(\delta_j)_{j=1}^\infty$, $(\eta_j)_{j=1}^\infty$, and $(\epsilon_j)_{j=0}^\infty$ by

$$\delta_j = 3^{-j}; \quad \eta_j = 36\delta_j; \quad \epsilon_0 = 1$$

and

$$\epsilon_{j+1} = 32\sqrt{2}(1 - \delta_1)e^{-1}\epsilon_j^2\eta_{j+1}^{-1}\delta_{j+1}^{-1} \exp\left(\frac{-\eta_{j+1}^2}{32\epsilon_j^2}\right) \quad \text{for } j \geq 0.$$

One checks by induction that $\epsilon_j \leq 6^{-j}$ for $j \geq 0$. Let $s_0 = 0$ and $s_k = \sum_{j=1}^k \delta_j$.

We next define sequences of functions $(f_j)_{j=0}^\infty$, $(g_j)_{j=0}^\infty$ and $(h_j)_{j=0}^\infty$ with $f_j = g_j + h_j$, which satisfy

- (a) $\|g_j\|_\infty \leq 36s_j$;
- (b) $\|h_j\|_2 \leq \epsilon_j$;
- (c) $\left\|(|h_j| - \eta_{j+1})^+\right\|_2 \leq \rho_j = 16\sqrt{2}e^{-1}\epsilon_j^2\eta_{j+1}^{-1} \exp\left(\frac{-\eta_{j+1}^2}{32\epsilon_j^2}\right)$;
- (d) $\|\hat{f}_j(\sigma)\|_2 \geq (1 - s_j)\|A^\sigma\|_2 \quad \text{for } \sigma \in \Sigma$.

Let $g_0 = 0$ and choose h_0 to satisfy the conclusion of Lemma 2 with $B = A$, $\epsilon = \epsilon_0$ and $\eta = \eta_1$. Then (a)–(d) are true for $j = 0$.

Suppose that $k \geq 1$ and that g_{k-1} and h_{k-1} have been selected to satisfy (a)–(d) when $j = k - 1$. Define

$$g_k(x) = \begin{cases} 36s_k \operatorname{sgn} f_{k-1}(x) & \text{if } |f_{k-1}(x)| > 36s_k, \\ f_{k-1}(x) & \text{if } |f_{k-1}(x)| \leq 36s_k. \end{cases}$$

Then (a) holds for $j = k$. Note that if $|f_{k-1}(x)| > 36s_k$, then

$$\begin{aligned} |f_{k-1}(x) - g_k(x)| &= |f_{k-1}(x)| - 36s_k \\ &\leq |g_{k-1}(x)| - 36s_{k-1} + |h_{k-1}(x)| - \eta_k \\ &\leq (|h_{k-1}(x)| - \eta_k)^+. \end{aligned}$$

Thus $\|f_{k-1} - g_k\|_2 \leq \rho_{k-1}$. Let

$$\Phi = \{\sigma \in \Sigma : \|\hat{g}_k(\sigma)\|_2 < (1 - s_k)\|A^\sigma\|_2\}.$$

For $\sigma \in \Phi$, we have $\|\hat{f}_{k-1}(\sigma) - \hat{g}_k(\sigma)\|_2 \geq \delta_k\|A^\sigma\|_2$. Define $B \in \mathcal{E}^2(\Sigma)$ by

$$B^\sigma = \begin{cases} 2(1 - s_k)A^\sigma & \text{for } \sigma \in \Phi, \\ 0 & \text{otherwise.} \end{cases}$$

Then,

$$\begin{aligned}\|B\|_2^2 &= \sum_{\sigma \in \Phi} d_\sigma 4(1 - s_k)^2 \|A^\sigma\|_2^2 \\ &\leq 4(1 - s_k)^2 \delta_k^{-2} \|f_{k-1} - g_k\|_2^2 \\ &\leq 4(1 - \delta_1)^2 \delta_k^{-2} \rho_{k-1}^2 = \varepsilon_k^2.\end{aligned}$$

Thus a function h_k can be chosen via Lemma 2 applied to B , $\varepsilon = \varepsilon_k$ and $\eta = \eta_{k+1}$ which satisfies both (b) and (c). Finally, if $\sigma \notin \Phi$,

$$\|\hat{f}_k(\sigma)\|_2 = \|\hat{g}_k(\sigma)\|_2 \geq (1 - s_k) \|A^\sigma\|_2,$$

while if $\sigma \in \Phi$,

$$\|\hat{f}_k(\sigma)\|_2 \geq \|B^\sigma\|_2 - \|\hat{g}_k(\sigma)\|_2 \geq (1 - s_k) \|A^\sigma\|_2.$$

Therefore (d) holds for $j = k$. This completes the definition of these sequences of functions.

For $j \geq 1$,

$$\|f_{j-1} - f_j\|_2 \leq \|f_{j-1} - g_j\|_2 + \|h_j\|_2 < 2(6^{-j}),$$

so there is a function $f \in L^2(G)$ such that $\lim_{j \rightarrow \infty} \|2f_j - f\|_2 = 0$. Since $\|2g_j - f\|_2 \leq \|2f_j - f\|_2 + 2\|h_j\|_2$,

$$\lim_{j \rightarrow \infty} \|2g_j - f\|_2 = 0.$$

Thus a subsequence of $(2g_j)_{j=0}^\infty$ converges to f pointwise almost everywhere on G . Hence

$$\|f\|_\infty \leq 2 \overline{\lim}_{j \rightarrow \infty} \|g_j\|_\infty \leq 36$$

and, for each $\sigma \in \Sigma$,

$$\|\hat{f}(\sigma)\|_2 \geq 2 \lim_{j \rightarrow \infty} (1 - s_j) \|A^\sigma\|_2 = \|A^\sigma\|_2.$$

This verifies the lemma.

