

MANIFOLDS OF ALMOST HALF OF THE MAXIMAL VOLUME

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ABSTRACT. The Riemannian manifolds with sectional curvature ≥ 1 and volume slightly less (in terms of the dimension and the upper bound of the sectional curvature) than V , the half of the volume of the standard sphere, are classified. The behavior of the critical points of the distance function on manifolds whose volume differ from V in terms of constructible constants in terms of the dimension is discussed.

1. Introduction. Let (M^n, g) be a compact smooth Riemannian manifold, and $K(M, g)$, $d(M, g)$, $v(M, g)$ denote its sectional curvature, diameter and volume. We study the manifolds with positive sectional curvature, and normalize the metric so that $K(M, g) \geq 1$, throughout this paper when g is smooth. Let $v(S^n, \text{can}) = 2V_0$.

By the work of Grove-Shiohama [GS] and Gromoll and Grove [GG1–GG4]: if $d(M, g) > \pi/2$ then M is homeomorphic to a sphere, and if $d(M, g) = \pi/2$ then either (i) M is homeomorphic to a sphere, or (ii) (M, g) is isometric to \mathbf{CP}^k or \mathbf{HP}^s with their canonical metrics, or (iii) $\pi_1(M) = 1$ and $H^*(M, \mathbf{Z}) = H^*(\mathbf{CaP}^2, \mathbf{Z})$ or, (iv) M is not simply-connected and its universal cover is isometric to (S^n, can) or $(\mathbf{CP}^k, \text{can})$ with $k = n/2$ odd.

By volume comparison, Bishop and Crittenden [BC], if $v(M, g) \geq V_0$, then $d(M, g) \geq \pi/2$. However, if $v(M, g) \geq V_0$, one obtains that M is homeomorphic to a sphere, or isometric to $(\mathbf{RP}^n, \text{can})$ which occurs only when $v(M, g) = V_0$ and $d(M, g) = \pi/2$.

Under the condition $K \geq K(M, g) \geq 1$, the manifolds with $v(M, g) > V_0 - c$ is a subset of the set of the manifolds with $d(M, g) > (\pi/2) - c'$ which were classified in [D] to be homeomorphic to a sphere, to have the cohomology ring of (or with a slightly stronger hypothesis, diffeomorphic to) \mathbf{CP}^k , \mathbf{HP}^s , or \mathbf{CaP}^2 , or to be diffeomorphic to a non-simply-connected manifold whose universal cover is isometric to (S^n, can) or $(\mathbf{CP}^k, \text{can})$. The proof of this basically involves taking a sequence of metrics (M, g_k) with $d(M, g_k)$ converging to $\pi/2$, extracting a convergent subsequence in the sense of Hausdorff-Lipschitz by Gromov's Compactness Theorem [Gv, P], converging to (M, g_0) which is $C^{1,\alpha}$ a priori, $\alpha < 1$, then give a proof similar to [GG3] for $C^{1,\alpha}$ metric g_0 with $d(M, g_0) = \pi/2$. Hence it is natural to expect that the following is true.

THEOREM 1. *Let (M, g_k) be a sequence of smooth Riemannian metrics with $1 \leq K(M, g_k) \leq K$, $d(M, g_k)$ converging to $\pi/2$ and $v(M, g_k)$ converging to V_0*

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($= v(\mathbf{RP}^n, \text{can})$). Then there exists a subsequence converging to $(\mathbf{RP}^n, \text{can})$ in the Hausdorff-Lipschitz sense.

COROLLARY. Given n and K_0 , there exist positive constants c_1 and c_2 depending on n and K_0 such that for manifolds (M^n, g) with $1 \leq K(M, g) \leq K_0$ one has

(a) if $v(M, g) > V_0 - c_1$ then M is homeomorphic to a sphere or diffeomorphic to \mathbf{RP}^n ,

(b) if $v(M, g) > V_0 - c_1$ and $d(M, g) \leq \pi/2$ then M is diffeomorphic to \mathbf{RP}^n , and

(c) there exists no Riemannian manifold with $v(M, g) > V_0 - c_1$ and $\pi/2 < d(M, g) < (\pi/2) + c_2$.

