

## FINITE RANK TORSION-FREE ABELIAN GROUPS UNISERIAL OVER THEIR ENDOMORPHISM RINGS

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**ABSTRACT.** An abelian group is  $E$ -uniserial if its lattice of fully invariant subgroups is totally ordered. Finite rank torsion-free reduced  $E$ -uniserial groups are characterized. Such a group is a free module over the center  $C$  of its endomorphism ring, and  $C$  is a strongly indecomposable discrete valuation ring. Properties similar to those of strongly homogeneous groups are derived.

**The results.** In the sequel all groups will be abelian. This paper was motivated by the recent study of additive groups of valuation rings (i.e. rings whose lattice of two-sided ideals forms a chain) [3, 4, 6]. Obviously, the additive group of a valuation ring is uniserial regarded as a module over its endomorphism ring. We call such groups  $E$ -uniserial for short. In [5] we characterized the  $E$ -uniserial groups up to torsion-free reduced direct summands. Combining the results of [5] with Theorem 1 below yields the structure of all  $E$ -uniserial groups of finite torsion-free rank.

We shall prove

**THEOREM 1.** *Let  $G$  be a torsion-free reduced abelian group of finite rank. Then the following conditions are equivalent.*

- (a)  $G$  is  $E$ -uniserial.
- (b)  $G \simeq H^m$  where  $H$  is a strongly indecomposable  $E$ -uniserial group.
- (c)  $G$  is a free module over a valuation ring.
- (d) The center  $C = \text{Cent } E(G)$  of the endomorphism ring of  $G$  is a strongly indecomposable discrete valuation  $E$ -ring, and  $G$  is a free  $C$ -module.
- (e)  $E(G) \simeq \text{Mat}_m(C)$  where  $C$  is a discrete valuation ring and  $\text{rank } G = m \cdot (\text{rank } C)$ .

We use the phrase “discrete valuation ring” in the sense of Kaplansky [7, p. 42]. In particular, a discrete valuation ring is a principal ideal domain. A (discrete) valuation  $E$ -ring is a (discrete) valuation ring which is also an  $E$ -ring.  $E$ -rings were introduced by P. Schultz [10] as the rings whose additive endomorphisms are given by left multiplication with elements. Throughout,  $E(G)$  is the endomorphism ring of  $G$ , the center of a ring  $R$  is denoted by  $\text{Cent } R$ , and  $\text{Mat}_m(R)$  is the ring of  $m \times m$  matrices with entries in  $R$ .

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Torsion-free  $E$ -uniserial groups are  $p$ -local and strongly irreducible. Reid calls a torsion-free abelian group  $G$  strongly irreducible (irreducible) if, for every fully invariant subgroup  $S \neq 0$  of  $G$ , the quotient group  $G/S$  is bounded (torsion) [8, 9]. Arnold's strongly homogeneous groups are irreducible [1]. The group  $G$  is strongly homogeneous if the automorphism group of  $G$  acts transitively on the set of pure rank-one subgroups of  $G$ . Given a torsion-free  $p$ -local strongly homogeneous group  $G$ , it is easy to see that every proper fully invariant subgroup of  $G$  is of the form  $p^n G$  for some natural number  $n$ . Thus, the  $E$ -uniserial torsion-free groups are sandwiched between the local strongly irreducible groups and the local strongly homogeneous groups. We will show that both containments are proper. The torsion-free  $E$ -uniserial groups share a number of properties with Arnold's strongly homogeneous groups [1]:

**COROLLARY 2.** *Let  $G$  be a finite rank torsion-free reduced  $E$ -uniserial group. Then:*

(1)  *$G$  is indecomposable if and only if  $G$  is strongly indecomposable.*

(2) *If  $G$  is strongly indecomposable then  $G \simeq [E(G)]^+$  and  $E(G)$  is a discrete valuation  $E$ -ring.*

(3) *If  $G \simeq H^m$ , with  $H$  strongly indecomposable, and if  $A$  is a direct summand of  $G$ , then  $A \simeq H^n$  for some  $n \leq m$ .*

(4) *If  $B$  is another torsion-free reduced  $E$ -uniserial group then  $G \simeq B$  if and only if  $\text{rank } G = \text{rank } B$  and  $\text{Cent } E(G) \simeq \text{Cent } E(B)$ .*