We are now able to state and prove the main result, which replaces $L^\infty(G)$ in Lemma 3 by $C(G)$.

THEOREM. Suppose $A \in \mathcal{E}^2(\Sigma)$. There exists a function $f \in C(G)$ with $\|f\|_\infty \leq 37\|A\|_2$ and $\|\hat{f}(\sigma)\|_2 \geq \|A^\sigma\|_2$ for every $\sigma \in \Sigma$.

PROOF. Let $\delta = \|A\|_2/36$. Assume $\delta > 0$. Let $h \in L^2(G)$ have Fourier series

$$\sum_\sigma d_\sigma \operatorname{Tr}(A^\sigma U^\sigma(X)).$$

By a factorization theorem, there exist functions $g \in L^2(G)$ and $k \in L^1(G)$ such that

$$h = k * g;$$

k is nonnegative and central in $L^1(G)$;

$$\|k\|_1 = 1;$$

$$\|h - g\|_2 < \delta.$$

(See [2, (32.31)], replacing $C(G)$ by $L^2(G)$.)

Invoke Lemma 3 to obtain a function $f_\infty \in L^\infty(G)$ which satisfies $\|f_\infty\|_\infty \leq 36\|g\|_2$ and $\|\hat{f}_\infty(\sigma)\|_2 \geq \|\hat{g}(\sigma)\|_2$ for every $\sigma \in \Sigma$. Let $f = k * f_\infty \in L^1(G) * L^\infty(G) = C(G)$. Then

$$\|f\|_\infty \leq \|k\|_1 \|f_\infty\|_\infty \leq 36\|g\|_2 \leq 36(\|h\|_2 + \delta) = 37\|A\|_2.$$

Since k is central in $L^1(G)$, $\hat{k}(\sigma)$ is seen to be a scalar multiple of the identity in $\mathfrak{B}(\mathcal{H}_\sigma)$. Write $\hat{k}(\sigma) = c_\sigma I_\sigma$. Then

$$\|\hat{f}(\sigma)\|_2 = |c_\sigma| \|\hat{f}_\infty(\sigma)\|_2 \geq |c_\sigma| \|\hat{g}(\sigma)\|_2 = \|A^\sigma\|_2.$$

A corollary of our theorem is a generalization of Carleman's theorem for the circle [1]. (See also [2, 37.22(k)] for another proof of this corollary.) For the statement of this corollary, some additional notation is necessary. Let $A \in \mathfrak{B}(\mathcal{H}_\sigma)$ and let $|A|$ be the (unique) positive-definite square root of AA^* . Let $(x_1, \dots, x_{d_\sigma})$ be the eigenvalues of $|A|$. Define the *von Neumann* norms on $\mathfrak{B}(\mathcal{H}_\sigma)$ by

$$\|A\|_{\phi_p} = \left(\sum_j (x_j)^p \right)^{1/p} \quad (1 \leq p < \infty).$$

(Note that $\|\cdot\|_{\phi_2}$ is the same as $\|\cdot\|_2$.) For $A = (A^\sigma) \in \mathfrak{E}(\Sigma)$, define

$$\|A\|_p = \left(\sum_\sigma d_\sigma \|A^\sigma\|_{\phi_p}^p \right)^{1/p} \quad (1 \leq p < \infty).$$

Let $\mathfrak{E}^p(\Sigma) = \{A \in \mathfrak{E}(\Sigma) : \|A\|_p < \infty\}$.

COROLLARY. *There is a continuous function f defined on G for which $\hat{f} \notin \mathfrak{E}^p(\Sigma)$ for $1 \leq p < 2$.*

PROOF. Let $\{\sigma_2, \sigma_3, \sigma_4, \dots\}$ be a countably infinite subset of Σ , with no repetitions. Let A^{σ_n} be the $d_{\sigma_n} \times d_{\sigma_n}$ -matrix whose $(1, 1)$ -entry is $1/\sqrt{nd_{\sigma_n}} \log n$ and whose other entries are zero. Let $A^\sigma = 0$ for all other $\sigma \in \Sigma$. Then $A \in \mathfrak{E}^2(\Sigma)$. By the preceding theorem, there exists a function $f \in C(G)$ with

$$\|\hat{f}(\sigma)\|_2 \geq \|A^\sigma\|_2 \quad \text{for every } \sigma \in \Sigma.$$

For every $\sigma \in \Sigma$,

$$\|\hat{f}(\sigma)\|_{\phi_p} \geq \|\hat{f}(\sigma)\|_2 \geq \|A^\sigma\|_2 = \|A^\sigma\|_{\phi_p}.$$

Since

$$d_{\sigma_n} \|A^{\sigma_n}\|_{\phi_p}^p = d_{\sigma_n} \left(\frac{1}{\sqrt{nd_{\sigma_n}} \log n} \right)^p \geq \frac{1}{n \log n}$$

for all sufficiently large n , $\hat{f} \notin \mathfrak{E}^p(\Sigma)$.

ACKNOWLEDGEMENTS. An earlier version of this paper was written while the author was a graduate student at Kansas State University. I am grateful to the mathematics department there for their support and encouragement. Special thanks are due Karl Stromberg for his guidance. I would also like to thank Edwin Hewitt for his valuable suggestions.

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