Obviously, one can find (M, g_0) as above and $d(M, g_0) = \pi/2$ and $v(M, g_0) = V_0$, but one cannot immediately conclude that (M, g_0) is isometric to $(\mathbf{RP}^n, \text{can})$, since g_0 is not known to be smooth. Especially, there are examples of nonsmooth limit metrics on spheres. The proof of Theorem 1 involves volume related estimates for (M, g_k) together with some results from [D]. Even though we can compare the volumes of metric balls or any other star shaped region in (M, g_0) to (S^n, can) , to prove constant sectional curvature from the maximal volume may involve Jacobi fields which may not exist for (M, g_0) . The estimates we obtain in Theorem 2 are given in terms of explicitly constructed universal constants which does not depend on the upper bound of sectional curvature. So they may be of interest alone. For the notation see §§2,3.

In a recent work of K. Grove and P. Petersen [GP2], a homotopy version of Corollary of Theorem 1 is obtained by a different method with a weaker hypothesis, i.e. c_1 does not depend on K_0 and its value can be calculated. Grove and Petersen also simultaneously and independently obtained Proposition 3.3 in the appendix of [GP1], which is phrased and proved in a different way.

THEOREM 2. Let (M, g) be a smooth Riemannian manifold with $K(M, g) \geq 1$ and $V_0 = v(\mathbf{RP}^n, \text{can})$. For any subset $A \subseteq S^{n-1}$, $0 \leq x \leq y \leq \pi$, and $0 \leq z \leq \pi$ define $\lambda(A, x, y, z)$ to be the volume of the region $W(A)$ in S^n where $p' \in S^n$ is arbitrary, US_p^n is identified with S^{n-1} isometrically and $W(A) = \overline{B}(z, p') - \bigcup_{v \in A} B(x, \exp_{p'} yv)$. Then

(a) If A spans S^{n-1} , then $\lambda(A, x, y, z) \leq \lambda(S^0, x, y, z)$, and equality holds only if $A = S^0$ provided that $x > 0$ and $\lambda(S^0, x, y, z) > 0$, where S^0 is any antipodal pair.

(b) $\text{vol}_n(M - B(r, p)) \leq \lambda(L(q, p), \tau, d(q, p), d(M, g))$, for any $p, q \in M$, and $r \leq d(p, q)$.

(c) If $K(M, g) \geq 1$, $v(M, g) > V_0 - \varepsilon$, $d(M, g) \leq \pi/2$, $p \in M$ and q is a nontrivial critical point for $d(p, \cdot)$, then there exist explicitly constructible positive universal constants as follows.

(i) $d(p, q) > \pi/2 - c_1(n, \varepsilon)$.

(ii) For all $v_1, v_2 \in L(q, p)$, $\alpha(v_1, v_2) < \alpha_0(n, \varepsilon)$ or $\alpha(v_1, v_2) > \pi - \alpha_0(n, \varepsilon)$, where $\alpha(v_1, v_2)$ is the angle between v_1 and v_2 .

(iii) $\sup\{d(q', q) | q' \in M - B(r, p)\} \geq (\pi/2) - c_2(n, \varepsilon, r)$.

(iv) $\lim_{\varepsilon \rightarrow 0} c_1(n, \varepsilon) = \lim_{\varepsilon \rightarrow 0} c_2(n, \varepsilon, r) = \lim_{\varepsilon \rightarrow 0} \alpha_0(n, \varepsilon) = 0$.

REMARK. One can replace the condition $K(M, g) \geq 1$ by $K(M, g) \geq C$, for any $C \in \mathbf{R}$ in Theorem 2 part (a), to estimate

$$\text{vol}_n(B(r', q) - B(r, p)) \leq \lambda_C(L(q, p), r, d(q, p), r')$$

where λ_C is defined similarly in the simplyconnected space form of constant sectional curvature C , instead of S^n . The analogue of Proposition 3.3 is still valid, however part (b) of Theorem 2 has no analogue for $C \leq 0$. Proofs of these are basically the same as 3.2, 3, 4 with minor adjustments.

2. Notation and basic definitions. For any metric space (X, d) and any subset A and $r > 0$ one defines $N(r, A)$ to be $\{x \in X | d(x, A) < r\}$, and $\bar{N}(r, A)$ be its closure and N is replaced by B if A is a point.

d represents the distance function of (M, g) and $d(M, g)$ is the diameter of (M, g) . For v, w in UM_p , the unit sphere bundle of (M, g) at p in M one has $\alpha(v, w)$ to be the angle between them. All geodesics are parametrized by the arclength. A minimal geodesic γ from p to q is $mg(p, q)$ and $\gamma(0) = p$. $L(p, q) = \{\gamma'(0) | \gamma \text{ is } mg(p, q)\}$ is the link from p to q for any p, q in M .