A result of Bowshell and Schultz states that if the additive groups of two torsion-free unital rings are quasi-isomorphic and one of them is an  $E$ -ring, then so is the other [2, p. 210, 3.9 (i)]. By the above results, a finite rank torsion-free strongly indecomposable group  $H$  is  $E$ -uniserial if and only if  $H$  is the additive group of a (discrete) valuation ( $E$ -) ring. We will give an example of two quasi-isomorphic strongly indecomposable  $E$ -rings of finite rank, one of which is a valuation ring and the other is not. However, we have the following result:

**PROPOSITION 3.** *Let  $R$  and  $S$  be two unital valuation  $E$ -rings whose additive groups are torsion-free and strongly indecomposable of finite rank. If  $R^+ \simeq S^+$ , then  $R \simeq S$  as rings.*

This is used to prove that the  $E$ -uniserial groups share another property of Arnold's strongly homogeneous groups [1]:

**PROPOSITION 4.** *Two torsion-free reduced  $E$ -uniserial groups of finite rank are quasi-isomorphic if and only if they are isomorphic.*

**The proofs.** Let  $G$  be a torsion-free reduced abelian group of finite rank. The following results will be helpful. They are easy to prove [5, 9]. Throughout,  $C = \text{Cent } E(G)$  denotes the center of the endomorphism ring of  $G$ .

**LEMMA 5.** (i) *Let  $G$  be  $E$ -uniserial. Then  $G$  is  $p$ -local for some prime  $p$ ,  $G$  is strongly irreducible, and  $G$  is  $E$ -cyclic.*

(ii) *Let  $G \simeq H^m$ . Then  $G$  is  $E$ -uniserial if and only if  $H$  is  $E$ -uniserial, and  $G$  is strongly irreducible if and only if  $H$  is strongly irreducible.*

(iii) *If  $G$  is irreducible then  $G$  is a torsion-free  $C$ -module.*

LEMMA 6. Let  $T$  be a ring with additive group torsion-free of finite rank and let  $R$  and  $S$  be subrings of  $T$  such that  $R^+ \cong S^+$ . Then

(i)  $\text{Cent } R \cong \text{Cent } S$ , and

(ii) if  $R$  is finitely generated as a module over  $\text{Cent } R$  then  $S$  is finitely generated as a module over  $\text{Cent } S$ .

PROOF. By hypothesis,  $nR \subseteq S$  and  $nS \subseteq R$  for some positive integer  $n$ . One verifies that then  $n\text{Cent } R \subseteq \text{Cent } S$  and  $n\text{Cent } S \subseteq \text{Cent } R$ , proving (i). For (ii) observe that, if  $\{r_i\}$  generates  $R$  as a module over  $\text{Cent } R$ , the  $(\text{Cent } S)$ -module generated by  $\{nr_i\}$  contains  $n^3S$  and, thus, has finite index in  $S$ .

Of central importance will be the following result. We let  $E = E(G)$ . Again,  $C = \text{Cent } E$ .

LEMMA 7. Let  $G$  be a torsion-free  $C$ -module. Then  $C$  is a domain, and there exists an order isomorphism from the poset of principal ideals of  $C$  into the lattice of  $E$ -submodules of  $G$ .

PROOF. Consider  $G$  embedded into the finite-dimensional vector space  $V = Q \otimes G$ . Then  $E$  consists of all linear transformations of  $V$  mapping  $G$  into  $G$ . Note that every  $0 \neq \zeta \in C$  has an inverse in  $\text{Hom}_Q(V, V)$  so that  $C$  is a domain. Let  $\zeta \in C$  and associate with the principal ideal  $C\zeta$  the  $E$ -submodule  $G\zeta$ . This is a well-defined monotone map. If  $\zeta_i \in C$  such that  $G\zeta_1 \subseteq G\zeta_2$  then  $G\zeta_1\zeta_2^{-1} \subseteq G$  so  $\zeta_1\zeta_2^{-1}$  is in  $E$  and, hence, in  $C$ . Consequently,  $C\zeta_1 \subseteq C\zeta_2$ .