S^k represents the unit standard sphere with its canonical metric. Let $v_n(\tau) = \text{vol}_n(B(\tau, p'; S^n))$ and $v_n = v_n(\pi) = 2V_0$. A subset A of S^k spans S^k if $\bar{N}(\pi/2, A) = S^k$ and spans strictly if $N(\pi/2, A) = S^k$. q is a nontrivial critical point for $d(p, \cdot)$ in the sense of Grove and Shiohama [GS] and Gromov [Gv2], if $L(q, p)$ spans UM_q .

3. Main construction and the proof of Theorem 2.

LEMMA 3.1. *Let A be closed and span S^m . Then there exists a finite subset A' of A , which spans S^m .*

PROOF. Any B which spans a lower dimensional great sphere S^k spans S^m , since for any p in S^m take q to be a closest point on S^k to p , b in B with $d(b, q) \leq \pi/2$, then $d(p, q) \leq \pi/2$ and $mg(p, q)$ is normal to S^k and hence $d(b, p) \leq \pi/2$. Let B be closed and span S^k . If B spans S^k strictly then there is a finite subcover of $\{N(\pi/2, b) | b \in B\}$ to give a finite subset B_1 to span S^k . If B does not span S^k strictly, then one constructs B_2 as follows. Let q be in $S^k - N(\pi/2, B)$. $N(\pi/2, q) \cap B = \emptyset$. Let $S_q^{k-1} = \{x \in S^k | d(x, q) = \pi/2\}$ and $B_2 = B \cap S_q^{k-1}$. Given any q' in S_q^{k-1} , let γ be $mg(q, q')$ and $q_n = \gamma(1/n)$, $\forall n \in \mathbf{N}^+$. There is p_n in B with $d(p_n, q_n) \leq \pi/2$, $\forall n \in \mathbf{N}^+$. $p_n \in \bar{N}(\pi/2, q_n) \cap \bar{N}(\pi/2, -q) \subseteq \bar{N}(1/n, \bar{N}(\pi/2, q') \cap S_q^{k-1})$. $\bar{B} \cap \bar{N}(\pi/2, q') \cap S_q^{k-1} = B_2 \cap \bar{N}(\pi/2, q') \neq \emptyset$. B_2 spans S_q^{k-1} and hence S^k . So one obtains spanning subsets B_1 or B_2 where B_1 is finite and B_2 lies in a lower dimensional great sphere. The rest of the proof follows by starting $B = A$ and $k = m$ and reducing k , with the fact that S^0 is a pair of antipodal points. \square

3.2. Let $p' \in S^n$, $A \subseteq US_p^n$ (which is identified with S^{n-1} isometrically) and $0 \leq x \leq y \leq \pi$, $0 \leq z \leq \pi$ be given. Define $W(A) \subseteq S^n$ by $W(A) = \bar{B}(z, p') - \bigcup_{v \in A} B(x, \exp_p, yv)$ and $\lambda(A, x, y, z) = \text{vol}_n W(A)$. Obviously if $A \subseteq B$, then $\lambda(A, x, y, z) \geq \lambda(B, x, y, z)$. For a fixed A , λ is continuous in x, y , and z . If one fixes the number of vectors in A as a finite number and varies the vectors continuously then λ varies continuously. Clearly one can find $Y(A) \subseteq \bar{B}(\pi, 0) \subseteq TS_p^n$, with $\exp_p, Y(A) = W(A)$.

PROPOSITION 3.3. *Let A span S^{n-1} . Then $\lambda(A, x, y, z) \leq \lambda(S^0, x, y, z)$. If $\lambda(S^0, x, y, z) > 0$ and $x > 0$, then equality holds only if $A = S^0$, where S^0 is an antipodal pair.*

PROOF. We first prove this for finite $A = \{a_1, a_2, \dots, a_m\}$ where a_i are distinct. Let x, y, z be fixed, and $\lambda(A)$ denote $\lambda(A, x, y, z)$. Given $0 \leq \beta \leq \pi$, take $v, w \in US^n_p$ with $\alpha(v, w) = \beta$, and define $I(\beta)$ to be the largest subset of $[0, z]$ with $(\exp_p, I(\beta)v) \cap B(x, \exp_{p'} yw) = \emptyset$. $I(\beta) \subseteq I(\beta')$ if $\beta \leq \beta'$. Let $f(\beta) = \int_{I(\beta)} \sin^{n-1} t dt$. Then

$$\lambda(A) = \int_{v \in US^n(p')} \int_{I(\alpha(v, A))} \sin^{n-1} t dt d\nu = \int_{v \in S^{n-1}} f(\alpha(v, A)) d\nu,$$

where $d\nu$ is the volume form of S^{n-1} and $\alpha(v, A) = \inf\{\alpha(v, w) | w \in A\}$. Let $\omega(r) = \text{vol}_{n-2}(\partial B(r, \text{point}; S^{n-1}))$ and $\Phi(r, A) = (\omega(r))^{-1} \text{vol}_{n-2}(\partial B(r, A))$. $\lim_{r \rightarrow 0} \Phi(r, A) = m$, and in fact Φ is continuous for $r < \pi/2$.

$$\Phi(r, A)\omega(\pi/2) = \sum_{i=1, \dots, m} \text{vol}_{n-2} \{v \in US^n_{a_i} | d(\exp_{a_i}(rv), A) = r\} \quad \text{if } r < \pi/2.$$

Hence $\Phi(r, A) \leq \Phi(r', A)$ if $r > r'$. By the coarea formula [Ch], f being constant on the level sets of the distance function from A , whose gradient is defined and has length 1 on an open set that has complement with measure 0, one has

$$(3.3.1) \quad \int_{[0, \pi/2]} \Phi(r, A)\omega(r) dr = v_{n-1}, \quad \text{and} \quad \int_{[0, \pi/2]} \Phi(r, A)\omega(r)f(r) dr = \lambda(A).$$

Define $\mu(t, A) = \int_{[0, t]} \Phi(r, A)\omega(r) dr = \text{vol}_{n-1}N(t, A)$.

CLAIM. $\mu(t, A) \geq \mu(t, S^0)$ for all $t \in [0, \pi/2]$. $m \geq 2$, and $m = 2$ only if $A = S^0$, since A spans S^{n-1} . So, we may assume that $m \geq 3$. Let $h(t) = \mu(t, A) - \mu(t, S^0)$ and $u(r) = \Phi(r, A) - \Phi(r, S^0) = \Phi(r, A) - 2$, so that $\int_{[0, t]} u(r)\omega(r) dr = h(t)$. $h(0) = h(\pi/2) = 0$, $u(r)$ is decreasing and $\omega(r) > 0$, if $r > 0$. For small r , $u(r) = m - 2 > 0$, and $h(t) > 0$ for small $t > 0$. Let $\pi/2 \geq a > 0$ be the smallest with $h(a) = 0$. $u(a) < 0$, and if a were less than $\pi/2$ then $h(\pi/2)$ would be negative. So $a = \pi/2$ and $h \geq 0$.

Since f is increasing,

$$\begin{aligned} \text{vol}_{n-1}\{v | f(\alpha(v, A)) \leq f(t)\} &= \mu(t', A) \geq \mu(t', S^0) \\ &= \text{vol}_{n-1}\{v | f(\alpha(v, S^0)) \leq f(t)\}, \end{aligned}$$

where $t' = \sup\{t'' | f(t'') = f(t)\}$. The inequality of the proposition follows the formula $\int_{v \in S^{n-1}} f(\alpha(v, A)) d\nu = \lambda(A)$. In the case of equality, since $x > 0$ and $\lambda(S^0) > 0$, f is strictly increasing and positive in a neighborhood of 0. For small t , $\mu(t, A) = \mu(t, S^0)$ and hence $\Phi(r, A) = \Phi(r, S^0) = 2$ for small r . Since A spans S^{n-1} , $A = S^0$. In the case of A not being finite, one finds a finite subset A' of A , which spans S^{n-1} by Lemma 3.1 and $\lambda(A) \leq \lambda(A') \leq \lambda(S^0)$, and the equality never occurs since $\overline{A} = \overline{A'}$ is necessary for $\lambda(A) = \lambda(A')$ with $A' \subseteq A$. \square