We are ready for the

PROOF OF THEOREM 1. The additive group of a valuation ring is  $E$ -uniserial. Thus, by Lemma 5(ii), (a) follows from each of (b) and (c), and (d) implies (c). Assume (a). In order to derive (d) we first show that  $C$  is a discrete valuation ring. By Lemma 5,  $G$  is strongly irreducible and, thus, a torsion-free  $C$ -module. Lemma 7 implies that  $C$  is a domain whose principle ideals are totally ordered. Since  $C$  is reduced it follows that every nonzero (principal) ideal of  $C$  contains some  $p^n C$  and, consequently, has finite index in  $C$ . Hence  $C$  is Noetherian, thus, a principal ideal domain, and a discrete valuation ring. By J. D. Reid's fundamental theorem [8, 5.5, p. 59], the strong irreducibility of  $G$  implies  $G \cong H^m$  with  $H$  strongly indecomposable and strongly irreducible, using Lemma 5(ii) and the fact that strong irreducibility is invariant under quasi-isomorphism. But then  $H \cong R^+$  where  $R$  is a strongly indecomposable  $E$ -ring [9, p. 49, Theorem 6]. Hence  $G \cong H^m \cong (R^+)^m$ , so

$$C \cong \text{Cent Mat}_m(R) \cong R,$$

and  $E(G)$  is finitely generated over  $C$ , by Lemma 6. Finitely generated torsion-free modules over principal ideal domains are free. By [2, 3.9 (i), p. 210],  $C \cong R$  is an  $E$ -ring, and we have derived (d) from (a). Obviously, (e) follows from (d). The proof will be completed once we show that (e) implies (b). Assume (e), fix an isomorphism between  $E(G)$  and  $\text{Mat}_m(C)$ , and let  $\epsilon_i$  be the endomorphism of  $G$  corresponding to the diagonal matrix  $E_i$  with 1 in  $(i, i)$ -position and zeros elsewhere. Then  $G = G\epsilon_1 \oplus \dots \oplus G\epsilon_m$ ,  $E(G\epsilon_i) \cong C$ , and  $G\epsilon_i \cong G\epsilon_j$  for all  $i$  and  $j$ . Let  $H \cong G\epsilon_i$ . Then  $G \cong H^m$ ,  $E(H) \cong C$ , and  $\text{rank } H = \text{rank } C$ . Let  $E = E(H)$  and let  $S$  and  $T$  be two

$E$ -submodules of  $H$ . Assume, by way of contradiction, that  $S \not\subseteq T$  and  $T \not\subseteq S$ . Then there exist  $s \in S$  and  $t \in T$  such that  $s \notin T$  and  $t \notin S$ . Since  $E \simeq C$  is a discrete valuation ring and  $H$  is a torsion-free  $E$ -module, every finitely generated  $E$ -submodule of  $H$  is free and therefore cyclic. Hence  $sE + tE = xE \simeq E$  for some  $x \in H$  and, since the submodules of  $E$  are totally ordered,  $sE \subseteq tE$  or  $tE \subseteq sE$ . Thus, either  $s \in T$  or  $t \in S$ , a contradiction.

PROOF OF COROLLARY 2. Part (b) of Theorem 1 implies (1), part (d) implies (2) and (4). For (3) observe that  $A$  is a  $C$ -submodule of the free  $C$ -module  $G$ , that submodules of free modules over principal ideal domains are free, and that

$$C = \text{Cent } E(G) \simeq \text{Cent } E(H) = E(H), \quad E(H)^+ \simeq H.$$

PROOF OF PROPOSITION 3. By (2) of Corollary 2, both  $R$  and  $S$  are discrete valuation  $E$ -rings. Let  $xR$  be the unique maximal ideal of  $R$ . Then  $xR$  contains a unique rational prime  $p$  and  $pR = x^tR$  for some positive integer  $t$ . The hypothesis implies  $R \subseteq G \subseteq K$  where  $G \simeq S^+$ ,  $p^nG \subseteq R$  for some  $n$ , and  $K$  is the quotient field of  $R$ . Let  $\epsilon \in E(G)$ . Since  $p^nE(G) \subseteq E(R^+)$  and  $R$  is an  $E$ -ring,  $p^n\epsilon = r \cdot 1_R$  for some  $r \in R$  and hence  $\epsilon = (p^{-n}r) \cdot 1_K$ , using the fact that  $K = Q \otimes R$  [2, 3.14, p. 211]. It follows that, for every positive integer  $k$ ,  $\epsilon^k = (p^{-kn}r^k) \cdot 1_K$ . But then  $p^n(p^{-kn}r^k) \in R$  for each  $k$ . Let  $m$  be such that  $rR = x^mR$ . Then  $x^{tn-tkn+mk} \in R$ , which implies  $tn \geq k(tn - m)$  for each  $k$  and thus  $tn \leq m$ . Hence,  $r \in p^nR$  and  $\epsilon = s \cdot 1_K$  for some  $s \in R$ . This being true for all  $\epsilon$  in  $E(G)$  shows that  $R$  is an  $E(G)$ -submodule of  $G$ . By (2) of Corollary 2,  $R^+ \simeq [E(G)]^+ \simeq S^+$  and  $R \simeq E(R^+) \simeq E(S^+) \simeq S$ .