3.4. Let (M, g) be with $K(M, g) \geq 1$, $d(M, g) = z$, $p, q \in M$ with $d(p, q) = y$ and $x = r \leq d(p, q)$ be arbitrary. Let TS^n_p and TM_q be identified naturally by an isometry. Let E_q be the closed subset of TM_q bounded by the tangential cutlocus of q . Obviously $\exp_q E_q = M$. Let $D_q = E_q \cap Y(L(q, p))$, for x, y , and z as above,

see 3.2. Let $q' \in M - B(r, p)$ and $w' \in E_q$ with $\exp_q w' = q'$. By Toponogov's Theorem [CE], for any $w \in L(q, p)$, $d(\exp_p yw, \exp_p w') \geq d(\exp_q yw, \exp_q w') = d(p, q') \geq r$. So, $w' \in D_q$ and hence $M - B(r, p) \subseteq \exp_q D_q$. Even though D_q is not star shaped, the comparison of the pull-back volume form to the standard one obtained from S^n is valid on D_q [BC].

$$\begin{aligned} \text{vol}_n(M - B(r, p)) &\leq \text{vol}_n(\exp_q D_q) \leq \text{vol}_n(\exp_p D_q) \leq \text{vol}_n(\exp_p Y(L(q, p))) \\ &= \lambda(L(q, p), r, d(p, q), d(M, g)). \end{aligned}$$

$$v_n(r) + \lambda(L(q, p), r, d(p, q), d(M, g)) \geq v(M, g).$$

3.5. Let (M, g) be a Riemannian manifold with $K(M, g) \geq 1$, $v(M, g) > V_0 - \varepsilon$, $d(M, g) \leq \pi/2$, $p \in M$ and q be a nontrivial critical point for $d(p, \cdot)$.

3.6. Given a, b , and c , define $\tau(a, b, c) = \text{vol}_n(B(a, p_0) \cap B(b, p_1))$ where $p_0, p_1 \in S^n$ with $d(p_0, p_1) = c$.

LEMMA 3.7. *If 3.5 holds, then $d(p, q) > (\pi/2) - c_1(n, \varepsilon)$, for some $c_1 > 0$.*

PROOF. Let $d(p, q) = r$ and $A = L(p, q)$. Take $Y(A)$ as in 3.2 with $x = z = \pi/2$, $y = r + (\pi/2)$, and identify TM_p and TS_p^n by an isometry.

CLAIM. $\exp_p Y(A) = M$. Proof by contradiction. Suppose there exists $q' \in M - \exp_p Y(A)$, and let $a = d(q', p)$, $v \in L(p, q')$. $av \in \overline{B}(\pi/2, 0) - Y(A) \subseteq TM_p$. There exists $w \in A$ with $\alpha(w, v) \leq \pi/2$, $av \in \overline{B}(\pi/2, 0) - Y(\{w\})$. $d(\exp_p rw, \exp_p av) = b \leq \pi/2$ in S^n . Let $\beta(r, b, a)$ be the angle across the side of length a in a geodesic triangle of side lengths r, a, b on S^2 . By Toponogov's Theorem [CE], $d(q, q') = b' \leq b$ and $\alpha(L(q, p), L(q, q')) \geq \beta(r, b', a) \geq \beta(r, b, a) > \pi/2$ which is not the case since q is a nontrivial critical point for $d(p, \cdot)$. So the claim holds.

$v(M, g) \leq \lambda(A, \pi/2, r + (\pi/2), \pi/2) \leq \lambda(\{v_0\}, \pi/2, r + (\pi/2), \pi/2)$. Hence one chooses $c_1(\varepsilon, n)$ with $\varepsilon = \tau(\pi/2, \pi/2, \pi - c_1(\varepsilon, n))$ to prove the lemma. \square

LEMMA 3.8. *If 3.5 holds, then for all v, w in $L(q, p)$,*

$$\alpha(v, w) \in [0, \alpha_0) \cup (\pi - \alpha_0, \pi],$$

for some $\alpha_0 = \alpha_0(\varepsilon, n) > 0$.