We can now easily complete the

PROOF OF PROPOSITION 4. Let  $A$  and  $B$  be two finite rank torsion-free reduced  $E$ -uniserial groups which are quasi-isomorphic. By part (d) of Theorem 1 and Lemma 6, the centers of their endomorphism rings are discrete valuation  $E$ -rings whose additive groups are quasi-isomorphic. By Proposition 3 the centers are isomorphic, and  $A \simeq B$  since  $A$  and  $B$  have equal rank.

EXAMPLES. The construction of the following ring  $R$  is due to C. Vinsonhaler. Let  $p$  be a prime and consider the polynomial  $f(X) = X^5 - X^3 - p$ . One verifies that  $f$  is irreducible in  $Z[X]$ . Let  $x$  be a complex root of  $f$ , let  $K = Q(x)$ , and let  $S = Z[x]$ . Then  $S/xS \simeq Z(p)$  so  $xS$  is a maximal ideal. Let  $R = S_{xS}$  be the localization of  $S$  at  $xS$ . Then

- (i)  $R$  is a discrete valuation ring with maximal ideal  $xR$ ; and
- (ii)  $pR = x^3R$ .

Since  $x^nR/x^{n+1}R \simeq Z(p)$  for every nonnegative integer  $n$ , the rank of  $R/pR$  is three, which implies  $R \neq H^5$ . Since  $G$  is  $E$ -uniserial, (b) of Theorem 1 and (2) of Corollary 2 imply

- (iii)  $R$  is a strongly indecomposable  $E$ -ring.

Let  $G = R + Z_{(p)} \cdot 1/p \subseteq K$ , where  $Z_{(p)}$  denotes the set of all rational numbers with denominators prime to  $p$ . Then

- (iv)  $pG \subseteq R \subseteq G \subseteq Q \otimes R = K$ ,

which implies  $pE(G) \subseteq E(R^+) = R \cdot 1_R$ . One verifies that  $E(G)$  consists precisely of

multiplications with elements of the form  $n + pr$ , where  $n \in Z_{(p)}$  and  $r \in R$ . Thus  $1/p$  generates  $G$  as an  $E(G)$ -module and

(v)  $G$  is  $E$ -cyclic.

We claim that

(vi)  $G$  is not  $E$ -uniserial.

Assume the contrary. Since  $x \notin x^2E(G) \subseteq x^2R$ , we then have  $x^2E(G) \subseteq xE(G)$ . Thus  $x^2 = x(n + pr)$  for some  $n \in Z_{(p)}$  and  $r \in R$ , so  $x$  divides  $n + pr$ . Since  $pR = x^3R$  it follows that  $n \in Z_{(p)} \cap xR = pZ_{(p)}$ . But then  $p$  divides  $x^2$ , which is a contradiction.

The ring  $R$  and the group  $G$  constructed above show the following:

(1) Not every  $E$ -uniserial group is strongly homogeneous.

In fact, since  $R \subsetneq xR \subsetneq pR$ , not every proper fully invariant subgroup of  $R^+$  is of the form  $p^nR^+$  so that  $R^+$  is  $E$ -uniserial but not strongly homogeneous. (A different argument would have been the observation that not every element in  $R$  is an integral multiple of a unit [1, Theorem 1, p. 67].)

(2) Not every (strongly indecomposable  $E$ -cyclic) strongly irreducible  $p$ -local group is  $E$ -uniserial.

The group  $G$  above provides an example. Since  $G \cong R^+$ ,  $G$  is strongly indecomposable.

(3) An  $E$ -ring whose additive group is quasi-isomorphic to the additive group of a valuation  $E$ -ring need not be a valuation ring.

The example here is  $S = E(G)$  which is quasi-isomorphic to  $E(R^+) \cong R$ , but  $S$  is not a valuation ring since  $G$  is not  $E$ -uniserial (Theorem 1(e)).

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