PROOF. Suppose that there exist v and w in $L(q, p)$ with $\theta \leq \alpha(v, w) \leq \pi - \theta$ for some $\theta > 0$. Then there exists w' in $L(q, p)$ with $\alpha(v, w')$ and $\alpha(w, w') \geq \theta/2$. Let $a = \sin((\pi/2) - c_1(\varepsilon, n))$.

$$\begin{aligned} v(M, g) &\leq v_n(\theta a/4) + \lambda(L(q, p), \theta a/4, d(p, q), d(M, g)) \\ &\leq v_n(\theta a/4) + \lambda(\{v, w, w'\}, \theta a/4, \pi/2, \pi/2) \\ &\leq \lambda(\{v\}, \theta a/4, \pi/2, \pi/2). \end{aligned}$$

So one chooses $\alpha_0(\varepsilon, n)$ with $\varepsilon = \tau(\pi/2, a\alpha_0(\varepsilon, n)/4, \pi/2)$ to prove the lemma. \square

LEMMA 3.9. *If 3.5 holds, then $\sup\{d(q', q) | q' \in M - B(r, p)\} \geq \pi/2 - c_2(\varepsilon, r, n)$, for some $c_2 > 0$ and $r \leq d(p, q)$.*

PROOF. Let $z = \sup\{d(q', q) | q' \in M - B(r, p)\}$.

$$\begin{aligned} v(M, g) &\leq \lambda(L(q, p), r, d(p, q), z) + v_n(r) \leq \lambda(S^0, r, \pi/2, z) + v_n(r) \\ &= v_n(z) + v_n(r) - 2\tau(r, z, \pi/2) = V_0 - c(r, z, n). \end{aligned}$$

So one chooses $c_2(\varepsilon, r, n)$ appropriately to prove the lemma. \square

PROOF OF THEOREM 2. This follows 3.2, Proposition 3.3, 3.4, Lemmas 3.7, 3.8, and 3.9 immediately.

4. Proof of Theorem 1.

4.1. Let (M, g_k) be a sequence of smooth Riemannian metrics with $1 \leq K(M, g_k) \leq K, d(M, g_k)$ converging to $\pi/2$ and $v(M, g_k)$ converging to V_0 . By Gromov's Compactness Theorem [Gv, P], one can extract a subsequence of (M, g_m) converging to (M, g_0) in the Hausdorff-Lipschitz sense, where g_0 is $C^{1,\alpha}, \alpha < 1. d(M, g_0) = \pi/2$ and $v(M, g_0) = V_0$. One defines $d_i(p, q) = d(p, q; g_i)$ for $i = 0$ or m , and the critical points of $d_0(p,)$ as in §2.

PROPOSITION 4.2. *Let $p \in (M, g_0)$ and q be a nontrivial critical point for $d_0(p,)$. Then,*

- (a) $d_0(p, q) = \pi/2,$
- (b) *there exists $p_0 \in M$ such that $d_0(p_0, p) = d_0(p_0, q) = \pi/2,$ and*
- (c) *$L(q, p; g_0)$ is an antipodal pair.*

PROOF. Let $A = \{a_1, a_2, \dots, a_k\}$ be a finite spanning subset of $L(q, p; g_0)$ by Lemma 3.1. Let x be fixed with $0 < x \leq d_0(p, q)$. Define $C_0(x) \geq 0$ by

$$\max\{d_0(q', q) | q' \in M - B(x, p)\} = (\pi/2) - C_0(x).$$

Given $\varepsilon > 0$ arbitrarily small, choose $m \in \mathbf{N}^+$ sufficiently large such that

- 1. $d_m(q_i, p) < \varepsilon,$ where $q_i = \exp(q; g_m)(d_0(p, q)a_i/\|a_i\|_m),$ for $1 \leq i \leq k,$
- 2. $d(M, g_m) \leq (\pi/2) + \varepsilon,$ and
- 3. $\max\{d_m(q', q) | q' \in M - B(x, p; g_m)\} \leq (\pi/2) - C_0(x) + \varepsilon.$

For $0 < x \leq y = d_0(p, q)$ and $z = (\pi/2) + \varepsilon,$ construct $Y(\psi_m(A))$ as in 3.4, where $\psi_m : UM(g_m)_q \rightarrow US_p^n$ is an isometry. By Toponogov's Theorem as in 3.4

$$M - \exp(q; g_m)\psi_m^{-1}Y(\psi_m(A)) \subseteq \bigcup_{i=1, \dots, k} B(x, q_i; g_m) \subseteq B(x + \varepsilon, p, g_m),$$

$$M - B(x + \varepsilon, p, g_m) \subseteq \exp(q; g_m)(\psi_m^{-1}(Y(\psi_m(A)) \cap \overline{B}((\pi/2) - C_0(x) + \varepsilon, 0))),$$

$$v(M, g_m) \leq v_n(x + \varepsilon) + \lambda(\psi_m(A), x, d_0(p, q), (\pi/2) - C_0(x) + \varepsilon).$$

Let $\varepsilon \rightarrow 0,$ choose ψ_m so that $\psi_m \rightarrow \psi_0,$ where $\psi_0 : UM(g_0)_q \rightarrow US_p^n$ is an isometry, by extracting a subsequence if necessary.

$$V_0 = v(M, g_0) \leq v_n(x) + \lambda(\psi_0(A), x, d_0(p, q), (\pi/2) - C_0(x))$$

$$\leq v_n(x) + \lambda(S^0, x, d_0(p, q), (\pi/2) - C_0(x)).$$

If $C_0(x) > 0$ or $d_0(p, q) < \pi/2,$ then $v_n(x) + \lambda(S^0, x, d_0(p, q), (\pi/2) - C_0(x)) < V_0.$ Hence, $C_0(x) = 0, d_0(p, q) = \pi/2,$ and $\psi_0(A) = S^0,$ by Proposition 3.3. \square

The rest of the proof of Theorem 1 is based on Proposition 4.2 and a sequence of results proved formally in [D] as follows. Let $p \in (M, g_0)$ be fixed, $C = \{q \in (M, g_0) | d_0(p, q) = \pi/2\},$ and $C' = \{q \in (M, g_0) | d_0(q, C) = \pi/2\}.$ C and C' are convex, that is any minimal geodesic joining two points of the set lies in the set, by Toponogov's Theorem (see [D, 4.5]). So both are totally geodesic submanifolds of (M, g_0) possibly with boundary. $\partial C' \neq \emptyset,$ since otherwise the furthest point on C' from p would be a critical point for $d_0(p,)$ and by Proposition 4.2, it would be in $C.$ Suppose that $\partial C \neq \emptyset,$ and take p_0 to be the furthest point in C from $\partial C,$ see [D, 4.6.2 and 6.9], and $p_1 \in C$ with $d_0(p_0, p_1) = \pi/2,$ by 4.2.b. By Proposition 4.2.c, p_0 and p_1 lie on a closed geodesic γ which lies in $C. \gamma \cap \partial C = \emptyset,$ since any closed geodesic in a convex set intersecting with the boundary lies on the

boundary and $p_0 \in \text{int}(C)$. On the other hand $d(\cdot, \partial C)$ cannot have any local minimum on geodesic segments lying in $\text{int}(C)$, since $K(M, g_m) \geq 1$, see Lemma 6.8 in [D]. Consequently, $\partial C = \emptyset$. $d_0(p, \cdot)$ has no critical points on $M - C$, therefore there exists sufficiently large m such that $d_m(p, \cdot)$ has no critical points on $M - N(\delta, C)$, for small $\delta > 0$. By using the techniques of [GS] one can show that $M - N(\delta, C)$ is homeomorphic to an n -ball, and the unit normal bundle UNC of C in M with respect to g_0 is homeomorphic to S^{n-1} . $E = \bigcup_{q \in C} L(q, p; g_0)$ is a subbundle of UNC. E has to be UNC, since $\text{UNC} - \{\text{point}\}$ is contractible and $\mathbf{Z}_2 \rightarrow E \rightarrow C$ cannot be null-homotopic, [GG3]. Hence $\partial(M - N(\delta, C)) = S^{n-1} \rightarrow C$ is a double covering and M has the homotopy type of \mathbf{RP}^n . By Theorem 6.22 of [D], (M, g_0) is isometric to \mathbf{RP}^n . \square

The proof of the Corollary of Theorem 1 follows by recalling the Generalized Sphere Theorem [GS], see §1, and the Finiteness Theorem of Cheeger [C], that there are finitely many diffeomorphism types of Riemannian manifolds with $1 \leq K(M, g) \leq K$, $v(M, g) > V$, $d(M, g) \leq \pi$ and hence $\text{inj-rad}(M, g) > C(K, V, \pi)$.

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